



THE SOBRATO FAMILY FOUNDATION
EXEMPT ORGANIZATION
RETURN OF PRIVATE FOUNDATION

December 31, 2017

Frank, Rimerman + Co. LLP

November 14, 2018

Mr. Rick Williams
The Sobrato Family Foundation
10600 North De Anza Boulevard, Suite 200
Cupertino, California 95014

Dear Rick:

We have enclosed a copy of the federal and State of California private foundation returns for The Sobrato Family Foundation, for the year ended December 31, 2017. The originals should be signed by you and filed in accordance with the instructions following this letter.

The public inspection rules require that the tax return remain open for public inspection for a period of three years after the date of filing. Please note that unlike public charities, private foundations must also disclose the list of contributors to the general public. The organization's exemption application and IRS determination letter granting the Foundation exemption from income tax are also open to public inspection by any person requesting to see these documents.

In addition to the tax returns, we are also enclosing completed certified mail forms. It is our recommendation that you use these forms to file the returns certified mail. Because of the growing confusion in the IRS and state service centers, we believe this precaution is necessary to avoid disputes, which may arise in the future concerning the timely filing of the tax returns. This procedure will also require that you take the tax returns to your local post office and pay the appropriate fees and postage.

We did not audit the data submitted in preparing the returns; therefore, before signing the efile authorizations, please review to make sure there are no misstatements or omissions.

Should the Internal Revenue Service or state taxing authorities contact you, please advise us immediately. We will then be able to assist you in answering their inquiry.

If you have any questions regarding your returns, please do not hesitate to call.

Very truly yours,

FRANK, RIMERMAN + CO. LLP



Lisa C. Henderson

LCH/dem
Enclosures

Certified
Public
Accountants



Palo Alto
San Francisco
San Jose
St. Helena

ID Number: 77-0348912

[illegible]

CARRYOVER DATA TO 2018

Based on the information provided with this return, the following are possible carryover amounts to next year.

719341
04-01-17

Filing Instructions

Prepared for:

THE SOBRATO FAMILY FOUNDATION
10600 NORTH DE ANZA BLVD NO. 200
CUPERTINO, CA 95014

Prepared by:

FRANK, RIMERMAN + CO. LLP
60 SOUTH MARKET STREET, SUITE 500
SAN JOSE, CA 95113

2017 FORM 990-PF

PLEASE SIGN AND MAIL ON OR BEFORE NOVEMBER 15, 2018.

FORM 990-PF HAS AN OVERPAYMENT OF \$24,244. THE ENTIRE OVERPAYMENT HAS BEEN APPLIED TO THE ESTIMATED TAX PAYMENTS.

MAIL TO - DEPARTMENT OF THE TREASURY
INTERNAL REVENUE SERVICE CENTER
OGDEN, UT 84201-0027

2017 FORM 990-T

PLEASE SIGN AND MAIL ON OR BEFORE NOVEMBER 15, 2018.

FORM 990-T HAS A BALANCE DUE OF \$161.

PAYMENTS SHOULD BE MADE USING THE ELECTRONIC FEDERAL TAX PAYMENT SYSTEM (EFTPS). TAXPAYERS CAN MAKE DEPOSITS ONLINE AT WWW.EFTPS.GOV OR BY CALLING EFTPS CUSTOMER SERVICE AT 1-800-555-4477. FOR DEPOSITS MADE BY EFTPS TO BE ON TIME, THE ORGANIZATION MUST INITIATE THE TRANSACTION DURING BUSINESS HOURS AT LEAST 1 BUSINESS DAY BEFORE THE DATE THE DEPOSIT IS DUE. IF YOU ARE USING ACH CREDIT OR SAME-DAY FEDWIRE METHODS, PLEASE CHECK WITH THE APPROPRIATE FINANCIAL INSTITUTION FOR THE DEADLINE TO ENSURE TIMELY TRANSMISSION OF FUNDS.

MAIL TO - DEPARTMENT OF THE TREASURY
INTERNAL REVENUE SERVICE CENTER
OGDEN, UT 84201-0027

Filing Instructions

Prepared for:

THE SOBRATO FAMILY FOUNDATION
10600 NORTH DE ANZA BLVD NO. 200
CUPERTINO, CA 95014

Prepared by:

FRANK, RIMERMAN + CO. LLP
60 SOUTH MARKET STREET, SUITE 500
SAN JOSE, CA 95113

SECTION 965

THE IRC 965 TRANSITION TAX STATEMENT SHOULD BE SIGNED BY THE
APPROPRIATE OFFICER.

2017 CALIFORNIA FORM 199

YOU HAVE A BALANCE DUE OF\$ 10.00

THE RETURN SHOULD BE SIGNED AND DATED BY THE AUTHORIZED INDIVIDUAL(S).

ENCLOSE A CHECK OR MONEY ORDER FOR \$10.00, PAYABLE TO FRANCHISE TAX
BOARD.

PLEASE MAIL ON OR BEFORE NOVEMBER 15, 2018.

MAIL TO - FRANCHISE TAX BOARD
P.O. BOX 942857
SACRAMENTO, CA 94257-0501

Filing Instructions

Prepared for:

THE SOBRATO FAMILY FOUNDATION
10600 NORTH DE ANZA BLVD NO. 200
CUPERTINO, CA 95014

Prepared by:

FRANK, RIMERMAN + CO. LLP
60 SOUTH MARKET STREET, SUITE 500
SAN JOSE, CA 95113

2017 CALIFORNIA FORM 109

NO PAYMENT IS REQUIRED.

THE RETURN SHOULD BE SIGNED AND DATED BY THE AUTHORIZED INDIVIDUAL(S).

PLEASE MAIL ON OR BEFORE NOVEMBER 15, 2018.

MAIL TO - FRANCHISE TAX BOARD
P.O. BOX 942857
SACRAMENTO, CA 94257-0500

2017 CALIFORNIA FORM RRF-1

YOU HAVE A BALANCE DUE OF\$ 225.00

ENCLOSE A CHECK OR MONEY ORDER FOR \$225.00, PAYABLE TO ATTORNEY GENERAL
REGISTRY OF CHARITABLE TRUSTS.

THE REPORT SHOULD BE SIGNED AND DATED BY THE AUTHORIZED INDIVIDUAL(S).

PLEASE MAIL ON OR BEFORE NOVEMBER 15, 2018.

MAIL TO - REGISTRY OF CHARITABLE TRUSTS
P.O. BOX 903447
SACRAMENTO, CA 94203-4470

EXTENDED TO NOVEMBER 15, 2018

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0052

2017

Open to Public Inspection

Form **990-PF**Department of the Treasury
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2017 or tax year beginning

, and ending

Name of foundation THE SOBRATO FAMILY FOUNDATION		A Employer identification number 77-0348912
Number and street (or P.O. box number if mail is not delivered to street address) 10600 NORTH DE ANZA BLVD	Room/suite 200	B Telephone number 408 446-0700
City or town, state or province, country, and ZIP or foreign postal code CUPERTINO, CA 95014		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change </div> <div> <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change </div> </div>		D 1. Foreign organizations, check here <input type="checkbox"/>
H Check type of organization: <div style="display: flex; justify-content: space-between;"> <div> <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust </div> <div> <input type="checkbox"/> Other taxable private foundation </div> </div>		2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 270,662,644.	J Accounting method: <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Cash <input type="checkbox"/> Other (specify) _____ </div> <div> <input checked="" type="checkbox"/> Accrual </div> </div> (Part I, column (d) must be on cash basis.)	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	212,844.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	954,985.	948,283.		STATEMENT 2
	4 Dividends and interest from securities	3,239,647.	4,206,544.		STATEMENT 3
	5a Gross rents	40,069.	37,334.		STATEMENT 4
	b Net rental income or (loss)	23,797.			STATEMENT 5
	6a Net gain or (loss) from sale of assets not on line 10	34,604,868.			STATEMENT 1
	b Gross sales price for all assets on line 6a	106,124,857.			
	7 Capital gain net income (from Part IV, line 2)		96,797,430.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income	5,400,504.	-3,426.		STATEMENT 6	
12 Total. Add lines 1 through 11	44,452,917.	101,986,165.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	422,457.	0.		422,457.
	14 Other employee salaries and wages	395,876.	0.		666,333.
	15 Pension plans, employee benefits	101,695.	0.		105,338.
	16a Legal fees	STMT 7 21,527.	0.		21,527.
	b Accounting fees	STMT 8 39,600.	0.		39,600.
	c Other professional fees	STMT 9 526,617.	54,883.		463,480.
	17 Interest	2,183.	0.		0.
	18 Taxes	STMT 10 1,099,163.	305,839.		37,896.
	19 Depreciation and depletion	773,845.	0.		
	20 Occupancy	100,320.	0.		0.
	21 Travel, conferences, and meetings	129,234.	0.		129,234.
	22 Printing and publications				
	23 Other expenses	STMT 11 10,937,839.	309,624.		6,427,403.
	24 Total operating and administrative expenses. Add lines 13 through 23	14,550,356.	670,346.		8,313,268.
	25 Contributions, gifts, grants paid	31,633,441.			29,153,336.
	26 Total expenses and disbursements. Add lines 24 and 25	46,183,797.	670,346.		37,466,604.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-1,730,880.				
b Net investment income (if negative, enter -0-)		101,315,819.			
c Adjusted net income (if negative, enter -0-)			N/A		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only.		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		5,024,850.	6,450,477.	6,450,477.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶		329,394.		
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶ 217,384.				
		Less: allowance for doubtful accounts ▶ 0.		2,976,590.	217,384.	217,384.
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		76,707.	385,099.	385,099.
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock STMT 15		131,932,649.	185,330,873.	185,330,873.
	c	Investments - corporate bonds				
	11	Investments - land, buildings, and equipment: basis ▶				
Liabilities		Less: accumulated depreciation ▶				
	12	Investments - mortgage loans				
	13	Investments - other STMT 16		67,518,105.	74,876,016.	56,872,209.
	14	Land, buildings, and equipment: basis ▶ 23,897,752.				
		Less: accumulated depreciation STMT 14 ▶ 3,015,484.		21,352,601.	20,882,268.	21,406,602.
	15	Other assets (describe ▶ STATEMENT 17)		60,147,898.	0.	0.
	16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		289,358,794.	288,142,117.	270,662,644.
	17	Accounts payable and accrued expenses		106,042.	532,793.	
	18	Grants payable		10,898,374.	9,193,850.	
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶ STATEMENT 18)		6,032,607.	6,637,477.	
	23	Total liabilities (add lines 17 through 22)		17,037,023.	16,364,120.	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31.				
	24	Unrestricted		271,992,377.	271,777,997.	
	25	Temporarily restricted		329,394.	0.	
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances		272,321,771.	271,777,997.	
	31	Total liabilities and net assets/fund balances		289,358,794.	288,142,117.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	272,321,771.
2	Enter amount from Part I, line 27a	2	-1,730,880.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 13	3	1,516,500.
4	Add lines 1, 2, and 3	4	272,107,391.
5	Decreases not included in line 2 (itemize) ▶ CLT CONTRIBUTION BOOK/TAX DIFFERENCE	5	329,394.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	271,777,997.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e 106,124,857.		9,327,427.	96,797,430.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			96,797,430.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	96,797,430.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	45,608,849.	226,049,157.	.201765
2015	21,211,221.	214,158,467.	.099045
2014	15,433,708.	193,868,853.	.079609
2013	37,310,049.	163,865,437.	.227687
2012	13,296,310.	171,586,402.	.077490

2 Total of line 1, column (d)	2	.685596
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.137119
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	244,792,198.
5 Multiply line 4 by line 3	5	33,565,661.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,013,158.
7 Add lines 5 and 6	7	34,578,819.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	45,170,115.

2017 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 44-74365
Recipient's Tax ID Number: XX-XXX8912

Recipient's Name and Address:
SOBRATO FAMILY FOUNDATION
10600 N DE ANZA BLVD STE 200
CUPERTINO, CA 95014-2059

Ref: PPX

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

2017 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property										
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales										
264.0	AAON INC									
000360206	07/27/2017	07/24/2017	8,946.75	9,292.80			-346.05		0.00	0.00
234.0	AAR CORP									
000361105	07/27/2017	07/24/2017	8,501.02	8,494.20			6.82		0.00	0.00
990.0	ACCO BRANDS CORP COM									
00081T108	07/27/2017	07/24/2017	11,671.83	11,731.50			-59.67		0.00	0.00
135.0	AG MORTGAGE INVESTMENT TRUST INC COM USD0.01									
001228105	07/27/2017	07/24/2017	2,482.99	2,500.20			-17.21		0.00	0.00
173.0	AG MORTGAGE INVESTMENT TRUST INC COM USD0.01									
001228105	07/27/2017	07/24/2017	3,181.39	3,203.96			-22.57		0.00	0.00
2511.0	AK STEEL HOLDING CORP									
001547108	07/27/2017	07/24/2017	14,990.32	15,141.33			-151.01		0.00	0.00
299.0	AMAG PHARMACEUTICALS INC COM STK									
00163U106	07/27/2017	07/24/2017	5,812.42	5,935.15			-122.73		0.00	0.00
373.0	AMC ENTMT HLDGS INC CL A COM CL A COM									
00165C104	07/27/2017	07/24/2017	7,717.19	7,646.50			70.69		0.00	0.00
58.0	ANI PHARMACEUTICALS INC COM									
00182C103	07/27/2017	07/24/2017	2,795.53	2,827.50			-31.97		0.00	0.00

This is important tax information and is being furnished to you.

2017 Tax Information Statement

Page 8 of 225

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 44-74365
Recipient's Tax ID Number: XX-XXX8912
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
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10600 N DE ANZA BLVD STE 200
CUPERTINO, CA 95014-2059

Ref: PPX

Sales are listed at Gross Proceeds less commissions and option premiums.
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Description of property										
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
6309.0	AT&T INC									
00206R102	07/27/2017	07/24/2017	248,568.85	228,575.07			19,993.78		0.00	0.00
321.0	A10 NETWORKS INC COM									
002121101	07/27/2017	07/24/2017	2,022.25	2,112.18			-89.93		0.00	0.00
87.0	ATN INTL INC COM									
00215F107	07/27/2017	07/24/2017	5,815.81	5,690.67			125.14		0.00	0.00
330.0	AVX CORP COM									
002444107	07/27/2017	07/24/2017	5,751.76	5,930.10			-178.34		0.00	0.00
169.0	AZZ INC COM									
002474104	07/27/2017	07/24/2017	8,515.71	8,526.05			-10.34		0.00	0.00
142.0	ABAXIS INC COM									
002567105	07/27/2017	07/24/2017	7,379.56	7,294.54			85.02		0.00	0.00
1983.0	ABBOTT LABORATORIES									
002824100	07/27/2017	07/24/2017	99,346.00	100,736.40			-1,390.40		0.00	0.00
604.0	ABERCROMBIE & FITCH CO CL A									
002896207	07/27/2017	07/24/2017	5,943.22	5,611.16			332.06		0.00	0.00
393.0	ABIOMED INC									
003654100	07/27/2017	07/24/2017	56,181.98	57,888.90			-1,706.92		0.00	0.00

This is important tax information and is being furnished to you.

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Description of property										
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
904.0	ABRAXAS PETE CORP COM									
003830106	07/27/2017	07/24/2017	1,554.84	1,464.48			90.36		0.00	0.00
1425.0	ACACIA RESH CORP COM									
003881307	07/27/2017	07/24/2017	5,899.36	5,628.75			270.61		0.00	0.00
109.0	ACACIA COMMUNICATIONS INC COM									
00401C108	07/27/2017	07/24/2017	4,745.75	4,750.22			-4.47		0.00	0.00
557.0	ACADIA RLTY TR COM									
004239109	07/27/2017	07/24/2017	16,731.89	15,941.34			790.55		0.00	0.00
160.0	ACCELERATE DIAGNOSTICS INC COM									
00430H102	07/27/2017	07/24/2017	4,334.29	4,360.00			-25.71		0.00	0.00
218.0	ACCESS NATL CORP COM									
004337101	07/27/2017	07/24/2017	5,794.30	5,761.74			32.56		0.00	0.00
171.0	ACCELERON PHARMA INC COM									
00434H108	07/27/2017	07/24/2017	5,381.24	5,569.47			-188.23		0.00	0.00
528.0	ACCURAY INC DEL COM									
004397105	07/27/2017	07/24/2017	2,317.86	2,323.20			-5.34		0.00	0.00
187.0	ACHAOGEN INC COM									
004449104	07/27/2017	07/24/2017	3,592.18	4,104.65			-512.47		0.00	0.00

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2017 Tax Information Statement

Page 10 of 225

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Sales are listed at Gross Proceeds less commissions and option premiums.
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Description of property										
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
1404.0	ACHILLION PHARMACEUTICALS INC COM									
00448Q201	07/27/2017	07/24/2017	5,770.30	5,995.08			-224.78		0.00	0.00
129.0	ACLARIS THERAPEUTICS INC COM									
00461U105	07/27/2017	07/24/2017	3,762.84	3,818.40			-55.56		0.00	0.00
1182.0	ACTIVISION BLIZZARD INC COM STK									
00507V109	07/27/2017	07/24/2017	72,289.44	72,622.08			-332.64		0.00	0.00
437.0	ACTUANT CORP CL A NEW									
00508X203	07/27/2017	Various	10,440.99	10,531.70			-90.71		0.00	0.00
424.0	ACTUA CORPORATION COM USD0.001									
005094107	07/27/2017	07/24/2017	5,762.02	5,851.20			-89.18		0.00	0.00
308.0	ACUSHNET HLDGS CORP COM									
005098108	07/27/2017	07/24/2017	5,694.78	5,765.76			-70.98		0.00	0.00
528.0	ACXIOM CORP									
005125109	07/27/2017	07/24/2017	14,245.11	14,250.72			-5.61		0.00	0.00
60.0	ADAMAS PHARMACEUTICALS INC COM									
00548A106	07/27/2017	07/24/2017	1,056.57	1,107.00			-50.43		0.00	0.00
48.0	ADDUS HOMECARE CORP COM STK									
006739106	07/27/2017	07/24/2017	1,713.08	1,812.00			-98.92		0.00	0.00

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2017 Tax Information Statement

Page 11 of 225

Ref: PPX

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 44-74365
Recipient's Tax ID Number: XX-XXX8912

Recipient's Name and Address:
SOBRATO FAMILY FOUNDATION
10600 N DE ANZA BLVD STE 200
CUPERTINO, CA 95014-2059

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

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Description of property										
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370.0	ADOBE SYS INC									
00724F101	07/27/2017	07/24/2017	54,089.05	55,326.10			-1,237.05		0.00	0.00
1138.0	ADTALEM GLOBAL ED INC COM									
00737L103	07/27/2017	Various	37,484.85	39,261.00			-1,776.15		0.00	0.00
339.0	ADTRAN INC									
00738A106	07/27/2017	07/24/2017	7,912.07	8,000.40			-88.33		0.00	0.00
259.0	ADURO BIOTECH INC COM									
00739L101	07/27/2017	07/24/2017	3,429.08	3,613.05			-183.97		0.00	0.00
211.0	ADVAXIS INC COM NEW COM NEW									
007624208	07/27/2017	07/24/2017	1,379.90	1,432.69			-52.79		0.00	0.00
261.0	#REORG/ ADVISORY BRD CO COM CASH MERGER 11-20-2017									
00762W107	07/27/2017	07/24/2017	14,665.25	14,929.20			-263.95		0.00	0.00
196.0	AERIE PHARMACEUTICALS INC COM									
00771V108	07/27/2017	07/24/2017	10,620.99	11,289.60			-668.61		0.00	0.00
167.0	ADVANSIX INC COM									
00773T101	07/27/2017	07/24/2017	5,676.19	5,696.37			-20.18		0.00	0.00
220.0	AEROHIVE NETWORKS INC COM									
007786106	07/27/2017	07/24/2017	1,044.97	1,051.60			-6.63		0.00	0.00

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2017 Tax Information Statement

Page 12 of 225

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242.0	ADVANCED DRAIN SYS INC DEL COM									
00790R104	07/27/2017	07/24/2017	5,018.96	4,900.50			118.46		0.00	0.00
163.0	ADVANCED DISP SVCS INC DEL COM									
00790X101	07/27/2017	07/24/2017	3,918.42	3,819.09			99.33		0.00	0.00
256.0	ADVANCED ENERGY INDS									
007973100	07/27/2017	07/24/2017	18,764.36	19,202.56			-438.20		0.00	0.00
113.0	AETNA INC NEW									
00817Y108	07/27/2017	07/24/2017	17,389.16	17,637.04			-247.88		0.00	0.00
303.0	AGENUS INC COM NEW COM USD0.01 (POST REVSPILT)									
00847G705	07/27/2017	07/24/2017	1,354.37	1,627.11			-272.74		0.00	0.00
258.0	AGILYSYS INC COM STK									
00847J105	07/27/2017	07/24/2017	2,616.82	2,659.98			-43.16		0.00	0.00
298.0	AGILYSYS INC COM STK									
00847J105	07/27/2017	07/24/2017	3,021.65	3,072.38			-50.73		0.00	0.00
118.0	AIMMUNE THERAPEUTICS INC COM									
00900T107	07/27/2017	07/24/2017	2,527.85	2,596.00			-68.15		0.00	0.00
106.0	AIMMUNE THERAPEUTICS INC COM									
00900T107	07/27/2017	07/24/2017	2,270.46	2,332.00			-61.54		0.00	0.00

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2017 Tax Information Statement

Page 13 of 225

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337.0	AIR TRANS SVCS GROUP INC COM STK									
00922R105	07/27/2017	07/24/2017	8,276.52	7,831.88			444.64		0.00	0.00
239.0	AKEBIA THERAPEUTICS INC COM									
00972D105	07/27/2017	07/24/2017	3,173.84	3,355.56			-181.72		0.00	0.00
53.0	ALAMO GROUP INC COM									
011311107	07/27/2017	07/24/2017	4,955.38	4,888.72			66.66		0.00	0.00
132.0	ALARM COM HLDGS INC COM									
011642105	07/27/2017	07/24/2017	4,920.84	4,984.32			-63.48		0.00	0.00
146.0	ALBANY INTL CORP NEW CL A									
012348108	07/27/2017	07/24/2017	7,875.05	7,840.20			34.85		0.00	0.00
594.0	ALDER BIOPHARMACEUTICALS INC COM									
014339105	07/27/2017	Various	6,320.01	7,068.60			-748.59		0.00	0.00
722.0	ALEXANDER & BALDWIN INC									
014491104	07/27/2017	Various	30,113.92	30,692.22			-578.30		0.00	0.00
14.0	ALEXANDERS INC COM REIT FUND BANKRUPTCY 5-15-92									
014752109	07/27/2017	07/24/2017	6,083.97	6,047.86			36.11		0.00	0.00
150.0	ALLEGHANY CORP DEL (DELAWARE)									
017175100	07/27/2017	07/24/2017	90,893.40	92,332.50			-1,439.10		0.00	0.00

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2017 Tax Information Statement

Page 14 of 225

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1883.0	ALLEGHENY TECHNOLOGIES INC									
01741R102	07/27/2017	Various	36,039.78	32,274.62			3,765.16		0.00	0.00
51.0	ALLEGIANCE BANCSHARES INC COM									
01748H107	07/27/2017	07/24/2017	1,937.44	1,996.65			-59.21		0.00	0.00
85.0	ALLEGiant TRAVEL CO COM									
01748X102	07/27/2017	07/24/2017	11,206.14	12,303.75			-1,097.61		0.00	0.00
1667.0	ALLIANT ENERGY CORP COMMON									
018802108	07/27/2017	07/24/2017	67,878.67	68,080.28			-201.61		0.00	0.00
52.0	ALLIED MOTION TECHNOLOGIES INC COM									
019330109	07/27/2017	07/24/2017	1,539.68	1,515.28			24.40		0.00	0.00
447.0	#REORG/ALTISOURCE NAME CHANGE FRONT YD 2M11AL2 02-21-2018									
02153W100	07/27/2017	07/24/2017	5,824.27	5,779.71			44.56		0.00	0.00
58.0	ALTERYX INC COM CL A COM CL A									
02156B103	07/27/2017	07/24/2017	1,213.91	1,259.76			-45.85		0.00	0.00
187.0	ALTRA INDUSTRIAL MOTION CORP COM STK USD0.001									
02208R106	07/27/2017	07/24/2017	8,085.69	7,405.20			680.49		0.00	0.00
142.0	AMBAC FINL GROUP INC COM									
023139884	07/27/2017	07/24/2017	2,875.86	2,884.02			-8.16		0.00	0.00

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2017 Tax Information Statement

Page 15 of 225

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185.0	AMBAC FINL GROUP INC COM									
023139884	07/27/2017	07/24/2017	3,746.16	3,757.35			-11.19		0.00	0.00
132.0	AMBER RD INC COM									
02318Y108	07/27/2017	07/24/2017	1,239.45	1,243.44			-3.99		0.00	0.00
1084.0	AMEREN CORP COM									
023608102	07/27/2017	07/24/2017	60,984.43	60,617.28			367.15		0.00	0.00
874.0	AMERESCO INC									
02361E108	07/27/2017	07/24/2017	5,672.12	5,681.00			-8.88		0.00	0.00
243.0	AMERICAN ASSETS TR INC COM									
024013104	07/27/2017	Various	9,812.11	9,668.97			143.14		0.00	0.00
2910.0	AMERICAN EAGLE OUTFITTERS INC NEW COMMON									
02553E106	07/27/2017	Various	34,860.99	33,494.10			1,366.89		0.00	0.00
186.0	AMERICAN EAGLE OUTFITTERS INC NEW COMMON									
02553E106	07/27/2017	07/24/2017	2,228.78	2,140.86			87.92		0.00	0.00
368.0	AMERICAN OUTDOOR BRANDS CORP COM 1									
02874P103	07/27/2017	07/24/2017	7,554.86	7,536.64			18.22		0.00	0.00
250.0	AMERICAN PUB ED INC COM STK									
02913V103	07/27/2017	07/24/2017	5,572.37	5,675.00			-102.63		0.00	0.00

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2017 Tax Information Statement

Page 16 of 225

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59.0	AMERICAN RENAL ASSOCS HLDGS INC COM									
029227105	07/27/2017	07/24/2017	1,019.49	1,047.25			-27.76		0.00	0.00
112.0	AMERN SOFTWARE INC CL A									
029683109	07/27/2017	07/24/2017	1,095.33	1,120.00			-24.67		0.00	0.00
160.0	AMERICAN STS WTR CO									
029899101	07/27/2017	07/24/2017	7,847.81	7,772.80			75.01		0.00	0.00
361.0	AMERICAN VANGUARD CORP COM									
030371108	07/27/2017	07/24/2017	6,385.94	6,570.20			-184.26		0.00	0.00
91.0	AMERN WOODMARK CORP COM									
030506109	07/27/2017	07/24/2017	8,853.18	8,990.80			-137.62		0.00	0.00
27.0	AMERICAS CAR-MART INC COM									
03062T105	07/27/2017	07/24/2017	1,055.40	1,015.20			40.20		0.00	0.00
128.0	AMERISAFE INC COM									
03071H100	07/27/2017	07/24/2017	7,390.54	7,251.20			139.34		0.00	0.00
641.0	AMERIPRISE FINANCIAL INC									
03076C106	07/27/2017	07/24/2017	91,333.97	88,175.96			3,158.01		0.00	0.00
179.0	AMERIS BANCORP COM									
03076K108	07/27/2017	07/24/2017	8,258.86	8,430.90			-172.04		0.00	0.00

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Page 17 of 225

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655.0	AMICUS THERAPEUTICS INC COM									
03152W109	07/27/2017	07/24/2017	8,652.34	9,006.25			-353.91		0.00	0.00
234.0	AMICUS THERAPEUTICS INC COM									
03152W109	07/27/2017	07/24/2017	3,091.76	3,217.50			-125.74		0.00	0.00
885.0	AMKOR TECHNOLOGY INC COM									
031652100	07/27/2017	07/24/2017	9,557.77	9,743.85			-186.08		0.00	0.00
214.0	#REORG/ AMPLIFY SNACK CASH MERGER 01-31-2018									
03211L102	07/27/2017	07/24/2017	2,244.80	2,336.88			-92.08		0.00	0.00
303.0	AMTRUST FINL SVCS INC COM									
032359309	07/27/2017	07/24/2017	4,790.31	4,763.16			27.15		0.00	0.00
259.0	AMTRUST FINL SVCS INC COM									
032359309	07/27/2017	07/24/2017	4,095.47	4,071.48			23.99		0.00	0.00
2295.0	ANADARKO PETROLEUM CORP									
032511107	07/27/2017	07/24/2017	108,573.94	101,461.95			7,111.99		0.00	0.00
364.0	ANALOG DEVICES INC									
032654105	07/27/2017	07/24/2017	28,951.89	28,665.00			286.89		0.00	0.00
39.0	ANAPTYSBIO INC COM									
032724106	07/27/2017	07/24/2017	995.25	1,191.45			-196.20		0.00	0.00

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Page 18 of 225

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251.0	ANAVEX LIFE SCIENCES CORP COM NEW COM NEW									
032797300	07/27/2017	07/24/2017	1,044.13	1,046.67			-2.54		0.00	0.00
188.0	ANDERSONS INC COM									
034164103	07/27/2017	07/24/2017	6,474.57	6,363.80			110.77		0.00	0.00
222.0	#REORG/ ANGIE'S MANDATORY EXCHANGE ANGI 2J19AA2 10-02-2017									
034754101	07/27/2017	07/24/2017	2,790.47	2,797.20			-6.73		0.00	0.00
77.0	ANIKA THERAPEUTICS INC COM STK									
035255108	07/27/2017	07/24/2017	3,980.03	3,645.95			334.08		0.00	0.00
188.0	ANIXTER INTL INC									
035290105	07/27/2017	07/24/2017	15,103.57	14,823.80			279.77		0.00	0.00
928.0	ANTARES PHARMA INC COM									
036642106	07/27/2017	07/24/2017	2,839.61	2,904.64			-65.03		0.00	0.00
378.0	ANTHEM INC COM									
036752103	07/27/2017	07/24/2017	69,365.17	72,198.00			-2,832.83		0.00	0.00
685.0	ANWORTH MTG AST CORP COM									
037347101	07/27/2017	07/24/2017	4,151.00	4,151.10			-0.10		0.00	0.00
415.0	ANWORTH MTG AST CORP COM									
037347101	07/27/2017	07/24/2017	2,516.08	2,514.90			1.18		0.00	0.00

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2017 Tax Information Statement

Page 19 of 225

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183.0	APOGEE ENTERPRISES, INC.									
037598109	07/27/2017	07/24/2017	9,610.93	9,644.10			-33.17		0.00	0.00
36.0	APPFOLIO INC COM CL A COM CL A									
03783C100	07/27/2017	07/24/2017	1,274.01	1,276.20			-2.19		0.00	0.00
248.0	APPLIED INDL TECHNOLOGIES INC									
03820C105	07/27/2017	07/24/2017	14,058.79	14,136.00			-77.21		0.00	0.00
118.0	APPLIED OPTOELECTRONICS INC COM									
03823U102	07/27/2017	07/24/2017	11,541.31	11,284.34			256.97		0.00	0.00
117.0	APPTIO INC CL A									
03835C108	07/27/2017	07/24/2017	2,019.37	1,990.17			29.20		0.00	0.00
109.0	AQUA METALS INC COM									
03837J101	07/27/2017	07/24/2017	1,280.72	1,303.64			-22.92		0.00	0.00
243.0	ARATANA THERAPEUTICS INC COM									
03874P101	07/27/2017	07/24/2017	1,708.25	1,732.59			-24.34		0.00	0.00
148.0	ARCBEST CORP COM USD0.01									
03937C105	07/27/2017	07/24/2017	3,180.44	3,167.20			13.24		0.00	0.00
120.0	ARCBEST CORP COM USD0.01									
03937C105	07/27/2017	07/24/2017	2,579.10	2,568.00			11.10		0.00	0.00

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2017 Tax Information Statement

Page 20 of 225

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142.0	ARCH COAL INC CL A									
039380407	07/27/2017	07/24/2017	10,280.56	10,678.40			-397.84		0.00	0.00
506.0	ARCHROCK INC COM									
03957W106	07/27/2017	07/24/2017	5,687.30	5,591.30			96.00		0.00	0.00
1030.0	ARDELYX INC COM									
039697107	07/27/2017	07/24/2017	5,603.07	6,128.50			-525.43		0.00	0.00
94.0	ARGAN INC COM									
04010E109	07/27/2017	07/24/2017	6,127.71	6,025.40			102.31		0.00	0.00
278.0	ARMOUR RESIDENTIAL REIT INC COM NEW COM NEW									
042315507	07/27/2017	07/24/2017	7,013.77	6,966.68			47.09		0.00	0.00
326.0	ARMSTRONG FLOORING INC COM									
04238R106	07/27/2017	07/24/2017	5,695.08	5,646.32			48.76		0.00	0.00
980.0	ARRAY BIOPHARMA INC COM									
04269X105	07/27/2017	07/24/2017	7,330.23	7,644.00			-313.77		0.00	0.00
406.0	ARROW ELECTRONICS INC									
042735100	07/27/2017	07/24/2017	33,867.73	33,559.96			307.77		0.00	0.00
194.0	ARROW FINL CORP COM									
042744102	07/27/2017	07/24/2017	6,225.31	6,227.40			-2.09		0.00	0.00

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2017 Tax Information Statement

Page 21 of 225

Ref: PPX

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 44-74365
Recipient's Tax ID Number: XX-XXX8912

Recipient's Name and Address:
SOBRATO FAMILY FOUNDATION
10600 N DE ANZA BLVD STE 200
CUPERTINO, CA 95014-2059

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

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148.0	ARTESIAN RES CORP CL A CL A									
043113208	07/27/2017	07/24/2017	5,730.42	5,632.88			97.54		0.00	0.00
287.0	ARTISAN PARTNERS ASSET MGMT INC CL A									
04316A108	07/27/2017	07/24/2017	9,396.16	9,442.30			-46.14		0.00	0.00
120.0	ASBURY AUTOMOTIVE GROUP INC									
043436104	07/27/2017	07/24/2017	6,472.65	6,816.00			-343.35		0.00	0.00
417.0	ASHFORD HOSPITALITY TR INC									
044103109	07/27/2017	07/24/2017	2,619.94	2,539.53			80.41		0.00	0.00
523.0	ASHFORD HOSPITALITY TR INC									
044103109	07/27/2017	07/24/2017	3,284.36	3,185.07			99.29		0.00	0.00
603.0	ASHLAND GLOBAL HLDGS INC COM									
044186104	07/27/2017	07/24/2017	39,809.14	40,093.47			-284.33		0.00	0.00
486.0	ASPEN TECHNOLOGY INC COM									
045327103	07/27/2017	07/24/2017	27,516.68	27,546.48			-29.80		0.00	0.00
90.0	ASSEMBLY BIOSCIENCES INC COM									
045396108	07/27/2017	07/24/2017	1,916.95	1,925.10			-8.15		0.00	0.00
1485.0	ASSOCIATED BANC CORP									
045487105	07/27/2017	07/24/2017	34,881.84	34,897.50			-15.66		0.00	0.00

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2017 Tax Information Statement

Page 22 of 225

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171.0	ASSOCIATED CAP GROUP INC CL A CL A									
045528106	07/27/2017	07/24/2017	5,555.66	5,745.60			-189.94		0.00	0.00
189.0	ASTEC INDS INC									
046224101	07/27/2017	Various	9,818.32	10,442.25			-623.93		0.00	0.00
306.0	ASTERIAS BIOTHERAPEUTICS INC COM SER A STK									
04624N107	07/27/2017	07/24/2017	1,083.21	1,101.60			-18.39		0.00	0.00
751.0	ASTORIA FINL CORP									
046265104	07/27/2017	07/24/2017	14,854.43	14,869.80			-15.37		0.00	0.00
139.0	ASTRONICS CORP COM									
046433108	07/27/2017	07/24/2017	4,107.35	4,149.15			-41.80		0.00	0.00
46.0	AT HOME GROUP INC COM									
04650Y100	07/27/2017	07/24/2017	1,067.63	1,035.46			32.17		0.00	0.00
361.0	ATARA BIOTHERAPEUTICS INC COM									
046513107	07/27/2017	07/24/2017	5,483.46	6,064.80			-581.34		0.00	0.00
942.0	ATHERSYS INC NEW COM									
04744L106	07/27/2017	07/24/2017	1,472.31	1,554.30			-81.99		0.00	0.00
105.0	ATKORE INTL GROUP INC COM									
047649108	07/27/2017	07/24/2017	2,233.29	2,217.60			15.69		0.00	0.00

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2017 Tax Information Statement

Page 23 of 225

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110.0	ATKORE INTL GROUP INC COM									
047649108	07/27/2017	07/24/2017	2,339.97	2,323.20			16.77		0.00	0.00
572.0	ATLANTIC PWR CORP COM NEW COM NEW									
04878Q863	07/27/2017	07/24/2017	1,338.44	1,344.20			-5.76		0.00	0.00
1027.0	ATMOS ENERGY CORP									
049560105	07/27/2017	07/24/2017	89,357.20	88,270.65			1,086.55		0.00	0.00
205.0	ATRICURE INC COM STK									
04963C209	07/27/2017	07/24/2017	4,649.29	4,833.90			-184.61		0.00	0.00
8.0	ATRION CORP COM									
049904105	07/27/2017	07/24/2017	5,105.00	4,955.20			149.80		0.00	0.00
88.0	AUDENTES THERAPEUTICS INC COM									
05070R104	07/27/2017	07/24/2017	1,740.59	1,906.96			-166.37		0.00	0.00
107.0	AUTOMATIC DATA PROCESSING INC									
053015103	07/27/2017	07/24/2017	12,371.05	11,078.78			1,292.27		0.00	0.00
140.0	AUTOZONE INC									
053332102	07/27/2017	07/24/2017	74,058.28	69,755.00			4,303.28		0.00	0.00
496.0	AVALONBAY CMNTYS INC REIT									
053484101	07/27/2017	07/24/2017	94,505.65	93,823.36			682.29		0.00	0.00

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159.0	AVEXIS INC COM									
05366U100	07/27/2017	07/24/2017	14,190.42	13,569.06			621.36		0.00	0.00
198.0	AVID TECHNOLOGY INC COM									
05367P100	07/27/2017	07/24/2017	1,055.31	1,057.32			-2.01		0.00	0.00
4182.0	AVON PRODS INC									
054303102	07/27/2017	07/24/2017	15,598.49	15,264.30			334.19		0.00	0.00
195.0	AXCELIS TECHNOLOGIES INC COM NEW COM NEW									
054540208	07/27/2017	07/24/2017	4,463.44	4,514.25			-50.81		0.00	0.00
142.0	AXOGEN INC COM USD0.01									
05463X106	07/27/2017	07/24/2017	2,213.72	2,385.60			-171.88		0.00	0.00
1322.0	BB&T CORP									
054937107	07/27/2017	07/24/2017	61,960.70	61,591.98			368.72		0.00	0.00
426.0	B & G FOODS INC COMMON STOCK HLDGS CORP TO 10/14/2004 CL A CL A									
05508R106	07/27/2017	07/24/2017	15,586.97	15,506.40			80.57		0.00	0.00
61.0	BG STAFFING INC COM									
05544A109	07/27/2017	07/24/2017	1,020.50	1,006.50			14.00		0.00	0.00
422.0	BMC STK HLDGS INC COM									
05591B109	07/27/2017	07/24/2017	9,279.56	9,094.10			185.46		0.00	0.00

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2017 Tax Information Statement

Page 25 of 225

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539.0	BABCOCK & WILCOX ENTERPRISES INC COM									
05614L100	07/27/2017	07/24/2017	5,686.31	5,708.01			-21.70		0.00	0.00
182.0	BADGER METER INC									
056525108	07/27/2017	07/24/2017	8,197.09	8,208.20			-11.11		0.00	0.00
210.0	BALCHEM CORP COM									
057665200	07/27/2017	07/24/2017	16,274.62	16,396.80			-122.18		0.00	0.00
242.0	BALDWIN & LYONS INC CL B									
057755209	07/27/2017	07/24/2017	5,660.24	5,747.50			-87.26		0.00	0.00
56.0	BANCFIRST CORP COM									
05945F103	07/27/2017	07/24/2017	5,940.90	6,022.80			-81.90		0.00	0.00
1304.0	BANCORPSOUTH INC									
059692103	07/27/2017	Various	39,171.25	40,163.20			-991.95		0.00	0.00
297.0	BANC CALIF INC COM									
05990K106	07/27/2017	07/24/2017	5,907.19	5,999.40			-92.21		0.00	0.00
13838.0	BK AMER CORP COM									
060505104	07/27/2017	07/24/2017	333,488.09	330,866.58			2,621.51		0.00	0.00
381.0	BANKFINANCIAL CORP COM									
06643P104	07/27/2017	07/24/2017	5,680.57	5,695.95			-15.38		0.00	0.00

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Page 26 of 225

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417.0	#REORG/ BANKRATE CASH MERGER 11-09-2017									
06647F102	07/27/2017	07/24/2017	5,791.99	5,775.45			16.54		0.00	0.00
250.0	BANNER CORP COM NEW COM NEW									
06652V208	07/27/2017	07/24/2017	14,577.16	14,032.50			544.66		0.00	0.00
226.0	C R BARD INC									
067383109	07/27/2017	07/24/2017	72,634.72	72,796.86			-162.14		0.00	0.00
163.0	#REORG/BARRACUDA NETWORKS INC CASH MERGER 02-12-18									
068323104	07/27/2017	07/24/2017	3,809.22	3,779.97			29.25		0.00	0.00
39.0	BARRETT BUSINESS SVCS INC COM									
068463108	07/27/2017	07/24/2017	2,150.02	2,167.62			-17.60		0.00	0.00
112.0	BASIC ENERGY SVCS INC NEW COM NEW COM NEW									
06985P209	07/27/2017	07/24/2017	3,209.84	2,892.96			316.88		0.00	0.00
98.0	BASIC ENERGY SVCS INC NEW COM NEW COM NEW									
06985P209	07/27/2017	07/24/2017	2,808.90	2,531.34			277.56		0.00	0.00
414.0	BEACON ROOFING SUPPLY INC COM									
073685109	07/27/2017	Various	19,171.89	19,155.78			16.11		0.00	0.00
433.0	BEAZER HOMES USA INC COM NEW									
07556Q881	07/27/2017	07/24/2017	5,905.98	5,789.21			116.77		0.00	0.00

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Page 27 of 225

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368.0	BELDEN INC COM									
077454106	07/27/2017	07/24/2017	27,117.29	28,148.32			-1,031.03		0.00	0.00
122.0	BELLICUM PHARMACEUTICALS INC COM									
079481107	07/27/2017	07/24/2017	1,387.10	1,465.22			-78.12		0.00	0.00
276.0	BENCHMARK ELECTRS INC									
08160H101	07/27/2017	07/24/2017	9,270.62	9,328.80			-58.18		0.00	0.00
438.0	BENEFICIAL BANCORP INC COM									
08171T102	07/27/2017	07/24/2017	6,784.46	6,942.30			-157.84		0.00	0.00
105.0	BENEFITFOCUS INC COM									
08180D106	07/27/2017	07/24/2017	3,831.36	3,759.00			72.36		0.00	0.00
949.0	BERKLEY W R CORP COM									
084423102	07/27/2017	07/24/2017	64,976.52	67,625.74			-2,649.22		0.00	0.00
243.0	BERKSHIRE HILLS BANCORP INC COM									
084680107	07/27/2017	07/24/2017	9,025.54	8,711.55			313.99		0.00	0.00
15.0	BIGLARI HLDGS INC CL A COM									
08986R101	07/27/2017	07/24/2017	5,707.51	5,792.70			-85.19		0.00	0.00
203.0	BIO RAD LABS INC CL A									
090572207	07/27/2017	07/24/2017	48,254.01	47,733.42			520.59		0.00	0.00

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Page 28 of 225

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416.0	BIOCRYST PHARMACEUTICALS INC COM									
09058V103	07/27/2017	07/24/2017	2,118.64	2,163.20			-44.56		0.00	0.00
24.0	BIOCRYST PHARMACEUTICALS INC COM									
09058V103	07/27/2017	07/24/2017	122.15	124.80			-2.65		0.00	0.00
355.0	BIOSCRIP INC COM BIOSCRIP INC SEC # 2011678 EFF 3/14/05									
09069N108	07/27/2017	07/24/2017	1,015.27	1,040.15			-24.88		0.00	0.00
359.0	BIO-TECHNE CORP COM									
09073M104	07/27/2017	07/24/2017	41,757.91	42,408.67			-650.76		0.00	0.00
44.0	BIOSPECIFICS TECHNOLOGIES CORP COM									
090931106	07/27/2017	07/24/2017	2,182.78	2,238.28			-55.50		0.00	0.00
852.0	BLACK HILLS CORP									
092113109	07/27/2017	Various	59,476.74	59,503.68			-26.94		0.00	0.00
772.0	BLACKBAUD INC COM									
09227Q100	07/27/2017	Various	71,114.99	70,390.96			724.03		0.00	0.00
353.0	BLACKHAWK NETWORK HLDGS INC COMMON STOCK									
09238E104	07/27/2017	07/24/2017	15,581.05	15,796.75			-215.70		0.00	0.00
71.0	BLACKLINE INC COM									
09239B109	07/27/2017	07/24/2017	2,790.23	2,727.82			62.41		0.00	0.00

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644.0	BLOOMIN BRANDS INC COM									
094235108	07/27/2017	07/24/2017	11,797.80	13,272.84			-1,475.04		0.00	0.00
432.0	BLUCORA INC COM									
095229100	07/27/2017	Various	10,255.44	9,028.80			1,226.64		0.00	0.00
76.0	BLUE HILLS BANCORP INC COM									
095573101	07/27/2017	07/24/2017	1,420.40	1,390.80			29.60		0.00	0.00
290.0	BLUEBIRD BIO INC COM									
09609G100	07/27/2017	Various	27,792.95	28,956.50			-1,163.55		0.00	0.00
429.0	BLUEROCK RESIDENTIAL GROWTH REIT INC COMCL A COM CL A									
09627J102	07/27/2017	07/24/2017	5,791.36	5,735.73			55.63		0.00	0.00
250.0	BLUEPRINT MEDICINES CORP COM									
09627Y109	07/27/2017	07/24/2017	13,062.19	13,980.00			-917.81		0.00	0.00
237.0	BOINGO WIRELESS INC COM STK									
09739C102	07/27/2017	07/24/2017	3,550.17	3,642.69			-92.52		0.00	0.00
118.0	BOJANGLES INC COM									
097488100	07/27/2017	07/24/2017	1,845.47	1,829.00			16.47		0.00	0.00
218.0	BONANZA CREEK ENERGY INC COM NEW COM NEW									
097793400	07/27/2017	07/24/2017	6,188.87	5,724.68			464.19		0.00	0.00

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Page 30 of 225

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167.0	BOSTON BEER INC CL A CL A									
100557107	07/27/2017	Various	22,651.35	21,793.50			857.85		0.00	0.00
425.0	BOSTON PRIVATE FINL HLDGS INC COM									
101119105	07/27/2017	07/24/2017	6,498.09	6,481.25			16.84		0.00	0.00
228.0	BOTTOMLINE TECHNOLOGIES DE INC COM									
101388106	07/27/2017	07/24/2017	6,386.13	6,500.28			-114.15		0.00	0.00
509.0	BOX INC CL A CL A									
10316T104	07/27/2017	07/24/2017	9,894.73	10,022.21			-127.48		0.00	0.00
236.0	BRADY CORP CLASS A									
104674106	07/27/2017	07/24/2017	7,879.85	7,929.60			-49.75		0.00	0.00
234.0	BRIDGE BANCORP INC COM STK									
108035106	07/27/2017	07/24/2017	7,391.88	7,722.00			-330.12		0.00	0.00
105.0	BRIDGEPOINT ED INC COM									
10807M105	07/27/2017	07/24/2017	1,081.47	1,379.70			-298.23		0.00	0.00
223.0	BRIGHTCOVE INC									
10921T101	07/27/2017	07/24/2017	1,425.60	1,510.83			-85.23		0.00	0.00
296.0	BRINKS CO COM									
109696104	07/27/2017	07/24/2017	23,632.09	21,238.00			2,394.09		0.00	0.00

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2017 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 44-74365
Recipient's Tax ID Number: XX-XXX8912

Recipient's Name and Address:
SOBRATO FAMILY FOUNDATION
10600 N DE ANZA BLVD STE 200
CUPERTINO, CA 95014-2059

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

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Description of property										
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
199.0	#REORG/ BROADSOFT CASH MERGER 02-02-2018									
11133B409	07/27/2017	07/24/2017	8,604.56	8,576.90			27.66		0.00	0.00
384.0	BROADRIDGE FINL SOLUTIONS INC COM STK									
11133T103	07/27/2017	07/24/2017	28,987.49	29,180.16			-192.67		0.00	0.00
4107.0	#REORG/ BROCADE COMMUNICATIONS CASH MERGER 11-16-2017									
111621306	07/27/2017	07/24/2017	51,705.93	51,871.41			-165.48		0.00	0.00
543.0	BROOKLINE BANCORP INC DEL									
11373M107	07/27/2017	07/24/2017	8,003.63	7,982.10			21.53		0.00	0.00
658.0	BROWN & BROWN INC									
115236101	07/27/2017	07/24/2017	28,951.33	29,116.50			-165.17		0.00	0.00
1269.0	BROWN FORMAN CORP 00									
115637209	07/27/2017	07/24/2017	64,235.29	60,886.62			3,348.67		0.00	0.00
859.0	BRUNSWICK CORP									
117043109	07/27/2017	07/24/2017	48,626.86	51,479.87			-2,853.01		0.00	0.00
143.0	BUCKLE INC									
118440106	07/27/2017	07/24/2017	2,479.99	2,330.90			149.09		0.00	0.00
201.0	BUCKLE INC									
118440106	07/27/2017	07/24/2017	3,485.25	3,276.30			208.95		0.00	0.00

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261.0	#REORG/BUFFALO WILD CASH MERGER 02-05-2018									
119848109	07/27/2017	Various	28,458.78	31,515.75			-3,056.97		0.00	0.00
626.0	BUILDERS FIRSTSOURCE INC COM STK									
12008R107	07/27/2017	07/24/2017	9,671.47	9,715.52			-44.05		0.00	0.00
47.0	CAI INTL INC COM									
12477X106	07/27/2017	07/24/2017	1,268.50	1,265.71			2.79		0.00	0.00
428.0	CBIZ INC COM									
124805102	07/27/2017	07/24/2017	6,372.77	6,420.00			-47.23		0.00	0.00
296.0	CBL & ASSOC PPTYS INC COM									
124830100	07/27/2017	07/24/2017	2,848.34	2,610.72			237.62		0.00	0.00
863.0	CBL & ASSOC PPTYS INC COM									
124830100	07/27/2017	07/24/2017	8,301.86	7,611.66			690.20		0.00	0.00
651.0	CBOE GLOBAL MARKETS INC									
12503M108	07/27/2017	07/24/2017	60,723.87	61,096.35			-372.48		0.00	0.00
1356.0	CDK GLOBAL INC COM									
12508E101	07/27/2017	07/24/2017	89,195.61	89,279.04			-83.43		0.00	0.00
351.0	CIGNA CORP									
125509109	07/27/2017	07/24/2017	60,391.66	61,260.03			-868.37		0.00	0.00

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2017 Tax Information Statement

Page 33 of 225

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163.0	CRA INTL INC COM									
12618T105	07/27/2017	07/24/2017	6,361.74	5,779.98			581.76		0.00	0.00
2745.0	CNO FINL GROUP INC COM									
12621E103	07/27/2017	Various	62,584.55	60,060.60			2,523.95		0.00	0.00
40.0	CSW INDUSTRIALS INC COM									
126402106	07/27/2017	07/24/2017	1,553.56	1,568.00			-14.44		0.00	0.00
258.0	CTS CORP									
126501105	07/27/2017	07/24/2017	5,673.28	5,740.50			-67.22		0.00	0.00
185.0	#REORG/ CU BANCORP CASH & STOCK MERGER PACWEST 2042584 10-24-2017									
126534106	07/27/2017	Various	6,852.24	6,882.00			-29.76		0.00	0.00
707.0	CVB FINL CORP COM									
126600105	07/27/2017	07/24/2017	15,263.77	15,348.97			-85.20		0.00	0.00
308.0	CVR ENERGY INC COM STK									
12662P108	07/27/2017	Various	6,092.09	6,843.76			-751.67		0.00	0.00
1326.0	CVS CORP									
126650100	07/27/2017	07/24/2017	104,632.24	102,234.60			2,397.64		0.00	0.00
1133.0	CYS INVTS INC COM									
12673A108	07/27/2017	07/24/2017	9,528.30	9,573.85			-45.55		0.00	0.00

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2017 Tax Information Statement

Page 34 of 225

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323.0	C&J ENERGY SVCS INC NEW COM									
12674R100	07/27/2017	07/24/2017	10,739.50	9,903.18			836.32		0.00	0.00
46.0	CABLE ONE INC COM									
12685J105	07/27/2017	07/24/2017	34,599.02	34,305.88			293.14		0.00	0.00
604.0	CABOT CORP									
127055101	07/27/2017	07/24/2017	32,790.40	33,292.48			-502.08		0.00	0.00
160.0	CABOT MICROELECTRONICS CORP COM									
12709P103	07/27/2017	07/24/2017	11,777.32	12,788.80			-1,011.48		0.00	0.00
180.0	CACI INTL INC CL A									
127190304	07/27/2017	07/24/2017	22,731.67	22,941.00			-209.33		0.00	0.00
537.0	CAESARS ENTERTAINMENT CORP COM USD0.01 COM USD0.01									
127686103	07/27/2017	Various	6,841.22	6,927.30			-86.08		0.00	0.00
390.0	#REORG/CAESARS ACQUISITION STOCK MERGER									
12768T103	07/27/2017	07/24/2017	7,815.41	7,975.50			-160.09		0.00	0.00
212.0	CAL MAINE FOODS INC COM NEW STK									
128030202	07/27/2017	07/24/2017	8,064.29	7,388.20			676.09		0.00	0.00
103.0	CALAVO GROWERS INC COM									
128246105	07/27/2017	07/24/2017	7,553.84	7,565.35			-11.51		0.00	0.00

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2017 Tax Information Statement

Page 35 of 225

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361.0	CALGON CARBON CORP									
129603106	07/27/2017	07/24/2017	5,682.00	5,757.95			-75.95		0.00	0.00
337.0	CALIFORNIA WTR SVC GROUP									
130788102	07/27/2017	Various	12,886.58	12,940.80			-54.22		0.00	0.00
198.0	CALITHERA BIOSCIENCES INC COM									
13089P101	07/27/2017	07/24/2017	3,007.55	3,168.00			-160.45		0.00	0.00
836.0	CALIX NETWORKS INC COMMON STOCK									
13100M509	07/27/2017	07/24/2017	5,592.71	5,768.40			-175.69		0.00	0.00
419.0	CALLIDUS SOFTWARE INC COM STK									
13123E500	07/27/2017	07/24/2017	10,198.22	10,097.90			100.32		0.00	0.00
1334.0	CALLON PETE CO DEL COM									
13123X102	07/27/2017	07/24/2017	15,260.60	14,607.30			653.30		0.00	0.00
78.0	CAMPING WORLD HLDGS INC CL A CL A									
13462K109	07/27/2017	07/24/2017	2,485.02	2,429.70			55.32		0.00	0.00
235.0	CANTEL MEDICAL CORP COM									
138098108	07/27/2017	07/24/2017	17,460.09	17,827.10			-367.01		0.00	0.00
73.0	CAPELLA ED CO COM									
139594105	07/27/2017	07/24/2017	5,120.10	6,427.65			-1,307.55		0.00	0.00

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Page 36 of 225

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196.0	#REORG/CAPITAL BK FINL STOCK MERGER FIRST HORIZON NATL CORP									
139794101	2007266 12-01-17 07/27/2017	07/24/2017	7,455.66	7,555.80			-100.14		0.00	0.00
701.0	CAPITAL ONE FINL CORP									
14040H105	07/27/2017	07/24/2017	59,436.41	61,071.12			-1,634.71		0.00	0.00
167.0	CAPITAL SR LIVING CORP COM									
140475104	07/27/2017	07/24/2017	2,404.74	2,449.89			-45.15		0.00	0.00
843.0	CAPITOL FED FINL INC COM .									
14057J101	07/27/2017	07/24/2017	11,852.30	11,734.56			117.74		0.00	0.00
633.0	CAPSTEAD MTG CORP COM NO PAR									
14067E506	07/27/2017	07/24/2017	6,184.26	6,431.28			-247.02		0.00	0.00
148.0	CARA THERAPEUTICS INC COM									
140755109	07/27/2017	07/24/2017	2,061.59	2,246.64			-185.05		0.00	0.00
161.0	CARBONITE INC COM									
141337105	07/27/2017	07/24/2017	3,814.00	3,759.35			54.65		0.00	0.00
206.0	CARDIOVASCULAR SYS INC DEL COM									
141619106	07/27/2017	07/24/2017	6,521.80	6,676.46			-154.66		0.00	0.00
1354.0	#REORG/CARE CAP PPTYS STOCK MERGER SABRA HEALTH 2064405									
141624106	08-17-2017 07/27/2017	Various	33,740.90	34,202.04			-461.14		0.00	0.00

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Page 37 of 225

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71.0	CARE COM INC COM									
141633107	07/27/2017	07/24/2017	1,048.64	1,074.94			-26.30		0.00	0.00
1442.0	CARNIVAL CORP PAIRED CTF 1 COM CARNIVAL CORP [QDI]									
143658300	07/27/2017	07/24/2017	95,645.65	96,599.58			-953.93		0.00	0.00
65.0	CAROLINA FINANCIAL CORP COM USD0.001									
143873107	07/27/2017	07/24/2017	2,190.44	2,206.10			-15.66		0.00	0.00
41.0	CARRIAGE SVCS INC COM									
143905107	07/27/2017	07/24/2017	992.99	1,088.14			-95.15		0.00	0.00
791.0	CARPENTER TECHNOLOGY CORP									
144285103	07/27/2017	Various	31,734.18	29,939.35			1,794.83		0.00	0.00
204.0	CARROLS RESTAURANT GROUP INC COM									
14574X104	07/27/2017	07/24/2017	2,517.91	2,478.60			39.31		0.00	0.00
265.0	CARROLS RESTAURANT GROUP INC COM									
14574X104	07/27/2017	07/24/2017	3,270.02	3,219.75			50.27		0.00	0.00
1168.0	CARS COM INC COM									
14575E105	07/27/2017	Various	28,171.50	27,751.68			419.82		0.00	0.00
461.0	CARTER INC FORMERLY CARTER HLDGS INC TO 09/13/2003 COM									
146229109	07/27/2017	07/24/2017	40,230.54	40,498.85			-268.31		0.00	0.00

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Page 38 of 225

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414.0	CASELLA WASTE SYS INC CL A COM STK									
147448104	07/27/2017	Various	7,083.37	7,038.00			45.37		0.00	0.00
72.0	CASS INFORMATION SYS INC COM									
14808P109	07/27/2017	07/24/2017	4,777.08	4,718.16			58.92		0.00	0.00
574.0	CASTLE BRANDS INC COM STK									
148435100	07/27/2017	07/24/2017	1,038.91	1,050.42			-11.51		0.00	0.00
413.0	CASTLIGHT HEALTH INC CL B CL B									
14862Q100	07/27/2017	07/24/2017	1,751.07	1,755.25			-4.18		0.00	0.00
449.0	CATALYST PHARMACEUTICALS INC COM STK USD0.001 STK									
14888U101	07/27/2017	07/24/2017	1,387.37	1,382.92			4.45		0.00	0.00
495.0	CATCHMARK TIMBER TR INC CL A CL A									
14912Y202	07/27/2017	07/24/2017	5,766.61	5,756.85			9.76		0.00	0.00
54.0	CAVCO INDS INC DEL COM STK									
149568107	07/27/2017	07/24/2017	6,938.29	7,009.20			-70.91		0.00	0.00
1123.0	CEDAR REALTY TRUST INC									
150602209	07/27/2017	07/24/2017	5,985.45	5,727.30			258.15		0.00	0.00
738.0	CENTENE CORP DELAWARE COM									
15135B101	07/27/2017	07/24/2017	59,894.69	62,139.60			-2,244.91		0.00	0.00

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Page 39 of 225

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2177.0	CENTERPOINT ENERGY INC COM									
15189T107	07/27/2017	07/24/2017	61,215.82	61,326.09			-110.27		0.00	0.00
385.0	CENTERSTATE BANK CORP									
15201P109	07/27/2017	07/24/2017	9,632.47	9,651.95			-19.48		0.00	0.00
258.0	CENT GARDEN & PET CO CL A									
153527205	07/27/2017	Various	8,062.31	8,263.74			-201.43		0.00	0.00
228.0	CENTRAL PAC FINL CORP COM NEW									
154760409	07/27/2017	07/24/2017	7,033.63	7,134.12			-100.49		0.00	0.00
261.0	CENTRAL VY CMNTY BANCORP COM STK									
155685100	07/27/2017	07/24/2017	5,713.15	5,689.80			23.35		0.00	0.00
237.0	CENTURY CMNTYS INC COM									
156504300	07/27/2017	07/24/2017	6,064.68	6,102.75			-38.07		0.00	0.00
601.0	CERUS CORP COM									
157085101	07/27/2017	07/24/2017	1,436.35	1,316.19			120.16		0.00	0.00
139.0	CEVA INC COM									
157210105	07/27/2017	07/24/2017	6,406.36	6,428.75			-22.39		0.00	0.00
163.0	CHANNELADVISOR CORP COM									
159179100	07/27/2017	07/24/2017	1,685.38	1,727.80			-42.42		0.00	0.00

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461.0	CHARLES RIVER LABORATORIES									
159864107	07/27/2017	07/24/2017	45,375.18	46,892.92			-1,517.74		0.00	0.00
46.0	CHASE CORP COM									
16150R104	07/27/2017	07/24/2017	4,873.12	4,880.60			-7.48		0.00	0.00
112.0	CHEFS' WAREHOUSE HOLDINGS IN									
163086101	07/27/2017	07/24/2017	1,622.84	1,534.40			88.44		0.00	0.00
539.0	CHEGG INC COM									
163092109	07/27/2017	07/24/2017	7,685.96	7,664.58			21.38		0.00	0.00
102.0	CHEMED CORP NEW COM									
16359R103	07/27/2017	07/24/2017	20,546.40	20,879.40			-333.00		0.00	0.00
219.0	CHEMOCENTRYX INC COM STK									
16383L106	07/27/2017	07/24/2017	2,178.99	2,244.75			-65.76		0.00	0.00
589.0	CHEMOURS CO COM									
163851108	07/27/2017	07/24/2017	27,688.25	27,288.37			399.88		0.00	0.00
75.0	CHES UTILS CORP COM									
165303108	07/27/2017	07/24/2017	5,804.11	5,700.00			104.11		0.00	0.00
2186.0	CHEVRONTEXACO CORP COM									
166764100	07/27/2017	07/24/2017	231,929.24	225,595.20			6,334.04		0.00	0.00

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2017 Tax Information Statement

Page 41 of 225

Ref: PPX

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 44-74365
Recipient's Tax ID Number: XX-XXX8912
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
SOBRATO FAMILY FOUNDATION
10600 N DE ANZA BLVD STE 200
CUPERTINO, CA 95014-2059

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Description of property										
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
677.0	ADR CHICAGO BRDG & IRON CO N V N Y REGISTRY SH NV									
167250109	07/27/2017	07/24/2017	12,361.73	11,454.84			906.89		0.00	0.00
239.0	CHICOS FAS INC									
168615102	07/27/2017	07/24/2017	2,213.80	2,053.01			160.79		0.00	0.00
682.0	CHICOS FAS INC									
168615102	07/27/2017	07/24/2017	6,315.17	5,858.38			456.79		0.00	0.00
111.0	CHILDRENS PL RETAIL STORES INC									
168905107	07/27/2017	07/24/2017	11,742.41	11,177.70			564.71		0.00	0.00
201.0	CHURCHILL DOWNS INC									
171484108	07/27/2017	Various	38,156.95	37,094.55			1,062.40		0.00	0.00
319.0	CINCINNATI BELL INC NEW COM NEW COM NEW									
171871502	07/27/2017	07/24/2017	6,041.72	5,933.40			108.32		0.00	0.00
154.0	CIRCOR INTL INC									
17273K109	07/27/2017	Various	8,742.37	8,819.58			-77.21		0.00	0.00
989.0	CIRRUS LOGIC INC									
172755100	07/27/2017	Various	62,790.15	62,376.23			413.92		0.00	0.00
263.0	CITI TRENDS INC COM ORD USD1									
17306X102	07/27/2017	07/24/2017	5,783.23	5,578.23			205.00		0.00	0.00

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2017 Tax Information Statement

Page 42 of 225

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749.0	CITIZENS INC CL A									
174740100	07/27/2017	07/24/2017	5,901.98	5,894.63			7.35		0.00	0.00
110.0	CITY HLDG CO COM									
177835105	07/27/2017	07/24/2017	7,288.43	7,474.50			-186.07		0.00	0.00
122.0	CIVITAS SOLUTIONS INC COM									
17887R102	07/27/2017	07/24/2017	2,158.13	2,311.90			-153.77		0.00	0.00
498.0	CLEAN HBRS INC COM									
184496107	07/27/2017	07/24/2017	28,519.80	28,027.44			492.36		0.00	0.00
2046.0	CLEAN ENERGY FUELS CORP COM									
184499101	07/27/2017	07/24/2017	5,830.96	5,851.56			-20.60		0.00	0.00
79.0	CLEARFIELD INC COM									
18482P103	07/27/2017	07/24/2017	978.78	1,070.45			-91.67		0.00	0.00
106.0	CLEARSIDE BIOMEDICAL INC COM USD0.001									
185063104	07/27/2017	07/24/2017	948.67	979.44			-30.77		0.00	0.00
2046.0	#REORG/ CLIFFS NAME CHANGE CLEVELAND 2J15AR2 08-25-2017									
18683K101	07/27/2017	07/24/2017	14,996.83	15,345.00			-348.17		0.00	0.00
91.0	CLOUDERA INC COM									
18914U100	07/27/2017	07/24/2017	1,618.85	1,706.25			-87.40		0.00	0.00

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2017 Tax Information Statement

Page 43 of 225

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280.0	CLOVIS ONCOLOGY INC									
189464100	07/27/2017	07/24/2017	25,826.60	24,371.20			1,455.40		0.00	0.00
386.0	#REORG/CLUBCORP HLDGS INC CASH MERGER 09-19-2017									
18948M108	07/27/2017	07/24/2017	6,559.14	6,542.70			16.44		0.00	0.00
60.0	COBIZ FINL INC COM STK									
190897108	07/27/2017	07/24/2017	1,063.17	1,059.60			3.57		0.00	0.00
31.0	COCA COLA BOTTLING CO CONS COM									
191098102	07/27/2017	07/24/2017	7,564.75	7,388.23			176.52		0.00	0.00
2341.0	COCA COLA CO									
191216100	07/27/2017	07/24/2017	107,941.01	104,970.44			2,970.57		0.00	0.00
302.0	CODEXIS INC COM SERIES C PREFERRED 1001755 4-21-2010									
192005106	07/27/2017	07/24/2017	1,673.04	1,676.10			-3.06		0.00	0.00
566.0	COEUR MNG INC COM NEW COM NEW COM NEW									
192108504	07/27/2017	Various	4,506.95	4,844.96			-338.01		0.00	0.00
707.0	COEUR MNG INC COM NEW COM NEW COM NEW									
192108504	07/27/2017	07/24/2017	5,627.58	6,051.92			-424.34		0.00	0.00
266.0	COGENT COMMUNICATIONS HLDGS INC COM NEW									
19239V302	07/27/2017	07/24/2017	11,714.36	11,571.00			143.36		0.00	0.00

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2017 Tax Information Statement

Page 44 of 225

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1215.0	COGINT INC COM									
19241Q101	07/27/2017	07/24/2017	5,455.22	5,710.50			-255.28		0.00	0.00
238.0	COHERENT INC									
192479103	07/27/2017	07/24/2017	64,177.59	65,773.68			-1,596.09		0.00	0.00
132.0	COHEN & STEERS INC COM									
19247A100	07/27/2017	07/24/2017	5,530.67	5,468.76			61.91		0.00	0.00
248.0	COHERUS BIOSCIENCES INC COM									
19249H103	07/27/2017	07/24/2017	3,271.78	3,434.80			-163.02		0.00	0.00
354.0	COHU INC COM									
192576106	07/27/2017	Various	6,863.90	6,888.84			-24.94		0.00	0.00
43.0	COLLECTORS UNIVERSE INC COM NEW STK									
19421R200	07/27/2017	07/24/2017	1,051.32	1,022.11			29.21		0.00	0.00
606.0	#REORG/ COLONY STARWOOD NAME CHANGE STARWOOD 2G1RA42									
19625X102	07/27/2017	07/24/2017	21,264.04	21,343.32			-79.28		0.00	0.00
202.0	COLUMBIA SPORTSWEAR CO									
198516106	07/27/2017	Various	11,647.05	11,443.30			203.75		0.00	0.00
227.0	COLUMBUS MCKINNON CORP N Y									
199333105	07/27/2017	07/24/2017	5,815.60	5,736.29			79.31		0.00	0.00

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2017 Tax Information Statement

Page 45 of 225

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5800.0	COMCAST CORP NEW CL A									
20030N101	07/27/2017	07/24/2017	228,630.71	228,926.00			-295.29		0.00	0.00
854.0	COMMERCE BANCSHARES INC									
200525103	07/27/2017	07/24/2017	49,377.13	49,694.26			-317.13		0.00	0.00
92.0	COMMERCEHUB INC COM SER A COM SER A									
20084V108	07/27/2017	07/24/2017	1,682.64	1,663.36			19.28		0.00	0.00
191.0	COMMERCEHUB ICOMMERCEHUB INC COM SER CNC COM SER C									
20084V306	07/27/2017	07/24/2017	3,441.74	3,434.18			7.56		0.00	0.00
676.0	COMMERCIAL METALS COMPANY									
201723103	07/27/2017	07/24/2017	13,087.05	13,520.00			-432.95		0.00	0.00
163.0	COMMERCIAL VEH GROUP INC COM									
202608105	07/27/2017	07/24/2017	1,432.73	1,401.80			30.93		0.00	0.00
312.0	COMMUNITY BANK SYSTEM INC									
203607106	07/27/2017	07/24/2017	16,972.40	17,013.36			-40.96		0.00	0.00
626.0	COMMUNITY HEALTH SYS INC NEWCO COM									
203668108	07/27/2017	07/24/2017	4,519.61	6,059.68			-1,540.07		0.00	0.00
136.0	COMMUNITY TR BANCORP INC COM									
204149108	07/27/2017	07/24/2017	5,860.10	5,807.20			52.90		0.00	0.00

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Page 46 of 225

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654.0	COMMVault SYS INC COM STK									
204166102	07/27/2017	Various	39,461.44	39,926.70			-465.26		0.00	0.00
38.0	COMPUTER PROGRAMS & SYS INC COM									
205306103	07/27/2017	07/24/2017	1,164.29	1,181.80			-17.51		0.00	0.00
175.0	CONATUS PHARMACEUTICALS INC COM									
20600T108	07/27/2017	07/24/2017	992.22	1,083.25			-91.03		0.00	0.00
75.0	CONCERT PHARMACEUTICALS INC COM									
206022105	07/27/2017	07/24/2017	1,128.72	1,207.50			-78.78		0.00	0.00
481.0	CONCHO RES INC COM STK									
20605P101	07/27/2017	07/24/2017	63,533.82	61,750.78			1,783.04		0.00	0.00
208.0	CONMED CORP									
207410101	07/27/2017	07/24/2017	10,391.43	10,372.96			18.47		0.00	0.00
101.0	CONNECTICUT WTR SVC INC COM COM									
207797101	07/27/2017	07/24/2017	5,803.32	5,891.33			-88.01		0.00	0.00
318.0	CONNECTONE BANCORP INC NEW COM									
20786W107	07/27/2017	Various	7,421.94	7,170.90			251.04		0.00	0.00
168.0	CONSOL ENERGY INC COM									
20854P109	07/27/2017	07/24/2017	2,849.21	2,709.84			139.37		0.00	0.00

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Page 47 of 225

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19.0	CONSOLIDATED TOMOKA LD CO COM STK									
210226106	07/27/2017	07/24/2017	1,038.89	1,037.40			1.49		0.00	0.00
308.0	CONSTELLATION BRANDS INC CL A									
21036P108	07/27/2017	07/24/2017	59,890.13	60,368.00			-477.87		0.00	0.00
259.0	CONTINENTAL BLDG PRODS INC COM									
211171103	07/27/2017	07/24/2017	5,669.37	5,607.35			62.02		0.00	0.00
141.0	CONTROL4 CORP COM									
21240D107	07/27/2017	07/24/2017	3,233.05	3,282.48			-49.43		0.00	0.00
277.0	COOPER COS INC NEW									
216648402	07/27/2017	07/24/2017	68,314.93	69,421.74			-1,106.81		0.00	0.00
116.0	COOPER-STANDARD HOLDING COM									
21676P103	07/27/2017	Various	12,135.63	11,864.48			271.15		0.00	0.00
71.0	COOPER TIRE & RUBBER CO									
216831107	07/27/2017	07/24/2017	2,764.88	2,722.85			42.03		0.00	0.00
775.0	COOPER TIRE & RUBBER CO									
216831107	07/27/2017	Various	30,177.80	29,721.25			456.55		0.00	0.00
283.0	CORBUS PHARMACEUTICALS HLDGS INC COM									
21833P103	07/27/2017	07/24/2017	1,638.53	1,782.90			-144.37		0.00	0.00

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Page 48 of 225

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588.0	CORCEPT THERAPEUTICS INC OC-COM CORCEPT THERAPEUTICS									
218352102	07/27/2017	07/24/2017	7,120.51	7,367.64			-247.13		0.00	0.00
163.0	COREENERGY INFRASTRUCTURE TR INC COM NEW COM NEW									
21870U502	07/27/2017	07/24/2017	5,750.50	5,732.71			17.79		0.00	0.00
820.0	CORELOGIC INC-W/I COM STK									
21871D103	07/27/2017	07/24/2017	37,579.73	35,834.00			1,745.73		0.00	0.00
1139.0	CORECIVIC INC COM									
21871N101	07/27/2017	07/24/2017	31,504.01	32,461.50			-957.49		0.00	0.00
637.0	CORINDUS VASCULAR ROBOTICS INC COM									
218730109	07/27/2017	07/24/2017	1,101.98	1,140.23			-38.25		0.00	0.00
129.0	CORIUM INTL INC COM									
21887L107	07/27/2017	07/24/2017	1,080.99	1,146.81			-65.82		0.00	0.00
338.0	CORNERSTONE ONDEMAND INC COM									
21925Y103	07/27/2017	07/24/2017	13,600.80	13,668.72			-67.92		0.00	0.00
61.0	CORVEL CORP COM									
221006109	07/27/2017	07/24/2017	2,945.62	2,915.80			29.82		0.00	0.00
483.0	COSTCO WHSL CORP NEW									
22160K105	07/27/2017	07/24/2017	73,660.62	72,933.00			727.62		0.00	0.00

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2017 Tax Information Statement

Page 49 of 225

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172.0	COTIVITI HLDGS INC COM									
22164K101	07/27/2017	07/24/2017	7,112.03	7,251.52			-139.49		0.00	0.00
193.0	COUPA SOFTWARE INC COM									
22266L106	07/27/2017	07/24/2017	5,909.52	5,791.93			117.59		0.00	0.00
6518.0	COUSINS PPTYS INC COM									
222795106	07/27/2017	Various	57,748.14	57,619.12			129.02		0.00	0.00
769.0	COVANTA HLDG CORP COM									
22282E102	07/27/2017	07/24/2017	10,642.71	10,227.70			415.01		0.00	0.00
353.0	COWEN INC COM USD0.01 CL A									
223622606	07/27/2017	07/24/2017	5,829.66	5,798.03			31.63		0.00	0.00
358.0	CRACKER BARREL OLD CTRY STORE INC COM									
22410J106	07/27/2017	Various	56,208.28	56,467.34			-259.06		0.00	0.00
82.0	CRAFT BREW ALLIANCE INC COM									
224122101	07/27/2017	07/24/2017	1,528.44	1,500.60			27.84		0.00	0.00
491.0	CRANE CO									
224399105	07/27/2017	07/24/2017	37,202.21	41,352.02			-4,149.81		0.00	0.00
119.0	CRAWFORD & CO CL B COM									
224633107	07/27/2017	07/24/2017	1,055.50	1,065.05			-9.55		0.00	0.00

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2017 Tax Information Statement

Page 50 of 225

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311.0	CRAY INC COM NEW STK									
225223304	07/27/2017	07/24/2017	5,905.75	5,784.60			121.15		0.00	0.00
617.0	CROWN CASTLE INTL CORP NEW COM									
22822V101	07/27/2017	07/24/2017	61,809.63	61,169.38			640.25		0.00	0.00
444.0	CRYOLIFE INC									
228903100	07/27/2017	Various	8,142.77	8,746.80			-604.03		0.00	0.00
135.0	CUBIC CORP									
229669106	07/27/2017	07/24/2017	6,390.75	6,419.25			-28.50		0.00	0.00
551.0	CULLEN FROST BANKERS INC COM									
229899109	07/27/2017	07/24/2017	50,553.08	53,017.22			-2,464.14		0.00	0.00
71.0	CULP INC COM									
230215105	07/27/2017	07/24/2017	2,196.68	2,272.00			-75.32		0.00	0.00
745.0	CURIS INC									
231269101	07/27/2017	07/24/2017	1,454.94	1,534.70			-79.76		0.00	0.00
714.0	CURTISS WRIGHT CORP									
231561101	07/27/2017	Various	69,406.33	68,522.58			883.75		0.00	0.00
84.0	CUTERA INC COM									
232109108	07/27/2017	07/24/2017	2,204.10	2,268.00			-63.90		0.00	0.00

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2017 Tax Information Statement

Page 51 of 225

Ref: PPX

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 44-74365
Recipient's Tax ID Number: XX-XXX8912

Recipient's Name and Address:
SOBRATO FAMILY FOUNDATION
10600 N DE ANZA BLVD STE 200
CUPERTINO, CA 95014-2059

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

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Description of property										
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
43.0	CYBEROPTICS CORP									
232517102	07/27/2017	07/24/2017	1,016.49	1,059.95			-43.46		0.00	0.00
3174.0	CYPRESS SEMICONDUCTOR CORP									
232806109	07/27/2017	07/24/2017	46,434.54	46,023.00			411.54		0.00	0.00
267.0	CYTOKINETICS INC COMMON STOCK									
23282W605	07/27/2017	07/24/2017	3,708.54	3,844.80			-136.26		0.00	0.00
187.0	CYTOMX THERAPEUTICS INC. COM									
23284F105	07/27/2017	07/24/2017	2,584.28	2,595.56			-11.28		0.00	0.00
888.0	DCT INDL TR INC SPLIT)									
233153204	07/27/2017	07/24/2017	50,161.96	49,070.88			1,091.08		0.00	0.00
598.0	DST SYS INC DEL									
233326107	07/27/2017	07/24/2017	32,608.18	36,119.20			-3,511.02		0.00	0.00
471.0	DSP GROUP INC									
23332B106	07/27/2017	07/24/2017	5,953.30	5,793.30			160.00		0.00	0.00
79.0	DXP ENTERPRISES INC COM NEW STK									
233377407	07/27/2017	07/24/2017	2,347.03	2,633.86			-286.83		0.00	0.00
138.0	DAKTRONICS INC									
234264109	07/27/2017	07/24/2017	1,322.00	1,323.42			-1.42		0.00	0.00

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2017 Tax Information Statement

Page 52 of 225

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815.0	DANAHER CORP									
235851102	07/27/2017	07/24/2017	66,138.16	67,669.45			-1,531.29		0.00	0.00
121.0	DASEKE INC COM									
23753F107	07/27/2017	07/24/2017	1,482.21	1,477.41			4.80		0.00	0.00
959.0	DAVITA INC									
23918K108	07/27/2017	07/24/2017	62,208.89	62,795.32			-586.43		0.00	0.00
778.0	DEAN FOODS CO NEW COM NEW COM USD0.01 (POST REV SPLIT)									
242370203	07/27/2017	07/24/2017	11,514.13	11,872.28			-358.15		0.00	0.00
390.0	DEL FRISCOS RESTAURANT GROUP INC COM									
245077102	07/27/2017	07/24/2017	5,631.46	5,401.50			229.96		0.00	0.00
469.0	DEL TACO RESTAURANTS INC NEW COM USD 0.0001									
245496104	07/27/2017	07/24/2017	5,960.85	5,670.21			290.64		0.00	0.00
480.0	DELEK US HLDGS INC NEW COM									
24665A103	07/27/2017	07/24/2017	13,012.49	12,840.00			172.49		0.00	0.00
1131.0	DELTA AIR LINES INC DEL COM NEW COM NEW									
247361702	07/27/2017	07/24/2017	56,631.25	58,280.43			-1,649.18		0.00	0.00
71.0	DELTIC TIMBER CORP									
247850100	07/27/2017	07/24/2017	5,206.30	5,175.90			30.40		0.00	0.00

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2017 Tax Information Statement

Page 53 of 225

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4243.0	DENBURY RES INC NEW									
247916208	07/27/2017	07/24/2017	6,152.20	5,515.90			636.30		0.00	0.00
776.0	DELUXE CORP									
248019101	07/27/2017	Various	57,042.44	54,482.96			2,559.48		0.00	0.00
134.0	DENNYS CORP									
24869P104	07/27/2017	07/24/2017	1,546.32	1,530.28			16.04		0.00	0.00
215.0	DENNYS CORP									
24869P104	07/27/2017	07/24/2017	2,481.68	2,455.30			26.38		0.00	0.00
203.0	DERMIRA INC COM									
24983L104	07/27/2017	07/24/2017	5,653.41	5,964.14			-310.73		0.00	0.00
21.0	DIAMOND HILL INVT OH NEW									
25264R207	07/27/2017	07/24/2017	4,118.84	4,141.41			-22.57		0.00	0.00
231.0	DIAMOND OFFSHORE DRILLING INC									
25271C102	07/27/2017	07/24/2017	2,770.31	2,702.70			67.61		0.00	0.00
852.0	DIAMOND OFFSHORE DRILLING INC									
25271C102	07/27/2017	Various	10,215.24	9,968.40			246.84		0.00	0.00
1312.0	DIAMONDROCK HOSPITALITY CO COM STK									
252784301	07/27/2017	07/24/2017	15,231.96	15,114.24			117.72		0.00	0.00

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758.0	DICK'S SPORTING GOODS INC									
253393102	07/27/2017	07/24/2017	27,825.53	26,620.96			1,204.57		0.00	0.00
1215.0	DIEBOLD INC COM									
253651103	07/27/2017	Various	27,932.20	26,730.00			1,202.20		0.00	0.00
61.0	DIGIMARC CORP NEW COM STK									
25381B101	07/27/2017	07/24/2017	1,859.84	1,875.75			-15.91		0.00	0.00
410.0	#REORG/ DIGITAL CASH & STOCK MERGER MACDONALD 2026070									
25389M877	10-05-2017	07/27/2017	13,484.58	13,653.00			-168.42		0.00	0.00
283.0	DIODES INC									
254543101	07/27/2017	Various	7,550.26	7,465.54			84.72		0.00	0.00
1388.0	DOLLAR TREE INC COM STK									
256746108	07/27/2017	07/24/2017	100,252.92	96,160.64			4,092.28		0.00	0.00
432.0	DOMINION RES INC VA NEW									
25746U109	07/27/2017	07/24/2017	33,310.75	33,164.64			146.11		0.00	0.00
466.0	DOMINOS PIZZA INC COM									
25754A201	07/27/2017	07/24/2017	85,005.75	99,710.02			-14,704.27		0.00	0.00
389.0	DONEGAL GROUP INC CL A NEW CLA									
257701201	07/27/2017	07/24/2017	5,819.30	5,679.40			139.90		0.00	0.00

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2017 Tax Information Statement

Page 55 of 225

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THE NORTHERN TRUST COMPANY
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CHICAGO, IL 60680

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Description of property										
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178.0	DORMAN PRODS INC COM									
258278100	07/27/2017	07/24/2017	13,919.27	13,670.40			248.87		0.00	0.00
144.0	DOUGLAS DYNAMICS INC COM									
25960R105	07/27/2017	07/24/2017	4,491.25	4,629.60			-138.35		0.00	0.00
675.0	DOW CHEMICAL CO									
260543103	07/27/2017	07/24/2017	44,609.71	44,698.50			-88.79		0.00	0.00
647.0	DRIL-QUIP INC									
262037104	07/27/2017	Various	33,248.56	31,767.70			1,480.86		0.00	0.00
185.0	DUCOMMUN INCORPORATED									
264147109	07/27/2017	07/24/2017	5,507.32	5,698.00			-190.68		0.00	0.00
901.0	DUKE RLTY CORP COM NEW REIT									
264411505	07/27/2017	07/24/2017	25,894.14	25,146.91			747.23		0.00	0.00
54.0	DULUTH HLDGS INC COM CL B COM CL B									
26443V101	07/27/2017	07/24/2017	1,067.01	1,049.76			17.25		0.00	0.00
357.0	DUN & BRADSTREET CORP DEL NEW									
26483E100	07/27/2017	07/24/2017	39,922.38	39,841.20			81.18		0.00	0.00
565.0	DURECT CORP COM									
266605104	07/27/2017	07/24/2017	1,016.97	1,039.60			-22.63		0.00	0.00

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2017 Tax Information Statement

Page 56 of 225

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Description of property										
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27.0	DYCOM INDS INC									
267475101	07/27/2017	07/24/2017	2,480.78	2,397.06			83.72		0.00	0.00
470.0	DYCOM INDS INC									
267475101	07/27/2017	Various	43,182.60	41,726.60			1,456.00		0.00	0.00
601.0	DYNAVAX TECHNOLOGIES CORP COM NEW COM NEW									
268158201	07/27/2017	07/24/2017	5,553.11	6,400.65			-847.54		0.00	0.00
731.0	DYNEGY INC NEW DEL COM									
26817R108	07/27/2017	07/24/2017	6,388.79	6,403.56			-14.77		0.00	0.00
134.0	E L F BEAUTY INC COM									
26856L103	07/27/2017	07/24/2017	3,538.85	3,403.60			135.25		0.00	0.00
953.0	EOG RES INC									
26875P101	07/27/2017	07/24/2017	91,457.29	88,924.43			2,532.86		0.00	0.00
615.0	EPR PPTYS COM SH BEN INT COM SH BEN INT									
26884U109	07/27/2017	07/24/2017	44,076.03	44,130.48			-54.45		0.00	0.00
165.0	EAGLE BANCORP INC MD COM									
268948106	07/27/2017	07/24/2017	10,236.36	10,411.50			-175.14		0.00	0.00
261.0	E TRADE FINL CORP FORMERLY E TRADE GROUP INC TO 10/01/2003 COM NEW COM NEW									
269246401	07/27/2017	07/24/2017	10,625.84	10,826.28			-200.44		0.00	0.00

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2017 Tax Information Statement

Page 57 of 225

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1226.0	E TRADE FINL CORP FORMERLY E TRADE GROUPINC TO 10/01/2003 COM									
269246401	07/27/2017	07/24/2017	49,909.30	50,854.48			-945.18		0.00	0.00
471.0	EAGLE MATLS INC COM									
26969P108	07/27/2017	07/24/2017	43,929.15	43,284.90			644.25		0.00	0.00
307.0	EASTERLY GOVERNMENT PROPERTI COMMON STOCK									
27616P103	07/27/2017	07/24/2017	6,133.71	6,198.33			-64.62		0.00	0.00
215.0	EASTGROUP PPTYS INC REIT									
277276101	07/27/2017	07/24/2017	18,680.91	18,141.70			539.21		0.00	0.00
834.0	EASTMAN CHEM CO									
277432100	07/27/2017	07/24/2017	70,896.70	71,557.20			-660.50		0.00	0.00
111.0	EASTMAN KODAK CO COM									
277461406	07/27/2017	07/24/2017	1,047.81	1,032.30			15.51		0.00	0.00
1114.0	EATON VANCE CORP									
278265103	07/27/2017	07/24/2017	54,038.89	54,652.84			-613.95		0.00	0.00
3176.0	EBAY INC									
278642103	07/27/2017	07/24/2017	114,841.50	115,542.88			-701.38		0.00	0.00
156.0	EBIX INC FORMERLY EBIX COM INC TO 01/02/2004 COM NEW COM NEW									
278715206	07/27/2017	07/24/2017	8,859.03	8,728.20			130.83		0.00	0.00

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Page 58 of 225

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321.0	ECHO GLOBAL LOGISTICS INC COM									
27875T101	07/27/2017	07/24/2017	5,566.01	5,729.85			-163.84		0.00	0.00
126.0	EDGE THERAPEUTICS INC COM									
279870109	07/27/2017	07/24/2017	1,341.86	1,306.62			35.24		0.00	0.00
554.0	EDGEWELL PERS CARE CO COM									
28035Q102	07/27/2017	07/24/2017	40,308.10	40,502.94			-194.84		0.00	0.00
157.0	EDITAS MEDICINE INC COM									
28106W103	07/27/2017	07/24/2017	2,494.67	2,562.24			-67.57		0.00	0.00
335.0	EDWARDS LIFESCIENCES CORP									
28176E108	07/27/2017	07/24/2017	38,976.34	39,241.90			-265.56		0.00	0.00
90.0	EHEALTH INC COM STK									
28238P109	07/27/2017	07/24/2017	1,661.36	1,691.10			-29.74		0.00	0.00
575.0	8X8 INC NEW COM									
282914100	07/27/2017	07/24/2017	8,504.05	8,050.00			454.05		0.00	0.00
264.0	EL PASO ELECTRIC CO NEW									
283677854	07/27/2017	07/24/2017	13,711.84	13,780.80			-68.96		0.00	0.00
299.0	ELDORADO RESORTS INC COM USD0.00001									
28470R102	07/27/2017	07/24/2017	6,051.62	6,249.10			-197.48		0.00	0.00

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2017 Tax Information Statement

Page 59 of 225

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652.0	ELECTRO SCIENTIFIC IND									
285229100	07/27/2017	07/24/2017	5,672.26	5,705.00			-32.74		0.00	0.00
219.0	ELLIE MAE INC COM STK									
28849P100	07/27/2017	07/24/2017	24,065.35	24,845.55			-780.20		0.00	0.00
95.0	EMCORE CORP COM STK									
290846203	07/27/2017	07/24/2017	1,105.77	1,078.25			27.52		0.00	0.00
261.0	EMERGENT BIOSOLUTIONS INC COM									
29089Q105	07/27/2017	Various	9,406.22	9,380.34			25.88		0.00	0.00
226.0	EMPLOYERS HLDGS INC COM									
292218104	07/27/2017	07/24/2017	9,783.31	9,876.20			-92.89		0.00	0.00
133.0	ENCORE WIRE CORP COM									
292562105	07/27/2017	07/24/2017	5,883.78	5,752.25			131.53		0.00	0.00
609.0	ENDOLOGIX INC COM									
29266S106	07/27/2017	07/24/2017	3,032.74	3,063.27			-30.53		0.00	0.00
290.0	ENERGY RECOVERY INC COM									
29270J100	07/27/2017	07/24/2017	2,253.24	2,172.10			81.14		0.00	0.00
384.0	ENDURANCE INTL GROUP HLDGS INC COM									
29272B105	07/27/2017	07/24/2017	3,490.47	3,475.20			15.27		0.00	0.00

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2017 Tax Information Statement

Page 60 of 225

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122.0	ENERGOUS CORP COM USD0.001									
29272C103	07/27/2017	07/24/2017	1,848.25	1,923.94			-75.69		0.00	0.00
594.0	ENERGIZER HLDGS INC NEW COM									
29272W109	07/27/2017	07/24/2017	27,370.88	28,030.86			-659.98		0.00	0.00
34.0	ENERSYS COM									
29275Y102	07/27/2017	07/24/2017	2,424.92	2,429.98			-5.06		0.00	0.00
669.0	ENERSYS COM									
29275Y102	07/27/2017	Various	47,711.97	47,813.43			-101.46		0.00	0.00
360.0	ENERGY XXI GULF COAST INC COM									
29276K101	07/27/2017	Various	7,063.03	6,976.80			86.23		0.00	0.00
87.0	ENGLITY HLDGS INC NEW COM									
29286C107	07/27/2017	07/24/2017	2,529.29	2,527.35			1.94		0.00	0.00
141.0	ENGLITY HLDGS INC NEW COM									
29286C107	07/27/2017	07/24/2017	4,098.77	4,096.05			2.72		0.00	0.00
136.0	ENPRO INDUSTRIES INC									
29355X107	07/27/2017	07/24/2017	9,972.64	9,843.68			128.96		0.00	0.00
428.0	ENOVA INTL INC COM									
29357K103	07/27/2017	Various	6,715.16	6,805.20			-90.04		0.00	0.00

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2017 Tax Information Statement

Page 61 of 225

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 44-74365
Recipient's Tax ID Number: XX-XXX8912
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
SOBRATO FAMILY FOUNDATION
10600 N DE ANZA BLVD STE 200
CUPERTINO, CA 95014-2059

Ref: PPX

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Description of property										
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
918.0	ENTEGRIS INC									
29362U104	07/27/2017	07/24/2017	24,409.05	23,684.40			724.65		0.00	0.00
604.0	ENTERCOM COMMUNICATIONS CORP									
293639100	07/27/2017	07/24/2017	6,215.01	5,768.20			446.81		0.00	0.00
78.0	#REORG/ENTELLUS MED INC CASH MERGER 02-28-2018									
29363K105	07/27/2017	07/24/2017	1,358.72	1,350.18			8.54		0.00	0.00
161.0	ENTERPRISE BANCORP INC MASS CDT-COM CDT-COM									
293668109	07/27/2017	07/24/2017	5,662.23	5,781.51			-119.28		0.00	0.00
196.0	ENTERPRISE FINL SVCS CORP COM STK									
293712105	07/27/2017	07/24/2017	7,798.65	7,977.20			-178.55		0.00	0.00
480.0	ENTRAVISION COMMUNICATIONS CORP CL A CL A									
29382R107	07/27/2017	07/24/2017	3,115.12	3,216.00			-100.88		0.00	0.00
282.0	ENVESTNET INC COM STK									
29404K106	07/27/2017	07/24/2017	11,121.82	11,223.60			-101.78		0.00	0.00
280.0	ENZO BIOCHEM INC									
294100102	07/27/2017	07/24/2017	3,069.56	3,164.00			-94.44		0.00	0.00
317.0	EPAM SYS INC COM STK									
29414B104	07/27/2017	07/24/2017	27,191.63	27,693.12			-501.49		0.00	0.00

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2017 Tax Information Statement

Page 62 of 225

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42.0	ENVIROSTAR INC COM									
29414M100	07/27/2017	07/24/2017	1,074.75	1,071.00			3.75		0.00	0.00
83.0	EPLUS INC COM									
294268107	07/27/2017	07/24/2017	6,705.41	6,619.25			86.16		0.00	0.00
628.0	EPIZYME INC COM									
29428V104	07/27/2017	Various	7,749.34	8,352.40			-603.06		0.00	0.00
139.0	EQUINIX INC COM PAR \$0.001									
29444U700	07/27/2017	07/24/2017	61,556.11	61,382.40			173.71		0.00	0.00
30.0	EQUITY BANCSHARES INC COM CL A COM CL A									
29460X109	07/27/2017	07/24/2017	1,035.57	1,066.20			-30.63		0.00	0.00
911.0	EQTY RESDNTL EFF 5/15/02 TO EQTY RESDNTL 29476L107 EFF 5/15/02									
29476L107	07/27/2017	07/24/2017	61,481.96	60,718.15			763.81		0.00	0.00
152.0	ESCO TECHNOLOGIES INC									
296315104	07/27/2017	07/24/2017	9,353.86	9,500.00			-146.14		0.00	0.00
96.0	ESPERION THERAPEUTICS INC NEW COM									
29664W105	07/27/2017	07/24/2017	4,497.49	4,684.80			-187.31		0.00	0.00
459.0	ESTERLINE TECHNOLOGIES CORP									
297425100	07/27/2017	Various	44,402.63	44,752.50			-349.87		0.00	0.00

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2017 Tax Information Statement

Page 63 of 225

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185.0	ETHAN ALLEN INTERIORS INC COM									
297602104	07/27/2017	07/24/2017	5,973.51	5,688.75			284.76		0.00	0.00
753.0	ETSY INC COM									
29786A106	07/27/2017	07/24/2017	11,166.73	10,910.97			255.76		0.00	0.00
264.0	EVERCORE INC									
29977A105	07/27/2017	07/24/2017	20,905.67	19,945.20			960.47		0.00	0.00
111.0	EVERBRIDGE INC COM									
29978A104	07/27/2017	07/24/2017	2,605.10	2,594.07			11.03		0.00	0.00
413.0	EVERI HLDGS INC COM									
30034T103	07/27/2017	07/24/2017	3,200.67	3,151.19			49.48		0.00	0.00
325.0	EVERTEC INC COM									
30040P103	07/27/2017	07/24/2017	5,667.86	5,671.25			-3.39		0.00	0.00
133.0	EVOLUTION PETE CORP COM STK									
30049A107	07/27/2017	07/24/2017	1,089.24	1,050.70			38.54		0.00	0.00
283.0	EVOLENT HEALTH INC CL A CL A									
30050B101	07/27/2017	Various	7,029.55	7,471.20			-441.65		0.00	0.00
110.0	#REORG EXA CORP COM CASH MERGER EXA CORP COM 2J1JAZ1									
300614500	11-20-2017									
	07/27/2017	07/24/2017	1,505.86	1,504.80			1.06		0.00	0.00

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709.0	EXACT SCIENCES CORP COM									
30063P105	07/27/2017	07/24/2017	26,884.65	27,962.96			-1,078.31		0.00	0.00
189.0	#REORG/EXACTECH INC COM CASH MERGER 02-15-2018									
30064E109	07/27/2017	07/24/2017	5,762.47	5,783.40			-20.93		0.00	0.00
94.0	EXONE CO COM									
302104104	07/27/2017	07/24/2017	1,067.81	1,063.14			4.67		0.00	0.00
165.0	EXPONENT INC COM STK									
30214U102	07/27/2017	07/24/2017	10,756.10	10,799.25			-43.15		0.00	0.00
327.0	EXPRESS INC COM									
30219E103	07/27/2017	07/24/2017	2,021.79	1,919.49			102.30		0.00	0.00
611.0	EXPRESS INC COM									
30219E103	07/27/2017	07/24/2017	3,775.89	3,586.57			189.32		0.00	0.00
1051.0	EXPRESS SCRIPTS HLDG CO COM									
30219G108	07/27/2017	07/24/2017	65,496.80	65,803.11			-306.31		0.00	0.00
707.0	EXTREME NETWORKS INC COM									
30226D106	07/27/2017	07/24/2017	6,426.48	6,730.64			-304.16		0.00	0.00
222.0	EXTERRAN CORP COM									
30227H106	07/27/2017	07/24/2017	6,167.01	6,056.16			110.85		0.00	0.00

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2017 Tax Information Statement

Page 65 of 225

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721.0	EZCORP INC CL A NON VOTING									
302301106	07/27/2017	07/24/2017	5,724.60	5,695.90			28.70		0.00	0.00
87.0	FBL FINL GROUP INC CL A CL A									
30239F106	07/27/2017	07/24/2017	5,832.34	5,920.35			-88.01		0.00	0.00
807.0	FMC CORP NEW									
302491303	07/27/2017	07/24/2017	61,830.91	61,977.60			-146.69		0.00	0.00
3116.0	FNB CORP PA									
302520101	07/27/2017	07/24/2017	42,875.16	42,190.64			684.52		0.00	0.00
246.0	FCB FINL HLDGS INC CL A CL A									
30255G103	07/27/2017	07/24/2017	11,608.47	11,721.90			-113.43		0.00	0.00
31.0	FB FINL CORP COM									
30257X104	07/27/2017	07/24/2017	1,041.88	1,050.59			-8.71		0.00	0.00
123.0	FRP HLDGS INC COM									
30292L107	07/27/2017	07/24/2017	5,779.63	5,719.50			60.13		0.00	0.00
661.0	FTI CONSULTING INC									
302941109	07/27/2017	Various	21,125.07	21,879.10			-754.03		0.00	0.00
499.0	FAIR ISAAC & CO INC									
303250104	07/27/2017	Various	71,844.36	71,880.95			-36.59		0.00	0.00

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Page 66 of 225

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894.0	FAIRMOUNT SANTROL HLDGS INC COMMON STOCK									
30555Q108	07/27/2017	07/24/2017	2,610.41	2,369.10			241.31		0.00	0.00
148.0	FARMERS CAP BK CORP COM									
309562106	07/27/2017	07/24/2017	5,555.79	5,698.00			-142.21		0.00	0.00
399.0	FARMERS NATL BANC CORP COM									
309627107	07/27/2017	07/24/2017	5,601.83	5,845.35			-243.52		0.00	0.00
27.0	FARO TECHNOLOGIES INC COM									
311642102	07/27/2017	07/24/2017	1,068.90	1,069.20			-0.30		0.00	0.00
1592.0	FASTENAL CO									
311900104	07/27/2017	07/24/2017	69,712.06	68,503.76			1,208.30		0.00	0.00
84.0	FEDERAL AGRIC MTG CORP CL C									
313148306	07/27/2017	07/24/2017	5,863.06	5,804.40			58.66		0.00	0.00
893.0	FEDERATED INVS INC PA CL B									
314211103	07/27/2017	07/24/2017	26,048.20	26,209.55			-161.35		0.00	0.00
341.0	FEDEX CORP									
31428X106	07/27/2017	07/24/2017	70,766.09	72,523.88			-1,757.79		0.00	0.00
868.0	FELCOR LODGING TRUST INC COM									
31430F101	07/27/2017	07/24/2017	6,318.89	6,258.28			60.61		0.00	0.00

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Page 67 of 225

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563.0	FERRO CORP									
315405100	07/27/2017	07/24/2017	10,578.52	10,421.13			157.39		0.00	0.00
382.0	FIBROGEN INC COM									
31572Q808	07/27/2017	07/24/2017	12,869.28	13,580.10			-710.82		0.00	0.00
1044.0	FIDELITY NAT'L INFORMATION SERVICES INC									
31620M106	07/27/2017	07/24/2017	95,043.56	94,085.28			958.28		0.00	0.00
355.0	#REORG/ FIDELITY STOCK MERGER CANNAE 2L15A82 11-20-2017									
31620R402	07/27/2017	07/24/2017	5,960.31	5,804.25			156.06		0.00	0.00
313.0	FIESTA RESTAURANT GROUP INC COM									
31660B101	07/27/2017	07/24/2017	5,427.29	5,602.70			-175.41		0.00	0.00
246.0	FIFTH STR ASSET MGMT INC CL A COM CL A COM									
31679P109	07/27/2017	07/24/2017	1,019.15	1,045.50			-26.35		0.00	0.00
380.0	FINANCIAL ENGINES INC COM									
317485100	07/27/2017	07/24/2017	14,473.86	14,383.00			90.86		0.00	0.00
730.0	FINISAR CORPORATION COMMON STOCK									
31787A507	07/27/2017	Various	19,892.04	20,710.10			-818.06		0.00	0.00
1173.0	FIRST BANCORP P R COM NEW COM NEW									
318672706	07/27/2017	07/24/2017	6,861.89	6,862.05			-0.16		0.00	0.00

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2017 Tax Information Statement

Page 68 of 225

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207.0	FIRST BANCORP N C COM COM									
318910106	07/27/2017	07/24/2017	6,518.27	6,437.70			80.57		0.00	0.00
49.0	1ST CTZNS BANCSHARES INC N C CL A									
31946M103	07/27/2017	07/24/2017	18,103.12	18,464.18			-361.06		0.00	0.00
41.0	FIRST CONN BANCORP INC MD COM									
319850103	07/27/2017	07/24/2017	1,047.11	1,061.90			-14.79		0.00	0.00
396.0	FIRST FINANCIAL BANCORP/OHIO									
320209109	07/27/2017	07/24/2017	10,034.40	11,008.80			-974.40		0.00	0.00
411.0	FIRST FINL BANKSHARES INC COM									
32020R109	07/27/2017	Various	17,668.48	17,796.30			-127.82		0.00	0.00
123.0	FIRST FINL CORP IND COM									
320218100	07/27/2017	07/24/2017	5,632.03	5,787.15			-155.12		0.00	0.00
66.0	PVTPL FIRST FNDTN INC COM									
32026V104	07/27/2017	07/24/2017	1,160.25	1,102.20			58.05		0.00	0.00
2262.0	FIRST HORIZON NATIONAL CORP COM									
320517105	07/27/2017	07/24/2017	39,538.84	40,105.26			-566.42		0.00	0.00
247.0	FIRST LONG IS CORP COM									
320734106	07/27/2017	Various	6,999.81	6,829.55			170.26		0.00	0.00

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Page 69 of 225

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236.0	FIRST MERCHANTS CORP COM									
320817109	07/27/2017	07/24/2017	9,470.46	9,355.04			115.42		0.00	0.00
370.0	FIRST NORTHWEST BANCORP COM									
335834107	07/27/2017	07/24/2017	5,794.06	5,731.30			62.76		0.00	0.00
648.0	#REORG/FIRST POTOMAC CASH MERGER 10-02-2017									
33610F109	07/27/2017	07/24/2017	7,179.67	7,212.24			-32.57		0.00	0.00
165.0	1ST SOURCE CORP COM									
336901103	07/27/2017	07/24/2017	8,035.31	8,101.50			-66.19		0.00	0.00
301.0	FIRSTCASH INC COM									
33767D105	07/27/2017	Various	17,740.53	17,458.00			282.53		0.00	0.00
1159.0	FITBIT INC CL A CL A									
33812L102	07/27/2017	07/24/2017	6,096.19	6,525.17			-428.98		0.00	0.00
339.0	FIVE9 INC COM									
338307101	07/27/2017	07/24/2017	7,471.38	7,607.16			-135.78		0.00	0.00
193.0	FIVE PRIME THERAPEUTICS INC COM									
33830X104	07/27/2017	07/24/2017	5,506.16	5,984.93			-478.77		0.00	0.00
178.0	FLEXION THERAPEUTICS INC COM									
33938J106	07/27/2017	07/24/2017	4,239.86	4,362.78			-122.92		0.00	0.00

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Page 70 of 225

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1775.0	FLOWERS FOODS INC									
343498101	07/27/2017	07/24/2017	31,860.51	31,453.00			407.51		0.00	0.00
284.0	FLUIDIGM CORP DEL COM STK									
34385P108	07/27/2017	07/24/2017	1,093.37	1,056.48			36.89		0.00	0.00
205.0	FLUSHING FINL CORP COM									
343873105	07/27/2017	07/24/2017	5,770.61	5,772.80			-2.19		0.00	0.00
37.0	FONAR CORP COM NEW STK CORP 2031801 EFF 4/17/07									
344437405	07/27/2017	07/24/2017	1,035.60	1,043.40			-7.80		0.00	0.00
7690.0	FORD MOTOR CO COM									
345370860	07/27/2017	07/24/2017	85,895.31	86,820.10			-924.79		0.00	0.00
337.0	#REORG/FORESTAR REAL STOCK MERGER FORESTAR GROUP 2J14AH2									
346233109	10-05-2017	07/27/2017	5,759.19	5,745.85			13.34		0.00	0.00
467.0	FORMFACTOR INC COM STK									
346375108	07/27/2017	07/24/2017	6,182.93	6,327.85			-144.92		0.00	0.00
66.0	FORRESTER RESH INC									
346563109	07/27/2017	07/24/2017	2,695.37	2,706.00			-10.63		0.00	0.00
217.0	FORTRESS BIOTECH INC COM									
34960Q109	07/27/2017	07/24/2017	969.96	1,041.60			-71.64		0.00	0.00

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2017 Tax Information Statement

Page 71 of 225

Ref: PPX

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 44-74365
Recipient's Tax ID Number: XX-XXX8912

Recipient's Name and Address:
SOBRATO FAMILY FOUNDATION
10600 N DE ANZA BLVD STE 200
CUPERTINO, CA 95014-2059

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

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Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
121.0	FORTERRA INC COM									
34960W106	07/27/2017	07/24/2017	1,079.29	1,079.32			-0.03		0.00	0.00
496.0	FORUM ENERGY TECH COM USD0.01									
34984V100	07/27/2017	07/24/2017	7,583.66	7,266.40			317.26		0.00	0.00
190.0	FORWARD AIR CORPORATION									
349853101	07/27/2017	07/24/2017	9,640.37	10,208.70			-568.33		0.00	0.00
92.0	FOUNDATION MEDICINE INC COM									
350465100	07/27/2017	07/24/2017	3,297.20	3,450.00			-152.80		0.00	0.00
226.0	FOX FACTORY HLDG CORP COM									
35138V102	07/27/2017	07/24/2017	8,675.93	8,497.60			178.33		0.00	0.00
57.0	FRANKLIN COVEY CO COM									
353469109	07/27/2017	07/24/2017	1,022.55	1,043.10			-20.55		0.00	0.00
285.0	FRANKLIN ELECTRIC INC									
353514102	07/27/2017	07/24/2017	11,339.88	12,012.75			-672.87		0.00	0.00
174.0	FRANKLIN FINL NETWORK INC COM									
35352P104	07/27/2017	Various	6,288.21	6,794.70			-506.49		0.00	0.00
682.0	FRANKLIN STR PPTYS CORP COM									
35471R106	07/27/2017	07/24/2017	7,208.57	7,263.30			-54.73		0.00	0.00

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2017 Tax Information Statement

Page 72 of 225

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6158.0	FREEPORT MCMORAN C & G CL B COM STK									
35671D857	07/27/2017	07/24/2017	89,227.35	79,807.68			9,419.67		0.00	0.00
158.0	FRESHPET INC COM									
358039105	07/27/2017	07/24/2017	2,739.65	2,757.10			-17.45		0.00	0.00
1209.0	FRONTIER COMMUNICATIONS CORP COM NEW COMNEW									
35906A306	07/27/2017	Various	19,029.22	17,603.04			1,426.18		0.00	0.00
133.0	FRONTIER COMMUNICATIONS CORP COM NEW COMNEW									
35906A306	07/27/2017	07/24/2017	2,093.77	1,936.48			157.29		0.00	0.00
2793.0	FULTON FINANCIAL CORP PENNSYLVANIA									
360271100	07/27/2017	Various	50,803.49	50,832.60			-29.11		0.00	0.00
391.0	FUTUREFUEL CORP COM STK									
36116M106	07/27/2017	07/24/2017	5,724.10	5,802.44			-78.34		0.00	0.00
605.0	GATX CORP									
361448103	07/27/2017	Various	37,091.69	37,824.60			-732.91		0.00	0.00
1952.0	GEO GROUP INC(THE) COM USD0.01 NEW									
36162J106	07/27/2017	Various	58,441.52	58,501.44			-59.92		0.00	0.00
384.0	GCP APPLIED TECHNOLOGIES INC COM									
36164Y101	07/27/2017	07/24/2017	11,611.89	11,846.40			-234.51		0.00	0.00

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2017 Tax Information Statement

Page 73 of 225

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82.0	GCP APPLIED TECHNOLOGIES INC COM									
36164Y101	07/27/2017	07/24/2017	2,479.87	2,529.70			-49.83		0.00	0.00
649.0	GNC HLDGS INC COM CL A GNC HOLDINGS INC									
36191G107	07/27/2017	07/24/2017	7,099.89	5,802.06			1,297.83		0.00	0.00
74.0	GP STRATEGIES CORP COM STK									
36225V104	07/27/2017	07/24/2017	1,993.51	1,998.00			-4.49		0.00	0.00
203.0	GTT COMMUNICATIONS INC COM									
362393100	07/27/2017	07/24/2017	6,240.07	6,140.75			99.32		0.00	0.00
152.0	GMS INC COM									
36251C103	07/27/2017	07/24/2017	4,514.29	4,420.16			94.13		0.00	0.00
401.0	GENERAC HLDGS INC COM STK									
368736104	07/27/2017	07/24/2017	14,507.84	14,632.49			-124.65		0.00	0.00
279.0	GENERAL CABLE CORP COM									
369300108	07/27/2017	07/24/2017	5,242.28	5,217.30			24.98		0.00	0.00
355.0	GENERAL DYNAMICS CORP									
369550108	07/27/2017	07/24/2017	68,808.06	72,750.15			-3,942.09		0.00	0.00
340.0	GENERAL ELECTRIC CO									
369604103	07/27/2017	07/24/2017	8,764.99	8,646.20			118.79		0.00	0.00

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2017 Tax Information Statement

Page 74 of 225

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2456.0	GEN MTRS CO COM									
37045V100	07/27/2017	07/24/2017	88,242.04	87,973.92			268.12		0.00	0.00
2772.0	GENTEX CORP COM									
371901109	07/27/2017	07/24/2017	48,314.84	46,957.68			1,357.16		0.00	0.00
318.0	GENMARK DIAGNOSTICS INC COM STK									
372309104	07/27/2017	07/24/2017	3,847.71	3,844.62			3.09		0.00	0.00
209.0	GENOCEA BIOSCIENCES INC COM									
372427104	07/27/2017	07/24/2017	1,270.69	1,218.47			52.22		0.00	0.00
126.0	GENOMIC HEALTH INC COM									
37244C101	07/27/2017	07/24/2017	4,036.94	4,163.04			-126.10		0.00	0.00
8107.0	GENWORTH FINANCIAL INC									
37247D106	07/27/2017	Various	27,482.09	27,725.94			-243.85		0.00	0.00
187.0	GENTHERM INC COM NPV									
37253A103	07/27/2017	07/24/2017	6,571.02	7,349.10			-778.08		0.00	0.00
242.0	GGERMAN AMERN BANCORP INC COM STK COM									
373865104	07/27/2017	07/24/2017	8,508.52	8,542.60			-34.08		0.00	0.00
1026.0	GERON CORP COM									
374163103	07/27/2017	07/24/2017	2,903.51	2,954.88			-51.37		0.00	0.00

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2017 Tax Information Statement

Page 75 of 225

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249.0	GETTY RLTY CORP NEW COM									
374297109	07/27/2017	07/24/2017	6,416.58	6,000.90			415.68		0.00	0.00
211.0	GIBRALTAR INDS INC COM									
374689107	07/27/2017	07/24/2017	6,211.69	6,519.90			-308.21		0.00	0.00
234.0	#REORG/ GIGAMON INC COM CASH MERGER 12-27-2017									
37518B102	07/27/2017	07/24/2017	8,830.95	9,184.50			-353.55		0.00	0.00
335.0	GLADSTONE COML CORP COM STK									
376536108	07/27/2017	07/24/2017	7,108.53	6,790.45			318.08		0.00	0.00
410.0	P H GLATFELTER CO									
377316104	07/27/2017	07/24/2017	8,347.40	8,204.10			143.30		0.00	0.00
186.0	GLAUKOS CORP COM									
377322102	07/27/2017	07/24/2017	7,638.84	7,683.66			-44.82		0.00	0.00
238.0	GLOBAL BLOOD THERAPEUTICS INC COM									
37890U108	07/27/2017	07/24/2017	6,423.47	7,199.50			-776.03		0.00	0.00
1884.0	GLOBALSTAR INC COM									
378973408	07/27/2017	07/24/2017	3,636.03	3,768.00			-131.97		0.00	0.00
416.0	GLOBAL NET LEASE INC COM NEW COMN STOCK									
379378201	07/27/2017	07/24/2017	9,180.90	9,164.48			16.42		0.00	0.00

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2017 Tax Information Statement

Page 76 of 225

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112.0	GLOBAL WATER RESOURCES INC									
379463102	07/27/2017	07/24/2017	1,055.01	1,055.04			-0.03		0.00	0.00
130.0	GLOBAL BRASS & COPPER HLDGS INC COM									
37953G103	07/27/2017	07/24/2017	4,152.10	4,186.00			-33.90		0.00	0.00
2106.0	GLU MOBILE INC COM									
379890106	07/27/2017	07/24/2017	5,770.30	5,812.56			-42.26		0.00	0.00
370.0	GOGO INC COM									
38046C109	07/27/2017	07/24/2017	4,517.59	4,465.90			51.69		0.00	0.00
695.0	GOPRO INC CL A CL A									
38268T103	07/27/2017	07/24/2017	5,594.62	5,580.85			13.77		0.00	0.00
213.0	GORMAN RUPP CO COM									
383082104	07/27/2017	07/24/2017	5,672.05	5,776.56			-104.51		0.00	0.00
292.0	GOVERNMENT PPTYS INCOME TR COM SHS BEN INT COM SHS BEN INT									
38376A103	07/27/2017	07/24/2017	5,268.43	5,130.44			137.99		0.00	0.00
328.0	GOVERNMENT PPTYS INCOME TR COM SHS BEN INT COM SHS BEN INT									
38376A103	07/27/2017	07/24/2017	5,916.98	5,762.96			154.02		0.00	0.00
538.0	GRACO INC									
384109104	07/27/2017	07/24/2017	62,073.00	57,937.22			4,135.78		0.00	0.00

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Page 77 of 225

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45.0	GRAHAM HLDGS CO COM									
384637104	07/27/2017	07/24/2017	26,584.93	27,022.50			-437.57		0.00	0.00
935.0	GRAMERCY PPTY TR COM NEW COM NEW									
385002308	07/27/2017	Various	28,329.84	28,012.60			317.24		0.00	0.00
303.0	GRAND CANYON ED INC COM STK									
38526M106	07/27/2017	07/24/2017	21,694.29	23,340.09			-1,645.80		0.00	0.00
40.0	GRANITE CONSTRUCTION INC									
387328107	07/27/2017	07/24/2017	1,959.15	1,960.00			-0.85		0.00	0.00
268.0	GRAY T.V INC COM CL B									
389375106	07/27/2017	07/24/2017	4,044.02	3,926.20			117.82		0.00	0.00
2091.0	GREAT PLAINS ENERGY INC									
391164100	07/27/2017	07/24/2017	64,024.94	63,440.94			584.00		0.00	0.00
305.0	GREEN PLAINS INC COM STK STK									
393222104	07/27/2017	07/24/2017	5,929.06	5,764.50			164.56		0.00	0.00
244.0	GREENBRIER COMPANIES INC									
393657101	07/27/2017	07/24/2017	10,843.10	10,906.80			-63.70		0.00	0.00
297.0	GREENHILL & CO INC COM									
395259104	07/27/2017	07/24/2017	5,922.04	5,746.95			175.09		0.00	0.00

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Page 78 of 225

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378.0	GREIF BROS CORP CL A									
397624107	07/27/2017	Various	21,341.38	21,307.86			33.52		0.00	0.00
44.0	GREIF BROS CORP CL A									
397624107	07/27/2017	07/24/2017	2,484.31	2,480.28			4.03		0.00	0.00
32.0	GREIF INC									
397624206	07/27/2017	07/24/2017	1,905.23	1,905.60			-0.37		0.00	0.00
192.0	GRIFFON CORP									
398433102	07/27/2017	07/24/2017	3,943.58	3,916.80			26.78		0.00	0.00
149.0	GROUP 1 AUTOMOTIVE INC									
398905109	07/27/2017	07/24/2017	9,082.83	8,978.74			104.09		0.00	0.00
2206.0	GROUPON INC COM USD0.0001									
399473107	07/27/2017	07/24/2017	8,250.24	8,051.90			198.34		0.00	0.00
556.0	GRUBHUB INC COM									
400110102	07/27/2017	07/24/2017	26,120.27	25,264.64			855.63		0.00	0.00
257.0	GUARANTY BANCORP DEL COM NEW COM NEW									
40075T607	07/27/2017	Various	7,000.51	6,861.90			138.61		0.00	0.00
458.0	GUESS INC COM									
401617105	07/27/2017	07/24/2017	5,876.00	5,555.54			320.46		0.00	0.00

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155.0	#REORG/GUIDANCE SOFTWARE INC COM CASH MERGER 09-15-2017									
401692108	07/27/2017	07/24/2017	1,094.27	1,063.30			30.97		0.00	0.00
197.0	H & E EQUIP SVCS INC COM									
404030108	07/27/2017	07/24/2017	4,672.73	4,418.71			254.02		0.00	0.00
386.0	HCA HEALTHCARE INC COM									
40412C101	07/27/2017	07/24/2017	30,859.98	33,230.74			-2,370.76		0.00	0.00
269.0	HC2 HLDGS INC COM									
404139107	07/27/2017	07/24/2017	1,640.86	1,659.73			-18.87		0.00	0.00
31.0	HCI GROUP INC COM NPV									
40416E103	07/27/2017	07/24/2017	1,360.24	1,388.49			-28.25		0.00	0.00
237.0	HFF INC CL A CL A									
40418F108	07/27/2017	07/24/2017	8,370.64	8,441.94			-71.30		0.00	0.00
709.0	HNI CORP COM									
404251100	07/27/2017	Various	26,246.57	28,260.74			-2,014.17		0.00	0.00
166.0	#REORG/ HSN INC STOCK MERGER LIBERTY 2024104 01-02-2018									
404303109	07/27/2017	07/24/2017	6,646.48	6,573.60			72.88		0.00	0.00
388.0	#REORG/ HSN INC STOCK MERGER LIBERTY 2024104 01-02-2018									
404303109	07/27/2017	Various	15,536.32	15,364.80			171.52		0.00	0.00

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2017 Tax Information Statement

Page 80 of 225

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733.0	HRG GROUP INC COM									
40434J100	07/27/2017	07/24/2017	12,218.82	12,636.92			-418.10		0.00	0.00
130.0	HABIT RESTAURANTS INC COM CL A COM CL A									
40449J103	07/27/2017	07/24/2017	2,208.64	2,190.50			18.14		0.00	0.00
154.0	HACKETT GROUP INC COM STK									
404609109	07/27/2017	07/24/2017	2,503.98	2,537.92			-33.94		0.00	0.00
847.0	HALCON RES CORP COM PAR\$ NEW COM PAR\$ NEW									
40537Q605	07/27/2017	07/24/2017	5,649.35	5,742.66			-93.31		0.00	0.00
1501.0	HALLIBURTON CO									
406216101	07/27/2017	07/24/2017	64,666.08	63,807.51			858.57		0.00	0.00
706.0	HALOZYME THERAPEUTICS INC COM									
40637H109	07/27/2017	07/24/2017	9,135.42	9,622.78			-487.36		0.00	0.00
476.0	HALYARD HEALTH INC COM									
40650V100	07/27/2017	07/24/2017	19,215.67	18,844.84			370.83		0.00	0.00
59.0	HAMILTON LANE INC CL A CL A									
407497106	07/27/2017	07/24/2017	1,338.67	1,320.42			18.25		0.00	0.00
1415.0	HANCOCK HLDG CO COM									
410120109	07/27/2017	Various	65,994.07	66,080.50			-86.43		0.00	0.00

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2017 Tax Information Statement

Page 81 of 225

Ref: PPX

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 44-74365
Recipient's Tax ID Number: XX-XXX8912

Recipient's Name and Address:
SOBRATO FAMILY FOUNDATION
10600 N DE ANZA BLVD STE 200
CUPERTINO, CA 95014-2059

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

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310.0	HANMI FINL CORP COM NEW COM NEW									
410495204	07/27/2017	07/24/2017	8,909.19	8,928.00			-18.81		0.00	0.00
372.0	HANNON ARMSTRONG SUSTAINABLE INFRASTRUCTURE CAP INC COM									
41068X100	07/27/2017	07/24/2017	8,522.32	8,526.24			-3.92		0.00	0.00
412.0	HANOVER INS GROUP INC COM									
410867105	07/27/2017	07/24/2017	38,624.10	38,678.56			-54.46		0.00	0.00
54.0	HARBORONE BANCORP INC COMMON STOCK									
41165F101	07/27/2017	07/24/2017	1,049.19	1,048.68			0.51		0.00	0.00
1358.0	HARMONIC INC									
413160102	07/27/2017	07/24/2017	5,486.19	5,635.70			-149.51		0.00	0.00
646.0	HARRIS CORP DEL COM									
413875105	07/27/2017	07/24/2017	73,558.32	73,605.24			-46.92		0.00	0.00
522.0	HARSCO CORPORATION									
415864107	07/27/2017	07/24/2017	8,163.89	8,378.10			-214.21		0.00	0.00
312.0	HAWAIIAN HOLDINGS INC COM									
419879101	07/27/2017	07/24/2017	12,944.58	13,977.60			-1,033.02		0.00	0.00
126.0	HAWKINS INC COM									
420261109	07/27/2017	07/24/2017	5,618.21	5,695.20			-76.99		0.00	0.00

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2017 Tax Information Statement

Page 82 of 225

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1909.0	HEALTHCARE RLTY TR									
421946104	07/27/2017	Various	63,701.85	63,359.71			342.14		0.00	0.00
166.0	HEALTHSTREAM INC COM STK ISIN# US42222N1037									
42222N103	07/27/2017	07/24/2017	3,822.89	4,314.34			-491.45		0.00	0.00
72.0	HEALTH INS INNOVATIONS INC COM CL A COM CL A									
42225K106	07/27/2017	07/24/2017	2,000.83	2,044.80			-43.97		0.00	0.00
178.0	HEARTLAND FINL USA INC COM STK									
42234Q102	07/27/2017	07/24/2017	8,266.12	8,312.60			-46.48		0.00	0.00
2746.0	HECLA MNG CO COM									
422704106	07/27/2017	07/24/2017	14,443.62	14,306.66			136.96		0.00	0.00
259.0	HEIDRICK & STRUGGLES INTL INC									
422819102	07/27/2017	07/24/2017	4,775.84	5,749.80			-973.96		0.00	0.00
335.0	JACK HENRY & ASSOC INC									
426281101	07/27/2017	07/24/2017	35,736.97	35,573.65			163.32		0.00	0.00
417.0	HERITAGE COMM CORP COM STK									
426927109	07/27/2017	07/24/2017	5,771.14	5,779.62			-8.48		0.00	0.00
156.0	HERC HLDGS INC COM									
42704L104	07/27/2017	07/24/2017	7,289.71	7,183.80			105.91		0.00	0.00

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2017 Tax Information Statement

Page 83 of 225

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226.0	HERITAGE FINL CORP WASH COM									
42722X106	07/27/2017	07/24/2017	6,088.29	5,921.20			167.09		0.00	0.00
65.0	HERITAGE CRYSTAL CLEAN INC COM STK									
42726M106	07/27/2017	07/24/2017	1,208.32	1,049.75			158.57		0.00	0.00
249.0	HERON THERAPEUTICS INC COM									
427746102	07/27/2017	07/24/2017	4,081.01	4,208.10			-127.09		0.00	0.00
699.0	HERSHEY FOODS CORP									
427866108	07/27/2017	07/24/2017	74,399.84	73,604.70			795.14		0.00	0.00
42.0	HESKA CORP COM RESTRICTED NEW STOCK									
42805E306	07/27/2017	07/24/2017	4,385.53	4,329.78			55.75		0.00	0.00
112.0	HERTZ GLOBAL HLDGS INC NEW COM									
42806J106	07/27/2017	07/24/2017	1,944.27	1,792.00			152.27		0.00	0.00
253.0	HERTZ GLOBAL HLDGS INC NEW COM									
42806J106	07/27/2017	07/24/2017	4,392.73	4,048.00			344.73		0.00	0.00
293.0	HIBBETT SPORTS INC COM STK									
428567101	07/27/2017	07/24/2017	4,611.71	3,838.30			773.41		0.00	0.00
985.0	HIGHWOODS PPTYS INC COM									
431284108	07/27/2017	07/24/2017	50,174.74	49,456.85			717.89		0.00	0.00

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2017 Tax Information Statement

Page 84 of 225

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202.0	HILL INTL INC COM									
431466101	07/27/2017	07/24/2017	1,007.95	1,040.30			-32.35		0.00	0.00
396.0	HILL-ROM HLDGS INC COM STK									
431475102	07/27/2017	07/24/2017	31,556.51	33,303.60			-1,747.09		0.00	0.00
412.0	HILLENBRAND INC COM STK									
431571108	07/27/2017	07/24/2017	14,848.13	14,832.00			16.13		0.00	0.00
447.0	HILLTOP HLDGS INC COM STK									
432748101	07/27/2017	07/24/2017	11,335.65	11,510.25			-174.60		0.00	0.00
6.0	HINGHAM INSTN SVGS MASS COM									
433323102	07/27/2017	07/24/2017	1,065.57	1,063.20			2.37		0.00	0.00
1722.0	HOLLYFRONTIER CORP COM									
436106108	07/27/2017	07/24/2017	49,523.57	47,475.54			2,048.03		0.00	0.00
1994.0	HOME BANCSHARES INC COM									
436893200	07/27/2017	Various	50,427.09	49,411.32			1,015.77		0.00	0.00
137.0	HOME BANCORP INC COM STK									
43689E107	07/27/2017	07/24/2017	5,467.54	5,689.61			-222.07		0.00	0.00
221.0	HOMESTREET INC INC									
43785V102	07/27/2017	07/24/2017	5,964.65	6,121.70			-157.05		0.00	0.00

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2017 Tax Information Statement

Page 85 of 225

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240.0	HOMETRUST BANCSHARES INC COM									
437872104	07/27/2017	07/24/2017	5,697.46	5,868.00			-170.54		0.00	0.00
73.0	HOOKER FURNITURE CORP COM									
439038100	07/27/2017	07/24/2017	3,178.34	3,208.35			-30.01		0.00	0.00
231.0	HORACE MANN EDUCATORS CORP NEW									
440327104	07/27/2017	07/24/2017	8,486.74	8,327.55			159.19		0.00	0.00
220.0	HORIZON BANCORP IND COM									
440407104	07/27/2017	07/24/2017	5,865.06	5,810.20			54.86		0.00	0.00
166.0	HORIZON GLOBAL CORP COM									
44052W104	07/27/2017	07/24/2017	2,320.62	2,247.64			72.98		0.00	0.00
312.0	HORTONWORKS INC COM									
440894103	07/27/2017	07/24/2017	4,261.82	4,068.48			193.34		0.00	0.00
1583.0	HOSPITALITY PPTYS TR COM SH BEN INT									
44106M102	07/27/2017	07/24/2017	45,019.47	44,862.22			157.25		0.00	0.00
505.0	HOSTESS BRANDS INC CL A CL A									
44109J106	07/27/2017	07/24/2017	7,736.42	8,297.15			-560.73		0.00	0.00
468.0	HOUGHTON MIFFLIN HARCOURT CO COM									
44157R109	07/27/2017	07/24/2017	5,540.99	5,592.60			-51.61		0.00	0.00

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Page 86 of 225

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143.0	HOULIHAN LOKEY INC CL A CL A									
441593100	07/27/2017	07/24/2017	5,386.68	5,253.82			132.86		0.00	0.00
495.0	HUBBELL INC COM									
443510607	07/27/2017	07/24/2017	59,096.68	57,573.45			1,523.23		0.00	0.00
218.0	HUBSPOT INC COM									
443573100	07/27/2017	07/24/2017	15,900.55	16,448.10			-547.55		0.00	0.00
238.0	HUDSON TECHNOLOGIES INC COM STK									
444144109	07/27/2017	07/24/2017	1,901.57	2,001.58			-100.01		0.00	0.00
445.0	HUNTINGTON INGALLS INDS INC COM									
446413106	07/27/2017	07/24/2017	90,257.26	89,738.70			518.56		0.00	0.00
137.0	HURON CONSULTING GROUP INC COM STK									
447462102	07/27/2017	07/24/2017	5,738.79	5,706.05			32.74		0.00	0.00
157.0	HUTTIG BLDG PRODS INC COM									
448451104	07/27/2017	07/24/2017	1,119.38	1,059.75			59.63		0.00	0.00
70.0	IDT CORP CL B NEW CL B NEW									
448947507	07/27/2017	07/24/2017	1,050.67	1,042.30			8.37		0.00	0.00
56.0	HYSTER-YALE MATLS HANDLING INC CL A COM									
449172105	07/27/2017	07/24/2017	3,988.78	3,990.56			-1.78		0.00	0.00

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2017 Tax Information Statement

Page 87 of 225

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103.0	ICU MED INC COM									
44930G107	07/27/2017	Various	17,518.86	17,988.95			-470.09		0.00	0.00
364.0	IPG PHOTONICS CORP COM									
44980X109	07/27/2017	07/24/2017	56,021.94	56,649.32			-627.38		0.00	0.00
88.0	IRHYTHM TECHNOLOGIES INC COM									
450056106	07/27/2017	07/24/2017	3,633.43	3,766.40			-132.97		0.00	0.00
484.0	ISTAR FINL INC COM									
45031U101	07/27/2017	07/24/2017	5,783.66	5,832.20			-48.54		0.00	0.00
289.0	IBERIABANK CORP COM									
450828108	07/27/2017	07/24/2017	23,289.97	23,177.80			112.17		0.00	0.00
780.0	IDACORP INC COMMON									
451107106	07/27/2017	Various	67,803.83	67,696.20			107.63		0.00	0.00
740.0	IDEX CORP									
45167R104	07/27/2017	07/24/2017	85,490.22	84,271.20			1,219.02		0.00	0.00
692.0	IDERA PHARMACEUTICALS INC COM NEW STK									
45168K306	07/27/2017	07/24/2017	1,259.41	1,328.64			-69.23		0.00	0.00
324.0	#REORG/IGNYTA INC COM CASH MERGER 02-09-18									
451731103	07/27/2017	07/24/2017	2,993.69	3,029.40			-35.71		0.00	0.00

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2017 Tax Information Statement

Page 88 of 225

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670.0	ILLINOIS TOOL WORKS INC COM									
452308109	07/27/2017	07/24/2017	94,342.53	95,126.60			-784.07		0.00	0.00
483.0	ILLUMINA INC									
452327109	07/27/2017	07/24/2017	84,194.61	84,037.17			157.44		0.00	0.00
371.0	IMAX CORP									
45245E109	07/27/2017	07/24/2017	7,824.20	7,976.50			-152.30		0.00	0.00
190.0	IMMERSION CORP COM									
452521107	07/27/2017	07/24/2017	1,671.96	1,672.00			-0.04		0.00	0.00
510.0	IMMUNOGEN INC COM									
45253H101	07/27/2017	07/24/2017	3,100.72	3,233.40			-132.68		0.00	0.00
492.0	IMPAX LABORATORIES INC									
45256B101	07/27/2017	07/24/2017	9,588.85	9,225.00			363.85		0.00	0.00
918.0	IMMUNOMEDICS INC COM									
452907108	07/27/2017	Various	7,839.53	8,179.38			-339.85		0.00	0.00
117.0	IMPINJ INC COM									
453204109	07/27/2017	07/24/2017	5,887.30	6,218.55			-331.25		0.00	0.00
217.0	IMPERVA INC									
45321L100	07/27/2017	07/24/2017	10,456.98	10,774.05			-317.07		0.00	0.00

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2017 Tax Information Statement

Page 89 of 225

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876.0	#REORG/INC RESH NAME CHANGE WITH CUSIP CHANGE SYNEOS HEALTH									
45329R109	INC 2K1VA32 01-08-18	07/27/2017	Various	48,476.71	49,888.20		-1,411.49		0.00	0.00
604.0	INCYTE GENOMICS INC									
45337C102	07/27/2017	07/24/2017	80,154.98	83,515.08			-3,360.10		0.00	0.00
566.0	INDEPENDENCE RLTY TR INC COM									
45378A106	07/27/2017	07/24/2017	5,716.46	5,772.52			-56.06		0.00	0.00
182.0	INDEPENDENT BANK CORP MASS									
453836108	07/27/2017	07/24/2017	13,029.07	12,958.40			70.67		0.00	0.00
275.0	INDEPENDENT BK CORPORATION									
453838609	07/27/2017	07/24/2017	5,744.61	5,816.25			-71.64		0.00	0.00
118.0	INDEPENDENT BK GROUP INC COM									
45384B106	07/27/2017	07/24/2017	7,078.65	6,920.70			157.95		0.00	0.00
71.0	INFINITY PPTY & CAS CORP COM									
45665Q103	07/27/2017	Various	6,992.62	6,989.95			2.67		0.00	0.00
960.0	INFINERA CORP COM STK USD0.001									
45667G103	07/27/2017	07/24/2017	11,289.33	11,721.60			-432.27		0.00	0.00
262.0	INFORMATION SVCS GROUP INC COM STK									
45675Y104	07/27/2017	07/24/2017	1,058.45	1,053.24			5.21		0.00	0.00

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2017 Tax Information Statement

Page 90 of 225

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293.0	INFREIT INC COM INFREIT INC									
45685L100	07/27/2017	07/24/2017	6,627.50	6,358.10			269.40		0.00	0.00
275.0	INGEVITY CORP COM									
45688C107	07/27/2017	07/24/2017	16,114.62	15,650.25			464.37		0.00	0.00
693.0	INGREDION INC COM									
457187102	07/27/2017	07/24/2017	85,098.43	84,428.19			670.24		0.00	0.00
341.0	INSMED INC COM PAR \$.01									
457669307	07/27/2017	07/24/2017	5,653.64	5,916.35			-262.71		0.00	0.00
192.0	INNOSPEC INC COM STK									
45768S105	07/27/2017	07/24/2017	12,007.40	12,364.80			-357.40		0.00	0.00
272.0	INPHI CORP COM									
45772F107	07/27/2017	07/24/2017	10,267.76	10,667.84			-400.08		0.00	0.00
455.0	INOVIO PHARMACEUTICALS INC COM NEW COM NEW									
45773H201	07/27/2017	07/24/2017	2,502.44	2,711.80			-209.36		0.00	0.00
277.0	INNERWORKINGS INC COM									
45773Y105	07/27/2017	07/24/2017	3,207.58	3,221.51			-13.93		0.00	0.00
134.0	INNOPHOS HLDGS INC COM STK									
45774N108	07/27/2017	07/24/2017	5,627.86	5,737.88			-110.02		0.00	0.00

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2017 Tax Information Statement

Page 91 of 225

Ref: PPX

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 44-74365
Recipient's Tax ID Number: XX-XXX8912

Recipient's Name and Address:
SOBRATO FAMILY FOUNDATION
10600 N DE ANZA BLVD STE 200
CUPERTINO, CA 95014-2059

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

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Description of property										
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
106.0	INSTEEL INDS INC COM									
45774W108	07/27/2017	07/24/2017	2,900.09	2,729.50			170.59		0.00	0.00
119.0	INSPERITY INC COM INC COM 2749099 3-14-2011									
45778Q107	07/27/2017	07/24/2017	8,834.35	8,466.85			367.50		0.00	0.00
140.0	INSTALLED BLDG PRODS INC COM									
45780R101	07/27/2017	07/24/2017	7,320.43	7,147.00			173.43		0.00	0.00
407.0	INOVALON HLDGS INC COM CL A COM CL A									
45781D101	07/27/2017	07/24/2017	5,164.71	5,291.00			-126.29		0.00	0.00
138.0	INSTRUCTURE INC COM									
45781U103	07/27/2017	07/24/2017	4,276.52	4,312.50			-35.98		0.00	0.00
379.0	INSULET CORP COM STK									
45784P101	07/27/2017	07/24/2017	19,802.29	19,996.04			-193.75		0.00	0.00
396.0	INTEGRA LIFESCIENCES CORP HLDGS NEW									
457985208	07/27/2017	07/24/2017	19,839.14	21,855.24			-2,016.10		0.00	0.00
116.0	INSYS THERAPEUTICS INC NEW COM NEW USD0.0002145 (POST REV-SPLI									
45824V209	07/27/2017	07/24/2017	1,329.32	1,414.04			-84.72		0.00	0.00
213.0	INTEGER HLDGS CORP COM									
45826H109	07/27/2017	07/24/2017	9,486.80	9,499.80			-13.00		0.00	0.00

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2017 Tax Information Statement

Page 92 of 225

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36.0	INTER PARFUMS INC COM									
458334109	07/27/2017	07/24/2017	1,430.60	1,333.80			96.80		0.00	0.00
365.0	INTERFACE INC COM									
458665304	07/27/2017	07/24/2017	7,168.43	6,898.50			269.93		0.00	0.00
939.0	INTERCONTINENTAL EXCHANGE INC COM COM									
45866F104	07/27/2017	07/24/2017	62,085.24	62,678.25			-593.01		0.00	0.00
559.0	INTERDIGITAL INC COM SEE 458660 COM									
45867G101	07/27/2017	Various	42,505.37	44,216.90			-1,711.53		0.00	0.00
518.0	#REORG/ INTERNAP REVERSE STOCK SPLIT INTERNAP 2L1NAZ2 21 NOV 2017									
45885A300	07/27/2017	07/24/2017	1,969.90	1,994.30			-24.40		0.00	0.00
878.0	INTL BANCSHARES CORP COM									
459044103	07/27/2017	Various	30,983.90	31,256.80			-272.90		0.00	0.00
95.0	INTL FLAVORS & FRAGRANCES INC									
459506101	07/27/2017	07/24/2017	12,688.85	12,588.45			100.40		0.00	0.00
151.0	INTERSECT ENT INC COM									
46071F103	07/27/2017	07/24/2017	4,120.69	4,318.60			-197.91		0.00	0.00
67.0	#REORG/INTRAWEST RESORTS CASH MERGER 08-01-2017									
46090K109	07/27/2017	07/24/2017	1,588.53	1,589.24			-0.71		0.00	0.00

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2017 Tax Information Statement

Page 93 of 225

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126.0	INTEVAC INC COM									
461148108	07/27/2017	07/24/2017	1,403.60	1,449.00			-45.40		0.00	0.00
459.0	INTRA-CELLULAR THERAPIES INC COM									
46116X101	07/27/2017	07/24/2017	5,347.22	5,751.27			-404.05		0.00	0.00
451.0	INVACARE CORP									
461203101	07/27/2017	07/24/2017	6,895.63	5,705.15			1,190.48		0.00	0.00
66.0	INTUITIVE SURGICAL INC COM NEW STK									
46120E602	07/27/2017	07/24/2017	61,500.01	62,645.22			-1,145.21		0.00	0.00
613.0	INVESCO MTG CAP INC COM STK									
46131B100	07/27/2017	07/24/2017	10,212.34	10,249.36			-37.02		0.00	0.00
1669.0	INVESTORS BANCORP INC NEW COM									
46146L101	07/27/2017	07/24/2017	22,497.59	21,763.76			733.83		0.00	0.00
405.0	INVESTORS REAL ESTATE TR COM STK									
461730103	07/27/2017	07/24/2017	2,528.35	2,494.80			33.55		0.00	0.00
517.0	INVESTORS REAL ESTATE TR COM STK									
461730103	07/27/2017	07/24/2017	3,226.00	3,184.72			41.28		0.00	0.00
8.0	INVESTORS TITLE CO NC COM									
461804106	07/27/2017	07/24/2017	1,422.76	1,363.20			59.56		0.00	0.00

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Page 94 of 225

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249.0	INVITAE CORP COM									
46185L103	07/27/2017	07/24/2017	2,390.34	2,338.11			52.23		0.00	0.00
522.0	IRIDIUM COMMUNICATIONS INC COM									
46269C102	07/27/2017	07/24/2017	5,710.54	5,611.50			99.04		0.00	0.00
869.0	IRONWOOD PHARMACEUTICALS INC COM CL A									
46333X108	07/27/2017	07/24/2017	14,459.82	14,868.59			-408.77		0.00	0.00
9.0	ISRAMCO INC COM NEW									
465141406	07/27/2017	07/24/2017	1,043.88	1,039.50			4.38		0.00	0.00
169.0	ITERIS INC NEW COM									
46564T107	07/27/2017	07/24/2017	1,056.22	1,034.28			21.94		0.00	0.00
221.0	ITRON INC									
465741106	07/27/2017	07/24/2017	15,986.77	16,044.60			-57.83		0.00	0.00
97.0	J & J SNACK FOODS CORP COM STK NPV									
466032109	07/27/2017	07/24/2017	12,693.12	13,346.23			-653.11		0.00	0.00
84.0	J JILL INC COM									
46620W102	07/27/2017	07/24/2017	1,049.13	1,037.40			11.73		0.00	0.00
1231.0	JABIL CIRCUIT INC									
466313103	07/27/2017	07/24/2017	38,394.00	38,062.52			331.48		0.00	0.00

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2017 Tax Information Statement

Page 95 of 225

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204.0	JAGGED PEAK ENERGY INC COM									
47009K107	07/27/2017	07/24/2017	3,015.05	2,670.36			344.69		0.00	0.00
147.0	JELD-WEN HLDG INC COM									
47580P103	07/27/2017	07/24/2017	4,730.35	4,679.01			51.34		0.00	0.00
3226.0	JETBLUE AMYS CORP									
477143101	07/27/2017	07/24/2017	70,002.58	73,423.76			-3,421.18		0.00	0.00
204.0	JOHN BEAN TECHNOLOGIES CORP COM STK									
477839104	07/27/2017	07/24/2017	18,826.72	19,023.00			-196.28		0.00	0.00
106.0	JOHNSON & JOHNSON									
478160104	07/27/2017	07/24/2017	13,866.59	14,099.06			-232.47		0.00	0.00
117.0	JOHNSON OUTDOORS INC CL A									
479167108	07/27/2017	07/24/2017	5,876.77	5,736.51			140.26		0.00	0.00
436.0	JONES LANG LASALLE INC									
48020Q107	07/27/2017	07/24/2017	55,248.64	57,735.12			-2,486.48		0.00	0.00
79.0	JOUNCE THERAPEUTICS INC. COM									
481116101	07/27/2017	07/24/2017	1,026.97	1,072.03			-45.06		0.00	0.00
2406.0	KBR INC COM									
48242W106	07/27/2017	Various	35,704.20	35,873.46			-169.26		0.00	0.00

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Page 96 of 225

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627.0	KLX INC COM									
482539103	07/27/2017	Various	32,716.10	32,509.95			206.15		0.00	0.00
60.0	KMG CHEMICALS INC COM									
482564101	07/27/2017	07/24/2017	3,050.92	3,095.40			-44.48		0.00	0.00
261.0	K2M GROUP HLDGS INC COM									
48273J107	07/27/2017	07/24/2017	6,430.89	6,655.50			-224.61		0.00	0.00
70.0	KADANT INC									
48282T104	07/27/2017	07/24/2017	5,445.17	5,390.00			55.17		0.00	0.00
141.0	KAISER ALUM CORP COM PAR \$0.01 COM PAR \$0.01									
483007704	07/27/2017	07/24/2017	13,634.38	13,782.75			-148.37		0.00	0.00
188.0	KEANE GROUP INC COM									
48669A108	07/27/2017	07/24/2017	2,968.45	2,765.48			202.97		0.00	0.00
166.0	KEARNY FINL CORP MD COM									
48716P108	07/27/2017	07/24/2017	2,447.28	2,448.50			-1.22		0.00	0.00
372.0	KEARNY FINL CORP MD COM									
48716P108	07/27/2017	07/24/2017	5,483.15	5,487.00			-3.85		0.00	0.00
293.0	KEMET CORP COM NEW									
488360207	07/27/2017	07/24/2017	4,840.24	4,928.26			-88.02		0.00	0.00

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2017 Tax Information Statement

Page 97 of 225

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682.0	KEMPER CORP DEL COM									
488401100	07/27/2017	Various	26,522.36	26,461.60			60.76		0.00	0.00
64.0	KEMPER CORP DEL COM									
488401100	07/27/2017	07/24/2017	2,489.09	2,483.20			5.89		0.00	0.00
173.0	KENNAMETAL INC									
489170100	07/27/2017	07/24/2017	6,561.73	6,622.44			-60.71		0.00	0.00
564.0	KENNEDY-WILSON HLDGS INC COM									
489398107	07/27/2017	Various	11,499.69	11,533.80			-34.11		0.00	0.00
581.0	KERYX BIOPHARMACEUTICALS INC COM ISIN US4925151015									
492515101	07/27/2017	07/24/2017	4,095.95	4,235.49			-139.54		0.00	0.00
154.0	KFORCE.COM INC									
493732101	07/27/2017	07/24/2017	2,855.09	2,926.00			-70.91		0.00	0.00
235.0	KIMBALL INTL INC CL B									
494274103	07/27/2017	07/24/2017	3,882.11	3,936.25			-54.14		0.00	0.00
3244.0	KIMCO REALTY CORP									
49446R109	07/27/2017	07/24/2017	68,164.59	61,376.48			6,788.11		0.00	0.00
3721.0	KINDER MORGAN INC DEL COM									
49456B101	07/27/2017	07/24/2017	76,129.90	75,647.93			481.97		0.00	0.00

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2017 Tax Information Statement

Page 98 of 225

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623.0	KINDRED HEALTHCARE INC									
494580103	07/27/2017	07/24/2017	5,756.38	7,071.05			-1,314.67		0.00	0.00
93.0	KINSALE CAP GROUP INC COM									
49714P108	07/27/2017	07/24/2017	3,566.46	3,625.14			-58.68		0.00	0.00
522.0	KIRBY EXPLORATION, INC.									
497266106	07/27/2017	07/24/2017	32,358.03	33,616.80			-1,258.77		0.00	0.00
315.0	#REORG/KITE PHARMA INC COM CASH MERGER 10-04-2017									
49803L109	07/27/2017	07/24/2017	34,293.25	35,588.70			-1,295.45		0.00	0.00
2027.0	KLONDEX MINES LTD COM									
498696103	07/27/2017	Various	6,324.09	6,567.48			-243.39		0.00	0.00
297.0	KNOLL INC COM NEW COM									
498904200	07/27/2017	07/24/2017	5,746.81	5,735.07			11.74		0.00	0.00
1047.0	KNIGHT TRANSPORTATION INC									
499064103	07/27/2017	Various	36,895.42	37,430.25			-534.83		0.00	0.00
1489.0	KNOWLES CORP COM									
49926D109	07/27/2017	Various	23,004.51	24,628.06			-1,623.55		0.00	0.00
361.0	KOPIN CORP COM									
500600101	07/27/2017	07/24/2017	1,378.98	1,444.00			-65.02		0.00	0.00

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Page 99 of 225

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134.0	KOPPERS HLDGS INC COM									
50060P106	07/27/2017	07/24/2017	4,896.24	4,971.40			-75.16		0.00	0.00
644.0	KRATOS DEFENSE & SECURITY SOLUTIONS INC									
50077B207	07/27/2017	Various	7,863.05	7,985.60			-122.55		0.00	0.00
145.0	KRONOS WORLDWIDE INC COM STK									
50105F105	07/27/2017	07/24/2017	3,004.33	3,010.20			-5.87		0.00	0.00
114.0	KURA ONCOLOGY INC COM									
50127T109	07/27/2017	07/24/2017	1,059.03	1,071.60			-12.57		0.00	0.00
96.0	LHC GROUP INC COM									
50187A107	07/27/2017	07/24/2017	5,599.55	6,106.08			-506.53		0.00	0.00
157.0	LCI INDUSTRIES COM COM USD0.01									
50189K103	07/27/2017	07/24/2017	16,812.74	16,092.50			720.24		0.00	0.00
651.0	LSI INDS INC OHIO COM									
50216C108	07/27/2017	07/24/2017	5,331.56	5,741.82			-410.26		0.00	0.00
240.0	LTC PPTYS INC COM									
502175102	07/27/2017	Various	12,316.51	12,307.20			9.31		0.00	0.00
112.0	LA JOLLA PHARMACEUTICAL CO COM PAR \$.0001 2014 COM PAR \$.0001 2014									
503459604	07/27/2017	07/24/2017	3,614.15	3,813.60			-199.45		0.00	0.00

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2017 Tax Information Statement

Page 100 of 225

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529.0	LA QUINTA HLDGS INC COM									
50420D108	07/27/2017	Various	7,966.55	7,622.89			343.66		0.00	0.00
316.0	LA Z BOY CHAIR CO									
505336107	07/27/2017	Various	10,503.59	10,238.40			265.19		0.00	0.00
479.0	LADDER CAP CORP CL A CL A									
505743104	07/27/2017	07/24/2017	6,346.60	6,322.80			23.80		0.00	0.00
349.0	LAKELAND BANCORP INC COM									
511637100	07/27/2017	07/24/2017	6,679.70	6,840.40			-160.70		0.00	0.00
177.0	LAKELAND FINL CORP COM STK									
511656100	07/27/2017	Various	8,111.72	8,037.57			74.15		0.00	0.00
805.0	LAMAR ADVERTISING CO NEW CL A CL A									
512816109	07/27/2017	07/24/2017	57,628.61	58,547.65			-919.04		0.00	0.00
300.0	LANCASTER COLONY CORP									
513847103	07/27/2017	Various	37,674.02	37,722.00			-47.98		0.00	0.00
9.0	LANCASTER COLONY CORP									
513847103	07/27/2017	07/24/2017	1,130.19	1,131.66			-1.47		0.00	0.00
480.0	LANDEC CORP									
514766104	07/27/2017	Various	6,163.05	6,888.00			-724.95		0.00	0.00

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2017 Tax Information Statement

Page 101 of 225

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 44-74365
Recipient's Tax ID Number: XX-XXX8912
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
SOBRATO FAMILY FOUNDATION
10600 N DE ANZA BLVD STE 200
CUPERTINO, CA 95014-2059
Ref: PPX

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Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
59.0	LANDAUER INC									
51476K103	07/27/2017	07/24/2017	3,197.13	3,247.95			-50.82		0.00	0.00
401.0	LANDSTAR SYS INC									
515098101	07/27/2017	07/24/2017	32,716.83	35,508.55			-2,791.72		0.00	0.00
154.0	LANTHEUS HLDGS INC COM									
516544103	07/27/2017	07/24/2017	2,908.99	2,949.10			-40.11		0.00	0.00
1839.0	LASALLE HOTEL PPTYS COM SH BEN INT									
517942108	07/27/2017	Various	54,267.63	53,901.09			366.54		0.00	0.00
794.0	LATTICE SEMICONDUCTOR CORP									
518415104	07/27/2017	07/24/2017	5,486.41	5,383.32			103.09		0.00	0.00
979.0	LAUDER ESTEE COS INC CL A									
518439104	07/27/2017	07/24/2017	97,427.82	95,697.25			1,730.57		0.00	0.00
333.0	LAUREATE ED INC CL A CL A									
518613203	07/27/2017	07/24/2017	5,531.00	5,724.27			-193.27		0.00	0.00
96.0	LEMAITRE VASCULAR INC COM STK									
525558201	07/27/2017	07/24/2017	2,725.37	2,734.08			-8.71		0.00	0.00
1908.0	LENDINGCLUB CORP COM									
52603A109	07/27/2017	07/24/2017	9,883.21	9,692.64			190.57		0.00	0.00

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2017 Tax Information Statement

Page 102 of 225

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42.0	LENDINGTREE INC NEW COM USD0.01									
52603B107	07/27/2017	07/24/2017	9,119.66	7,742.70			1,376.96		0.00	0.00
275.0	LEXICON PHARMACEUTICALS INC FORMERLY LE COMMON STOCK									
528872302	07/27/2017	07/24/2017	4,498.89	4,697.00			-198.11		0.00	0.00
1442.0	LEXINGTON CORP PROPERTIES TR									
529043101	07/27/2017	07/24/2017	14,607.12	14,535.36			71.76		0.00	0.00
61.0	LIBERTY MEDIA CORP DEL COM SER A BRAVES GROUP COM SER A									
531229706	07/27/2017	07/24/2017	1,538.99	1,535.98			3.01		0.00	0.00
219.0	LIBERTY MEDIA CORP DEL COM SER C BRAVES GROUP COM SER C									
531229888	07/27/2017	07/24/2017	5,503.34	5,470.62			32.72		0.00	0.00
513.0	LIBERTY TRIPADVISOR HLDGS INC COM USD0.01 'A'									
531465102	07/27/2017	07/24/2017	6,073.77	5,822.55			251.22		0.00	0.00
131.0	LIGAND PHARMACEUTICALS INCORPORATED CL BCOMMON STOCK									
53220K504	07/27/2017	07/24/2017	15,934.47	16,209.94			-275.47		0.00	0.00
446.0	LIFE STORAGE INC COM									
53223X107	07/27/2017	07/24/2017	32,303.03	33,119.96			-816.93		0.00	0.00
279.0	LILIS ENERGY INC COM NEW COM NEW									
532403201	07/27/2017	07/24/2017	1,273.05	1,300.14			-27.09		0.00	0.00

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2017 Tax Information Statement

Page 103 of 225

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330.0	LIMELIGHT NETWORKS INC COM									
53261M104	07/27/2017	07/24/2017	1,154.97	1,052.70			102.27		0.00	0.00
47.0	LIMONEIRA CO COM STK									
532746104	07/27/2017	07/24/2017	1,076.27	1,020.37			55.90		0.00	0.00
473.0	LINCOLN ELEC HLDGS INC									
533900106	07/27/2017	07/24/2017	41,400.73	44,211.31			-2,810.58		0.00	0.00
488.0	LINCOLN NATIONAL CORP IND									
534187109	07/27/2017	07/24/2017	35,301.10	34,833.44			467.66		0.00	0.00
134.0	LINDBLAD EXPEDITIONS HLDGS INC COM									
535219109	07/27/2017	07/24/2017	1,345.32	1,340.00			5.32		0.00	0.00
67.0	LINDSAY MANUFACTURING COMPANY COMMON									
535555106	07/27/2017	07/24/2017	6,102.88	6,211.57			-108.69		0.00	0.00
150.0	LITHIA MTRS INC CL A									
536797103	07/27/2017	07/24/2017	15,005.65	14,755.50			250.15		0.00	0.00
365.0	LITTELFUSE INC									
537008104	07/27/2017	Various	65,844.47	67,276.80			-1,432.33		0.00	0.00
107.0	LIVE OAK BANCSHARES INC COM									
53803X105	07/27/2017	07/24/2017	2,615.01	2,658.95			-43.94		0.00	0.00

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2017 Tax Information Statement

Page 104 of 225

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367.0	LIVEPERSON INC COM STK ISIN# US5381461012									
538146101	07/27/2017	07/24/2017	4,950.71	4,165.45			785.26		0.00	0.00
102.0	LOCKHEED MARTIN CORP									
539830109	07/27/2017	07/24/2017	29,387.56	29,608.56			-221.00		0.00	0.00
93.0	LORAL SPACE & COMMUNICATIONS INC COM									
543881106	07/27/2017	07/24/2017	4,235.12	4,152.45			82.67		0.00	0.00
1111.0	LOUISIANA PACIFIC CORP									
546347105	07/27/2017	07/24/2017	27,963.22	28,186.07			-222.85		0.00	0.00
129.0	LOXO ONCOLOGY INC COM									
548862101	07/27/2017	07/24/2017	9,331.64	9,580.83			-249.19		0.00	0.00
112.0	LYDALL, INC.									
550819106	07/27/2017	07/24/2017	5,615.55	5,577.60			37.95		0.00	0.00
291.0	LYON WILLIAM HOMES CL A NEW COM USD0.01 CL'A'(POST REV SPLIT)									
552074700	07/27/2017	Various	6,794.69	6,762.84			31.85		0.00	0.00
562.0	M & T BK CORP									
55261F104	07/27/2017	07/24/2017	89,355.93	90,111.08			-755.15		0.00	0.00
589.0	MBIA INC COM									
55262C100	07/27/2017	07/24/2017	6,007.66	6,084.37			-76.71		0.00	0.00

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2017 Tax Information Statement

Page 105 of 225

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253.0	MBIA INC COM									
55262C100	07/27/2017	07/24/2017	2,581.30	2,613.49			-32.19		0.00	0.00
1229.0	MB FINL INC NEW COM									
55264U108	07/27/2017	Various	51,014.61	49,491.83			1,522.78		0.00	0.00
676.0	MDC PARTNERS INC CL A SUB VTG SHS CL A SUB VTG SHS									
552697104	07/27/2017	Various	6,888.28	6,929.00			-40.72		0.00	0.00
117.0	MCBC HLDGS INC COM									
55276F107	07/27/2017	07/24/2017	2,225.28	2,279.16			-53.88		0.00	0.00
242.0	MGE ENERGY INC COM .									
55277P104	07/27/2017	Various	16,162.80	15,475.90			686.90		0.00	0.00
2409.0	MGIC INVT CORP WIS									
552848103	07/27/2017	07/24/2017	27,919.66	28,378.02			-458.36		0.00	0.00
73.0	MGP INGREDIENTS INC NEW COM									
55303J106	07/27/2017	07/24/2017	4,295.22	4,277.80			17.42		0.00	0.00
349.0	MKS INSTRUMENT INC									
55306N104	07/27/2017	07/24/2017	29,522.27	28,024.70			1,497.57		0.00	0.00
618.0	MRC GLOBAL INC COM									
55345K103	07/27/2017	07/24/2017	10,042.26	9,918.90			123.36		0.00	0.00

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Page 106 of 225

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513.0	MSA SAFETY INC COM									
553498106	07/27/2017	Various	40,310.60	40,701.42			-390.82		0.00	0.00
438.0	MSC INDL DIRECT INC CL A									
553530106	07/27/2017	07/24/2017	31,644.76	31,172.46			472.30		0.00	0.00
877.0	MSCI INC COMMON									
55354G100	07/27/2017	07/24/2017	96,292.37	95,575.46			716.91		0.00	0.00
414.0	MSG NETWORK INC CL A CL A									
553573106	07/27/2017	07/24/2017	9,000.15	8,901.00			99.15		0.00	0.00
305.0	MTGE INVT CORP COM									
55378A105	07/27/2017	07/24/2017	5,700.31	5,703.50			-3.19		0.00	0.00
47.0	MYR GROUP INC DEL COM STK									
55405W104	07/27/2017	07/24/2017	1,496.91	1,481.91			15.00		0.00	0.00
262.0	MACOM TECHNOLOGY SOLUTIONS HOLDINGS INC COM STK									
55405Y100	07/27/2017	07/24/2017	15,918.75	16,681.54			-762.79		0.00	0.00
1452.0	MACK CALI RLTY CORP									
554489104	07/27/2017	Various	37,896.32	37,795.56			100.76		0.00	0.00
394.0	MACROGENICS INC COM									
556099109	07/27/2017	Various	6,642.68	7,044.72			-402.04		0.00	0.00

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2017 Tax Information Statement

Page 107 of 225

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381.0	STEVEN MADDEN LTD									
556269108	07/27/2017	07/24/2017	15,845.42	15,201.90			643.52		0.00	0.00
111.0	MAGELLAN HEALTH INC COM NEW COM NEW									
559079207	07/27/2017	07/24/2017	8,745.48	8,907.75			-162.27		0.00	0.00
195.0	MAINSOURCE FINL GROUP INC COM									
56062Y102	07/27/2017	07/24/2017	6,805.34	6,522.75			282.59		0.00	0.00
117.0	MALIBU BOATS INC COM CL A COM CL A									
56117J100	07/27/2017	07/24/2017	3,321.55	3,352.05			-30.50		0.00	0.00
290.0	MANHATTAN ASSOCS INC COM									
562750109	07/27/2017	07/24/2017	12,904.70	12,809.30			95.40		0.00	0.00
997.0	MANITOWOC INC									
563571108	07/27/2017	07/24/2017	5,762.52	5,782.60			-20.08		0.00	0.00
174.0	MANTECH INTL CORP CL A CL A									
564563104	07/27/2017	07/24/2017	6,749.30	6,806.01			-56.71		0.00	0.00
5165.0	MARATHON OIL CORP									
565849106	07/27/2017	07/24/2017	63,114.84	61,360.20			1,754.64		0.00	0.00
236.0	MARATHON PETE CORP COM									
56585A102	07/27/2017	07/24/2017	13,248.73	13,112.16			136.57		0.00	0.00

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2017 Tax Information Statement

Page 108 of 225

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103.0	MARCUS & MILLICHAP INC COM									
566324109	07/27/2017	07/24/2017	2,691.32	2,739.80			-48.48		0.00	0.00
105.0	MARCUS CORP									
566330106	07/27/2017	07/24/2017	2,902.13	2,971.50			-69.37		0.00	0.00
95.0	MARINEMAX INC COM									
567908108	07/27/2017	07/24/2017	1,390.76	1,344.25			46.51		0.00	0.00
71.0	MARINE PRODS CORP COM									
568427108	07/27/2017	07/24/2017	1,030.89	1,053.64			-22.75		0.00	0.00
364.0	MARKETAXESS HLDGS INC COM STK									
57060D108	07/27/2017	07/24/2017	74,803.91	71,617.00			3,186.91		0.00	0.00
127.0	MARRIOTT VACATIONS WORLDWIDE CORP COM COM									
57164Y107	07/27/2017	07/24/2017	14,739.27	14,635.48			103.79		0.00	0.00
349.0	MARTEN TRANS LTD COM									
573075108	07/27/2017	07/24/2017	5,528.03	5,706.15			-178.12		0.00	0.00
1726.0	MASCO CORP									
574599106	07/27/2017	07/24/2017	66,069.75	65,104.72			965.03		0.00	0.00
115.0	MASCO CORP									
574599106	07/27/2017	07/24/2017	4,402.43	4,337.80			64.63		0.00	0.00

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2017 Tax Information Statement

Page 109 of 225

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729.0	MASIMO CORP COM STK									
574795100	07/27/2017	Various	69,632.47	71,704.44			-2,071.97		0.00	0.00
192.0	MASONITE INTL CORP NEW COM									
575385109	07/27/2017	07/24/2017	14,916.13	14,716.80			199.33		0.00	0.00
418.0	MASTEC INC COM									
576323109	07/27/2017	07/24/2017	19,432.37	19,583.30			-150.93		0.00	0.00
1358.0	MATADOR RES CO COM									
576485205	07/27/2017	Various	33,433.18	31,668.56			1,764.62		0.00	0.00
163.0	MATERION CORP COM									
576690101	07/27/2017	07/24/2017	6,314.47	6,194.00			120.47		0.00	0.00
84.0	MATSON INC COM									
57686G105	07/27/2017	07/24/2017	2,379.07	2,452.80			-73.73		0.00	0.00
280.0	MATSON INC COM									
57686G105	07/27/2017	Various	7,929.41	8,176.00			-246.59		0.00	0.00
202.0	MATTHEWS INTL CORP CL A CL A									
577128101	07/27/2017	07/24/2017	13,016.57	13,089.60			-73.03		0.00	0.00
61.0	MAUI LD & PINEAPPLE INC COM									
577345101	07/27/2017	07/24/2017	993.66	1,116.30			-122.64		0.00	0.00

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2017 Tax Information Statement

Page 110 of 225

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552.0	MAXWELL TECHNOLOGIES INC COM									
577767106	07/27/2017	07/24/2017	3,190.48	3,251.28			-60.80		0.00	0.00
426.0	MAXWELL TECHNOLOGIES INC COM									
577767106	07/27/2017	07/24/2017	2,463.50	2,509.14			-45.64		0.00	0.00
391.0	MAXLINEAR INC COMMON STOCK									
57776J100	07/27/2017	07/24/2017	10,228.32	10,451.43			-223.11		0.00	0.00
1043.0	MAXIMUS INC									
577933104	07/27/2017	Various	62,839.29	63,122.36			-283.07		0.00	0.00
1978.0	MCDERMOTT INTERNATIONAL INC									
580037109	07/27/2017	07/24/2017	13,687.44	14,024.02			-336.58		0.00	0.00
837.0	MCDONALDS CORP									
580135101	07/27/2017	07/24/2017	131,355.74	127,098.45			4,257.29		0.00	0.00
166.0	MCGRATH RENTCORP COM									
580589109	07/27/2017	07/24/2017	5,771.68	5,728.66			43.02		0.00	0.00
374.0	MCKESSON HBOC INC									
58155Q103	07/27/2017	07/24/2017	60,781.07	62,360.76			-1,579.69		0.00	0.00
3506.0	MEDICAL PPTYS TR INC COM REIT									
58463J304	07/27/2017	07/24/2017	45,226.35	45,157.28			69.07		0.00	0.00

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2017 Tax Information Statement

Page 111 of 225

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 44-74365
Recipient's Tax ID Number: XX-XXX8912
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
SOBRATO FAMILY FOUNDATION
10600 N DE ANZA BLVD STE 200
CUPERTINO, CA 95014-2059
Ref: PPX

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Description of property										
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
195.0	MEDICINOVA INC COM NEW STK									
58468P206	07/27/2017	07/24/2017	1,015.92	1,056.90			-40.98		0.00	0.00
68.0	MEDIFAST INC									
58470H101	07/27/2017	07/24/2017	2,915.77	2,858.72			57.05		0.00	0.00
572.0	MEDIDATA SOLUTIONS INC COM									
58471A105	07/27/2017	07/24/2017	43,476.71	43,918.16			-441.45		0.00	0.00
216.0	MERCANTILE BK CORP COM									
587376104	07/27/2017	07/24/2017	6,922.64	6,873.12			49.52		0.00	0.00
350.0	MERCURY GEN CORP NEW COM									
589400100	07/27/2017	07/24/2017	19,372.05	19,180.00			192.05		0.00	0.00
621.0	MEREDITH CORPORATION									
589433101	07/27/2017	Various	38,432.80	37,849.95			582.85		0.00	0.00
235.0	MERIDIAN BIOSCIENCE INC COM									
589584101	07/27/2017	07/24/2017	3,440.32	3,583.75			-143.43		0.00	0.00
551.0	MERIDIAN BANCORP INC MD COM									
58958U103	07/27/2017	Various	9,693.51	9,063.95			629.56		0.00	0.00
217.0	MERITAGE HOMES CORP COM									
59001A102	07/27/2017	Various	9,013.97	9,038.05			-24.08		0.00	0.00

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2017 Tax Information Statement

Page 112 of 225

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583.0	MERITOR INC COM									
59001K100	07/27/2017	07/24/2017	10,184.77	10,429.87			-245.10		0.00	0.00
149.0	MERITOR INC COM									
59001K100	07/27/2017	07/24/2017	2,603.41	2,665.61			-62.20		0.00	0.00
819.0	#REORG/ MERRIMACK REVERSE STOCK SPLIT MERRIMACK 2J13AU2									
590328100	09-06-2017	07/27/2017	1,081.05	1,072.89			8.16		0.00	0.00
21.0	MESA LABS INC COM									
59064R109	07/27/2017	07/24/2017	3,013.01	3,122.70			-109.69		0.00	0.00
80.0	META FINL GROUP INC COM TO META FINL GROUP SEC # 2011549 1/28/05									
59100U108	07/27/2017	07/24/2017	7,379.02	7,436.00			-56.98		0.00	0.00
470.0	MICROVISION INC									
594960304	07/27/2017	07/24/2017	1,062.17	1,066.90			-4.73		0.00	0.00
65.0	MICROSTRATEGY INC CL A NEW									
594972408	07/27/2017	Various	12,295.76	12,473.50			-177.74		0.00	0.00
1112.0	MICROSEMI CORP									
595137100	07/27/2017	07/24/2017	59,646.30	58,791.44			854.86		0.00	0.00
90.0	MIDDLESEX WTR CO COM									
596680108	07/27/2017	07/24/2017	3,553.11	3,634.20			-81.09		0.00	0.00

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2017 Tax Information Statement

Page 113 of 225

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166.0	MIDWESTONE FINL GROUP INC NEW COM STK									
598511103	07/27/2017	07/24/2017	5,711.92	5,758.54			-46.62		0.00	0.00
257.0	MILACRON HLDGS CORP COM									
59870L106	07/27/2017	07/24/2017	4,726.12	4,831.60			-105.48		0.00	0.00
1006.0	MILLER HERMAN INC									
600544100	07/27/2017	Various	34,045.27	34,002.80			42.47		0.00	0.00
225.0	MILLER INDS INC TENN COM NEW									
600551204	07/27/2017	07/24/2017	5,847.61	5,726.25			121.36		0.00	0.00
241.0	MINDBODY INC COM CL A COM CL A									
60255W105	07/27/2017	07/24/2017	6,142.94	6,314.20			-171.26		0.00	0.00
553.0	MINERALS TECHNOLOGIES INC									
603158106	07/27/2017	Various	39,560.70	40,230.75			-670.05		0.00	0.00
135.0	MINERVA NEUROSCIENCES INC COM									
603380106	07/27/2017	07/24/2017	909.87	1,053.00			-143.13		0.00	0.00
477.0	MIRAGEN THERAPEUTICS INC COM									
60463E103	07/27/2017	Various	6,758.93	6,978.51			-219.58		0.00	0.00
181.0	MITEK SYS INC COM NEW COM NEW									
606710200	07/27/2017	07/24/2017	1,817.19	1,828.10			-10.91		0.00	0.00

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Page 114 of 225

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360.0	MOBILEIRON INC COM NEW COM NEW									
60739U204	07/27/2017	07/24/2017	1,994.35	2,142.00			-147.65		0.00	0.00
301.0	MOBILE MINI INC									
60740F105	07/27/2017	07/24/2017	9,071.93	9,195.55			-123.62		0.00	0.00
128.0	MODEL N INC COM USD0.00015									
607525102	07/27/2017	07/24/2017	1,681.88	1,651.20			30.68		0.00	0.00
162.0	MOELIS & CO CL A CL A									
60786M105	07/27/2017	07/24/2017	6,526.82	6,585.30			-58.48		0.00	0.00
698.0	MOLINA HEALTHCARE INC COM									
60855R100	07/27/2017	Various	47,951.49	49,278.80			-1,327.31		0.00	0.00
481.0	MOMENTA PHARMACEUTICALS INC COM STK									
60877T100	07/27/2017	Various	7,835.30	8,080.80			-245.50		0.00	0.00
194.0	MONARCH CASINO & RESORT INC COM									
609027107	07/27/2017	07/24/2017	6,607.48	5,779.26			828.22		0.00	0.00
348.0	MONEYGRAM INTL INC COM NEW COM NEW									
60935Y208	07/27/2017	07/24/2017	5,647.90	5,547.12			100.78		0.00	0.00
489.0	MONMOUTH REAL ESTATE CORP MD TO MONMOUTH R.ESTATE COM MD									
609720107	5/15/03 07/27/2017	Various	7,579.32	7,511.04			68.28		0.00	0.00

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Page 115 of 225

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892.0	#REORG/ MONOGRAM RESIDENTIAL CASH MERGER 09-19-2017									
60979P105	07/27/2017	07/24/2017	10,650.23	10,659.40			-9.17		0.00	0.00
622.0	MONOLITHIC PWR SYS INC COM									
609839105	07/27/2017	Various	65,041.03	65,216.70			-175.67		0.00	0.00
132.0	MONOTYPE IMAGING HLDGS INC COM STK									
61022P100	07/27/2017	07/24/2017	2,434.02	2,481.60			-47.58		0.00	0.00
584.0	MONSANTO CO COM									
61166W101	07/27/2017	07/24/2017	68,612.57	68,620.00			-7.43		0.00	0.00
1163.0	MONSTER BEVERAGE CORP NEW COM									
61174X109	07/27/2017	07/24/2017	62,823.80	61,324.99			1,498.81		0.00	0.00
202.0	MOOG INC CL A									
615394202	07/27/2017	Various	14,923.41	14,830.84			92.57		0.00	0.00
132.0	MOVADO GROUP INC									
624580106	07/27/2017	07/24/2017	3,265.60	3,253.80			11.80		0.00	0.00
100.0	MOVADO GROUP INC									
624580106	07/27/2017	07/24/2017	2,474.24	2,465.00			9.24		0.00	0.00
370.0	MUELLER INDS INC									
624756102	07/27/2017	07/24/2017	11,469.73	11,140.70			329.03		0.00	0.00

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Page 116 of 225

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1110.0	MUELLER WTR PRODS INC COM SER A STK									
624758108	07/27/2017	Various	12,742.50	12,598.50			144.00		0.00	0.00
99.0	MULESOFT INC CL A CL A									
625207105	07/27/2017	07/24/2017	2,611.55	2,615.58			-4.03		0.00	0.00
90.0	MULTI-COLOR CORP COM									
625383104	07/27/2017	07/24/2017	7,198.93	7,200.00			-1.07		0.00	0.00
346.0	MURPHY USA INC COM									
626755102	07/27/2017	07/24/2017	26,312.69	25,472.52			840.17		0.00	0.00
176.0	MUTUALFIRST FINL INC COM									
62845B104	07/27/2017	07/24/2017	6,043.70	5,799.20			244.50		0.00	0.00
151.0	MYERS INDS INC COM									
628464109	07/27/2017	07/24/2017	2,640.92	2,770.85			-129.93		0.00	0.00
105.0	MYOKARDIA INC COM									
62857M105	07/27/2017	07/24/2017	1,647.41	1,491.00			156.41		0.00	0.00
253.0	NBT BANCORP INC									
628778102	07/27/2017	07/24/2017	9,097.66	9,292.69			-195.03		0.00	0.00
257.0	NCI BUILDING SYSTEMS INC COM NEW									
628852204	07/27/2017	07/24/2017	4,661.87	4,510.35			151.52		0.00	0.00

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Page 117 of 225

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67.0	NCS MULTISTAGE HLDGS INC COM									
628877102	07/27/2017	07/24/2017	1,477.31	1,467.30			10.01		0.00	0.00
437.0	NIC INC COM									
62914B100	07/27/2017	07/24/2017	6,987.46	7,123.10			-135.64		0.00	0.00
214.0	NMI HLDGS INC CL A CL A									
629209305	07/27/2017	07/24/2017	2,502.24	2,493.10			9.14		0.00	0.00
436.0	NMI HLDGS INC CL A CL A									
629209305	07/27/2017	Various	5,096.72	5,079.40			17.32		0.00	0.00
175.0	NN INC COM									
629337106	07/27/2017	07/24/2017	4,871.88	4,978.75			-106.87		0.00	0.00
2701.0	NRG ENERGY INC COM									
629377508	07/27/2017	07/24/2017	66,443.06	68,281.28			-1,838.22		0.00	0.00
321.0	NRG YIELD INC CL A NEW CL A NEW									
62942X306	07/27/2017	07/24/2017	5,832.43	5,803.68			28.75		0.00	0.00
435.0	NRG YIELD INC COM USD0.01 'C'									
62942X405	07/27/2017	07/24/2017	8,086.46	8,091.00			-4.54		0.00	0.00
29.0	NVE CORP COM NEW COM NEW									
629445206	07/27/2017	07/24/2017	2,262.52	2,196.46			66.06		0.00	0.00

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Page 118 of 225

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33.0	NVR INC									
62944T105	07/27/2017	07/24/2017	87,231.17	87,934.77			-703.60		0.00	0.00
39.0	NV5 GLOBAL INC COMMON STOCK									
62945V109	07/27/2017	07/24/2017	1,621.97	1,630.20			-8.23		0.00	0.00
87.0	NACCO IND INC CL A COM									
629579103	07/27/2017	07/24/2017	6,093.33	5,781.15			312.18		0.00	0.00
67.0	NANOSTRING TECHNOLOGIES INC COM									
63009R109	07/27/2017	07/24/2017	1,045.84	1,065.30			-19.46		0.00	0.00
106.0	NAPCO SECURITY TECHNOLOGIES INC									
630402105	07/27/2017	07/24/2017	984.71	1,022.90			-38.19		0.00	0.00
202.0	NATERA INC COM									
632307104	07/27/2017	07/24/2017	1,718.98	1,864.46			-145.48		0.00	0.00
18.0	NATHANS FAMOUS INC NEW COM									
632347100	07/27/2017	07/24/2017	1,158.09	1,176.30			-18.21		0.00	0.00
229.0	NATIONAL BK HLDGS CORP CL A COM STK									
633707104	07/27/2017	Various	7,845.35	7,625.70			219.65		0.00	0.00
76.0	NATIONAL BEVERAGE CORP COM									
635017106	07/27/2017	07/24/2017	7,752.58	8,008.12			-255.54		0.00	0.00

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768.0	NATIONAL CINEMEDIA INC COM									
635309107	07/27/2017	07/24/2017	5,729.14	5,698.56			30.58		0.00	0.00
32.0	NATIONAL COMM CORP COM									
63546L102	07/27/2017	07/24/2017	1,276.45	1,281.60			-5.15		0.00	0.00
85.0	NATL HEALTHCARE CORP COM									
635906100	07/27/2017	07/24/2017	5,666.81	5,796.15			-129.34		0.00	0.00
467.0	NATIONAL GEN HLDGS CORP COM									
636220303	07/27/2017	Various	9,923.52	9,928.42			-4.90		0.00	0.00
237.0	NATL HLTH INVS INC COM									
63633D104	07/27/2017	Various	18,179.84	18,218.19			-38.35		0.00	0.00
9.0	NATIONAL PRESTO INDUSTRIES INC									
637215104	07/27/2017	07/24/2017	1,022.28	1,005.30			16.98		0.00	0.00
55.0	NATIONAL RESH CORP CL A CL A									
637372202	07/27/2017	07/24/2017	1,602.66	1,608.75			-6.09		0.00	0.00
1434.0	NATIONAL RETAIL PPTYS INC COM STK									
637417106	07/27/2017	07/24/2017	58,405.47	57,582.84			822.63		0.00	0.00
265.0	NATIONAL STORAGE AFFILIATES TR COM SHS BEN INT COM SHS BEN INT									
637870106	07/27/2017	07/24/2017	5,901.41	5,991.65			-90.24		0.00	0.00

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Page 120 of 225

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20.0	NATIONAL WESTN LIFE GROUP INC CL A COMMON STOCK									
638517102	07/27/2017	07/24/2017	6,610.84	6,627.20			-16.36		0.00	0.00
318.0	NATIONSTAR MTG HLDGS INC COM STK									
63861C109	07/27/2017	07/24/2017	5,749.30	5,768.52			-19.22		0.00	0.00
224.0	NATURAL GAS SVCS GROUP INC COM									
63886Q109	07/27/2017	07/24/2017	5,687.22	5,499.20			188.02		0.00	0.00
64.0	NATURAL HEALTH TRENDS CORP COM PAR \$.001COM PAR \$.001									
63888P406	07/27/2017	07/24/2017	1,598.68	1,609.60			-10.92		0.00	0.00
118.0	NAVIGATOR GROUP INC.									
638904102	07/27/2017	07/24/2017	6,559.46	6,596.20			-36.74		0.00	0.00
209.0	NATUS MEDICAL INC DEL									
639050103	07/27/2017	07/24/2017	7,490.38	8,036.05			-545.67		0.00	0.00
169.0	NAUTILUS INC COM									
63910B102	07/27/2017	07/24/2017	3,141.63	3,092.70			48.93		0.00	0.00
235.0	NAVISTAR INTL CORP NEW									
63934E108	07/27/2017	Various	7,186.13	6,991.25			194.88		0.00	0.00
88.0	NEENAH PAPER INC									
640079109	07/27/2017	07/24/2017	7,131.35	6,982.80			148.55		0.00	0.00

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Page 121 of 225

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50.0	#REORG/NEFF CORP CASH MERGER 10-02-2017									
640094207	07/27/2017	07/24/2017	1,044.47	1,050.00			-5.53		0.00	0.00
119.0	NELNET INC CL A CL A									
64031N108	07/27/2017	07/24/2017	5,780.88	5,744.13			36.75		0.00	0.00
240.0	NEOGEN CORP COM									
640491106	07/27/2017	07/24/2017	15,450.84	15,616.80			-165.96		0.00	0.00
356.0	NEOGENOMICS INC COM NEW COM NEW									
64049M209	07/27/2017	07/24/2017	3,296.48	3,264.52			31.96		0.00	0.00
388.0	NETFLIX INC COM STK									
64110L106	07/27/2017	07/24/2017	70,874.32	72,909.08			-2,034.76		0.00	0.00
1467.0	NETSCOUT SYS INC									
64115T104	07/27/2017	Various	50,889.05	49,511.25			1,377.80		0.00	0.00
739.0	#REORG/NEUSTAR INC CASH MERGER 08 AUG 2017									
64126X201	07/27/2017	Various	24,637.69	24,608.70			28.99		0.00	0.00
179.0	NEVRO CORP COM									
64157F103	07/27/2017	07/24/2017	15,493.88	15,565.84			-71.96		0.00	0.00
1403.0	NEW JERSEY RESOURCES CORP									
646025106	07/27/2017	Various	59,471.79	57,523.00			1,948.79		0.00	0.00

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2017 Tax Information Statement

Page 122 of 225

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 44-74365
Recipient's Tax ID Number: XX-XXX8912
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
SOBRATO FAMILY FOUNDATION
10600 N DE ANZA BLVD STE 200
CUPERTINO, CA 95014-2059

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190.0	NEW RELIC INC COM									
64829B100	07/27/2017	07/24/2017	9,066.59	9,021.20			45.39		0.00	0.00
247.0	NEW SR INVT GROUP INC COM									
648691103	07/27/2017	07/24/2017	2,576.89	2,558.92			17.97		0.00	0.00
374.0	NEW SR INVT GROUP INC COM									
648691103	07/27/2017	07/24/2017	3,900.72	3,874.64			26.08		0.00	0.00
4726.0	NEW YORK COMMUNITY BANCORP INC COM									
649445103	07/27/2017	07/24/2017	60,774.95	61,816.08			-1,041.13		0.00	0.00
910.0	NEW YORK MTG TR INC COM PAR \$.02 COM PAR\$.02									
649604501	07/27/2017	07/24/2017	5,723.76	5,733.00			-9.24		0.00	0.00
1838.0	NEW YORK TIMES CO CL A									
650111107	07/27/2017	Various	36,648.87	33,543.50			3,105.37		0.00	0.00
2309.0	NEWFIELD EXPL CO COM									
651290108	07/27/2017	07/24/2017	65,758.80	61,557.94			4,200.86		0.00	0.00
146.0	NEWLINK GENETICS CORP COM STK									
651511107	07/27/2017	07/24/2017	1,020.51	1,068.72			-48.21		0.00	0.00
91.0	NEWMARKET CORP COM									
651587107	07/27/2017	07/24/2017	42,218.47	42,395.08			-176.61		0.00	0.00

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2017 Tax Information Statement

Page 123 of 225

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785.0	NEWPARK RESOURCES INC NEW									
651718504	07/27/2017	07/24/2017	5,840.26	5,691.25			149.01		0.00	0.00
294.0	NEXSTAR MEDIA GROUP INC CL A CL A									
65336K103	07/27/2017	07/24/2017	19,474.11	19,418.70			55.41		0.00	0.00
228.0	NEXPOINT RESIDENTIAL TR INC COM									
65341D102	07/27/2017	07/24/2017	5,788.78	5,720.52			68.26		0.00	0.00
105.0	NICOLET BANKSHARES INC COM									
65406E102	07/27/2017	07/24/2017	5,673.01	5,699.40			-26.39		0.00	0.00
2357.0	NISOURCE INC									
65473P105	07/27/2017	07/24/2017	61,940.52	61,494.13			446.39		0.00	0.00
519.0	NORDSON CORP									
655663102	07/27/2017	07/24/2017	65,247.17	65,035.89			211.28		0.00	0.00
561.0	NORTHFIELD BANCORP INC DEL COM USD0.01									
66611T108	07/27/2017	Various	9,194.57	9,486.51			-291.94		0.00	0.00
268.0	NORTHROP GRUMMAN CORP COM									
666807102	07/27/2017	07/24/2017	69,879.38	71,092.36			-1,212.98		0.00	0.00
475.0	NORTHSTAR RLTY EUROPE CORP COM									
66706L101	07/27/2017	07/24/2017	6,051.36	6,127.50			-76.14		0.00	0.00

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2017 Tax Information Statement

Page 124 of 225

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423.0	NORTHWEST BANCSHARES INC MD COM									
667340103	07/27/2017	07/24/2017	6,793.22	6,679.17			114.05		0.00	0.00
213.0	NORTHWEST NATURAL GAS CO									
667655104	07/27/2017	07/24/2017	13,384.61	13,269.90			114.71		0.00	0.00
723.0	NORTHWESTERN CORP COM NEW COM NEW									
668074305	07/27/2017	Various	42,706.62	43,690.89			-984.27		0.00	0.00
714.0	NOVAVAX INC COM									
670002104	07/27/2017	07/24/2017	721.12	1,078.14			-357.02		0.00	0.00
206.0	NOVANTA INC NOVANTA INC									
67000B104	07/27/2017	07/24/2017	7,650.66	7,797.10			-146.44		0.00	0.00
1611.0	NOW INC COM									
67011P100	07/27/2017	Various	25,920.39	25,582.68			337.71		0.00	0.00
158.0	NOW INC COM									
67011P100	07/27/2017	07/24/2017	2,542.63	2,509.04			33.59		0.00	0.00
481.0	NU SKIN ENTERPRISES INC CL A									
67018T105	07/27/2017	07/24/2017	30,475.45	31,120.70			-645.25		0.00	0.00
225.0	NUTANIX INC CL A CL A									
67059N108	07/27/2017	07/24/2017	4,907.13	5,256.00			-348.87		0.00	0.00

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2017 Tax Information Statement

Page 125 of 225

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139.0	#REORG/ NUTRACEUTICAL INTL CORP CASH MERGER 08-24-2017									
67060Y101	07/27/2017	07/24/2017	5,794.77	5,796.30			-1.53		0.00	0.00
333.0	NXSTAGE MED INC COM STK									
67072V103	07/27/2017	07/24/2017	7,778.70	8,001.99			-223.29		0.00	0.00
88.0	NXSTAGE MED INC COM STK									
67072V103	07/27/2017	07/24/2017	2,055.89	2,114.64			-58.75		0.00	0.00
1930.0	OGE ENERGY CORP COMMON STOCK									
670837103	07/27/2017	07/24/2017	69,092.40	68,669.40			423.00		0.00	0.00
1577.0	OASIS PETE INC NEW COM STK									
674215108	07/27/2017	07/24/2017	12,615.70	11,764.42			851.28		0.00	0.00
214.0	OCEANFIRST FINL CORP COM									
675234108	07/27/2017	07/24/2017	5,799.26	5,775.86			23.40		0.00	0.00
175.0	OCULAR THERAPEUTIX INC COM									
67576A100	07/27/2017	07/24/2017	1,104.22	1,156.75			-52.53		0.00	0.00
8157.0	OFFICE DEPOT INC									
676220106	07/27/2017	Various	47,880.48	47,555.31			325.17		0.00	0.00
945.0	OIL STS INTL INC COM ISIN US6780261052									
678026105	07/27/2017	Various	25,835.70	24,853.50			982.20		0.00	0.00

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Page 126 of 225

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74.0	OKTA INC CL A CL A									
679295105	07/27/2017	07/24/2017	1,678.28	1,750.84			-72.56		0.00	0.00
667.0	OLD DOMINION FGHT LINE INC COM									
679580100	07/27/2017	07/24/2017	64,290.64	65,792.88			-1,502.24		0.00	0.00
1082.0	OLD NATL BANCORP IND									
680033107	07/27/2017	07/24/2017	17,625.37	18,123.50			-498.13		0.00	0.00
2367.0	OLD REPUBLIC INTERNATIONAL CORP									
680223104	07/27/2017	07/24/2017	45,019.29	46,629.90			-1,610.61		0.00	0.00
506.0	OLD 2ND BANCORP INC DEL COM									
680277100	07/27/2017	07/24/2017	5,990.90	5,768.40			222.50		0.00	0.00
1605.0	OLIN CORP									
680665205	07/27/2017	07/24/2017	48,903.21	49,738.95			-835.74		0.00	0.00
305.0	OLLIES BARGAIN OUTLET HLDGS INC COM									
681116109	07/27/2017	07/24/2017	13,660.63	13,343.75			316.88		0.00	0.00
1902.0	OMEGA HEALTHCARE INVS INC COM									
681936100	07/27/2017	07/24/2017	61,033.76	63,279.54			-2,245.78		0.00	0.00
18.0	OMEGA FLEX INC COM									
682095104	07/27/2017	07/24/2017	1,088.25	1,049.76			38.49		0.00	0.00

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188.0	OMNOVA SOLUTIONS INC									
682129101	07/27/2017	07/24/2017	1,737.07	1,861.20			-124.13		0.00	0.00
236.0	OMNICELL INC COM									
68213N109	07/27/2017	07/24/2017	10,092.30	10,207.00			-114.70		0.00	0.00
270.0	OMEROS CORP COM									
682143102	07/27/2017	07/24/2017	5,745.46	6,104.70			-359.24		0.00	0.00
173.0	OMINTO INC COM NEW COM NEW									
68217C208	07/27/2017	07/24/2017	1,185.02	1,093.36			91.66		0.00	0.00
862.0	ONE GAS INC COM									
68235P108	07/27/2017	Various	63,045.22	61,667.48			1,377.74		0.00	0.00
123.0	OOMA INC COM									
683416101	07/27/2017	07/24/2017	1,050.39	1,020.90			29.49		0.00	0.00
354.0	OPPENHEIMER HLDGS INC CL A NON VTG SHS CL A NON VTG SHS									
683797104	07/27/2017	07/24/2017	5,748.82	5,752.50			-3.68		0.00	0.00
1171.0	ORACLE CORPORATION									
68389X105	07/27/2017	07/24/2017	59,063.87	59,439.96			-376.09		0.00	0.00
90.0	OPUS BK IRVINE CALIF FORMERLY OPUS BK REDONDO BEACH CALIF TO									
684000102	04/11/2014 COM	07/27/2017	2,163.55	2,205.00			-41.45		0.00	0.00

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2017 Tax Information Statement

Page 128 of 225

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389.0	ORBCOMM INC COM STK									
68555P100	07/27/2017	07/24/2017	4,562.86	4,485.17			77.69		0.00	0.00
559.0	ORBITAL ATK INC COM									
68557N103	07/27/2017	07/24/2017	56,927.24	57,711.16			-783.92		0.00	0.00
792.0	ORGANOVO HLDGS INC COM									
68620A104	07/27/2017	07/24/2017	1,853.23	1,900.80			-47.57		0.00	0.00
147.0	ORION GROUP HLDGS INC FORMERLY ORION MARINE GROUP INC TO 05/20/2016 COM STK									
68628V308	07/27/2017	07/24/2017	1,049.55	1,042.23			7.32		0.00	0.00
237.0	ORMAT TECHNOLOGIES INC COM									
686688102	07/27/2017	Various	13,861.80	13,845.54			16.26		0.00	0.00
305.0	OTONOMY INC COM									
68906L105	07/27/2017	07/24/2017	5,822.31	5,932.25			-109.94		0.00	0.00
294.0	OTTER TAIL CORP									
689648103	07/27/2017	07/24/2017	11,830.28	11,745.30			84.98		0.00	0.00
65.0	OVERSTOCK COM INC DEL COM									
690370101	07/27/2017	07/24/2017	1,075.07	1,066.00			9.07		0.00	0.00
135.0	OXFORD INDS INC COM									
691497309	07/27/2017	Various	8,477.80	8,390.25			87.55		0.00	0.00

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Page 129 of 225

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239.0	PDVWIRELESS INC COM									
69290R104	07/27/2017	07/24/2017	5,673.72	5,915.25			-241.53		0.00	0.00
171.0	PDF SOLUTIONS INC									
693282105	07/27/2017	07/24/2017	3,190.78	3,300.30			-109.52		0.00	0.00
174.0	PHH CORP COM									
693320202	07/27/2017	07/24/2017	2,417.32	2,413.38			3.94		0.00	0.00
257.0	PHH CORP COM									
693320202	07/27/2017	07/24/2017	3,569.64	3,564.59			5.05		0.00	0.00
346.0	PICO HLDGS INC COM NEW STK									
693366205	07/27/2017	07/24/2017	5,809.20	5,795.50			13.70		0.00	0.00
313.0	PGT INC COM									
69336V101	07/27/2017	07/24/2017	4,018.82	4,037.70			-18.88		0.00	0.00
1275.0	PNM RES INC									
69349H107	07/27/2017	Various	50,284.83	49,533.75			751.08		0.00	0.00
1253.0	PPL CORP									
69351T106	07/27/2017	07/24/2017	47,750.72	48,115.20			-364.48		0.00	0.00
658.0	PPL CORP									
69351T106	07/27/2017	07/24/2017	25,077.77	25,267.20			-189.43		0.00	0.00

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Page 130 of 225

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249.0	PRA HEALTH SCIENCES INC COM									
69354M108	07/27/2017	07/24/2017	18,388.22	19,173.00			-784.78		0.00	0.00
128.0	PS BUSINESS PKS INC CALIF COM									
69360J107	07/27/2017	07/24/2017	16,909.68	16,476.16			433.52		0.00	0.00
172.0	PTC THERAPEUTICS INC COM									
69366J200	07/27/2017	07/24/2017	3,467.43	3,706.60			-239.17		0.00	0.00
700.0	PTC INC COM									
69370C100	07/27/2017	07/24/2017	38,632.10	39,011.00			-378.90		0.00	0.00
926.0	PACCAR INC									
693718108	07/27/2017	07/24/2017	63,105.44	64,023.64			-918.20		0.00	0.00
548.0	PACIFIC BIOSCIENCES OF CALIFORNIA INC COMMON STOCK									
69404D108	07/27/2017	07/24/2017	1,775.47	1,775.52			-0.05		0.00	0.00
78.0	#REORG/ PACIFIC STOCK MERGER COLUMBIA 2189522 11-01-2017									
69412V108	07/27/2017	07/24/2017	1,953.07	1,961.70			-8.63		0.00	0.00
252.0	PAC PREMIER BANCORP COM									
69478X105	07/27/2017	Various	8,905.47	9,248.40			-342.93		0.00	0.00
51.0	PACIRA PHARMACEUTICALS INC COM									
695127100	07/27/2017	07/24/2017	2,016.64	2,483.70			-467.06		0.00	0.00

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2017 Tax Information Statement

Page 131 of 225

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203.0	PACIRA PHARMACEUTICALS INC COM									
695127100	07/27/2017	07/24/2017	8,026.43	9,886.10			-1,859.67		0.00	0.00
911.0	PACKAGING CORP AMER									
695156109	07/27/2017	07/24/2017	100,699.61	99,289.89			1,409.72		0.00	0.00
56.0	PANHANDLE OIL & GAS INC.CL A COM STK									
698477106	07/27/2017	07/24/2017	1,242.61	1,218.00			24.61		0.00	0.00
430.0	PAPA JOHNS INTL INC COM									
698813102	07/27/2017	Various	31,513.97	32,400.50			-886.53		0.00	0.00
75.0	PAR PACIFIC HOLDINGS INC COM NEW COM NEW									
69888T207	07/27/2017	07/24/2017	1,362.71	1,353.75			8.96		0.00	0.00
153.0	PARATEK PHARMACEUTICALS INC COM									
699374302	07/27/2017	07/24/2017	3,173.14	3,090.60			82.54		0.00	0.00
94.0	PARK CITY GROUP INC COM NEW STK									
700215304	07/27/2017	07/24/2017	1,286.83	1,297.20			-10.37		0.00	0.00
73.0	PARK NATL CORP									
700658107	07/27/2017	07/24/2017	7,190.33	7,460.60			-270.27		0.00	0.00
664.0	#REORG/PARK STERLING CORP STOCK MERGER SOUTH ST CORP COM									
70086Y105	07/27/2017	Various	7,682.30	7,695.76			-13.46		0.00	0.00

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2017 Tax Information Statement

Page 132 of 225

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 44-74365
Recipient's Tax ID Number: XX-XXX8912
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
SOBRATO FAMILY FOUNDATION
10600 N DE ANZA BLVD STE 200
CUPERTINO, CA 95014-2059

Ref: PPX

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4274.0	PARKER DRILLING CO									
701081101	07/27/2017	07/24/2017	5,085.94	5,556.20			-470.26		0.00	0.00
356.0	#REORG/ PARKWAY INC COM CASH MERGER 12 OCT 2017									
70156Q107	07/27/2017	07/24/2017	8,145.09	8,141.72			3.37		0.00	0.00
100.0	PATRICK INDS INC COM									
703343103	07/27/2017	07/24/2017	7,463.82	7,240.00			223.82		0.00	0.00
408.0	PATTERN ENERGY GROUP INC CL A CL A									
70338P100	07/27/2017	Various	10,248.72	10,175.52			73.20		0.00	0.00
1388.0	PATTERSON-UTI ENERGY INC COM									
703481101	07/27/2017	07/24/2017	26,954.33	26,621.84			332.49		0.00	0.00
101.0	PATTERSON-UTI ENERGY INC COM									
703481101	07/27/2017	07/24/2017	1,961.67	1,937.18			24.49		0.00	0.00
1414.0	PAYCHEX INC									
704326107	07/27/2017	07/24/2017	85,021.85	80,513.16			4,508.69		0.00	0.00
319.0	PAYCOM SOFTWARE INC COM									
70432V102	07/27/2017	07/24/2017	22,090.23	22,872.30			-782.07		0.00	0.00
168.0	PAYLOCITY HLDG CORP COM									
70438V106	07/27/2017	07/24/2017	7,820.21	8,126.16			-305.95		0.00	0.00

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2017 Tax Information Statement

Page 133 of 225

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173.0	PAYPAL HLDGS INC COM									
70450Y103	07/27/2017	07/24/2017	10,403.97	10,134.34			269.63		0.00	0.00
323.0	PEABODY ENERGY CORP NEW									
704551100	07/27/2017	07/24/2017	8,733.71	8,801.75			-68.04		0.00	0.00
179.0	PEAPACK-GLADSTONE FINL CORP COM									
704699107	07/27/2017	07/24/2017	5,806.62	5,830.03			-23.41		0.00	0.00
452.0	PEBBLEBROOK HOTEL TR COM STK									
70509V100	07/27/2017	07/24/2017	14,644.46	14,563.44			81.02		0.00	0.00
236.0	PEGASYSTEMS INC COM									
705573103	07/27/2017	07/24/2017	14,298.90	14,466.80			-167.90		0.00	0.00
82.0	PENN VA CORP NEW COM									
70788V102	07/27/2017	07/24/2017	3,129.86	3,103.70			26.16		0.00	0.00
479.0	JC PENNEY CO INC COM									
708160106	07/27/2017	07/24/2017	2,640.66	2,438.11			202.55		0.00	0.00
1702.0	JC PENNEY CO INC COM									
708160106	07/27/2017	07/24/2017	9,377.80	8,663.18			714.62		0.00	0.00
290.0	PENNYMAC MTG INVT TR COM REIT									
70931T103	07/27/2017	07/24/2017	5,127.08	5,133.00			-5.92		0.00	0.00

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2017 Tax Information Statement

Page 134 of 225

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136.0	PENNYMAC MTG INVT TR COM REIT									
70931T103	07/27/2017	07/24/2017	2,404.83	2,407.20			-2.37		0.00	0.00
331.0	PENNYMAC FINL SVCS INC CL A CL A									
70932B101	07/27/2017	07/24/2017	5,838.70	5,775.95			62.75		0.00	0.00
190.0	PENUMBRA INC COM									
70975L107	07/27/2017	07/24/2017	15,872.23	16,036.00			-163.77		0.00	0.00
132.0	PEOPLES FINL SVCS CORP COM									
711040105	07/27/2017	07/24/2017	5,650.78	5,686.56			-35.78		0.00	0.00
460.0	PERFORMANCE FOOD GROUP CO COM									
71377A103	07/27/2017	07/24/2017	13,220.09	13,225.00			-4.91		0.00	0.00
143.0	PERKINELMER INC									
714046109	07/27/2017	07/24/2017	9,406.32	9,919.91			-513.59		0.00	0.00
6639.0	PFIZER INC									
717081103	07/27/2017	07/24/2017	219,015.54	221,211.48			-2,195.94		0.00	0.00
95.0	#REORG/PHARMERICA CORP COM CASH MERGER 12-07-2017									
71714F104	07/27/2017	07/24/2017	2,431.27	2,451.00			-19.73		0.00	0.00
129.0	#REORG/PHARMERICA CORP COM CASH MERGER 12-07-2017									
71714F104	07/27/2017	07/24/2017	3,301.03	3,328.20			-27.17		0.00	0.00

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2017 Tax Information Statement

Page 135 of 225

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114.0	PHIBRO ANIMAL HEALTH CORP CL A COM CL A COM									
71742Q106	07/27/2017	07/24/2017	4,382.05	4,343.40			38.65		0.00	0.00
1802.0	PHILIP MORRIS INTERNATIONAL									
718172109	07/27/2017	07/24/2017	212,955.44	214,239.78			-1,284.34		0.00	0.00
569.0	PHOTRONICS INC									
719405102	07/27/2017	07/24/2017	5,741.07	5,803.80			-62.73		0.00	0.00
1108.0	PHYSICIANS RLTY TR COM									
71943U104	07/27/2017	Various	20,475.36	20,575.56			-100.20		0.00	0.00
1236.0	PIER 1 IMPORTS INC									
720279108	07/27/2017	07/24/2017	5,945.02	5,487.84			457.18		0.00	0.00
217.0	PIERIS PHARMACEUTICALS INC COMSTK									
720795103	07/27/2017	07/24/2017	1,141.39	1,265.11			-123.72		0.00	0.00
786.0	PINNACLE WEST CAPITAL CORP									
723484101	07/27/2017	07/24/2017	68,341.12	67,973.28			367.84		0.00	0.00
246.0	PINNACLE ENTMT INC NEW COM									
72348Y105	07/27/2017	07/24/2017	4,863.30	4,804.38			58.92		0.00	0.00
382.0	PIONEER NAT RES CO									
723787107	07/27/2017	07/24/2017	62,605.68	61,158.20			1,447.48		0.00	0.00

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2017 Tax Information Statement

Page 136 of 225

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89.0	PIPER JAFFRAY COMPANIES INC									
724078100	07/27/2017	07/24/2017	5,735.02	5,709.35			25.67		0.00	0.00
1799.0	PITNEY BOWES INC									
724479100	07/27/2017	07/24/2017	28,297.61	28,280.28			17.33		0.00	0.00
208.0	PIXELWORKS INC COM NEW STK									
72581M305	07/27/2017	07/24/2017	998.37	1,048.32			-49.95		0.00	0.00
548.0	PLANET FITNESS INC CL A CL A									
72703H101	07/27/2017	07/24/2017	12,494.11	12,472.48			21.63		0.00	0.00
475.0	PLANTRONICS INC NEW									
727493108	07/27/2017	Various	25,478.41	25,621.50			-143.09		0.00	0.00
190.0	PLEXUS CORP									
729132100	07/27/2017	07/24/2017	10,318.66	10,408.20			-89.54		0.00	0.00
1456.0	PLUG PWR INC COM NEW									
72919P202	07/27/2017	07/24/2017	3,261.36	3,450.72			-189.36		0.00	0.00
147.0	PLY GEM HLDGS INC COM									
72941W100	07/27/2017	07/24/2017	2,593.02	2,587.20			5.82		0.00	0.00
564.0	POLARIS INDS INC									
731068102	07/27/2017	07/24/2017	50,324.55	52,339.20			-2,014.65		0.00	0.00

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2017 Tax Information Statement

Page 137 of 225

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394.0	POOL CORP COM STK									
73278L105	07/27/2017	07/24/2017	43,043.50	42,658.38			385.12		0.00	0.00
518.0	PORTLAND GENERAL ELECTRIC CO COM NEW COMNEW									
736508847	07/27/2017	07/24/2017	23,521.83	23,475.76			46.07		0.00	0.00
296.0	PORTOLA PHARMACEUTICALS INC COM									
737010108	07/27/2017	07/24/2017	18,805.33	19,530.08			-724.75		0.00	0.00
640.0	POST HLDGS INC COM STK									
737446104	07/27/2017	07/24/2017	53,413.16	52,556.80			856.36		0.00	0.00
652.0	POTLATCHDELTIC CORPORATION									
737630103	07/27/2017	Various	31,647.34	31,002.60			644.74		0.00	0.00
173.0	POWELL INDS INC									
739128106	07/27/2017	07/24/2017	5,708.86	5,587.90			120.96		0.00	0.00
185.0	POWER INTEGRATIONS INC									
739276103	07/27/2017	07/24/2017	14,252.07	15,123.75			-871.68		0.00	0.00
84.0	PFD BK L A CAL COM COM NEW									
740367404	07/27/2017	07/24/2017	4,745.05	4,759.44			-14.39		0.00	0.00
348.0	PREFERRED APT CMNTYS INC COM									
74039L103	07/27/2017	07/24/2017	5,863.66	5,738.52			125.14		0.00	0.00

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2017 Tax Information Statement

Page 138 of 225

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82.0	PRESIDIO INC COM									
74102M103	07/27/2017	07/24/2017	1,156.17	1,145.54			10.63		0.00	0.00
65.0	PRICELINE COM INC COM									
741503403	07/27/2017	07/24/2017	131,627.15	130,130.65			1,496.50		0.00	0.00
143.0	PRICESMART INC COM STK									
741511109	07/27/2017	07/24/2017	12,038.89	12,012.00			26.89		0.00	0.00
256.0	PRIMORIS SVCS CORP COM									
74164F103	07/27/2017	07/24/2017	6,374.25	6,323.20			51.05		0.00	0.00
733.0	PRIMERICA INC COM									
74164M108	07/27/2017	Various	59,840.73	58,273.50			1,567.23		0.00	0.00
167.0	PRIMO WTR CORP COM									
74165N105	07/27/2017	07/24/2017	2,227.72	2,176.01			51.71		0.00	0.00
278.0	PROOFPOINT INC COM									
743424103	07/27/2017	07/24/2017	24,582.97	25,464.80			-881.83		0.00	0.00
170.0	PROS HLDGS INC COM									
74346Y103	07/27/2017	07/24/2017	4,849.98	4,992.90			-142.92		0.00	0.00
109.0	PROPETRO HLDG CORP COM									
74347M108	07/27/2017	07/24/2017	1,480.18	1,355.96			124.22		0.00	0.00

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2017 Tax Information Statement

Page 139 of 225

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668.0	PROSPERITY BANCSHARES INC COM									
743606105	07/27/2017	07/24/2017	42,330.18	43,553.60			-1,223.42		0.00	0.00
165.0	PROTO LABS INC COM									
743713109	07/27/2017	07/24/2017	12,208.06	11,715.00			493.06		0.00	0.00
60.0	PROVIDENCE SVC CORP COM STK									
743815102	07/27/2017	07/24/2017	3,062.92	3,167.40			-104.48		0.00	0.00
59.0	PULSE BIOSCIENCES INC COM USD0.001									
74587B101	07/27/2017	07/24/2017	1,476.14	1,748.76			-272.62		0.00	0.00
186.0	PUMA BIOTECHNOLOGY INC COM .									
74587V107	07/27/2017	07/24/2017	17,974.62	17,828.10			146.52		0.00	0.00
146.0	PURE CYCLE CORP COLORADO									
746228303	07/27/2017	07/24/2017	1,057.01	1,036.60			20.41		0.00	0.00
239.0	PURE STORAGE INC CL A CL A									
74624M102	07/27/2017	07/24/2017	2,971.42	3,243.23			-271.81		0.00	0.00
364.0	PURE STORAGE INC CL A CL A									
74624M102	07/27/2017	07/24/2017	4,524.41	4,939.48			-415.07		0.00	0.00
169.0	QCR HLDGS INC COM									
74727A104	07/27/2017	07/24/2017	7,856.62	7,824.70			31.92		0.00	0.00

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2017 Tax Information Statement

Page 140 of 225

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42.0	QAD INC CL A STOCK									
74727D306	07/27/2017	07/24/2017	1,291.05	1,302.00			-10.95		0.00	0.00
372.0	QUAD / GRAPHICS INC COM STK									
747301109	07/27/2017	Various	8,633.92	8,433.24			200.68		0.00	0.00
84.0	QUAKER CHEM CORP COM									
747316107	07/27/2017	07/24/2017	12,419.95	12,676.44			-256.49		0.00	0.00
104.0	PZENA INVT MGMT INC CL A SHS									
74731Q103	07/27/2017	07/24/2017	1,102.37	1,096.48			5.89		0.00	0.00
2286.0	QEP RES INC COM STK									
74733V100	07/27/2017	07/24/2017	18,104.70	21,191.22			-3,086.52		0.00	0.00
304.0	QTS RLTY TR INC COM CL A COM CL A									
74736A103	07/27/2017	07/24/2017	16,221.06	16,501.12			-280.06		0.00	0.00
205.0	Q2 HLDGS INC COM									
74736L109	07/27/2017	07/24/2017	7,951.76	7,923.25			28.51		0.00	0.00
2176.0	QUALCOMM INC									
747525103	07/27/2017	07/24/2017	114,193.84	115,806.72			-1,612.88		0.00	0.00
1626.0	QUALITY CARE PPTYS INC COM									
747545101	07/27/2017	Various	27,982.81	29,674.50			-1,691.69		0.00	0.00

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2017 Tax Information Statement

Page 141 of 225

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204.0	QUALYS INC COM USD0.001									
74758T303	07/27/2017	07/24/2017	8,331.16	8,578.20			-247.04		0.00	0.00
137.0	QUANTENNA COMMUNICATIONS INC COM									
74766D100	07/27/2017	07/24/2017	2,723.49	2,703.01			20.48		0.00	0.00
178.0	QUIDEL CORP									
74838J101	07/27/2017	07/24/2017	6,413.19	6,731.96			-318.77		0.00	0.00
481.0	QUOTIENT TECHNOLOGY INC COM									
749119103	07/27/2017	07/24/2017	5,622.76	5,507.45			115.31		0.00	0.00
77.0	RA PHARMACEUTICALS INC COM									
74933V108	07/27/2017	07/24/2017	1,131.10	1,202.74			-71.64		0.00	0.00
596.0	R1 RCM INC COM									
749397105	07/27/2017	07/24/2017	2,020.39	2,068.12			-47.73		0.00	0.00
84.0	REV GROUP INC COM									
749527107	07/27/2017	07/24/2017	2,272.14	2,194.92			77.22		0.00	0.00
209.0	R L I CORPORATION									
749607107	07/27/2017	07/24/2017	11,962.88	11,938.08			24.80		0.00	0.00
861.0	RLJ LODGING TR COM REIT									
74965L101	07/27/2017	07/24/2017	18,175.28	18,210.15			-34.87		0.00	0.00

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2017 Tax Information Statement

Page 142 of 225

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 44-74365
Recipient's Tax ID Number: XX-XXX8912
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
SOBRATO FAMILY FOUNDATION
10600 N DE ANZA BLVD STE 200
CUPERTINO, CA 95014-2059

Ref: PPX

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46.0	RMR GROUP INC CL A CL A									
74967R106	07/27/2017	07/24/2017	2,292.58	2,311.50			-18.92		0.00	0.00
1297.0	RPM INC OHIO									
749685103	07/27/2017	07/24/2017	67,533.22	65,978.39			1,554.83		0.00	0.00
406.0	RPX CORP COM									
74972G103	07/27/2017	07/24/2017	5,574.25	5,667.76			-93.51		0.00	0.00
267.0	RTI SURGICAL INC									
74975N105	07/27/2017	07/24/2017	1,465.79	1,508.55			-42.76		0.00	0.00
1454.0	RADIAN GROUP INC									
750236101	07/27/2017	07/24/2017	25,226.31	25,285.06			-58.75		0.00	0.00
244.0	RADIANT LOGISTICS INC COM STK									
75025X100	07/27/2017	07/24/2017	1,244.37	1,298.08			-53.71		0.00	0.00
191.0	RADIUS HEALTH INC COM NEW COM NEW									
750469207	07/27/2017	07/24/2017	7,842.27	8,258.84			-416.57		0.00	0.00
49.0	RADIUS HEALTH INC COM NEW COM NEW									
750469207	07/27/2017	07/24/2017	2,012.04	2,118.76			-106.72		0.00	0.00
198.0	RADNET INC COM STK									
750491102	07/27/2017	07/24/2017	1,532.48	1,524.60			7.88		0.00	0.00

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2017 Tax Information Statement

Page 143 of 225

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596.0	RAMCO-GERSHENSON PPTYS TR COM SH BEN INT									
751452202	07/27/2017	07/24/2017	8,564.32	8,141.36			422.96		0.00	0.00
160.0	RAPID7 INC COM									
753422104	07/27/2017	07/24/2017	2,587.14	2,612.80			-25.66		0.00	0.00
234.0	RAVEN INDS INC COM STOCK									
754212108	07/27/2017	07/24/2017	7,953.47	8,178.30			-224.83		0.00	0.00
1242.0	RAYONIER INC REIT									
754907103	07/27/2017	07/24/2017	36,368.64	36,303.66			64.98		0.00	0.00
15.0	RAYTHEON CO NEW									
755111507	07/27/2017	07/24/2017	2,542.74	2,541.90			0.84		0.00	0.00
149.0	RBC BEARINGS INC COM									
75524B104	07/27/2017	07/24/2017	15,249.79	15,409.58			-159.79		0.00	0.00
136.0	RE/MAX HLDGS INC CL A CL A									
75524W108	07/27/2017	07/24/2017	7,927.25	7,894.80			32.45		0.00	0.00
378.0	REALPAGE INC COM STK									
75606N109	07/27/2017	07/24/2017	14,700.08	14,855.40			-155.32		0.00	0.00
1378.0	RLTY INC CORP COM									
756109104	07/27/2017	07/24/2017	79,839.47	78,780.26			1,059.21		0.00	0.00

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2017 Tax Information Statement

Page 144 of 225

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55.0	REATA PHARMACEUTICALS INC CL A CL A									
75615P103	07/27/2017	07/24/2017	1,781.40	1,950.85			-169.45		0.00	0.00
443.0	RED ROCK RESORTS INC CL A CL A									
75700L108	07/27/2017	07/24/2017	10,795.66	10,857.93			-62.27		0.00	0.00
630.0	REDWOOD TR INC COM									
758075402	07/27/2017	07/24/2017	10,823.14	10,823.40			-0.26		0.00	0.00
119.0	REGENERON PHARMACEUTICALS INC									
75886F107	07/27/2017	07/24/2017	60,498.20	62,025.18			-1,526.98		0.00	0.00
357.0	REGENXBIO INC COM									
75901B107	07/27/2017	Various	6,690.02	6,925.80			-235.78		0.00	0.00
236.0	REGIONAL MGMT CORP COM STK									
75902K106	07/27/2017	07/24/2017	5,829.06	5,829.20			-0.14		0.00	0.00
4299.0	REGIONS FINL CORP NEW COM									
7591EP100	07/27/2017	07/24/2017	61,818.19	61,905.60			-87.41		0.00	0.00
624.0	REINSURANCE GROUP AMER INC COM NEW STK									
759351604	07/27/2017	07/24/2017	83,788.78	84,040.32			-251.54		0.00	0.00
63.0	REIS INC COM STK									
75936P105	07/27/2017	07/24/2017	1,328.63	1,345.05			-16.42		0.00	0.00

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2017 Tax Information Statement

Page 145 of 225

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274.0	RENASANT CORP COM									
75970E107	07/27/2017	07/24/2017	11,658.43	11,551.84			106.59		0.00	0.00
506.0	RENB ENERGY GROUP INC COM STK									
75972A301	07/27/2017	07/24/2017	6,370.39	6,451.50			-81.11		0.00	0.00
115.0	REP 1ST BANCORP INC COM									
760416107	07/27/2017	07/24/2017	1,028.07	1,040.75			-12.68		0.00	0.00
178.0	RESOLUTE ENERGY CORP COM USD0.0001 (POST REV SPLT)									
76116A306	07/27/2017	07/24/2017	6,158.65	5,822.38			336.27		0.00	0.00
570.0	RESOURCE CAP CORP COM NEW COM NEW									
76120W708	07/27/2017	07/24/2017	5,819.56	5,831.10			-11.54		0.00	0.00
424.0	RESOURCES CONNECTION INC									
76122Q105	07/27/2017	07/24/2017	5,656.02	5,660.40			-4.38		0.00	0.00
172.0	RETROPHIN INC COM									
761299106	07/27/2017	07/24/2017	3,477.75	3,491.60			-13.85		0.00	0.00
119.0	RETROPHIN INC COM									
761299106	07/27/2017	07/24/2017	2,406.48	2,415.70			-9.22		0.00	0.00
697.0	RETAIL OPPORTUNITY INVTS CORP COM STK									
76131N101	07/27/2017	Various	14,281.19	13,953.94			327.25		0.00	0.00

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2017 Tax Information Statement

Page 146 of 225

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146.0	REVANCE THERAPEUTICS INC COM									
761330109	07/27/2017	07/24/2017	3,341.86	3,525.90			-184.04		0.00	0.00
49.0	REVLON INC CL A NEW COM STK									
761525609	07/27/2017	07/24/2017	1,045.63	1,036.35			9.28		0.00	0.00
60.0	REX AMERICAN RESOURCES CORP									
761624105	07/27/2017	07/24/2017	5,897.86	5,761.20			136.66		0.00	0.00
651.0	REXNORD CORP COM USD0.01									
76169B102	07/27/2017	07/24/2017	15,089.83	15,389.64			-299.81		0.00	0.00
381.0	REXFORD INDL RLTY INC COM									
76169C100	07/27/2017	Various	10,721.09	10,576.56			144.53		0.00	0.00
791.0	RIGEL PHARMACEUTICALS INC COM NEW STK									
766559603	07/27/2017	07/24/2017	1,850.89	2,064.51			-213.62		0.00	0.00
86.0	RIGNET INC COM									
766582100	07/27/2017	07/24/2017	1,637.40	1,591.00			46.40		0.00	0.00
405.0	RINGCENTRAL INC CL A CL A									
76680R206	07/27/2017	07/24/2017	14,494.61	14,316.75			177.86		0.00	0.00
275.0	RING ENERGY INC COM									
76680V108	07/27/2017	07/24/2017	3,596.91	3,448.50			148.41		0.00	0.00

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2017 Tax Information Statement

Page 147 of 225

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338.0	ROCKWELL MEDICAL INC COM US7743741024									
774374102	07/27/2017	07/24/2017	2,382.84	2,467.40			-84.56		0.00	0.00
117.0	ROGERS CORP									
775133101	07/27/2017	07/24/2017	13,478.08	13,449.15			28.93		0.00	0.00
928.0	ROLLINS INC									
775711104	07/27/2017	07/24/2017	40,144.35	38,530.56			1,613.79		0.00	0.00
181.0	RUDOPH TECHNOLOGIES INC COM									
781270103	07/27/2017	07/24/2017	4,495.93	4,579.30			-83.37		0.00	0.00
248.0	RUSH ENTERPRISES INC CL A CL A									
781846209	07/27/2017	Various	10,167.76	9,344.64			823.12		0.00	0.00
29.0	RUSH ENTERPRISES INC CL B CL B COM									
781846308	07/27/2017	07/24/2017	1,112.70	1,058.21			54.49		0.00	0.00
196.0	RUTHS HOSPITALITY GROUP INC									
783332109	07/27/2017	07/24/2017	4,094.34	4,076.80			17.54		0.00	0.00
288.0	RYMAN HOSPITALITY PPTYS INC COM									
78377T107	07/27/2017	07/24/2017	17,878.62	17,660.16			218.46		0.00	0.00
283.0	S & T BANCORP INC									
783859101	07/27/2017	07/24/2017	10,600.93	10,810.60			-209.67		0.00	0.00

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2017 Tax Information Statement

Page 148 of 225

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243.0	SEI INVESTMENTS CO									
784117103	07/27/2017	07/24/2017	13,486.91	13,739.22			-252.31		0.00	0.00
1049.0	SEI INVESTMENTS CO									
784117103	07/27/2017	07/24/2017	58,218.15	59,310.46			-1,092.31		0.00	0.00
160.0	SJW GROUP COM STK USD1.042									
784305104	07/27/2017	07/24/2017	7,767.82	8,014.40			-246.58		0.00	0.00
4156.0	SLM CORPORATION SECURITIES									
78442P106	07/27/2017	07/24/2017	45,881.17	46,048.48			-167.31		0.00	0.00
106.0	SPS COMM INC COM									
78463M107	07/27/2017	07/24/2017	6,076.83	6,225.38			-148.55		0.00	0.00
213.0	SP PLUS CORP COM									
78469C103	07/27/2017	Various	6,888.26	6,890.55			-2.29		0.00	0.00
281.0	SPX FLOW INC COM									
78469X107	07/27/2017	Various	10,090.47	10,180.63			-90.16		0.00	0.00
1366.0	SRC ENERGY INC COM USD0.001									
78470V108	07/27/2017	Various	11,392.17	9,794.22			1,597.95		0.00	0.00
508.0	SVB FINANCIAL GROUP COMMON STOCK									
78486Q101	07/27/2017	07/24/2017	90,995.93	90,561.16			434.77		0.00	0.00

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2017 Tax Information Statement

Page 149 of 225

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81.0	SAFETY INS GROUP INC COM									
78648T100	07/27/2017	07/24/2017	5,665.00	5,682.15			-17.15		0.00	0.00
223.0	SAGE THERAPEUTICS INC COM									
78667J108	07/27/2017	07/24/2017	17,955.54	19,153.47			-1,197.93		0.00	0.00
184.0	SAIA INC COM STK									
78709Y105	07/27/2017	Various	9,529.13	9,604.80			-75.67		0.00	0.00
312.0	ST JOE CO									
790148100	07/27/2017	07/24/2017	5,675.14	5,756.40			-81.26		0.00	0.00
468.0	SANCHEZ ENERGY CORP COM									
79970Y105	07/27/2017	07/24/2017	2,541.18	2,793.96			-252.78		0.00	0.00
157.0	SANDERSON FARMS INC COM									
800013104	07/27/2017	07/24/2017	20,142.63	18,788.19			1,354.44		0.00	0.00
301.0	SANDRIDGE ENERGY INC SANDRIDGE ENERGY I COM USD0.001									
80007P869	07/27/2017	07/24/2017	5,924.44	5,851.44			73.00		0.00	0.00
210.0	SANDY SPRING BANCORP INC CMT-COM									
800363103	07/27/2017	07/24/2017	8,385.10	8,389.50			-4.40		0.00	0.00
55.0	SANFILIPPO JOHN B & SON INC COM									
800422107	07/27/2017	07/24/2017	3,712.96	3,682.80			30.16		0.00	0.00

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2017 Tax Information Statement

Page 150 of 225

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410.0	SANGAMO THERAPEUTICS INC COM COM USD0.01									
800677106	07/27/2017	07/24/2017	3,603.81	3,874.50			-270.69		0.00	0.00
566.0	SANMINA CORP COM									
801056102	07/27/2017	07/24/2017	20,624.56	24,026.70			-3,402.14		0.00	0.00
389.0	SAREPTA THERAPEUTICS INC COM									
803607100	07/27/2017	Various	15,781.36	17,096.55			-1,315.19		0.00	0.00
67.0	SAUL CTRS INC COM									
804395101	07/27/2017	07/24/2017	4,088.91	3,987.17			101.74		0.00	0.00
237.0	SCANSOURCE INC COM									
806037107	07/27/2017	07/24/2017	9,465.56	9,586.65			-121.09		0.00	0.00
198.0	SCHNEIDER NATL INC WIS CL B CL B									
80689H102	07/27/2017	07/24/2017	4,183.64	4,207.50			-23.86		0.00	0.00
184.0	SCHULMAN A INC									
808194104	07/27/2017	07/24/2017	4,772.84	4,738.00			34.84		0.00	0.00
1764.0	CHARLES SCHWAB CORP NEW									
808513105	07/27/2017	07/24/2017	75,021.18	74,017.44			1,003.74		0.00	0.00
226.0	SCHWEITZER-MAUDUIT INTL INC									
808541106	07/27/2017	Various	8,633.68	8,714.56			-80.88		0.00	0.00

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2017 Tax Information Statement

Page 151 of 225

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125.0	SCIENCE APPLICATIONS INTL CORP NEW COM USD0.0001									
808625107	07/27/2017	07/24/2017	8,932.29	8,706.25			226.04		0.00	0.00
637.0	#REORG/ SCICLONE PHARMACEUTICALS CASH MERGER 10-13-2017									
80862K104	07/27/2017	Various	6,968.61	6,975.15			-6.54		0.00	0.00
347.0	SCIENTIFIC GAMES CORP									
80874P109	07/27/2017	07/24/2017	13,182.22	11,850.05			1,332.17		0.00	0.00
22.0	SCOTTS CO CL A									
810186106	07/27/2017	07/24/2017	2,086.21	2,092.86			-6.65		0.00	0.00
309.0	SEACOAST BKG CORP FLA COM NEW COM NEW									
811707801	07/27/2017	07/24/2017	7,523.97	7,338.75			185.22		0.00	0.00
447.0	SEAWORLD ENTMT INC COM									
81282V100	07/27/2017	07/24/2017	6,539.45	6,472.56			66.89		0.00	0.00
522.0	SELECT INCOME REIT COM SH BEN INT COM SHBEN INT									
81618T100	07/27/2017	07/24/2017	12,449.41	12,272.22			177.19		0.00	0.00
691.0	SELECT MED HLDGS CORP COM									
81619Q105	07/27/2017	07/24/2017	11,187.03	11,677.90			-490.87		0.00	0.00
74.0	SELECTA BIOSCIENCES INC COM									
816212104	07/27/2017	07/24/2017	1,285.35	1,335.70			-50.35		0.00	0.00

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2017 Tax Information Statement

Page 152 of 225

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 44-74365
Recipient's Tax ID Number: XX-XXX8912
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
SOBRATO FAMILY FOUNDATION
10600 N DE ANZA BLVD STE 200
CUPERTINO, CA 95014-2059

Ref: PPX

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357.0	SELECTIVE INS GROUP INC COM									
816300107	07/27/2017	07/24/2017	17,738.92	18,242.70			-503.78		0.00	0.00
491.0	SEMGROUP CORP CL A CL A									
81663A105	07/27/2017	07/24/2017	13,276.33	12,839.65			436.68		0.00	0.00
413.0	SEMTECH CORP									
816850101	07/27/2017	07/24/2017	16,680.68	16,396.10			284.58		0.00	0.00
2288.0	SR HSG PPTYS TR SH BEN INT									
81721M109	07/27/2017	07/24/2017	43,951.46	43,860.96			90.50		0.00	0.00
725.0	SENSIENT TECHNOLOGIES CORP COMMON									
81725T100	07/27/2017	Various	54,424.49	54,955.00			-530.51		0.00	0.00
129.0	SERES THERAPEUTICS INC COM									
81750R102	07/27/2017	07/24/2017	1,769.83	1,870.50			-100.67		0.00	0.00
141.0	SERITAGE GROWTH PPTYS CL A									
81752R100	07/27/2017	07/24/2017	6,697.34	6,741.21			-43.87		0.00	0.00
329.0	SERVICESOURCE INTERNATIONAL									
81763U100	07/27/2017	07/24/2017	1,246.88	1,246.91			-0.03		0.00	0.00
289.0	SERVISFIRST BANCSHARES INC COMMON STOCK									
81768T108	07/27/2017	07/24/2017	10,499.12	10,603.41			-104.29		0.00	0.00

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2017 Tax Information Statement

Page 153 of 225

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301.0	SHENANDOAH TELECOMMUNICATIONS CO CDT-COMCDT-COM									
82312B106	07/27/2017	07/24/2017	9,237.47	8,924.65			312.82		0.00	0.00
121.0	SHUTTERSTOCK INC COM									
825690100	07/27/2017	07/24/2017	5,212.55	5,349.41			-136.86		0.00	0.00
519.0	SIGNATURE BANK COM									
82669G104	07/27/2017	07/24/2017	70,997.55	69,701.70			1,295.85		0.00	0.00
678.0	SILICON LABORATORIES INC									
826919102	07/27/2017	Various	51,689.52	47,663.40			4,026.12		0.00	0.00
719.0	SILGAN HOLDINGS INC COM									
827048109	07/27/2017	07/24/2017	22,173.44	22,835.44			-662.00		0.00	0.00
96.0	#REORG/SILVER SPRING NETWORKS INC COM CASH MERGER 01-03-18									
82817Q103	07/27/2017	07/24/2017	1,068.45	1,046.40			22.05		0.00	0.00
78.0	SILVERCREST ASSET MGMT GROUP INC CL A CLA									
828359109	07/27/2017	07/24/2017	1,044.39	1,037.40			6.99		0.00	0.00
214.0	SIMMONS 1ST NATL CORP CL A \$0.01 PAR									
828730200	07/27/2017	07/24/2017	11,639.19	11,513.20			125.99		0.00	0.00
430.0	SIMON PPTY GROUP INC NEW									
828806109	07/27/2017	07/24/2017	71,515.94	68,817.20			2,698.74		0.00	0.00

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2017 Tax Information Statement

Page 154 of 225

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255.0	SIMPSON MFG INC COM									
829073105	07/27/2017	Various	11,178.94	11,166.45			12.49		0.00	0.00
69.0	SIMULATIONS PLUS INC COM									
829214105	07/27/2017	07/24/2017	1,020.48	1,083.30			-62.82		0.00	0.00
467.0	SINCLAIR BROADCAST GROUP INC CL A									
829226109	07/27/2017	07/24/2017	16,993.73	16,251.60			742.13		0.00	0.00
219.0	SITEONE LANDSCAPE SUPPLY INC COM									
82982L103	07/27/2017	07/24/2017	11,346.78	11,705.55			-358.77		0.00	0.00
1284.0	SKECHERS U S A INC CL A									
830566105	07/27/2017	07/24/2017	36,156.60	36,106.08			50.52		0.00	0.00
261.0	SKYWEST INC									
830879102	07/27/2017	07/24/2017	9,314.87	9,891.90			-577.03		0.00	0.00
744.0	SKYWORKS SOLUTIONS INC									
83088M102	07/27/2017	07/24/2017	79,598.72	78,759.84			838.88		0.00	0.00
163.0	SMART SAND INC COM									
83191H107	07/27/2017	07/24/2017	1,038.28	966.59			71.69		0.00	0.00
659.0	SMUCKER JM CO NEW									
832696405	07/27/2017	07/24/2017	80,475.22	78,822.99			1,652.23		0.00	0.00

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2017 Tax Information Statement

Page 155 of 225

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785.0	SNYDERS-LANCE INC COM									
833551104	07/27/2017	07/24/2017	27,953.20	27,820.40			132.80		0.00	0.00
83.0	SOLARIS OILFIELD INFRASTRUCTURE INC CL ACL A									
83418M103	07/27/2017	07/24/2017	1,073.16	1,055.76			17.40		0.00	0.00
198.0	SOUTH ST CORP COM									
840441109	07/27/2017	07/24/2017	16,500.93	16,612.20			-111.27		0.00	0.00
1833.0	SOUTHERN CO									
842587107	07/27/2017	07/24/2017	87,633.70	86,609.25			1,024.45		0.00	0.00
156.0	SOUTHERN FIRST BANCSHARES COM									
842873101	07/27/2017	07/24/2017	5,785.90	5,725.20			60.70		0.00	0.00
182.0	SOUTHERN MO BANCORP INC COM									
843380106	07/27/2017	07/24/2017	5,776.54	5,700.24			76.30		0.00	0.00
219.0	SOUTHSIDE BANCSHARES INC CMT-COM CMT-COM									
84470P109	07/27/2017	07/24/2017	7,794.02	7,675.95			118.07		0.00	0.00
255.0	#REORG/ SOUTHWEST CASH & STOCK MERGER SIMMONS 10-20-2017									
844767103	07/27/2017	07/24/2017	6,627.29	6,579.00			48.29		0.00	0.00
734.0	SOUTHWEST GAS CORP									
844895102	07/27/2017	Various	59,760.89	59,865.04			-104.15		0.00	0.00

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2017 Tax Information Statement

Page 156 of 225

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34.0	SOUTHWEST GAS CORP									
844895102	07/27/2017	07/24/2017	2,768.31	2,773.04			-4.73		0.00	0.00
4901.0	SOUTHWESTERN ENERGY CO COM									
845467109	07/27/2017	07/24/2017	29,601.35	27,641.64			1,959.71		0.00	0.00
150.0	SPARK THERAPEUTICS INC COM									
84652J103	07/27/2017	07/24/2017	10,541.75	11,101.50			-559.75		0.00	0.00
131.0	SPARTAN MTRS INC COM									
846819100	07/27/2017	07/24/2017	1,151.46	1,152.80			-1.34		0.00	0.00
277.0	SPECTRANETICS CORP									
84760C107	07/27/2017	07/24/2017	10,647.63	10,664.50			-16.87		0.00	0.00
311.0	SPEEDWAY MOTORSPORTS INC									
847788106	07/27/2017	07/24/2017	6,468.65	5,747.28			721.37		0.00	0.00
314.0	SPIRE INC COM									
84857L101	07/27/2017	07/24/2017	22,886.93	22,529.50			357.43		0.00	0.00
240.0	SPORTSMANS WHSE HLDGS INC COM									
84920Y106	07/27/2017	07/24/2017	1,111.17	1,015.20			95.97		0.00	0.00
267.0	STAAR SURGICAL CO COM NEW PAR \$0.01									
852312305	07/27/2017	07/24/2017	2,720.66	2,710.05			10.61		0.00	0.00

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2017 Tax Information Statement

Page 157 of 225

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550.0	STAG INDL INC COM									
85254J102	07/27/2017	07/24/2017	15,036.65	15,280.83			-244.18		0.00	0.00
103.0	STAMPS COM INC COM NEW									
852857200	07/27/2017	07/24/2017	15,325.01	15,614.80			-289.79		0.00	0.00
87.0	STANDARD MOTOR PRODUCTS INC									
853666105	07/27/2017	07/24/2017	4,642.21	4,556.19			86.02		0.00	0.00
63.0	STANDEX INTERNATIONAL CORP									
854231107	07/27/2017	07/24/2017	5,886.58	5,909.40			-22.82		0.00	0.00
717.0	STANLEY BLACK & DECKER INC COM									
854502101	07/27/2017	07/24/2017	101,187.87	103,032.90			-1,845.03		0.00	0.00
6062.0	STAPLES INC									
855030102	07/27/2017	07/24/2017	61,649.11	61,226.20			422.91		0.00	0.00
1971.0	STARBUCKS CORP									
855244109	07/27/2017	07/24/2017	117,252.08	114,357.42			2,894.66		0.00	0.00
82.0	STARTEK INC									
85569C107	07/27/2017	07/24/2017	1,028.25	1,064.36			-36.11		0.00	0.00
313.0	STATE BK FINL CORP COM									
856190103	07/27/2017	07/24/2017	8,410.11	8,432.22			-22.11		0.00	0.00

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2017 Tax Information Statement

Page 158 of 225

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176.0	#REORG/ STATE NATL COS INC CASH MERGER 11-17-2017									
85711T305	07/27/2017	07/24/2017	3,669.51	3,373.92			295.59		0.00	0.00
450.0	STEELCASE INC CL A									
858155203	07/27/2017	07/24/2017	6,137.85	6,142.50			-4.65		0.00	0.00
147.0	STEPAN CO COM									
858586100	07/27/2017	Various	12,482.95	13,444.62			-961.67		0.00	0.00
872.0	STERLING BANCORP DEL COM									
85917A100	07/27/2017	07/24/2017	19,785.22	19,794.40			-9.18		0.00	0.00
144.0	STEWART INFORMATION SERVICES CORP									
860372101	07/27/2017	07/24/2017	5,745.46	5,738.40			7.06		0.00	0.00
997.0	STIFEL FINL CORP COM									
860630102	07/27/2017	Various	49,360.32	48,005.55			1,354.77		0.00	0.00
54.0	STIFEL FINL CORP COM									
860630102	07/27/2017	07/24/2017	2,673.63	2,600.10			73.53		0.00	0.00
258.0	STK YARDS BANCORP INC COM NPV									
861025104	07/27/2017	07/24/2017	9,362.60	9,494.40			-131.80		0.00	0.00
147.0	#REORG/ STONEGATE CASH & STOCK MERGER 2025694 09-26-2017									
861811107	07/27/2017	Various	6,863.27	6,834.03			29.24		0.00	0.00

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2017 Tax Information Statement

Page 159 of 225

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373.0	STONERIDGE INC COM									
86183P102	07/27/2017	07/24/2017	5,796.28	5,863.56			-67.28		0.00	0.00
56.0	#REORG/STRAIGHT STOCK MERGER VERIZON 2888499 02-28-18									
862578101	07/27/2017	07/24/2017	10,031.60	10,054.80			-23.20		0.00	0.00
191.0	STRATUS PPTYS INC COM NEW COM NEW									
863167201	07/27/2017	07/24/2017	5,460.56	5,558.10			-97.54		0.00	0.00
68.0	STRAYER ED INC COM									
863236105	07/27/2017	07/24/2017	5,371.87	6,236.96			-865.09		0.00	0.00
113.0	STURM RUGER & COMPANY									
864159108	07/27/2017	07/24/2017	6,405.82	6,441.00			-35.18		0.00	0.00
132.0	#REORG/SUCAMPO PHARMACEUTICALS INC CASH MERGER 02-14-18									
864909106	07/27/2017	07/24/2017	1,424.24	1,452.00			-27.76		0.00	0.00
684.0	SUMMIT HOTEL PROPERTIES INC COM									
866082100	07/27/2017	07/24/2017	12,003.92	12,072.60			-68.68		0.00	0.00
690.0	SUMMIT MATLS INC CL A CL A									
86614U100	07/27/2017	07/24/2017	19,471.35	19,754.70			-283.35		0.00	0.00
154.0	SUN HYDRAULICS CORP									
866942105	07/27/2017	07/24/2017	6,440.13	6,383.30			56.83		0.00	0.00

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2017 Tax Information Statement

Page 160 of 225

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532.0	SUNPOWER CORP COM									
867652406	07/27/2017	07/24/2017	5,862.50	5,883.92			-21.42		0.00	0.00
792.0	SUNRUN INC COM									
86771W105	07/27/2017	07/24/2017	5,828.98	5,987.52			-158.54		0.00	0.00
1516.0	SUNSTONE HOTEL INVS INC NEW COM									
867892101	07/27/2017	07/24/2017	24,316.07	24,286.32			29.75		0.00	0.00
105.0	SUPER MICRO COMPUTER INC COM									
86800U104	07/27/2017	07/24/2017	2,839.44	2,651.25			188.19		0.00	0.00
215.0	SUPER MICRO COMPUTER INC COM									
86800U104	07/27/2017	Various	5,813.46	5,428.75			384.71		0.00	0.00
2681.0	SUPERIOR ENERGY SERVICES INC COM									
868157108	07/27/2017	Various	30,777.16	27,989.64			2,787.52		0.00	0.00
287.0	SUPERIOR INDS INTL INC									
868168105	07/27/2017	07/24/2017	5,894.84	5,653.90			240.94		0.00	0.00
49.0	SUPERIOR UNIFORM GROUP INC COM COM COM									
868358102	07/27/2017	07/24/2017	1,092.18	1,051.05			41.13		0.00	0.00
1770.0	SUPER VALU INC									
868536103	07/27/2017	07/24/2017	6,318.75	5,752.50			566.25		0.00	0.00

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Page 161 of 225

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67.0	#REORG/SUPREME INDS CASH MERGER 09-27-2017									
868607102	07/27/2017	07/24/2017	1,002.96	1,019.74			-16.78		0.00	0.00
124.0	SURGERY PARTNERS INC COM									
86881A100	07/27/2017	07/24/2017	2,509.70	2,659.80			-150.10		0.00	0.00
106.0	SURMODICS INC COM									
868873100	07/27/2017	07/24/2017	2,871.47	2,888.50			-17.03		0.00	0.00
473.0	#REORG/SWIFT TRANSN REVERSE STOCK SPLIT KNIGHT-SWIFT 2J1SAZ2 09-11-2017									
87074U101	07/27/2017	07/24/2017	11,933.51	12,004.74			-71.23		0.00	0.00
279.0	SYKES ENTERPRISES INC									
871237103	07/27/2017	Various	9,572.26	9,446.94			125.32		0.00	0.00
641.0	SYNAPTICS INC									
87157D109	07/27/2017	Various	36,087.46	34,511.44			1,576.02		0.00	0.00
214.0	SYNTEL INC COM									
87162H103	07/27/2017	07/24/2017	4,237.74	4,160.16			77.58		0.00	0.00
300.0	SYNNEX CORP COM STK									
87162W100	07/27/2017	Various	36,114.06	36,126.00			-11.94		0.00	0.00
169.0	SYNNEX CORP COM STK									
87162W100	07/27/2017	Various	20,343.75	20,350.98			-7.23		0.00	0.00

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2017 Tax Information Statement

Page 162 of 225

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 44-74365
Recipient's Tax ID Number: XX-XXX8912
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
SOBRATO FAMILY FOUNDATION
10600 N DE ANZA BLVD STE 200
CUPERTINO, CA 95014-2059

Ref: PPX

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527.0	SYNERGY PHARMACEUTICALS INC FLA COM NEW									
871639308	07/27/2017	07/24/2017	2,130.61	2,255.56			-124.95		0.00	0.00
938.0	SYNERGY PHARMACEUTICALS INC FLA COM NEW									
871639308	07/27/2017	07/24/2017	3,789.43	4,014.64			-225.21		0.00	0.00
76.0	SYNDAX PHARMACEUTICALS INC COM									
87164F105	07/27/2017	07/24/2017	986.45	1,056.40			-69.95		0.00	0.00
2784.0	SYNCHRONY FINL COM									
87165B103	07/27/2017	07/24/2017	84,436.76	85,580.16			-1,143.40		0.00	0.00
1198.0	SYSCO CORP									
871829107	07/27/2017	07/24/2017	62,953.44	61,756.90			1,196.54		0.00	0.00
81.0	SYROS PHARMACEUTICALS INC COM									
87184Q107	07/27/2017	07/24/2017	1,655.60	1,665.36			-9.76		0.00	0.00
57.0	SYSTEMAX INC COM									
871851101	07/27/2017	07/24/2017	1,029.39	1,067.04			-37.65		0.00	0.00
1648.0	TCF FINANCIAL CORP									
872275102	07/27/2017	07/24/2017	26,383.87	26,186.72			197.15		0.00	0.00
1202.0	TJX COS INC NEW									
872540109	07/27/2017	07/24/2017	83,693.32	80,413.80			3,279.52		0.00	0.00

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2017 Tax Information Statement

Page 163 of 225

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2428.0	TRI POINTE GROUP INC COM									
87265H109	07/27/2017	Various	33,165.71	33,870.60			-704.89		0.00	0.00
71.0	TPI COMPOSITES INC COM									
87266J104	07/27/2017	07/24/2017	1,348.25	1,304.27			43.98		0.00	0.00
79.0	TABULA RASA HEALTHCARE INC COM									
873379101	07/27/2017	07/24/2017	1,160.48	1,084.67			75.81		0.00	0.00
59.0	TACTILE SYS TECHNOLOGY INC COM									
87357P100	07/27/2017	07/24/2017	1,785.88	1,756.43			29.45		0.00	0.00
757.0	TANGER FACTORY OUTLET CTRS INC COM									
875465106	07/27/2017	07/24/2017	20,940.40	20,509.23			431.17		0.00	0.00
166.0	TANGER FACTORY OUTLET CTRS INC COM									
875465106	07/27/2017	07/24/2017	4,591.45	4,497.40			94.05		0.00	0.00
584.0	TAUBMAN CTRS INC									
876664103	07/27/2017	07/24/2017	36,142.92	35,372.88			770.04		0.00	0.00
396.0	TAYLOR MORRISON HOME CORP COM USD0.00001									
87724P106	07/27/2017	07/24/2017	9,119.66	9,242.64			-122.98		0.00	0.00
87.0	TEAM INC COM STK									
878155100	07/27/2017	07/24/2017	1,264.95	2,070.60			-805.65		0.00	0.00

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2017 Tax Information Statement

Page 164 of 225

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107.0	TECHTARGET INC COM									
87874R100	07/27/2017	07/24/2017	1,037.87	1,045.39			-7.52		0.00	0.00
2065.0	TEGNA INC COM									
87901J105	07/27/2017	07/24/2017	31,655.71	31,098.90			556.81		0.00	0.00
348.0	TELADOC INC COM									
87918A105	07/27/2017	07/24/2017	11,306.25	11,936.40			-630.15		0.00	0.00
340.0	TELEDYNE TECHNOLOGIES INC									
879360105	07/27/2017	07/24/2017	46,218.53	46,454.20			-235.67		0.00	0.00
434.0	TELEFLEX INC									
879369106	07/27/2017	07/24/2017	91,315.83	92,975.82			-1,659.99		0.00	0.00
133.0	TELENAV INC COM									
879455103	07/27/2017	07/24/2017	969.54	1,037.40			-67.86		0.00	0.00
266.0	TELIGENT INC NEW COM									
87960W104	07/27/2017	07/24/2017	2,090.71	2,231.74			-141.03		0.00	0.00
359.0	TELLURIAN INC NEW COM									
87968A104	07/27/2017	07/24/2017	3,291.95	3,284.85			7.10		0.00	0.00
90.0	TELETECH HLDGS INC									
879939106	07/27/2017	07/24/2017	3,725.01	3,739.50			-14.49		0.00	0.00

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2017 Tax Information Statement

Page 165 of 225

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104.0	TENNANT CO.									
880345103	07/27/2017	07/24/2017	7,751.98	7,685.60			66.38		0.00	0.00
346.0	TENNECO AUTOMOTIVE INC									
880349105	07/27/2017	07/24/2017	20,593.44	20,012.64			580.80		0.00	0.00
1924.0	TERADYNE INC									
880770102	07/27/2017	07/24/2017	66,126.35	68,609.84			-2,483.49		0.00	0.00
1172.0	#REORG/ TERRAFORM CASH MERGER 12-29-2017									
88104M101	07/27/2017	07/24/2017	5,877.44	5,860.00			17.44		0.00	0.00
502.0	#REORG/ TERRAFORM PWR CASH MERGER 10-17-2017									
88104R100	07/27/2017	07/24/2017	6,616.20	6,214.76			401.44		0.00	0.00
309.0	TERRENO RLTY CORP									
88146M101	07/27/2017	Various	10,635.53	10,366.95			268.58		0.00	0.00
1057.0	TEXAS ROADHOUSE INC COMMON STOCK									
882681109	07/27/2017	Various	51,654.39	53,156.53			-1,502.14		0.00	0.00
1364.0	TEXTRON INC									
883203101	07/27/2017	07/24/2017	66,138.83	66,549.56			-410.73		0.00	0.00
314.0	TG THERAPEUTICS INC COM									
88322Q108	07/27/2017	07/24/2017	3,623.47	3,783.70			-160.23		0.00	0.00

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2017 Tax Information Statement

Page 166 of 225

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1011.0	THERAPEUTICSMD INC COM									
88338N107	07/27/2017	07/24/2017	5,469.38	5,954.79			-485.41		0.00	0.00
112.0	THE TRADE DESK INC COM CL A COM CL A									
88339J105	07/27/2017	07/24/2017	5,945.94	6,060.32			-114.38		0.00	0.00
435.0	THERMO ELECTRON CORP									
883556102	07/27/2017	07/24/2017	76,236.33	79,239.60			-3,003.27		0.00	0.00
462.0	THOR INDS INC COM									
885160101	07/27/2017	07/24/2017	49,238.82	48,680.94			557.88		0.00	0.00
383.0	TIER REIT INC COM NEW COM NEW									
88650V208	07/27/2017	07/24/2017	6,851.71	6,955.28			-103.57		0.00	0.00
221.0	TILE SHOP HLDGS INC COM COM									
88677Q109	07/27/2017	07/24/2017	3,257.46	3,016.65			240.81		0.00	0.00
659.0	#REORG/TIME INC NEW COM CASH MERGER 02-02-2018									
887228104	07/27/2017	07/24/2017	9,614.58	9,357.80			256.78		0.00	0.00
678.0	TIMKEN CO									
887389104	07/27/2017	07/24/2017	31,282.19	33,052.50			-1,770.31		0.00	0.00
364.0	TIMKENSTEEL CORP COM NPV 'WI' STK \$10 PAR									
887399103	07/27/2017	07/24/2017	5,871.18	5,794.88			76.30		0.00	0.00

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2017 Tax Information Statement

Page 167 of 225

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824.0	TIPTREE INC CL A TIPTREE INC									
88822Q103	07/27/2017	07/24/2017	5,677.22	5,768.00			-90.78		0.00	0.00
775.0	TIVO CORP COM NPV									
88870P106	07/27/2017	07/24/2017	14,871.90	14,996.25			-124.35		0.00	0.00
100.0	TOMPKINS TRUSTCO INC COM NEW									
890110109	07/27/2017	07/24/2017	7,941.81	7,841.00			100.81		0.00	0.00
269.0	TOOTSIE ROLL INDS INC									
890516107	07/27/2017	Various	9,923.18	10,006.80			-83.62		0.00	0.00
254.0	TOWER INTL INC COM STK									
891826109	07/27/2017	07/24/2017	6,550.50	5,638.80			911.70		0.00	0.00
439.0	TOWNEBANK PORTSMOUTH VA COM									
89214P109	07/27/2017	07/24/2017	13,318.95	13,696.80			-377.85		0.00	0.00
511.0	TREEHOUSE FOODS INC COM									
89469A104	07/27/2017	07/24/2017	43,725.25	42,939.33			785.92		0.00	0.00
191.0	TREX INC									
89531P105	07/27/2017	07/24/2017	14,530.94	14,044.23			486.71		0.00	0.00
177.0	TRICO BANCSHARES COM STK									
896095106	07/27/2017	07/24/2017	6,256.80	6,315.36			-58.56		0.00	0.00

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Page 168 of 225

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261.0	TRIMAS CORP COM NEW COM NEW									
896215209	07/27/2017	07/24/2017	6,248.19	5,768.10			480.09		0.00	0.00
265.0	TRINET GROUP INC COM USD0.000025									
896288107	07/27/2017	07/24/2017	8,821.64	8,803.30			18.34		0.00	0.00
1468.0	TRINITY INDS INC									
896522109	07/27/2017	07/24/2017	40,501.18	41,001.24			-500.06		0.00	0.00
149.0	TRINITY PL HLDGS INC COM									
89656D101	07/27/2017	07/24/2017	1,038.50	1,059.39			-20.89		0.00	0.00
45.0	TRISTATE CAP HLDGS INC COM									
89678F100	07/27/2017	07/24/2017	1,023.27	1,064.25			-40.98		0.00	0.00
280.0	TRIUMPH GROUP INC NEW									
896818101	07/27/2017	07/24/2017	6,983.03	9,380.00			-2,396.97		0.00	0.00
85.0	TRONC INC COM									
89703P107	07/27/2017	07/24/2017	1,117.72	1,081.20			36.52		0.00	0.00
406.0	TRUECAR INC COM									
89785L107	07/27/2017	07/24/2017	7,616.38	8,115.94			-499.56		0.00	0.00
253.0	TRUEBLUE INC COM STK									
89785X101	07/27/2017	07/24/2017	6,524.71	6,755.10			-230.39		0.00	0.00

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2017 Tax Information Statement

Page 169 of 225

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146.0	TRUPANION INC COM									
898202106	07/27/2017	07/24/2017	3,595.89	3,466.04			129.85		0.00	0.00
754.0	TRUSTCO BK CORP N Y									
898349105	07/27/2017	07/24/2017	6,212.81	6,107.40			105.41		0.00	0.00
60.0	TUCOWS INC COM NEW COM NEW									
898697206	07/27/2017	07/24/2017	3,245.32	3,255.00			-9.68		0.00	0.00
489.0	TUPPERWARE CORP									
899896104	07/27/2017	07/24/2017	29,764.74	33,682.32			-3,917.58		0.00	0.00
70.0	TURNING PT BRANDS INC COM									
90041L105	07/27/2017	07/24/2017	1,094.77	1,016.40			78.37		0.00	0.00
244.0	TUTOR PERINI CORP COM									
901109108	07/27/2017	Various	6,683.00	6,783.20			-100.20		0.00	0.00
2197.0	TWENTY-FIRST CENTURY FOX INC CL A									
90130A101	07/27/2017	07/24/2017	65,080.22	60,812.96			4,267.26		0.00	0.00
2656.0	TWENTY-FIRST CENTY FOX INC CL B CL B									
90130A200	07/27/2017	07/24/2017	77,579.96	73,040.00			4,539.96		0.00	0.00
404.0	TWILIO INC CL A CL A									
90138F102	07/27/2017	07/24/2017	12,176.27	12,519.96			-343.69		0.00	0.00

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Page 170 of 225

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280.0	II VI INC									
902104108	07/27/2017	Various	10,370.96	11,326.00			-955.04		0.00	0.00
73.0	II VI INC									
902104108	07/27/2017	07/24/2017	2,704.07	2,952.85			-248.78		0.00	0.00
284.0	2U INC COM									
90214J101	07/27/2017	07/24/2017	14,557.50	14,299.40			258.10		0.00	0.00
328.0	TYLER TECHNOLOGIES INC									
902252105	07/27/2017	07/24/2017	55,722.63	59,059.68			-3,337.05		0.00	0.00
94.0	#REORG/UCP INC CASH AND STOCK MERGER CENTURY CMNTYS 2Y1ZA31									
90265Y106	08-04-2017	07/27/2017	1,051.83	1,052.80			-0.97		0.00	0.00
1675.0	UGI CORP NEW COM									
902681105	07/27/2017	07/24/2017	84,736.29	84,302.75			433.54		0.00	0.00
712.0	UMB FINL CORP									
902788108	07/27/2017	Various	49,881.56	52,445.92			-2,564.36		0.00	0.00
1934.0	US BANCORP DEL NEW									
902973304	07/27/2017	07/24/2017	101,377.93	102,095.86			-717.93		0.00	0.00
153.0	U M H PPTYS INC COM STK									
903002103	07/27/2017	07/24/2017	2,539.74	2,548.98			-9.24		0.00	0.00

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2017 Tax Information Statement

Page 171 of 225

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75.0	USANA INC									
90328M107	07/27/2017	07/24/2017	4,225.40	4,785.00			-559.60		0.00	0.00
257.0	USA TECHNOLOGIES INC COM NO PAR STK									
90328S500	07/27/2017	07/24/2017	1,411.66	1,464.90			-53.24		0.00	0.00
78.0	US PHYSICAL THERAPY INC									
90337L108	07/27/2017	07/24/2017	4,889.70	4,898.40			-8.70		0.00	0.00
150.0	UBIQUITI NETWORKS INC COM USD0.001									
90347A100	07/27/2017	07/24/2017	7,987.31	7,893.00			94.31		0.00	0.00
287.0	ULTIMATE SOFTWARE GROUP INC COM									
90385D107	07/27/2017	07/24/2017	64,745.70	65,381.47			-635.77		0.00	0.00
212.0	ULTRA CLEAN HLDGS INC COM									
90385V107	07/27/2017	07/24/2017	5,200.23	5,111.32			88.91		0.00	0.00
1285.0	ULTRA PETE CORP ULTRA PETE CORP COM COM									
903914208	07/27/2017	Various	13,787.73	13,453.95			333.78		0.00	0.00
217.0	ULTRAGENYX PHARMACEUTICAL INC COM									
90400D108	07/27/2017	07/24/2017	14,697.07	15,535.03			-837.96		0.00	0.00
39.0	ULTRAGENYX PHARMACEUTICAL INC COM									
90400D108	07/27/2017	07/24/2017	2,641.52	2,792.01			-150.49		0.00	0.00

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2017 Tax Information Statement

Page 172 of 225

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 44-74365
Recipient's Tax ID Number: XX-XXX8912
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
SOBRATO FAMILY FOUNDATION
10600 N DE ANZA BLVD STE 200
CUPERTINO, CA 95014-2059
Ref: PPX

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191.0	UNIFI INC COM									
904677200	07/27/2017	07/24/2017	6,016.36	5,775.84			240.52		0.00	0.00
102.0	UNIFIRST CORP MASS COM									
904708104	07/27/2017	07/24/2017	14,431.64	14,346.30			85.34		0.00	0.00
316.0	UNION BANKSHARES CORP NEW COM									
90539J109	07/27/2017	07/24/2017	9,784.08	9,878.16			-94.08		0.00	0.00
33.0	UNION BANKSHARES INC COM									
905400107	07/27/2017	07/24/2017	1,445.03	1,532.85			-87.82		0.00	0.00
230.0	UNISYS CORP COM NEW									
909214306	07/27/2017	07/24/2017	2,953.13	2,978.50			-25.37		0.00	0.00
349.0	UNIT CORPORATION									
909218109	07/27/2017	07/24/2017	6,362.12	6,191.26			170.86		0.00	0.00
130.0	UNIT CORPORATION									
909218109	07/27/2017	07/24/2017	2,370.23	2,306.20			64.03		0.00	0.00
629.0	UNITED CMNTY FINL CORP OHIO COM									
909839102	07/27/2017	07/24/2017	5,767.79	5,793.09			-25.30		0.00	0.00
1642.0	UNITED BANKSHARES INC WEST VIRGINIA									
909907107	07/27/2017	Various	59,176.31	61,657.10			-2,480.79		0.00	0.00

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2017 Tax Information Statement

Page 173 of 225

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317.0	UNITED FINANCIAL BANCORP INC									
910304104	07/27/2017	07/24/2017	5,762.92	5,763.06			-0.14		0.00	0.00
130.0	UNITED FIRE GROUP INC COM USD0.001									
910340108	07/27/2017	07/24/2017	5,753.66	5,779.80			-26.14		0.00	0.00
66.0	UNITED INSURANCE HOLDINGS CORP COM									
910710102	07/27/2017	07/24/2017	1,042.11	1,050.72			-8.61		0.00	0.00
1683.0	UNITED STATES STL CORP NEW									
912909108	07/27/2017	07/24/2017	42,057.19	40,055.40			2,001.79		0.00	0.00
271.0	UNITED THERAPEUTICS CORP DEL COM STK									
91307C102	07/27/2017	07/24/2017	33,898.60	35,633.79			-1,735.19		0.00	0.00
1025.0	UNITEDHEALTH GROUP INC									
91324P102	07/27/2017	07/24/2017	193,638.52	195,662.25			-2,023.73		0.00	0.00
113.0	UNITIL CORP									
913259107	07/27/2017	07/24/2017	5,795.63	5,795.77			-0.14		0.00	0.00
92.0	UNIVERSAL ELECTRONICS INC									
913483103	07/27/2017	07/24/2017	6,365.33	6,279.00			86.33		0.00	0.00
114.0	UNIVERSAL FST PRODS INC COM									
913543104	07/27/2017	07/24/2017	9,843.67	9,409.56			434.11		0.00	0.00

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2017 Tax Information Statement

Page 174 of 225

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82.0	UNIVERSAL HEALTH REALTY INCOME TRUST									
91359E105	07/27/2017	07/24/2017	6,426.19	6,782.22			-356.03		0.00	0.00
151.0	UNIVERSAL INS HLDGS INC COM									
91359V107	07/27/2017	07/24/2017	3,433.66	3,571.15			-137.49		0.00	0.00
214.0	UNIVEST CORP PA COM									
915271100	07/27/2017	07/24/2017	6,481.91	6,387.90			94.01		0.00	0.00
47.0	UPLAND SOFTWARE INC COM									
91544A109	07/27/2017	07/24/2017	1,167.45	1,178.76			-11.31		0.00	0.00
839.0	URANIUM ENERGY CORP COM									
916896103	07/27/2017	07/24/2017	1,386.83	1,409.52			-22.69		0.00	0.00
917.0	URBAN OUTFITTERS INC									
917047102	07/27/2017	07/24/2017	17,679.35	16,019.99			1,659.36		0.00	0.00
1576.0	URBAN EDGE PPTYS COM									
91704F104	07/27/2017	Various	40,328.90	39,226.64			1,102.26		0.00	0.00
142.0	US ECOLOGY INC COM									
91732J102	07/27/2017	07/24/2017	7,652.20	7,497.60			154.60		0.00	0.00
18.0	UTAH MED PRODS INC COM									
917488108	07/27/2017	07/24/2017	1,261.59	1,280.70			-19.11		0.00	0.00

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2017 Tax Information Statement

Page 175 of 225

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222.0	VBI VACCINES INC CDA VBI VACCINES INC CDA									
91822J103	07/27/2017	07/24/2017	1,048.48	1,005.66			42.82		0.00	0.00
130.0	VSE CORP COM									
918284100	07/27/2017	07/24/2017	5,786.16	5,859.10			-72.94		0.00	0.00
225.0	VTV THERAPEUTICS INC CL A CL A									
918385105	07/27/2017	07/24/2017	942.72	994.50			-51.78		0.00	0.00
4275.0	VALLEY NATL BANCORP									
919794107	07/27/2017	Various	49,845.34	51,257.25			-1,411.91		0.00	0.00
218.0	VALMONT INDS INC COM									
920253101	07/27/2017	07/24/2017	33,939.63	33,681.00			258.63		0.00	0.00
1980.0	VALVOLINE INC COM									
92047W101	07/27/2017	07/24/2017	44,984.56	45,500.40			-515.84		0.00	0.00
130.0	VANDA PHARMACEUTICALS INC COM									
921659108	07/27/2017	07/24/2017	2,052.65	2,145.00			-92.35		0.00	0.00
147.0	VANDA PHARMACEUTICALS INC COM									
921659108	07/27/2017	07/24/2017	2,321.51	2,425.50			-103.99		0.00	0.00
123.0	VARONIS SYS INC COM									
922280102	07/27/2017	07/24/2017	4,598.86	4,735.50			-136.64		0.00	0.00

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2017 Tax Information Statement

Page 176 of 225

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560.0	VECTOR GROUP LTD COM STK									
92240M108	07/27/2017	Various	11,944.52	11,872.00			72.52		0.00	0.00
316.0	VEECO INSTRS INC DEL COM									
922417100	07/27/2017	07/24/2017	9,950.61	10,238.40			-287.79		0.00	0.00
148.0	VERACYTE INC COM									
92337F107	07/27/2017	07/24/2017	1,225.41	1,192.88			32.53		0.00	0.00
412.0	VERINT SYSTEMS INC									
92343X100	07/27/2017	Various	16,331.30	16,109.20			222.10		0.00	0.00
39.0	VERITEX HLDGS INC COM									
923451108	07/27/2017	07/24/2017	1,036.98	1,037.01			-0.03		0.00	0.00
143.0	VERITIV CORP COM									
923454102	07/27/2017	07/24/2017	5,468.19	5,741.45			-273.26		0.00	0.00
890.0	VERISK ANALYTICS INC COM STK									
92345Y106	07/27/2017	07/24/2017	77,552.80	77,527.90			24.90		0.00	0.00
210.0	VERSARTIS INC COM									
92529L102	07/27/2017	07/24/2017	3,893.31	4,063.50			-170.19		0.00	0.00
77.0	VIAD CORP COM NEW									
92552R406	07/27/2017	07/24/2017	3,799.09	3,784.55			14.54		0.00	0.00

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Page 177 of 225

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164.0	VIACOM INC									
92553P201	07/27/2017	07/24/2017	5,822.35	5,940.08			-117.73		0.00	0.00
1677.0	VIACOM INC									
92553P201	07/27/2017	07/24/2017	59,532.12	60,740.94			-1,208.82		0.00	0.00
1494.0	VIAVI SOLUTIONS INC COM NPV									
925550105	07/27/2017	Various	16,388.80	17,031.60			-642.80		0.00	0.00
100.0	VICOR CORP									
925815102	07/27/2017	07/24/2017	1,933.95	1,980.00			-46.05		0.00	0.00
204.0	VIEWRAY INC COM									
92672L107	07/27/2017	07/24/2017	936.33	997.56			-61.23		0.00	0.00
274.0	VIRNETX HLDG CORP COM STK									
92823T108	07/27/2017	07/24/2017	956.23	1,027.50			-71.27		0.00	0.00
164.0	VIRTU FINL INC CL A CL A									
928254101	07/27/2017	07/24/2017	2,671.49	2,697.80			-26.31		0.00	0.00
60.0	VIRTUS INVT PARTNERS INC COM									
92828Q109	07/27/2017	Various	6,965.23	6,954.00			11.23		0.00	0.00
2133.0	VISHAY INTERTECHNOLOGY INC									
928298108	07/27/2017	Various	37,625.25	38,500.65			-875.40		0.00	0.00

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Page 178 of 225

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152.0	VIVEVE MED INC COM NEW COM NEW									
92852W204	07/27/2017	07/24/2017	1,013.81	1,033.60			-19.79		0.00	0.00
182.0	VOCERA COMMUNICATIONS INC									
92857F107	07/27/2017	07/24/2017	4,702.77	4,762.94			-60.17		0.00	0.00
1300.0	VONAGE HLDGS CORP COM									
92886T201	07/27/2017	07/24/2017	8,891.79	8,684.00			207.79		0.00	0.00
90.0	WD-40 CO									
929236107	07/27/2017	07/24/2017	9,628.87	9,621.00			7.87		0.00	0.00
220.0	WSFS FINANCIAL CORP COM									
929328102	07/27/2017	Various	10,084.56	9,845.00			239.56		0.00	0.00
835.0	WABTEC CORP									
929740108	07/27/2017	07/24/2017	63,132.89	72,603.25			-9,470.36		0.00	0.00
500.0	WADDELL & REED FINL INC CL A									
930059100	07/27/2017	07/24/2017	10,114.76	10,330.00			-215.24		0.00	0.00
1828.0	WAL MART STORES INC									
931142103	07/27/2017	07/24/2017	145,816.19	140,554.92			5,261.27		0.00	0.00
1327.0	WALGREENS BOOTS ALLIANCE INC COM									
931427108	07/27/2017	07/24/2017	104,525.37	105,629.20			-1,103.83		0.00	0.00

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2017 Tax Information Statement

Page 179 of 225

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154.0	WALKER & DUNLOP INC COM .									
93148P102	07/27/2017	07/24/2017	7,616.66	7,807.80			-191.14		0.00	0.00
1439.0	WASHINGTON FED INC									
938824109	07/27/2017	Various	47,759.30	48,350.40			-591.10		0.00	0.00
3303.0	WASHINGTON PRIME GROUP NEW COM									
93964W108	07/27/2017	Various	31,608.97	29,958.21			1,650.76		0.00	0.00
459.0	WASHINGTON REAL ESTATE INVT TR SH BEN INT SH BEN INT									
939653101	07/27/2017	Various	14,797.81	14,554.89			242.92		0.00	0.00
143.0	WASHINGTON TR BANCORP INC COM									
940610108	07/27/2017	07/24/2017	7,584.54	7,214.35			370.19		0.00	0.00
813.0	WASTE MGMT INC DEL									
94106L109	07/27/2017	07/24/2017	61,331.30	61,048.17			283.13		0.00	0.00
145.0	WATERS CORP									
941848103	07/27/2017	07/24/2017	25,116.74	26,826.45			-1,709.71		0.00	0.00
231.0	WATERS CORP									
941848103	07/27/2017	07/24/2017	40,012.89	42,737.31			-2,724.42		0.00	0.00
297.0	WATSCO INC									
942622200	07/27/2017	07/24/2017	44,679.64	44,229.24			450.40		0.00	0.00

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2017 Tax Information Statement

Page 180 of 225

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250.0	WEB COM GROUP INC COM									
94733A104	07/27/2017	07/24/2017	5,622.37	5,687.50			-65.13		0.00	0.00
606.0	#REORG/WEBMD HEALTH CORP CASH MERGER 09-18-2017									
94770V102	07/27/2017	Various	40,110.21	40,056.60			53.61		0.00	0.00
170.0	WEIGHT WATCHERS INTL INC NEW									
948626106	07/27/2017	07/24/2017	6,232.05	6,650.40			-418.35		0.00	0.00
1134.0	WEINGARTEN RLTY INVS COM									
948741103	07/27/2017	07/24/2017	36,933.52	35,222.04			1,711.48		0.00	0.00
124.0	WEIS MKT INC COM									
948849104	07/27/2017	07/24/2017	5,919.62	5,865.20			54.42		0.00	0.00
432.0	WELLCARE HLTH PLANS INC COM PLANS INC CU REMAINS SAME EFF 7/6/04									
94946T106	07/27/2017	07/24/2017	77,347.81	79,267.68			-1,919.87		0.00	0.00
6024.0	WELLS FARGO & CO NEW									
949746101	07/27/2017	07/24/2017	329,505.18	326,922.48			2,582.70		0.00	0.00
1269.0	WELLTOWER INC COM REIT									
95040Q104	07/27/2017	07/24/2017	92,355.68	92,827.35			-471.67		0.00	0.00
1662.0	WENDYS CO COM									
95058W100	07/27/2017	07/24/2017	26,325.47	26,226.36			99.11		0.00	0.00

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2017 Tax Information Statement

Page 181 of 225

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781.0	WERNER ENTERPRISES INC COM									
950755108	07/27/2017	Various	23,304.50	22,805.20			499.30		0.00	0.00
311.0	WESBANCO INCORPORATED									
950810101	07/27/2017	07/24/2017	11,792.84	11,886.42			-93.58		0.00	0.00
295.0	WESCO AIRCRAFT HOLDINGS INC									
950814103	07/27/2017	07/24/2017	3,079.72	3,127.00			-47.28		0.00	0.00
230.0	WESCO AIRCRAFT HOLDINGS INC									
950814103	07/27/2017	07/24/2017	2,401.83	2,438.00			-36.17		0.00	0.00
45.0	WEST BANCORPORATION INC CDT-CAP STK									
95123P106	07/27/2017	07/24/2017	1,032.27	1,039.50			-7.23		0.00	0.00
292.0	#REORG/WEST CORPORATION COM CASH MERGER 10-11-2017									
952355204	07/27/2017	Various	6,818.04	6,800.68			17.36		0.00	0.00
223.0	#REORG/ WEST MARINE INC COM CASH MERGER 09-15-2017									
954235107	07/27/2017	07/24/2017	2,887.78	2,863.32			24.46		0.00	0.00
226.0	#REORG/ WEST MARINE INC COM CASH MERGER 09-15-2017									
954235107	07/27/2017	07/24/2017	2,927.31	2,901.84			25.47		0.00	0.00
193.0	WESTAMERICA BANCORPORATION									
957090103	07/27/2017	07/24/2017	10,524.04	10,630.44			-106.40		0.00	0.00

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2017 Tax Information Statement

Page 182 of 225

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 44-74365
Recipient's Tax ID Number: XX-XXX8912
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
SOBRATO FAMILY FOUNDATION
10600 N DE ANZA BLVD STE 200
CUPERTINO, CA 95014-2059
Ref: PPX

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1374.0	WESTAR ENERGY INC									
95709T100	07/27/2017	07/24/2017	69,234.26	69,112.20			122.06		0.00	0.00
242.0	WESTN AST MTG CAP CORP COM									
95790D105	07/27/2017	07/24/2017	2,529.57	2,480.50			49.07		0.00	0.00
318.0	WESTN AST MTG CAP CORP COM									
95790D105	07/27/2017	07/24/2017	3,323.02	3,259.50			63.52		0.00	0.00
98.0	WESTROCK CO COM									
96145D105	07/27/2017	07/24/2017	5,753.73	5,744.76			8.97		0.00	0.00
1102.0	WESTROCK CO COM									
96145D105	07/27/2017	07/24/2017	64,696.92	64,599.24			97.68		0.00	0.00
53.0	WESTWOOD HLDGS GROUP INC COM									
961765104	07/27/2017	07/24/2017	3,141.23	3,095.73			45.50		0.00	0.00
373.0	WEX INC COM									
96208T104	07/27/2017	07/24/2017	41,499.02	42,268.36			-769.34		0.00	0.00
2842.0	WEYERHAEUSER CO									
962166104	07/27/2017	07/24/2017	97,250.99	96,997.46			253.53		0.00	0.00
449.0	WHITESTONE REIT COMMON SHARES SHS BEN INT									
966084204	07/27/2017	07/24/2017	5,877.27	5,751.69			125.58		0.00	0.00

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2017 Tax Information Statement

Page 183 of 225

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507.0	JOHN WILEY & SONS INC CLASS A									
968223206	07/27/2017	07/24/2017	28,107.43	27,682.20			425.23		0.00	0.00
48.0	WILLDAN GROUP INC COM									
96924N100	07/27/2017	07/24/2017	1,597.40	1,605.12			-7.72		0.00	0.00
1480.0	WINDSTREAM HLDGS INC COM NEW COM NEW									
97382A200	07/27/2017	07/24/2017	5,786.66	5,587.00			199.66		0.00	0.00
15.0	WINMARK CORP COM									
974250102	07/27/2017	07/24/2017	1,997.05	1,983.00			14.05		0.00	0.00
23.0	WINTRUST FINANCIAL CORP COMMON STOCK									
97650W108	07/27/2017	07/24/2017	1,737.83	1,769.85			-32.02		0.00	0.00
746.0	WISDOMTREE INVTS INC COM									
97717P104	07/27/2017	07/24/2017	7,758.22	7,870.30			-112.08		0.00	0.00
874.0	WOODWARD GOVERNOR COMPANY									
980745103	07/27/2017	Various	61,545.65	60,201.12			1,344.53		0.00	0.00
162.0	WORKIVA INC COM CL A COM CL A									
98139A105	07/27/2017	07/24/2017	3,197.80	3,264.30			-66.50		0.00	0.00
683.0	WORTHINGTON INDUSTRIES INC									
981811102	07/27/2017	Various	35,453.71	35,516.00			-62.29		0.00	0.00

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2017 Tax Information Statement

Page 184 of 225

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3857.0	WPX ENERGY INC COM SHS									
98212B103	07/27/2017	07/24/2017	41,924.62	39,379.97			2,544.65		0.00	0.00
168.0	#REORG/XACTLY CORP COM CASH MERGER 07-31-2017									
98386L101	07/27/2017	07/24/2017	2,620.73	2,629.20			-8.47		0.00	0.00
222.0	XBIOTECH INC COMMON STOCK									
98400H102	07/27/2017	07/24/2017	1,025.61	1,038.96			-13.35		0.00	0.00
295.0	XCERRA CORP COM									
98400J108	07/27/2017	07/24/2017	2,840.78	2,873.30			-32.52		0.00	0.00
719.0	XENIA HOTELS & RESORTS INC COM									
984017103	07/27/2017	07/24/2017	14,516.27	14,408.76			107.51		0.00	0.00
132.0	XENCOR INC COM									
98401F105	07/27/2017	07/24/2017	3,086.08	3,190.44			-104.36		0.00	0.00
111.0	XENCOR INC COM									
98401F105	07/27/2017	07/24/2017	2,595.45	2,682.87			-87.42		0.00	0.00
317.0	XPERI CORP COM									
98421B100	07/27/2017	07/24/2017	9,332.26	9,510.00			-177.74		0.00	0.00
466.0	YRC WORLDWIDE INC COM USD0.01 (POST REV SPLIT)									
984249607	07/27/2017	07/24/2017	5,964.66	5,866.94			97.72		0.00	0.00

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Page 185 of 225

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422.0	YELP INC CL A									
985817105	07/27/2017	07/24/2017	13,820.18	13,390.06			430.12		0.00	0.00
80.0	YELP INC CL A									
985817105	07/27/2017	07/24/2017	2,620.17	2,538.40			81.77		0.00	0.00
80.0	YEXT INC COM									
98585N106	07/27/2017	07/24/2017	1,047.97	1,104.00			-56.03		0.00	0.00
76.0	YORK WTR CO COM									
987184108	07/27/2017	07/24/2017	2,636.37	2,671.40			-35.03		0.00	0.00
126.0	ZAGG INC COM STK									
98884U108	07/27/2017	07/24/2017	1,069.71	1,083.60			-13.89		0.00	0.00
511.0	ZEBRA TECHNOLOGIES CORP CL A									
989207105	07/27/2017	07/24/2017	52,509.14	51,263.52			1,245.62		0.00	0.00
629.0	ZENDESK INC COM									
98936J101	07/27/2017	07/24/2017	19,202.92	18,945.48			257.44		0.00	0.00
847.0	ZIOPHARM ONCOLOGY COM STK									
98973P101	07/27/2017	07/24/2017	4,776.96	4,971.89			-194.93		0.00	0.00
435.0	ZIX CORP COM									
98974P100	07/27/2017	07/24/2017	2,392.44	2,496.90			-104.46		0.00	0.00

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2017 Tax Information Statement

Page 186 of 225

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122.0	ZOGENIX INC COM NEW COM NEW									
98978L204	07/27/2017	07/24/2017	1,499.34	1,634.80			-135.46		0.00	0.00
74.0	ZYNERBA PHARMACEUTICALS INC COM									
98986X109	07/27/2017	07/24/2017	1,202.47	1,375.66			-173.19		0.00	0.00
332.0	AIRCATTLE LIMITED COM STK									
G0129K104	07/27/2017	07/24/2017	7,688.94	7,808.64			-119.70		0.00	0.00
556.0	ALLERGAN PLC. COM STK COMMON STOCK									
G0177J108	07/27/2017	07/24/2017	141,304.13	140,957.12			347.01		0.00	0.00
203.0	AMBARELLA INC SHS									
G037AX101	07/27/2017	Various	10,052.32	10,168.27			-115.95		0.00	0.00
226.0	ARGO GROUP INTERNATIONAL HOLDINGS COM STK									
G0464B107	07/27/2017	07/24/2017	13,365.33	13,673.00			-307.67		0.00	0.00
576.0	ASPEN INSURANCE HLDGS COM									
G05384105	07/27/2017	07/24/2017	27,641.60	29,779.20			-2,137.60		0.00	0.00
461.0	ATLAS FINANCIAL HLDGS INC COM									
G06207115	07/27/2017	Various	7,048.52	6,868.90			179.62		0.00	0.00
194.0	AXOVANT SCIENCES LTD COMMON STOCK									
G0750W104	07/27/2017	07/24/2017	4,343.55	4,500.80			-157.25		0.00	0.00

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Page 187 of 225

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417.0	BANK NT BUTTERFIELD COM BMD1(POST REV SPLIT)									
G0772R208	07/27/2017	Various	14,348.63	14,348.97			-0.34		0.00	0.00
56.0	BIOHAVEN PHARMACEUTICAL HLDG CO LTD COM NPV									
G11196105	07/27/2017	07/24/2017	1,413.40	1,444.24			-30.84		0.00	0.00
567.0	BELMOND LTD COM STOCK									
G1154H107	07/27/2017	07/24/2017	7,478.55	7,427.70			50.85		0.00	0.00
447.0	CONS WTR CO LTD COM									
G23773107	07/27/2017	07/24/2017	5,672.29	5,676.90			-4.61		0.00	0.00
805.0	EATON CORP PLC COM USD0.50									
G29183103	07/27/2017	07/24/2017	63,177.35	63,313.25			-135.90		0.00	0.00
1913.0	ENDO INTL LTD COM STK									
G30401106	07/27/2017	07/24/2017	21,329.45	22,324.71			-995.26		0.00	0.00
66.0	ENSTAR GROUP LIMITED COM									
G3075P101	07/27/2017	07/24/2017	13,172.63	13,470.60			-297.97		0.00	0.00
5181.0	ENSCO PLC SHS CLASS A COM									
G3157S106	07/27/2017	Various	27,355.04	27,718.35			-363.31		0.00	0.00
66.0	ESSENT GROUP LTD COM STK									
G3198U102	07/27/2017	07/24/2017	2,492.96	2,513.94			-20.98		0.00	0.00

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Page 188 of 225

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432.0	ESSENT GROUP LTD COM STK									
G3198U102	07/27/2017	07/24/2017	16,316.26	16,454.88			-138.62		0.00	0.00
222.0	FRESH DEL MONTE PRODUCE INC									
G36738105	07/27/2017	07/24/2017	11,339.49	11,330.88			8.61		0.00	0.00
978.0	FRONTLINE LTD COMMON STOCK									
G3682E192	07/27/2017	07/24/2017	5,594.03	5,682.18			-88.15		0.00	0.00
337.0	GASLOG LTD									
G37585109	07/27/2017	07/24/2017	6,028.79	5,981.75			47.04		0.00	0.00
85.0	EROS INTERNATIONAL COM									
G3788M114	07/27/2017	07/24/2017	1,108.37	1,143.25			-34.88		0.00	0.00
53.0	#REORG/GLOBAL SOURCES LTD COM CASH MERGER 08-28-2017									
G39300101	07/27/2017	07/24/2017	1,048.84	1,046.75			2.09		0.00	0.00
148.0	GLOBAL INDEMNITY LIMITED CAYMAN LTD									
G3933F105	07/27/2017	07/24/2017	5,702.30	5,749.80			-47.50		0.00	0.00
429.0	HELEN TROY LTD									
G4388N106	07/27/2017	Various	42,980.51	42,149.25			831.26		0.00	0.00
1742.0	JANUS HENDERSON GROUP PLC ORD USD1.50									
G4474Y214	07/27/2017	07/24/2017	57,798.22	58,635.72			-837.50		0.00	0.00

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2017 Tax Information Statement

Page 189 of 225

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890.0	HORIZON PHARMA INC COMMON STOCK									
G4617B105	07/27/2017	07/24/2017	11,258.23	11,712.40			-454.17		0.00	0.00
202.0	HORIZON PHARMA INC COMMON STOCK									
G4617B105	07/27/2017	07/24/2017	2,555.85	2,658.32			-102.47		0.00	0.00
76.0	ICHOR HOLDINGS LTD COM USD0.0001									
G4740B105	07/27/2017	07/24/2017	1,632.44	1,770.42			-137.98		0.00	0.00
145.0	JAMES RIVER GROUP HOLDINGS COM USD0.0002									
G5005R107	07/27/2017	07/24/2017	5,714.31	5,766.65			-52.34		0.00	0.00
1498.0	MEDTRONIC PLC COMMON STOCK STOCK									
G5960L103	07/27/2017	07/24/2017	126,832.72	127,464.82			-632.10		0.00	0.00
1739.0	MICHAEL KORS HOLDINGS LTD COM NPV									
G60754101	07/27/2017	07/24/2017	62,932.95	60,708.49			2,224.46		0.00	0.00
2777.0	NABORS INDUSTRIES LTD									
G6359F103	07/27/2017	07/24/2017	21,826.71	21,132.97			693.74		0.00	0.00
1957.0	NIELSEN HOLDINGS PLC COMSTK									
G6518L108	07/27/2017	07/24/2017	80,626.53	77,947.31			2,679.22		0.00	0.00
1790.0	NOBLE CORP PLC COMMON STOCK									
G65431101	07/27/2017	07/24/2017	7,249.33	7,106.30			143.03		0.00	0.00

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2017 Tax Information Statement

Page 190 of 225

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963.0	NORDIC AMERICAN TANKERS LIMITED ISIN#BMG657731060									
G65773106	07/27/2017	07/24/2017	5,652.67	5,729.85			-77.18		0.00	0.00
374.0	NOVOCURE LTD COM USD0.00									
G6674U108	07/27/2017	07/24/2017	7,625.68	7,106.00			519.68		0.00	0.00
358.0	OM ASSET MGT PLC COM GBP0.001									
G67506108	07/27/2017	07/24/2017	5,316.17	5,348.52			-32.35		0.00	0.00
157.0	OXFORD IMMUNOTEC GLOBAL PLC ORD GBP0.006705									
G6855A103	07/27/2017	07/24/2017	2,596.71	2,529.27			67.44		0.00	0.00
278.0	PROTHENA CORP PLC USD0.01									
G72800108	07/27/2017	Various	17,391.27	18,692.72			-1,301.45		0.00	0.00
180.0	QUOTIENT LTD COM NPV									
G73268107	07/27/2017	07/24/2017	975.57	1,076.40			-100.83		0.00	0.00
394.0	RENAISSANCERE HOLDINGS LTD									
G7496G103	07/27/2017	07/24/2017	56,348.57	57,287.60			-939.03		0.00	0.00
1972.0	ROWAN COMPANIES PLC									
G7665A101	07/27/2017	Various	23,131.02	22,244.16			886.86		0.00	0.00
431.0	SHIP FINANCE INTERNATIONAL COMMON STK									
G81075106	07/27/2017	07/24/2017	5,792.50	5,818.50			-26.00		0.00	0.00

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2017 Tax Information Statement

Page 191 of 225

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157.0	STRONGBRIDGE BIOPHARMA PLC COM USD0.01									
G85347105	07/27/2017	07/24/2017	1,026.75	1,091.15			-64.40		0.00	0.00
271.0	THERAVANCE BIOPHARMA INC COM									
G8807B106	07/27/2017	07/24/2017	9,070.16	9,774.97			-704.81		0.00	0.00
659.0	THIRD POINT REINSURANCE LTD COM USD0.10									
G8827U100	07/27/2017	Various	9,581.63	9,390.75			190.88		0.00	0.00
798.0	TRAVELPORT WORLDWIDE LTD SHS									
G9019D104	07/27/2017	Various	11,339.31	11,411.40			-72.09		0.00	0.00
292.0	TRITON INTL LTD COM USD0.01 CL A									
G9078F107	07/27/2017	07/24/2017	10,646.07	10,453.60			192.47		0.00	0.00
651.0	GOLAR LNG LIMITED COM STK USD1.00									
G9456A100	07/27/2017	07/24/2017	15,356.73	15,168.30			188.43		0.00	0.00
115.0	GOLAR LNG LIMITED COM STK USD1.00									
G9456A100	07/27/2017	07/24/2017	2,713.12	2,679.50			33.62		0.00	0.00
97.0	WILLIS TOWERS WATSON PLC COM USD0.000115USD0.000115									
G96629103	07/27/2017	07/24/2017	14,260.61	14,460.76			-200.15		0.00	0.00
95.0	ALTISOURCE PORTFOLIO COM USD1.00									
L0175J104	07/27/2017	07/24/2017	2,625.73	2,166.00			459.73		0.00	0.00

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2017 Tax Information Statement

Page 192 of 225

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 44-74365
Recipient's Tax ID Number: XX-XXX8912
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
SOBRATO FAMILY FOUNDATION
10600 N DE ANZA BLVD STE 200
CUPERTINO, CA 95014-2059
Ref: PPX

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property										
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
36.0	TRINSEO S A COMMON STOCK									
L9340P101	07/27/2017	07/24/2017	2,453.09	2,502.00			-48.91		0.00	0.00
248.0	TRINSEO S A COMMON STOCK									
L9340P101	07/27/2017	Various	16,898.32	17,236.00			-337.68		0.00	0.00
94.0	CAESARSTONE LTD COM STK									
M20598104	07/27/2017	07/24/2017	3,364.18	3,294.70			69.48		0.00	0.00
161.0	STRATASYS INC SHS									
M85548101	07/27/2017	07/24/2017	3,952.45	3,921.96			30.49		0.00	0.00
162.0	CIMPRESS N.V CMN STK									
N20146101	07/27/2017	07/24/2017	14,116.35	14,926.68			-810.33		0.00	0.00
425.0	CORE LABORATORIES NV NLG0.03									
N22717107	07/27/2017	07/24/2017	41,848.78	45,177.50			-3,328.72		0.00	0.00
681.0	FRANKS INTERNATIONAL NVRY5H0 EUR0.01									
N33462107	07/27/2017	07/24/2017	5,652.16	5,638.68			13.48		0.00	0.00
149.0	ORTHOFIX INTL N.V COM STK USD0.10									
N6748L102	07/27/2017	Various	6,673.55	6,852.51			-178.96		0.00	0.00
675.0	WRIGHT MEDICAL GROUP NV EUR0.03									
N96617118	07/27/2017	07/24/2017	19,142.55	18,609.75			532.80		0.00	0.00

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Page 193 of 225

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Description of property										
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259.0	BANCO LATINOAMERICANO DE COMERCIO EXTERIOR SA									
P16994132	07/27/2017	07/24/2017	6,894.42	6,863.50			30.92		0.00	0.00
163.0	TRONOX LTD SHS CL A									
Q9235V101	07/27/2017	07/24/2017	3,146.31	3,215.99			-69.68		0.00	0.00
304.0	TRONOX LTD SHS CL A									
Q9235V101	07/27/2017	07/24/2017	5,867.06	5,997.92			-130.86		0.00	0.00
299.0	#REORG/PLANET PAYMENT INC CASH MERGER 12-21-2017									
U72603118	07/27/2017	07/24/2017	995.64	1,034.54			-38.90		0.00	0.00
702.0	ROYAL CARIBBEAN CRUISES LTD									
V7780T103	07/27/2017	07/24/2017	79,520.72	81,249.48			-1,728.76		0.00	0.00
135.0	ARDMORE SHIPPING CORP									
Y0207T100	07/27/2017	07/24/2017	1,071.87	1,059.75			12.12		0.00	0.00
675.0	BROADCOM LIMITED COM NPV COMMON STOCK									
Y09827109	07/27/2017	07/24/2017	169,380.58	170,795.25			-1,414.67		0.00	0.00
254.0	INTERNATIONAL SEAWAYS INC INTERNATIONAL SEAWAYS INC COMMON STOCK									
Y41053102	07/27/2017	07/24/2017	5,829.16	5,748.02			81.14		0.00	0.00
1547.0	SCORPIO TANKERS INC COM STK									
Y7542C106	07/27/2017	07/24/2017	5,801.11	5,708.43			92.68		0.00	0.00

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2017 Tax Information Statement

Page 194 of 225

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10600 N DE ANZA BLVD STE 200
CUPERTINO, CA 95014-2059

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Description of property										
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
340.0	TEEKAY CORP COM									
Y8564W103	07/27/2017	07/24/2017	3,244.54	2,777.80			466.74		0.00	0.00
408.0	TEEKAY CORP COM									
Y8564W103	07/27/2017	07/24/2017	3,892.22	3,333.36			558.86		0.00	0.00
79.0	WAVE LIFE SCIENCES PTE LTD COM NPV									
Y95308105	07/27/2017	07/24/2017	1,583.12	1,580.00			3.12		0.00	0.00
1001.0	REYNOLDS AMERICAN INC COM									
761713106	07/28/2017	07/24/2017	65,931.64	65,465.40			466.24		0.00	0.00
770.0	J2 GLOBAL INC COM									
48123V102	08/02/2017	Various	65,941.27	64,441.30			1,499.97		0.00	0.00
0.73	BRIGHTHOUSE FINL INC COM									
10922N103	08/07/2017	07/27/2017	42.27	45.81			-3.54		0.00	0.00
0.53	BRITISH AMERN TOB PLC SPONSORED ADR									
110448107	08/09/2017	07/25/2017	32.98	36.70			-3.72		0.00	0.00
2142.0	WHOLE FOODS MKT INC									
966837106	08/28/2017	Various	89,964.00	89,336.86			627.14		0.00	0.00
1664.0	ALBANY MOLECULAR RESH INC									
012423109	09/01/2017	Various	36,192.00	36,153.74			38.26		0.00	0.00

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Page 195 of 225

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10600 N DE ANZA BLVD STE 200
CUPERTINO, CA 95014-2059

Ref: PPX

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Description of property										
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
0.14	DOWDUPONT INC COM USD0.01									
26078J100	09/01/2017	07/24/2017	9.04	9.27			-0.23		0.00	0.00
3136.0	#REORG/ VCA CASH MERGER 09-12-2017									
918194101	09/12/2017	Various	291,648.00	290,620.02			1,027.98		0.00	0.00
541.0	#REORG/ VCA CASH MERGER 09-12-2017									
918194101	09/14/2017	07/24/2017	50,313.00	50,080.37			232.63		0.00	0.00
2341.0	#REORG/CABELAS INC COM CASH MERGER 09-26-2017									
126804301	09/26/2017	Various	143,971.50	137,063.76			6,907.74		0.00	0.00
2186.0	PAREXEL INTL CORP									
699462107	10/02/2017	Various	192,586.60	191,170.55			1,416.05		0.00	0.00
6231.0	ATWOOD OCEANICS INC									
050095108	10/06/2017	Various	59,618.21	48,295.20			11,323.01		0.00	0.00
0.6	ENSCO PLC SHS CLASS A COM									
G3157S106	10/06/2017	10/06/2017	2.57	3.59			-1.02		0.00	0.00
413.0	LANDAUER INC									
51476K103	10/24/2017	10/12/2017	27,774.25	27,819.68			-45.43		0.00	0.00
482.0	#REORG/ LEVEL 3 STOCK AND CASH MERGER CENTURYLINK 2117900									
52729N308	11-01-2017	11/01/2017	28,528.13	28,528.13			0.00		0.00	0.00

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2017 Tax Information Statement

Page 196 of 225

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 44-74365
Recipient's Tax ID Number: XX-XXX8912
☐ Corrected ☐ FATCA ☐ 2nd TIN notice

Recipient's Name and Address:
SOBRATO FAMILY FOUNDATION
10600 N DE ANZA BLVD STE 200
CUPERTINO, CA 95014-2059

Ref: PPX

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Description of property										
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
0.59	CENTURYTEL INC									
156700106	11/15/2017	07/27/2017	9.72	13.50			-3.78		0.00	0.00
1698.0	#REORG/ LUMOS NETWORKS CASH MERGER 11-20-2017									
550283105	11/20/2017	Various	30,564.00	30,407.06			156.94		0.00	0.00
0.25	CONSOL ENERGY INC NEW COM									
20854L108	11/29/2017	07/24/2017	5.15	5.24			-0.09		0.00	0.00
0.32	M D C HLDGS INC									
552676108	12/04/2017	07/24/2017	10.16	10.57			-0.41		0.00	0.00
667.0	#REORG/PHARMERICA CORP COM CASH MERGER 12-07-2017									
71714F104	12/08/2017	10/12/2017	19,509.75	19,449.72			60.03		0.00	0.00
222.0	#REORG/ GIGAMON INC COM CASH MERGER 12-27-2017									
37518B102	12/27/2017	10/12/2017	8,547.00	8,393.82			153.18		0.00	0.00
Total Short Term Sales			31,799,653.83	31,783,013.23	0.00	0.00	16,640.60		0.00	0.00

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2017 Tax Information Statement

Page 8 of 18

Ref: PPX

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 17-50819
Recipient's Tax ID Number: XX-XXX8912

Recipient's Name and Address:
SOBRATO FAMILY FOUNDATION
10600 N DE ANZA BLVD STE 200
CUPERTINO, CA 95014-2059

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

2017 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.
Cost or Other Basis amounts shown with a "U" are unknown or unsubstantiated.

Description of property										
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales										
0.5	NASPERS SPON ADR EACH REP 0.2 ORD SHS (P/S)CL N									
631512209	09/01/2017	07/18/2017	22.07	22.36			-0.29		0.00	0.00
0.3	ADR SIBANYE STILLWATER SPON ADR EACH REP 4 ORD SHS									
825724206	10/24/2017	09/08/2017	0.89	1.75			-0.86		0.00	0.00
8309.0	DUMMY RIGHT NASPERS LTD SP ADR REPSTG CLORD									
19F999G67	11/03/2017	Various	270.79	0.00			270.79		0.00	0.00
7650.0	ADR CPFL ENERGIA S A SPONSORED ADR									
126153105	11/30/2017	Various	92,806.90	129,958.83			-37,151.93		0.00	0.00
69952.0	DUMMY RIGHTS AMERICAN DEPOSITORY SHARES DUMMY RIGHTS									
1K99923L5	12/13/2017	Various	1,792.87	0.00			1,792.87		0.00	0.00
46586.0	CEMIG-COMPANHIA ENERGETICA DE MINAS GERAIS DUMMY RIGHTS									
1K99924L4	12/13/2017	Various	660.40	0.00			660.40		0.00	0.00
Total Short Term Sales			95,553.92	129,982.94	0.00	0.00	-34,429.02		0.00	0.00

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2017 Tax Information Statement

Page 8 of 27

Ref: PPX

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 44-74366
Recipient's Tax ID Number: XX-XXX8912

Recipient's Name and Address:
SOBRATO FAMILY FOUNDATION
10600 N DE ANZA BLVD STE 200
CUPERTINO, CA 95014-2059

☐ Corrected ☐ FATCA ☐ 2nd TIN notice

2017 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.
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Description of property										
Cusip Number	Date Sold or Disposed	Date Acquired	Proceeds	Cost or Other Basis	Market Discount	Wash Loss Disallowed	Net Gain or Loss	Ordinary	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales										
0.2	ADR TOKYO GAS CO LTD ADR -UNSPON ADR									
889115101	10/04/2017	07/19/2017	0.83	2.57			-1.74		0.00	0.00
0.31	ADR FERROVIAL S A ADR									
315437103	11/03/2017	10/13/2017	4.42	6.74			-2.32		0.00	0.00
0.77	ADR TOTAL SA									
89151E109	11/07/2017	10/19/2017	41.69	41.77			-0.08		0.00	0.00
0.85	BANCO SANTANDER S.A.									
05964H105	11/22/2017	07/18/2017	6.38	5.64			0.74		0.00	0.00
Total Short Term Sales			53.32	56.72	0.00	0.00	-3.40		0.00	0.00

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	1,013,158.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	1,013,158.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,013,158.
6 Credits/Payments:			
a 2017 estimated tax payments and 2016 overpayment credited to 2017	6a	827,402.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	210,000.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments. Add lines 6a through 6d	7	1,037,402.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	0.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	24,244.	
11 Enter the amount of line 10 to be: Credited to 2018 estimated tax 24,244. Refunded	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 19	X	

Form 990-PF (2017)

Part VII-A Statements Regarding Activities (continued)

	Yes	No	
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11	X	
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12	X	
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address	13	X	
14 The books are in care of BILL HOFFENBERG Telephone no. (408) 446-0700 Located at 10600 N. DE ANZA BLVD. SUITE 200, CUPERTINO, CA ZIP+4 95014			
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No	
1a During the year, did the foundation (either directly or indirectly):			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		X
Organizations relying on a current notice regarding disaster assistance, check here			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? If "Yes," list the years	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)	N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b		X

Form 990-PF (2017)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions ☐ N/AOrganizations relying on a current notice regarding disaster assistance, check here ☐c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ N/A**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 21		422,457.	47,807.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LAURIE OLSEN - 506 VALLEY WAY, MILPITAS, CA 95035, CUPERTINO, CA	SEAL STRATEGIC ADVISOR	240,041.	29,999.	0.
ANYA HURWITZ - 506 VALLEY WAY, MILPITAS, CA 95035, CUPERTINO, CA	EXECUTIVE DIRECTOR	187,133.	28,031.	0.
KENJI TREANOR - 10600 N. DE ANZA BLVD, STE 200, CUPERTINO, CA 9501,	DIRECTOR OF STRATEGIC GRANTMAKING	187,083.	22,770.	0.
MARA WILLIAMS LOW - 10600 N. DE ANZA BLVD, STE 200, CUPERTINO, CA 9501,	PROGRAM DIRECTOR	188,140.	14,344.	0.
JENNIFER ANALLA - 506 VALLEY WAY, MILPITAS, CA 95035, MILPITAS, CA	DIRECTOR OF INNOVATION & STRATEGY	176,947.	24,536.	0.
Total number of other employees paid over \$50,000 <input type="checkbox"/>				0

Form 990-PF (2017)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
WEXFORD, INC P.O. BOX 4089, PALOS VERDES, CA 90274	EVALUATION FOR SEAL MODEL	252,261.
CALIFORNIANS TOGETHER - 525 E. 7TH STREET, SUITE 207, LONG BEACH, CA 90813	PROGRAM STRATEGY CONSULTANT	225,000.
MISHPOOKAH ENTERTAINMENT GROUP - 1550G TIBURON BOULEVARD, SUITE #506, TIBURON, CA	PRODUCTION OF PROGRAM VIDEOS	206,000.
OPEN IMPACT LLC - 345 LORTON AVENUE, SUITE 302, BURLINGAME, CA 94010	STRATEGY CONSULTING	197,680.
HARDER+COMPANY COMMUNITY RESEARCH 299 KANSAS STREET, SAN FRANCISCO, CA 94103	EVALUATION CONSULTING	189,374.
Total number of others receiving over \$50,000 for professional services		10

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SEE STATEMENT 22	5,954,181.
2 SEE STATEMENT 23	1,830,325.
3 SEE STATEMENT 24	3,255,494.
4 DIRECT CHARITABLE ACTIVITIES PER BOOKS IS \$11,040,000 (COLUMN A ON PART 1). DIRECT CHARITABLE ACTIVITIES FOR CHARITABLE PURPOSES IS \$5,881,003 (COLUMN D ON PART 1).	0.

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 SEE STATEMENT 25	7,400,000.
2	
3 All other program-related investments. See instructions.	
Total. Add lines 1 through 3	7,400,000.

Form 990-PF (2017)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	137,713,829.
b Average of monthly cash balances	1b	18,101,351.
c Fair market value of all other assets	1c	92,704,818.
d Total (add lines 1a, b, and c)	1d	248,519,998.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	248,519,998.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	3,727,800.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	244,792,198.
6 Minimum investment return. Enter 5% of line 5	6	12,239,610.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	12,239,610.
2a Tax on investment income for 2017 from Part VI, line 5	2a	1,013,158.
b Income tax for 2017. (This does not include the tax from Part VI.)	2b	1,077.
c Add lines 2a and 2b	2c	1,014,235.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	11,225,375.
4 Recoveries of amounts treated as qualifying distributions	4	1,958,000.
5 Add lines 3 and 4	5	13,183,375.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	13,183,375.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	37,466,604.
b Program-related investments - total from Part IX-B	1b	7,400,000.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	303,511.
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	45,170,115.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,013,158.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	44,156,957.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				13,183,375.
2 Undistributed income, if any, as of the end of 2017:				
a Enter amount for 2016 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2017:				
a From 2012				
b From 2013				
c From 2014				
d From 2015				
e From 2016				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2017 from Part XII, line 4: ► \$ <u>45,170,115.</u>				
a Applied to 2016, but not more than line 2a ...			0.	
b Applied to undistributed income of prior years (Election required - see instructions) ...		0.		
c Treated as distributions out of corpus (Election required - see instructions) **	11,336,500.			
d Applied to 2017 distributable amount				13,183,375.
e Remaining amount distributed out of corpus	20,650,240.			
5 Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	31,986,740.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount - see instr. ...			0.	
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	11,336,500.			
8 Excess distributions carryover from 2012 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	20,650,240.			
10 Analysis of line 9:				
a Excess from 2013 ...				
b Excess from 2014 ...				
c Excess from 2015 ...				
d Excess from 2016 ...				
e Excess from 2017 ...	20,650,240.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 27

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

SEE STATEMENT 28

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED LIST 10600 NORTH DE ANZA BLVD NO. 200 CUPERTINO, CA 95014		IRC CODE SECTION 501(C)(3) ORG	THE GRANTS ARE TO FULFILL THE TAX EXEMPT PURPOSE OF THE ORGANIZATION.	29,153,336.
Total			3a	29,153,336.
b Approved for future payment				
SEE ATTACHED LIST 10600 NORTH DE ANZA BLVD NO. 200 CUPERTINO 95014		IRC CODE SECTION 501(C)(3) ORG	THE GRANTS ARE TO FULFILL THE TAX EXEMPT PURPOSE OF THE ORGANIZATION.	6,501,550.
Total			3b	6,501,550.

PART XV Supplementary Information**3 Grants and Contributions Paid During the Year or Approved for Future Payment***a: Paid during the year*

Name and Address (home or business)	Rel	Status	Purpose of Grant or Contribution	Amount
Abilities United 525 East Charleston Rd. Palo Alto, CA 94306	N/A	501(c)(3) Public Charity	1608-37376 - 12/07/2016 2:1 challenge grant to provide general operating support	\$75,000.00
Able Works 1836 Bay Road Suite B East Palo Alto, CA 94303	N/A	501(c)(3) Public Charity	1707-38819 - 09/27/2017 To support LiveAble program of education, peer support and coaching to bring economic self-sufficiency to young female head of households in Silicon Valley.	\$100,000.00
Able Works 1836 Bay Road Suite B East Palo Alto, CA 94303	N/A	501(c)(3) Public Charity	1702-38070 - 06/23/2017 A challenge grant to provide general operating support	\$23,000.00
Abode Services 40849 Fremont Blvd. Fremont, CA 94538	N/A	501(c)(3) Public Charity	1702-38072 - 06/23/2017 A challenge grant to provide general operating support	\$79,000.00
Ace Charter School 1100 Shasta Avenue, #250 San Jose, CA 95126	N/A	501(c)(3) Public Charity	17-39156 - 12/07/2017 To support FamilyLink, a technology platform, custom designed to allow ACE parents to see and interact with their students' growth and trend data.	\$40,000.00
Ace Charter School 1100 Shasta Avenue, #250 San Jose, CA 95126	N/A	501(c)(3) Public Charity	1612-37636 - 12/07/2016 To support expansion to underserved communities in San Jose, including the planning and launch of a new school, increasing capacity at existing schools, and securing appropriate facilities.	\$250,000.00
Acknowledge Alliance 2483 Old Middlefield Way, #208 Mountain View, CA 94043	N/A	501(c)(3) Public Charity	1608-37221 - 12/15/2015 A challenge grant to provide general operating support	\$30,450.00
Advancement Project 1910 West Sunset Blvd Los Angeles, CA 90026	N/A	501(c)(3) Public Charity	1704-38530 - 05/17/2017 To ensure California is focused on English Learners from their youngest years	\$150,000.00
African American Community Service Agency 304 North 6th Street San Jose, CA 95112	N/A	501(c)(3) Public Charity	17-39198 - 12/05/2017 CEO Discretionary Grant	\$20,000.00
Alameda County Community Food Bank 7900 Edgewater Drive Oakland, CA 94621	N/A	501(c)(3) Public Charity	1608-37316 - 06/15/2016 2:1 challenge grant to provide general operating support	\$78,750.00

PART XV Supplementary Information**3 Grants and Contributions Paid During the Year or Approved for Future Payment***a: Paid during the year*

Name and Address (home or business)	Rel	Status	Purpose of Grant or Contribution	Amount
Alder Graduate School of Education 2946 Broadway Street, Suite B Redwood City, CA 94062	N/A	501(c)(3) Public Charity	1610-37466 - 10/06/2016 To support the growth and presence of Aspire University in underserved Silicon Valley school districts and charter management organizations.	\$125,000.00
ALearn 3777 Stevens Creek Blvd., Ste. 330 Santa Clara, CA 95051	N/A	501(c)(3) Public Charity	1608-37213 - 12/15/2015 A challenge grant to provide general operating support	\$47,250.00
Alpha Public Schools 1601 Cunningham Ave. San Jose, CA 95122	N/A	501(c)(3) Public Charity	17-39089 - 09/29/2017 Reimbursement for DACA Filings	\$7,425.00
American Leadership Forum - Silicon Valley 1400 Parkmoor Avenue Suite 280 San Jose, CA 95126	N/A	501(c)(3) Public Charity	17-39186 - 11/28/2017 Board Discretionary Grant	\$12,500.00
American National Red Cross 2731 North 1st St. San Jose, CA 95134	N/A	501(c)(3) Public Charity	1707-38811 - 08/17/2017 Employee Matching Gift	\$500.00
AnewAmerica Community Corporation 360 14th St., 5th floor Oakland, CA 94612	N/A	501(c)(3) Public Charity	1703-38308 - 06/23/2017 A challenge grant to provide general operating support	\$26,000.00
Archbishop Mitty High School 5000 Mitty Ave. San Jose, CA 95129-1897	N/A	501(c)(3) Public Charity	17-39193 - 12/06/2017 Employee Matching Gift	\$500.00
Asian Pacific Fund 465 California Street Suite 809 San Francisco, CA 94104	N/A	501(c)(3) Public Charity	1701-37719 - 02/16/2017 Employee Matching Gift	\$250.00
Avenidas 4000 Middlefield Rd Bldg I-2 Palo Alto, CA 94303	N/A	501(c)(3) Public Charity	1608-37318 - 06/15/2016 2:1 challenge grant to provide general operating support	\$52,500.00
Bill Wilson Center 3490 The Alameda Santa Clara, CA 95050	N/A	501(c)(3) Public Charity	1702-38090 - 06/23/2017 A challenge grant to provide general operating support	\$37,000.00
Booksin Elementary School Community Association 1590 Dry Creek Road San Jose, CA 95125	N/A	501(c)(3) Public Charity	1702-37828 - 02/16/2017 Employee Matching Gift	\$200.00
Boys & Girls Club of San Francisco 380 Fulton Street San Francisco, CA 94102	N/A	501(c)(3) Public Charity	1701-37718 - 02/16/2017 Employee Matching Gift	\$250.00

PART XV Supplementary Information**3 Grants and Contributions Paid During the Year or Approved for Future Payment***a: Paid during the year*

Name and Address (home or business)	Rel	Status	Purpose of Grant or Contribution	Amount
Boys & Girls Clubs of the Peninsula 401 Pierce Road Menlo Park, CA 94025	N/A	501(c)(3) Public Charity	1608-37374 - 07/20/2016 To support new teacher candidates committed to serving low-income and EL students.	\$75,000.00
Boys & Girls Clubs of the Peninsula 401 Pierce Road Menlo Park, CA 94025	N/A	501(c)(3) Public Charity	1608-37216 - 12/15/2015 A challenge grant to provide general operating support	\$220,500.00
Breakthrough Silicon Valley 1635 Park Avenue San Jose, CA 95126	N/A	501(c)(3) Public Charity	1608-37217 - 12/15/2015 A challenge grant to provide general operating support	\$37,800.00
Building Skills Partnership 828 W. Washington Blvd. Los Angeles, CA 90015	N/A	501(c)(3) Public Charity	1704-38536 - 05/17/2017 To deepen and expand the comprehensive Financial Education Program.	\$125,000.00
Businesses United in Investing, Lending, and Development P.O. Box 3316 Redwood City, CA 94064	N/A	501(c)(3) Public Charity	1608-37219 - 12/15/2015 A challenge grant to provide general operating support	\$52,500.00
CalCPA Institute 1710 Gilbreth Road Burlingame, CA 94010	N/A	501(c)(3) Public Charity	17-39200 - 12/07/2017 Board Discretionary Grant	\$10,000.00
California Alliance of African American Educators 198 Champion Court San Jose, CA 94134	N/A	501(c)(3) Public Charity	1709-39013 - 09/15/2017 CEO Discretionary to support CAAAE's Black Students of California United (BSCU) program.	\$10,000.00
California Association for Bilingual Education 20888 Amar Road Walnut, CA 91789	N/A	501(c)(3) Public Charity	1704-38535 - 05/17/2017 To support statewide implementation of Proposition 58 by increasing the supply of bilingual teachers and education leaders who are focused on English Learners.	\$375,000.00
California Charter Schools Association 1107 9th St. Suite 200 Sacramento, CA 95814	N/A	501(c)(3) Public Charity	1608-37250 - 07/29/2015 To support the advocacy and communication efforts of Bay Area charter schools as part of a comprehensive campaign to increase charter school access to available public facilities.	\$100,000.00

PART XV Supplementary Information**3 Grants and Contributions Paid During the Year or Approved for Future Payment***a: Paid during the year*

Name and Address (home or business)	Rel	Status	Purpose of Grant or Contribution	Amount
Californians Dedicated to Education Foundation 585 Broadway Redwood City, CA 94063	N/A	501(c)(3) Public Charity	1608-37360 - 04/21/2016 To support CA Dept of Ed and State Board of Ed to develop EL Roadmap	\$100,000.00
Californians for Justice Education Fund 520 3rd Street, # 209 Oakland, CA 94607	N/A	501(c)(3) Public Charity	1707-38798 - 09/27/2017 To support the development of a business plan to capitalize on earned revenue model	\$35,000.00
Californians for Justice Education Fund 520 3rd Street, # 209 Oakland, CA 94607	N/A	501(c)(3) Public Charity	17-39091 - 10/31/2017 Reimbursement for DACA Filings	\$1,485.00
Californians for Justice Education Fund 520 3rd Street, # 209 Oakland, CA 94607	N/A	501(c)(3) Public Charity	1608-37355 - 04/21/2016 To support work re: Local Control Funding Formula	\$150,000.00
Californians Together 525 East 7th Street Long Beach, CA 90813	N/A	501(c)(3) Public Charity	1704-38531 - 05/17/2017 To advance state-level education policies specifically intended to elevate English Learners in early education and K-12 schools	\$325,000.00
Californians Together 525 East 7th Street Long Beach, CA 90813	N/A	501(c)(3) Public Charity	1608-37358 - 04/21/2016 To support English Learner Leadership and Legacy Initiative	\$175,000.00
Cancer CAREpoint 2505 Samaritan Drive, Sutie 402 San Jose, CA 95124	N/A	501(c)(3) Public Charity	1608-37378 - 12/07/2016 2:1 challenge grant to provide general operating support	\$15,000.00
Catholic Charities of Santa Clara County 2625 Zanker Road San Jose, CA 95134	N/A	501(c)(3) Public Charity	17-39110 - 09/18/2017 To support staffing for DACA case management	\$25,000.00
Catholic Charities of Santa Clara County 2625 Zanker Road San Jose, CA 95134	N/A	501(c)(3) Public Charity	17-39092 - 10/05/2017 Reimbursement for DACA Filings	\$4,950.00
Catholic Charities of Santa Clara County 2625 Zanker Road San Jose, CA 95134	N/A	501(c)(3) Public Charity	1608-37320 - 06/15/2016 2:1 challenge grant to provide general operating support	\$220,500.00
Center for Excellence in Nonprofits Sobrato Center for Nonprofits 330 Twin Dolphin Drive, Suite 151 Redwood City, CA 94065	N/A	501(c)(3) Public Charity	17-39155 - 12/07/2017 To support CEN's Leaders Institute	\$55,000.00

PART XV Supplementary Information**3 Grants and Contributions Paid During the Year or Approved for Future Payment***a: Paid during the year*

Name and Address (home or business)	Rel	Status	Purpose of Grant or Contribution	Amount
CHAC (Community Health Awareness Council) 590 W. El Camino Real Mountain View, CA 94040	N/A	501(c)(3) Public Charity	1608-37323 - 06/15/2016 2:1 challenge grant to provide general operating support	\$42,000.00
Children's Health Council 650 Clark Way Palo Alto, CA 94304	N/A	501(c)(3) Public Charity	1608-37220 - 12/15/2015 A challenge grant to provide general operating support	\$26,250.00
City Year Inc. 90 N. First Street San Jose, CA 95113	N/A	501(c)(3) Public Charity	1608-37277 - 12/16/2015 To expand academic support program across new schools in East San Jose, and including a new pilot into high school to strengthen students' college preparation.	\$600,000.00
Coastside Hope 99 Ave Alhambra, PO Box 1089 Half Moon Bay, CA 94018	N/A	501(c)(3) Public Charity	1608-37322 - 06/15/2016 2:1 challenge grant to provide general operating support	\$18,900.00
Community Legal Services in East Palo Alto, Inc. 1861 Bay Road East Palo Alto, CA 94303	N/A	501(c)(3) Public Charity	17-39112 - 09/18/2017 To support staffing for DACA case management	\$25,000.00
Community Legal Services in East Palo Alto, Inc. 1861 Bay Road East Palo Alto, CA 94303	N/A	501(c)(3) Public Charity	1608-37380 - 12/07/2016 2:1 challenge grant to provide general operating support	\$60,000.00
Community Services Agency 204 Stierlin Road Mountain View, CA 94043	N/A	501(c)(3) Public Charity	1608-37324 - 06/15/2016 2:1 challenge grant to provide general operating support	\$75,600.00
CORA (Community Overcoming Relationship Abuse) 2211 Palm Avenue San Mateo, CA 94403	N/A	501(c)(3) Public Charity	1608-37382 - 12/07/2016 2:1 challenge grant to provide general operating support	\$30,000.00
Counseling and Support Services for Youth Sobrato Center for Nonprofits 544 Valley Way Milpitas, CA 95035	N/A	501(c)(3) Public Charity	1608-37319 - 06/15/2016 2:1 challenge grant to provide general operating support	\$21,000.00
Damon Runyon Cancer Research Foundation 55 Broadway Suite 302 New York, NY 10006	N/A	501(c)(3) Public Charity	1707-38840 - 08/17/2017 Employee Matching Gift	\$806.00
Downtown College Prep Sobrato Center for Nonprofits 1400 Parkmoor Ave., Suite 206 San Jose, CA 95126	N/A	501(c)(3) Public Charity	17-39095 - 09/29/2017 Reimbursement for DACA Filings	\$20,790.00

PART XV Supplementary Information**3 Grants and Contributions Paid During the Year or Approved for Future Payment***a: Paid during the year*

Name and Address (home or business)	Rel	Status	Purpose of Grant or Contribution	Amount
Downtown College Prep Sobrato Center for Nonprofits 1400 Parkmoor Ave., Suite 206 San Jose, CA 95126	N/A	501(c)(3) Public Charity	1612-37637 - 12/07/2016 To support expansion to as a CMO serving multiple underserved communities in San Jose, with a focus on increased enrollment capacity, long-term facility stability, and deepened support of students' career engagement.	\$250,000.00
Downtown Streets Inc. 1671 The Alameda, Suite 306 San Jose, CA 95126	N/A	501(c)(3) Public Charity	1703-38147 - 06/23/2017 A challenge grant to provide general operating support	\$60,000.00
East Hills Preschool 14845 Story Road San Jose, CA 95127	N/A	501(c)(3) Public Charity	1702-37829 - 02/16/2017 Employee Matching Gift	\$425.00
Eastside College Preparatory School 1041 Myrtle Avenue East Palo Alto, CA 94303	N/A	501(c)(3) Public Charity	17-39184 - 11/17/2017 Board Discretionary Grant	\$25,000.00
Education Pioneers 360 22nd Street Suite 220 Oakland, CA 94612	N/A	501(c)(3) Public Charity	1608-37413 - 07/20/2016 To build leadership and management in Education across Silicon Valley	\$200,000.00
Emmanuel Baptist Church 467 North White Road San Jose, CA 95127	N/A	501(c)(3) Public Charity	17-39199 - 12/05/2017 CEO Discretionary Grant; Capital support for playground	\$8,500.00
Family and Children Services 375 Cambridge Avenue Palo Alto, CA 94306	N/A	501(c)(3) Public Charity	1608-37325 - 06/15/2016 2:1 challenge grant to provide general operating support	\$26,250.00
Family Giving Tree Sobrato Center for Nonprofits 606 Valley Way Milpitas, CA 95035	N/A	501(c)(3) Public Charity	1709-39018 - 09/27/2017 To support technical investments in Family Giving Tree's database system to ensure they can successfully execute their program of providing 80,000 gifts to low-income individuals this holiday season.	\$40,000.00
Family Giving Tree Sobrato Center for Nonprofits 606 Valley Way Milpitas, CA 95035	N/A	501(c)(3) Public Charity	1702-37833 - 02/16/2017 Employee Matching Gift	\$240.00
Family Giving Tree Sobrato Center for Nonprofits 606 Valley Way Milpitas, CA 95035	N/A	501(c)(3) Public Charity	1701-37720 - 02/16/2017 Employee Matching Gift	\$89.00
Family Giving Tree Sobrato Center for Nonprofits 606 Valley Way Milpitas, CA 95035	N/A	501(c)(3) Public Charity	17-39192 - 12/06/2017 Employee Matching Gift	\$94.97

PART XV Supplementary Information**3 Grants and Contributions Paid During the Year or Approved for Future Payment***a: Paid during the year*

Name and Address (home or business)	Rel	Status	Purpose of Grant or Contribution	Amount
Family Giving Tree Sobrato Center for Nonprofits 606 Valley Way Milpitas, CA 95035	N/A	501(c)(3) Public Charity	1612-37633 - 02/16/2017 Employee Matching Gift	\$129.00
Family Supportive Housing, Inc. 692 North King Road San Jose, CA 95133	N/A	501(c)(3) Public Charity	1702-37909 - 06/23/2017 A challenge grant to provide general operating support	\$53,000.00
Foundation for a College Education 2160 Euclid Avenue East Palo Alto, CA 94303	N/A	501(c)(3) Public Charity	1608-37224 - 12/15/2015 A challenge grant to provide general operating support	\$36,750.00
Fresh Lifelines for Youth, Inc. (FLY) Sobrato Center for Nonprofits 568 Valley Way Milpitas, CA 95035	N/A	501(c)(3) Public Charity	1706-38706 - 08/17/2017 Employee Matching Gift	\$2,500.00
Fresh Lifelines for Youth, Inc. (FLY) Sobrato Center for Nonprofits 568 Valley Way Milpitas, CA 95035	N/A	501(c)(3) Public Charity	1702-37827 - 02/16/2017 Employee Matching Gift	\$250.00
Fresh Lifelines for Youth, Inc. (FLY) Sobrato Center for Nonprofits 568 Valley Way Milpitas, CA 95035	N/A	501(c)(3) Public Charity	17-39191 - 12/06/2017 Employee Matching Gift	\$436.10
Fresh Lifelines for Youth, Inc. (FLY) Sobrato Center for Nonprofits 568 Valley Way Milpitas, CA 95035	N/A	501(c)(3) Public Charity	1608-37223 - 12/15/2015 A challenge grant to provide general operating support	\$157,500.00
Friends for Youth, Inc. 1741 Broadway Street First Floor Redwood City, CA 94063	N/A	501(c)(3) Public Charity	1608-37225 - 12/15/2015 A challenge grant to provide general operating support	\$14,700.00
Gardner Family Health Network 160 E. Virginia St., Suite 100 San Jose, CA 95112	N/A	501(c)(3) Public Charity	1608-37384 - 12/07/2016 2:1 challenge grant to provide general operating support	\$20,000.00
Genesys Works Bay Area 101 Second Street Suite 500 San Francisco, CA 94121	N/A	501(c)(3) Public Charity	1610-37494 - 10/06/2016 To support growth and sustainability of Genesys Works programming in San Jose, tripling the number of students served per year, and building the foundation for greater expansion in Silicon Valley beyond the grant term.	\$100,000.00
Giants Community Fund 24 Willie Mays Plaza San Francisco, CA 94107	N/A	501(c)(3) Public Charity	1707-38728 - 08/17/2017 Employee Matching Gift	\$200.00
Girls on the Run of the Bay Area 3543 18th St #31 San Francisco, CA 94110	N/A	501(c)(3) Public Charity	17-39120 - 12/06/2017 Employee Matching Gift	\$200.00

PART XV Supplementary Information**3 Grants and Contributions Paid During the Year or Approved for Future Payment***a: Paid during the year*

Name and Address (home or business)	Rel	Status	Purpose of Grant or Contribution	Amount
Grail Family Services 2003 E. San Antonio Street San Jose, CA 95116	N/A	501(c)(3) Public Charity	1608-37326 - 06/15/2016 2:1 challenge grant to provide general operating support	\$26,250.00
Greater Bay Area Make-A-Wish Foundation 55 Hawthorne Street, Suite 800 8th Floor San Francisco, CA 94105	N/A	501(c)(3) Public Charity	1612-37651 - 02/16/2017 Employee Matching Gift	\$100.00
Habitat for Humanity Greater San Francisco, Inc. 500 Washington St., #250 San Francisco, CA 94111	N/A	501(c)(3) Public Charity	1612-37653 - 02/16/2017 Employee Matching Gift	\$5,000.00
Hands on Bay Area 1504 Bryant Street, Suite 100 San Francisco, CA 94103	N/A	501(c)(3) Public Charity	1702-37849 - 06/23/2017 A challenge grant to provide general operating support	\$10,000.00
Healthier Kids Foundation Santa Clara County 4040 Moorpark Avenue, Suite 100 San Jose, CA 95117	N/A	501(c)(3) Public Charity	1608-37386 - 12/07/2016 2:1 challenge grant to provide general operating support	\$32,000.00
Hillsdale High School Foundation 63 Bovet Road Suite 218 San Mateo, CA 94402	N/A	501(c)(3) Public Charity	1704-38407 - 05/18/2017 Employee Matching Gift	\$200.00
HomeFirst Services of Santa Clara County Sobrato Center for Nonprofits 507 Valley Way Milpitas, CA 95035	N/A	501(c)(3) Public Charity	1608-37327 - 06/15/2016 2:1 challenge grant to provide general operating support	\$49,350.00
Housing Industry Foundation 1730 S. El Camino Real Suite 480 San Mateo, CA 94402	N/A	501(c)(3) Public Charity	1702-38038 - 06/23/2017 A challenge grant to provide general operating support	\$35,000.00
Housing Trust Silicon Valley 95 S. Market Street, #610 San Jose, CA 95113	N/A	501(c)(3) Public Charity	1703-38364 - 02/17/2017 2017 Gold Sponsorship of SV@Home Affordable Housing Week	\$5,000.00
Housing Trust Silicon Valley 95 S. Market Street, #610 San Jose, CA 95113	N/A	501(c)(3) Public Charity	1608-37281 - 12/16/2015 To support SV@Home's advocacy efforts for affordable housing in Santa Clara County.	\$25,000.00
Human Investment Project 800 South Claremont Street Suite 210 San Mateo, CA 94401	N/A	501(c)(3) Public Charity	1708-38950 - 09/27/2017 To support growth, improvement, and sustainability of the Self Sufficiency Program.	\$140,000.00

PART XV Supplementary Information**3 Grants and Contributions Paid During the Year or Approved for Future Payment***a: Paid during the year*

Name and Address (home or business)	Rel	Status	Purpose of Grant or Contribution	Amount
Human Investment Project 800 South Claremont Street Suite 210 San Mateo, CA 94401	N/A	501(c)(3) Public Charity	1702-38080 - 06/23/2017 A challenge grant to provide general operating support	\$48,000.00
Ignited 5301 Stevens Creek Blvd.; PO Box 58059, MS 55L-WO Santa Clara, CA 95052	N/A	501(c)(3) Public Charity	1608-37227 - 12/15/2015 A challenge grant to provide general operating support	\$15,750.00
Jewish Vocational and Career Counseling Service 225 Bush Street Suite 400 San Francisco, CA 94104	N/A	501(c)(3) Public Charity	1608-37279 - 12/16/2015 To support the expansion of BankWork\$ in Silicon Valley.	\$100,000.00
JobTrain, Inc. 1200 O'Brien Drive Menlo Park, CA 94025	N/A	501(c)(3) Public Charity	1702-37859 - 06/23/2017 A challenge grant to provide general operating support	\$149,000.00
Kainos Home & Training Center 3631 Jefferson Avenue Redwood City, CA 94062	N/A	501(c)(3) Public Charity	1702-38137 - 06/23/2017 A challenge grant to provide general operating support	\$27,000.00
Kara 457 Kingsley Avenue Palo Alto, CA 94301	N/A	501(c)(3) Public Charity	1608-37328 - 06/15/2016 2:1 challenge grant to provide general operating support	\$28,350.00
KIPP Bay Area Schools 1404 Franklin Street, #500 Oakland, CA 94612	N/A	501(c)(3) Public Charity	1612-37638 - 12/07/2016 To support creation and expansion as the "first-in" charter operator in low-income communities in Silicon Valley, particularly in San Mateo County that is underdeveloped as a charter market.	\$250,000.00
Kiva Microfunds 875 Howard Street San Francisco, CA 94103	N/A	501(c)(3) Public Charity	1708-38995 - 12/07/2016 To support Kiva San Jose	\$100,000.00
Korean American Community Foundation 55 East 3rd Avenue San Mateo, CA 94401	N/A	501(c)(3) Public Charity	17-39119 - 12/06/2017 Employee Matching Gift	\$100.00
Larkin Street Youth Services 134 Golden Gate Avenue San Francisco, CA 94102	N/A	501(c)(3) Public Charity	1705-38587 - 05/18/2017 Employee Matching Gift	\$1,000.00
Law Foundation of Silicon Valley 152 N. Third Street, 3rd Floor San Jose, CA 95112	N/A	501(c)(3) Public Charity	17-39113 - 09/18/2017 To support staffing for DACA case management	\$25,000.00
Law Foundation of Silicon Valley 152 N. Third Street, 3rd Floor San Jose, CA 95112	N/A	501(c)(3) Public Charity	1608-37390 - 12/07/2016 2:1 challenge grant to provide general operating support	\$75,000.00

PART XV Supplementary Information**3 Grants and Contributions Paid During the Year or Approved for Future Payment***a: Paid during the year*

Name and Address (home or business)	Rel	Status	Purpose of Grant or Contribution	Amount
Legal Aid Society of San Mateo County Sobrato Center for Nonprofits 330 Twin Dolphin Drive, Suite 123 Redwood City, CA 94065	N/A	501(c)(3) Public Charity	17-39116 - 09/18/2017 To support staffing for DACA case management	\$25,000.00
Legal Aid Society of San Mateo County Sobrato Center for Nonprofits 330 Twin Dolphin Drive, Suite 123 Redwood City, CA 94065	N/A	501(c)(3) Public Charity	17-39100 - 10/31/2017 Reimbursement for DACA Filings	\$495.00
Leland Stanford University Development Services PO Box 20466 Palo Alto, CA 94309	N/A	501(c)(3) Public Charity	1708-39002 - 12/06/2017 Employee Matching Gift	\$300.00
LifeMoves 181 Constitution Drive Menlo Park, CA 94025	N/A	501(c)(3) Public Charity	1702-37852 - 06/23/2017 A challenge grant to provide general operating support	\$210,000.00
Literacy Lab 120a Linden Street Oakland, CA 94607	N/A	501(c)(3) Public Charity	1608-37229 - 12/15/2015 A challenge grant to provide general operating support	\$31,500.00
Loaves & Fishes Family Kitchen 1534 Berger Drive San Jose, CA 95112	N/A	501(c)(3) Public Charity	1608-37329 - 06/15/2016 2:1 challenge grant to provide general operating support	\$42,000.00
Loyola Marymount University 1 LMU Drive Los Angeles, CA 90045	N/A	501(c)(3) Public Charity	17-39145 - 12/07/2017 To support Center for Equity for English Learners (CEEL) to engage and advance crucial policy pertaining to English Learners in the state of California.	\$450,000.00
Maitri 1435 Koll Circle, Suite 111 Suite 200 San Jose, CA 95112	N/A	501(c)(3) Public Charity	1608-37331 - 12/07/2016 2:1 challenge grant to provide general operating support	\$15,000.00
Martha's Kitchen 311 Willow St. San Jose, CA 95110	N/A	501(c)(3) Public Charity	1608-37332 - 06/15/2016 2:1 challenge grant to provide general operating support	\$22,050.00
MayView Community Health Center, Inc. 270 Grant Ave. Palo Alto, CA 94306	N/A	501(c)(3) Public Charity	1608-37392 - 12/07/2016 2:1 challenge grant to provide general operating support	\$21,000.00
Mid-Peninsula Boys & Girls Club 200 North Quebec Street San Mateo, CA 94401	N/A	501(c)(3) Public Charity	1608-37230 - 12/15/2015 A challenge grant to provide general operating support	\$31,500.00
Mission Hospice of San Mateo County 1670 S. Amphlett Blvd., Suite 300 San Mateo, CA 94402	N/A	501(c)(3) Public Charity	1608-37394 - 12/07/2016 2:1 challenge grant to provide general operating support	\$15,000.00

PART XV Supplementary Information**3 Grants and Contributions Paid During the Year or Approved for Future Payment***a: Paid during the year*

Name and Address (home or business)	Rel	Status	Purpose of Grant or Contribution	Amount
Mural Music & Arts Project P.O Box 50250 Palo Alto, CA 94303	N/A	501(c)(3) Public Charity	1608-37231 - 12/15/2015 A challenge grant to provide general operating support	\$21,000.00
My New Red Shoes Sobrato Center for Nonprofits 330 Twin Dolphin Drive, Suite 135 Redwood City, CA 94062	N/A	501(c)(3) Public Charity	1706-38708 - 08/17/2017 Employee Matching Gift	\$100.00
National Academy of Advanced Teacher Education 11A Bridge Street Newport, RI 02840	N/A	0	1608-37414 - 07/20/2016 To support NAATE's professional learning program in SV	\$215,000.00
National MS Society, Northern California Chapter 1700 Owens Street Suite 190 San Francisco, CA 94158	N/A	501(c)(3) Public Charity	1705-38543 - 05/18/2017 Employee Matching Gift	\$50.00
New Teacher Center 110 Cooper St. Suite 500 Santa Cruz, CA 95060	N/A	501(c)(3) Public Charity	1608-37211 - 07/29/2015 To support Professional Learning Communities in East Side San Jose by deepening teacher leadership and administrator support.	\$150,000.00
New Venture Fund 1201 Connecticut Ave. NW, STE 300 Washington, DC 20036	N/A	501(c)(3) Public Charity	17-39150 - 12/07/2017 To complete a strategic planning process for Early Edge to identify advocacy opportunities and set organizational goals for impacting English Learners / Dual Language Learners in California's early education policy.	\$50,000.00
Next Door Solutions to Domestic Violence 234 E. Gish Road, Suite 200 San Jose, CA 95112	N/A	501(c)(3) Public Charity	1608-37396 - 12/07/2016 2:1 challenge grant to provide general operating support	\$68,000.00
Northern California Community Loan Fund 870 Market Street, Suite 677 San Francisco, CA 94102	N/A	501(c)(3) Public Charity	1709-39019 - 12/07/2017 To support Spaces for Good, the new online space listing service and resource center, developed by Northern California Community Loan Fund (NCCLF), and provide the necessary support to expand it to Silicon Valley.	\$30,000.00
Northern California Grantmakers 160 Spear Street, Suite 360 San Francisco, CA 94105	N/A	501(c)(3) Public Charity	17-39165 - 12/07/2017 To support the Nonprofit Displacement Initiative's engagement in Silicon Valley.	\$20,000.00

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NPower 405 S. 10th Street San Jose, CA 95112	N/A	501(c)(3) Public Charity	1708-38954 - 09/27/2017 To support the growth and program expansion of NPower.	\$100,000.00
Oakland Kids First 610 16th Street Suite 310 Oakland, CA 94612	N/A	501(c)(3) Public Charity	17-39148 - 12/07/2017 To help inform the English Learner field, by piloting and documenting a local advocacy strategy that aligns the interests of special education families, foster youth, and English Learners in pushing for improved policies.	\$100,000.00
Opportunity Fund Northern California 111 West St. John Street, Suite 800 San Jose, CA 95113	N/A	501(c)(3) Public Charity	1704-38447 - 05/17/2017 To support building the capacity for program growth by building and implementing the Partner Portal, a technology platform, to allow Opportunity Fund to work with a local network of community-based organizations who together will invest \$1.5M in 100 Silicon Valley entrepreneurs.	\$200,000.00
Opportunity Fund Northern California 111 West St. John Street, Suite 800 San Jose, CA 95113	N/A	501(c)(3) Public Charity	1702-38056 - 06/23/2017 A challenge grant to provide general operating support	\$100,000.00
Opportunity Fund Northern California 111 West St. John Street, Suite 800 San Jose, CA 95113	N/A	501(c)(3) Public Charity	17-39170 - 10/31/2017 Reimbursement for DACA Filings	\$4,950.00
Opportunity Fund Northern California 111 West St. John Street, Suite 800 San Jose, CA 95113	N/A	501(c)(3) Public Charity	17-39103 - 10/17/2017 Reimbursement for DACA Filings	\$1,485.00
Ordinary Angels 104 Harwood Court Los Gatos, CA 95032	N/A	501(c)(3) Public Charity	1706-38709 - 08/17/2017 Employee Matching Gift	\$500.00
Pacific Autism Center for Education 1880 Pruneridge Avenue Santa Clara, CA 95050	N/A	501(c)(3) Public Charity	1608-37232 - 12/15/2015 A challenge grant to provide general operating support	\$42,000.00
PACT: People Acting in Community Together 1100 Shasta Ave, Suite 210 San Jose, CA 95126	N/A	501(c)(3) Public Charity	1702-38115 - 06/23/2017 A challenge grant to provide general operating support	\$55,000.00
PACT: People Acting in Community Together 1100 Shasta Ave, Suite 210 San Jose, CA 95126	N/A	501(c)(3) Public Charity	1608-37356 - 04/21/2016 To support work re: Local Control Funding Formula	\$125,000.00

PART XV Supplementary Information**3 Grants and Contributions Paid During the Year or Approved for Future Payment***a: Paid during the year*

Name and Address (home or business)	Rel	Status	Purpose of Grant or Contribution	Amount
Partners in School Innovation 1060 Tennessee Street, 2nd Floor San Francisco, CA 94107	N/A	501(c)(3) Public Charity	1608-37233 - 12/15/2015 A challenge grant to provide general operating support	\$26,250.00
Pathways Home Health & Hospice 585 North Mary Avenue Sunnyvale, CA 94085-2905	N/A	501(c)(3) Public Charity	1608-37398 - 12/07/2016 2:1 challenge grant to provide general operating support	\$55,000.00
Peninsula Bridge 457 Kingsley Ave. Palo Alto, CA 94301-3222	N/A	501(c)(3) Public Charity	1704-38448 - 05/17/2017 To support the merger between The Peninsula Bridge Program and Building Futures Now	\$40,000.00
Peninsula Bridge 457 Kingsley Ave. Palo Alto, CA 94301-3222	N/A	501(c)(3) Public Charity	1701-37701 - 02/16/2017 Employee Matching Gift	\$100.00
Peninsula Bridge 457 Kingsley Ave. Palo Alto, CA 94301-3222	N/A	501(c)(3) Public Charity	1701-37678 - 02/16/2017 Employee Matching Gift	\$300.00
Peninsula Bridge 457 Kingsley Ave. Palo Alto, CA 94301-3222	N/A	501(c)(3) Public Charity	1608-37248 - 12/15/2015 A challenge grant to provide general operating support	\$44,100.00
Peninsula Conflict Resolution Center 1660 South Amphlett Blvd., Suite 219 San Mateo, CA 94402	N/A	501(c)(3) Public Charity	1608-37234 - 12/15/2015 A challenge grant to provide general operating support	\$26,250.00
Peninsula Family Service 24 2nd Avenue San Mateo, CA 94401	N/A	501(c)(3) Public Charity	1608-37334 - 06/15/2016 2:1 challenge grant to provide general operating support	\$105,000.00
Peninsula Humane Society 1450 Rollins Road Burlingame, CA 94010	N/A	501(c)(3) Public Charity	1709-39063 - 12/06/2017 Employee Matching Gift	\$200.00
Peninsula Humane Society 1450 Rollins Road Burlingame, CA 94010	N/A	501(c)(3) Public Charity	1612-37640 - 02/16/2017 Employee Matching Gift	\$200.00
Planned Parenthood Mar Monte 1605 The Alameda San Jose, CA 95126	N/A	501(c)(3) Public Charity	1704-38538 - 12/07/2016 To support education, employment and self-sufficiency outcomes by serving as a catalyst for interagency and regional problem solving and facilitating the collaboration of social service providers, public agencies and employers.	\$110,000.00

PART XV Supplementary Information**3 Grants and Contributions Paid During the Year or Approved for Future Payment***a: Paid during the year*

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Playworks Education Energized 2155 South Bascom Ave #201 Suite 201 Campbell, CA 95008	N/A	501(c)(3) Public Charity	1608-37235 - 12/15/2015 A challenge grant to provide general operating support	\$47,250.00
Puente De La Costa Sur 620 North Street PO Box 554 Pescadero, CA 94060	N/A	501(c)(3) Public Charity	1709-39007 - 09/27/2017 Unrestricted capital to convene community members in addressing some of the urgent challenges facing the South Coast	\$50,000.00
Puente De La Costa Sur 620 North Street PO Box 554 Pescadero, CA 94060	N/A	501(c)(3) Public Charity	17-39161 - 12/07/2017 For business feasibility and planning to develop a worker-owned cooperative, creating a business ownership opportunity accessible to low-income Latinas and Latinos on the South Coast of San Mateo County.	\$80,000.00
Puente De La Costa Sur 620 North Street PO Box 554 Pescadero, CA 94060	N/A	501(c)(3) Public Charity	1608-37359 - 04/21/2016 To support Youth Leadership and Employment Program	\$72,500.00
Puente De La Costa Sur 620 North Street PO Box 554 Pescadero, CA 94060	N/A	501(c)(3) Public Charity	1608-37335 - 06/15/2016 2:1 challenge grant to provide general operating support	\$78,750.00
Reach Institute for School Leadership 1221 Preservation Park Way Suite 100 Oakland, CA 94612	N/A	501(c)(3) Public Charity	17-39143 - 12/07/2017 To document the development and impact of Innovative Teach Residency program.	\$75,000.00
Reading Partners Sobrato Center for Nonprofits 600B Valley Way Milpitas, CA 95035	N/A	501(c)(3) Public Charity	1608-37236 - 12/15/2015 A challenge grant to provide general operating support	\$42,000.00
Rebuilding Together Peninsula 841 Kaynyne Street Redwood City, CA 94063	N/A	501(c)(3) Public Charity	1704-38449 - 06/23/2017 A challenge grant to provide general operating support	\$55,000.00
Renaissance Entrepreneurship Center 275 Fifth St. San Francisco, CA 94103	N/A	501(c)(3) Public Charity	1612-37656 - 12/07/2016 To support women-focused entrepreneurship and empowerment training in San Mateo County and launch new women-focused services in Santa Clara County.	\$100,000.00
Rockefeller Philanthropy Advisors 6 West 48th Street 10th Floor New York, NY 10036	N/A	501(c)(3) Public Charity	17-39176 - 05/17/2017 Shared Insight's Listen for Good Initiative	\$15,000.00

PART XV Supplementary Information**3 Grants and Contributions Paid During the Year or Approved for Future Payment***a: Paid during the year*

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Ronald McDonald House at Stanford 520 Sand Hill Road Palo Alto, CA 94304	N/A	501(c)(3) Public Charity	1702-38113 - 06/23/2017 A challenge grant to provide general operating support	\$116,000.00
Ronald McDonald House at Stanford 520 Sand Hill Road Palo Alto, CA 94304	N/A	501(c)(3) Public Charity	1701-37742 - 02/16/2017 Employee Matching Gift	\$50.00
Sacred Heart Community Service 1381 South First Street San Jose, CA 95110	N/A	501(c)(3) Public Charity	17-39104 - 09/29/2017 Reimbursement for DACA Filings	\$4,950.00
Sacred Heart Community Service 1381 South First Street San Jose, CA 95110	N/A	501(c)(3) Public Charity	1608-37336 - 06/15/2016 2:1 challenge grant to provide general operating support	\$110,250.00
Sacred Heart Nativity School 310 Edwards Ave. San Jose, CA 95110	N/A	501(c)(3) Public Charity	17-39172 - 09/27/2017 To support fundraising approach, business model and ensure a smooth succession transition.	\$62,500.00
Samaritan House 4031 Pacific Blvd. San Mateo, CA 94403	N/A	501(c)(3) Public Charity	1608-37338 - 06/15/2016 2:1 challenge grant to provide general operating support	\$131,250.00
San Francisco AIDS Foundation 1035 Market Street San Francisco, CA 94103	N/A	501(c)(3) Public Charity	1703-38394 - 05/18/2017 Employee Matching Gift	\$250.00
San Francisco Bach Choir 2443 Fillmore Street Suite 195 San Francisco, CA 94115	N/A	501(c)(3) Public Charity	1705-38589 - 05/18/2017 Employee Matching Gift	\$680.00
San Francisco Bach Choir 2443 Fillmore Street Suite 195 San Francisco, CA 94115	N/A	501(c)(3) Public Charity	17-39177 - 12/06/2017 Employee Matching Gift	\$1,320.00
San Francisco State University Foundation 1600 Holloway Ave. Amd. 151 San Francisco, CA 94132	N/A	501(c)(3) Public Charity	1701-37679 - 02/16/2017 Employee Matching Gift	\$100.00
Santa Clara University 500 El Camino Santa Clara, CA 95053	N/A	501(c)(3) Public Charity	1608-37212 - 07/29/2015 To design and develop ExCEL as a new, intensive residency model for teacher candidates to serve Catholic schools.	\$346,474.00

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Second Harvest Food Bank of Santa Clara and San Mateo Counties 750 Curtner Avenue San Jose, CA 95125	N/A	501(c)(3) Public Charity	1608-37339 - 06/15/2016 2:1 challenge grant to provide general operating support	\$220,500.00
Services & Immigrant Rights & Education Network 1415 Koll Circle Suite 108 San Jose, CA 95112	N/A	501(c)(3) Public Charity	17-39115 - 09/18/2017 To support staffing for DACA case management	\$25,000.00
Services & Immigrant Rights & Education Network 1415 Koll Circle Suite 108 San Jose, CA 95112	N/A	501(c)(3) Public Charity	17-39105 - 09/29/2017 Reimbursement for DACA Filings	\$74,250.00
Services & Immigrant Rights & Education Network 1415 Koll Circle Suite 108 San Jose, CA 95112	N/A	501(c)(3) Public Charity	1608-37341 - 12/07/2016 2:1 challenge grant to provide general operating support	\$15,000.00
Services for Brain Injury 60 Daggett Drive San Jose, CA 95134	N/A	501(c)(3) Public Charity	17-39139 - 09/13/2017 Board Discretionary Grant	\$25,000.00
Shop with a Cop Foundation of Silicon Valley 1168 Dean Ave. San Jose, CA 95125	N/A	501(c)(3) Public Charity	1709-39012 - 09/15/2017 CEO Discretionary to support Readers are Leaders Program	\$10,000.00
Silicon Schools Fund 5858 Horton Street Suite 451 Emeryville, CA 94608	N/A	501(c)(3) Public Charity	1612-37654 - 12/07/2016 To support Silicon Schools' Fund II	\$500,000.00
Silicon Valley Center for Entrepreneurial Development 50 East Saint John Street San Jose, CA 95112	N/A	501(c)(3) Public Charity	1706-38712 - 06/29/2017 CEO Discretionary to support the Silicon Valley Center for Entrepreneurial Development's STEM summer camp	\$1,500.00
Silicon Valley Children's Fund 75 E Santa Clara Street Suite 1450 San Jose, CA 95113	N/A	501(c)(3) Public Charity	17-39189 - 12/06/2017 Employee Matching Gift	\$1,500.00
Silicon Valley Children's Fund 75 E Santa Clara Street Suite 1450 San Jose, CA 95113	N/A	501(c)(3) Public Charity	1608-37239 - 12/15/2015 A challenge grant to provide general operating support	\$33,600.00
Silicon Valley Children's Fund 75 E Santa Clara Street Suite 1450 San Jose, CA 95113	N/A	501(c)(3) Public Charity	1608-37206 - 07/29/2015 To scale the current models of mentoring, coaching and case management to all foster youth in Santa Clara County.	\$130,000.00
Silicon Valley Community Foundation 2440 West El Camino Real, Suite 300 Mountain View, CA 94040	N/A	501(c)(3) Public Charity	18-39309 - 04/27/2017 Transfer to support DAF program	\$750,000.00

PART XV Supplementary Information**3 Grants and Contributions Paid During the Year or Approved for Future Payment***a: Paid during the year*

Name and Address (home or business)	Rel	Status	Purpose of Grant or Contribution	Amount
Silicon Valley Community Foundation 2440 West El Camino Real, Suite 300 Mountain View, CA 94040	N/A	501(c)(3) Public Charity	18-39308 - 12/15/2017 Transfer to support DAF program	\$4,500,000.00
Silicon Valley Community Foundation 2440 West El Camino Real, Suite 300 Mountain View, CA 94040	N/A	501(c)(3) Public Charity	17-39187 - 11/28/2017 Board Discretionary Grant; North Bay Fire Fund	\$12,500.00
Silicon Valley Community Foundation 2440 West El Camino Real, Suite 300 Mountain View, CA 94040	N/A	501(c)(3) Public Charity	17-39162 - 02/15/2017 Transfer to support DAF program	\$10,000,000.00
Silicon Valley Creates 38 W. Santa Clara Street San Jose, CA 95113	N/A	501(c)(3) Public Charity	1702-37851 - 06/23/2017 A challenge grant to provide general operating support	\$80,000.00
Silicon Valley FACES 1401 Parkmoor Ave., Suite 150 San Jose, CA 95126	N/A	501(c)(3) Public Charity	1608-37238 - 12/15/2015 A challenge grant to provide general operating support	\$21,000.00
Silicon Valley Social Venture Fund 350 Twin Dolphin Drive, Suite 103 Redwood City, CA 94065	N/A	501(c)(3) Public Charity	1608-37402 - 12/07/2016 2:1 challenge grant to provide general operating support	\$40,000.00
Society of St. Vincent de Paul, Particular Council of San Mateo C 50 North B Street San Mateo, CA 94401	N/A	501(c)(3) Public Charity	1608-37342 - 06/15/2016 2:1 challenge grant to provide general operating support	\$78,750.00
Solidago Foundation 150 Main St. #24 Northampton, MA 01060	N/A	501(c)(3) Public Charity	17-39152 - 12/07/2017 To engage two SFF community partners, SOMOS Mayfair and Puente de la Costa Sur, in the planning and development of worker cooperatives/LLCs as an avenue to increased economic opportunity for individuals with significant barriers to employment, particularly immigrants.	\$100,000.00
Somos Mayfair, Inc. 370-B S. King Road Suite B San Jose, CA 95116	N/A	501(c)(3) Public Charity	1706-38707 - 08/17/2017 Employee Matching Gift	\$75.00
Somos Mayfair, Inc. 370-B S. King Road Suite B San Jose, CA 95116	N/A	501(c)(3) Public Charity	17-39153 - 12/07/2017 To identify and assess the feasibility of SOMOS Mayfair playing a broader role in supporting a Silicon Valley ecosystem that uplifts alternative models of economic opportunities, especially in immigrant communities.	\$80,000.00

PART XV Supplementary Information**3 Grants and Contributions Paid During the Year or Approved for Future Payment***a: Paid during the year*

Name and Address (home or business)	Rel	Status	Purpose of Grant or Contribution	Amount
Somos Mayfair, Inc. 370-B S. King Road Suite B San Jose, CA 95116	N/A	501(c)(3) Public Charity	17-39096 - 10/31/2017 Reimbursement for DACA Filings	\$1,980.00
Somos Mayfair, Inc. 370-B S. King Road Suite B San Jose, CA 95116	N/A	501(c)(3) Public Charity	1608-37357 - 04/21/2016 To support work re: Local Control Funding Formula	\$75,000.00
Somos Mayfair, Inc. 370-B S. King Road Suite B San Jose, CA 95116	N/A	501(c)(3) Public Charity	1608-37240 - 12/15/2015 A challenge grant to provide general operating support	\$57,750.00
South County Community Health Center, Inc 1885 Bay Road East Palo Alto, CA 94303	N/A	501(c)(3) Public Charity	1608-37404 - 12/07/2016 2:1 challenge grant to provide general operating support	\$185,000.00
St. Francis Center of Redwood City 151 Buckingham Avenue Redwood City, CA 94063	N/A	501(c)(3) Public Charity	1608-37343 - 06/15/2016 2:1 challenge grant to provide general operating support	\$94,500.00
St. Joseph's Family Center 7950 Church Street, Suite A Gilroy, CA 95020	N/A	501(c)(3) Public Charity	1608-37344 - 06/15/2016 2:1 challenge grant to provide general operating support	\$29,400.00
StarVista 610 Elm Street, Suite 212 San Carlos, CA 94070	N/A	501(c)(3) Public Charity	1608-37345 - 06/15/2016 2:1 challenge grant to provide general operating support	\$42,000.00
Stroke Awareness Foundation 1400 Parkmoor Ave., Suite 230 San Jose, CA 95126	N/A	501(c)(3) Public Charity	1705-38545 - 05/18/2017 Employee Matching Gift	\$1,000.00
Sunday Friends 730 Story Road Suite 3 Suite 130 San Jose, CA 95122	N/A	501(c)(3) Public Charity	17-39140 - 10/05/2017 Reimbursement for DACA Filings	\$495.00
Sunday Friends 730 Story Road Suite 3 Suite 130 San Jose, CA 95122	N/A	501(c)(3) Public Charity	17-39106 - 09/29/2017 Reimbursement for DACA Filings	\$2,970.00
Sunday Friends 730 Story Road Suite 3 Suite 130 San Jose, CA 95122	N/A	501(c)(3) Public Charity	1608-37347 - 06/15/2016 2:1 challenge grant to provide general operating support	\$21,000.00
Sunnyvale Community Services 725 Kifer Road Sunnyvale, CA 94086	N/A	501(c)(3) Public Charity	1608-37346 - 06/15/2016 2:1 challenge grant to provide general operating support	\$122,850.00

PART XV Supplementary Information**3 Grants and Contributions Paid During the Year or Approved for Future Payment***a: Paid during the year*

Name and Address (home or business)	Rel	Status	Purpose of Grant or Contribution	Amount
SV@Home 350 W Julian St. #5 #5 San Jose, CA 95110	N/A	501(c)(3) Public Charity	17-39147 - 12/07/2017 To invest in SV@Home's communication, engagement and outreach program.	\$100,000.00
Teach for America - Bay Area 1400 Parkmoor Avenue Suite 270 San Jose, CA 95126	N/A	501(c)(3) Public Charity	17-39202 - 12/07/2017 To deepen TFA partnerships, improve TFA alumni leadership capacity, and increase number of TFA corps members in the San Jose area.	\$250,000.00
Teach for America - Bay Area 1400 Parkmoor Avenue Suite 270 San Jose, CA 95126	N/A	501(c)(3) Public Charity	1608-37243 - 12/15/2015 A challenge grant to provide general operating support	\$115,500.00
Teen Success, Inc. Sobrato Center for Nonprofits 508 Valley Way Milpitas, CA 95035	N/A	501(c)(3) Public Charity	1608-37205 - 06/09/2015 To provide general operating support - PropelNext	\$110,000.00
The Carey School One Carey School Lane San Mateo, CA 94403	N/A	501(c)(3) Public Charity	1705-38594 - 08/17/2017 Employee Matching Gift	\$2,500.00
The Carey School One Carey School Lane San Mateo, CA 94403	N/A	501(c)(3) Public Charity	1612-37665 - 02/16/2017 Employee Matching Gift	\$2,291.70
The Education Trust-West 1814 Franklin Street Suite 600 Oakland, CA 94612	N/A	501(c)(3) Public Charity	17-39149 - 12/07/2017 To conduct policy research and advocacy focused on strengthening the state's accountability and continuous improvement system to better serve ELs.	\$150,000.00
The Stride Center 1212 Broadway, Suite 400 Oakland, CA 94612	N/A	501(c)(3) Public Charity	1608-37254 - 09/14/2015 To support expansion of ICT career development programs to Silicon Valley	\$100,000.00
The Tech Museum of Innovation 201 South Market Street San Jose, CA 95113-2008	N/A	501(c)(3) Public Charity	1707-38839 - 08/17/2017 Employee Matching Gift	\$100.00
The Tides Center PO Box 29907 San Francisco, CA 94129	N/A	501(c)(3) Public Charity	1708-38997 - 12/06/2017 Employee Matching Gift	\$800.00
The University of California Berkeley Foundation 2080 Addison St. 4200 Berkeley, CA 94720	N/A	501(c)(3) Public Charity	1701-37666 - 02/16/2017 Employee Matching Gift	\$750.00

PART XV Supplementary Information**3 Grants and Contributions Paid During the Year or Approved for Future Payment***a: Paid during the year*

Name and Address (home or business)	Rel	Status	Purpose of Grant or Contribution	Amount
There With Care of the Greater Bay Area 2682 Middlefield Road Suite H Redwood City, CA 94063	N/A	501(c)(3) Public Charity	17-39195 - 12/07/2017 Board Discretionary Grant	\$5,000.00
THINK Together Sobrato Center for Nonprofits 550 Valley Way Milpitas, CA 95035	N/A	501(c)(3) Public Charity	1608-37245 - 12/15/2015 A challenge grant to provide general operating support	\$26,250.00
Tiburcio Vasquez Health Center, Inc. 33255 9th Street Union City, CA 94587-2137	N/A	501(c)(3) Public Charity	1608-37406 - 12/07/2016 2:1 challenge grant to provide general operating support	\$20,000.00
Tri-City Health Center 40910 Fremont Blvd. Fremont, CA 94538	N/A	501(c)(3) Public Charity	1608-37408 - 12/07/2016 2:1 challenge grant to provide general operating support	\$50,000.00
Trinity School 2650 Sand Hill Road Menlo Park, CA 94025	N/A	501(c)(3) Public Charity	1704-38410 - 05/18/2017 Employee Matching Gift	\$1,000.00
United Way of The Bay Area 550 Kearny Street, Suite 1000 San Francisco, CA 94108	N/A	501(c)(3) Public Charity	1610-37486 - 10/06/2016 To support launching and operating a SparkPoint Center in San Jose to help low- income individuals and families in Santa Clara County (SCC) increase income, build credit, and accumulate assets	\$125,000.00
Uplift Family Services 251 Llewellyn Avenue Campbell, CA 95008	N/A	501(c)(3) Public Charity	1706-38710 - 08/17/2017 Employee Matching Gift	\$500.00
Upwardly Global 582 Market Street, Suite 1207 San Francisco, CA 94104	N/A	501(c)(3) Public Charity	1702-38117 - 06/23/2017 A challenge grant to provide general operating support	\$32,000.00
Veggielution 647 South King Rd. San Jose, CA 95116	N/A	501(c)(3) Public Charity	1702-37925 - 02/16/2017 Employee Matching Gift	\$50.00
Via Rehabilitation Services, Inc. 2851 Park Avenue Santa Clara, CA 95050	N/A	501(c)(3) Public Charity	1608-37410 - 12/07/2016 2:1 challenge grant to provide general operating support	\$35,000.00
Vista Center for the Blind & Visually Impaired 2470 El Camino Real, Ste. 107 Palo Alto, CA 94306	N/A	501(c)(3) Public Charity	17-39194 - 12/07/2017 General Support	\$10,000.00

PART XV Supplementary Information**3 Grants and Contributions Paid During the Year or Approved for Future Payment***a: Paid during the year*

Name and Address (home or business)	Rel	Status	Purpose of Grant or Contribution	Amount
Voices College Bound Language Academy 4075 Sacramento Ave San Jose, CA 95111	N/A	501(c)(3) Public Charity	1704-38492 - 12/07/2016 To continue expanding Voices' unique dual language model of high-quality charter school education in the deeply Latino, underserved neighborhoods in several Silicon Valley communities.	\$250,000.00
WeHOPE 1854 Bay Road East Palo Alto, CA 94303	N/A	501(c)(3) Public Charity	1703-38367 - 06/23/2017 A challenge grant to provide general operating support	\$20,000.00
West Valley Community Services of Santa Clara County, Inc. 10104 Vista Drive Cupertino, CA 95014	N/A	501(c)(3) Public Charity	1608-37348 - 06/15/2016 2:1 challenge grant to provide general operating support	\$33,600.00
Year Up Inc. 80 Sutter Street San Francisco, CA 94104	N/A	501(c)(3) Public Charity	17-39093 - 09/29/2017 Reimbursement for DACA Filings	\$4,950.00
Young Women's Christian Association of Silicon Valley 375 South Third Street San Jose, CA 95112	N/A	501(c)(3) Public Charity	1608-37351 - 06/15/2016 2:1 challenge grant to provide general operating support	\$63,000.00
Yu-Ai Kai/Japanese American Community Senior Service of San Jose 588 N. Fourth St. San Jose, CA 95112	N/A	501(c)(3) Public Charity	1608-37350 - 06/15/2016 2:1 challenge grant to provide general operating support	\$15,750.00
The Particular Council of the Society of St. Vincent de Paul, Santa Clara County 920 S. Winchester Blvd. San Jose, CA 95128	N/A	501(c)(3) Public Charity	1608-37337 - 06/15/2016 2:1 challenge grant to provide general operating support	\$21,000.00
Total: Part XV, Line 3a				\$29,153,335.77

PART XV Supplementary Information**3 Grants and Contributions Paid During the Year or Approved for Future Payment***b: Approved for future payment*

Name and Address (home or business)	Rel	Status	Purpose of Grant or Contribution	Amount
Able Works 1836 Bay Road Suite B East Palo Alto, CA 94303	N/A	501(c)(3) Public Charity	1707-38819 - 09/27/2017 To support LiveAble program of education, peer support and coaching to bring economic self-sufficiency to young female head of households in Silicon Valley.	\$200,000.00
Able Works 1836 Bay Road Suite B East Palo Alto, CA 94303	N/A	501(c)(3) Public Charity	1702-38070 - 06/23/2017 A challenge grant to provide general operating support	\$24,150.00
Abode Services 40849 Fremont Blvd. Fremont, CA 94538	N/A	501(c)(3) Public Charity	1702-38072 - 06/23/2017 A challenge grant to provide general operating support	\$82,950.00
Acknowledge Alliance 2483 Old Middlefield Way, #208 Mountain View, CA 94043	N/A	501(c)(3) Public Charity	1707-38740 - 12/07/2017 A challenge grant to provide general operating support	\$59,450.00
Advancement Project 1910 West Sunset Blvd Los Angeles, CA 90026	N/A	501(c)(3) Public Charity	1704-38530 - 05/17/2017 To ensure California is focused on English Learners from their youngest years	\$150,000.00
ALearn 3777 Stevens Creek Blvd., Ste. 330 Santa Clara, CA 95051	N/A	501(c)(3) Public Charity	1707-38742 - 12/07/2017 A challenge grant to provide general operating support	\$157,850.00
AnewAmerica Community Corporation 360 14th St., 5th floor Oakland, CA 94612	N/A	501(c)(3) Public Charity	1703-38308 - 06/23/2017 A challenge grant to provide general operating support	\$27,300.00
Big Brothers/Big Sisters of the Bay Area 65 Battery Street, 2nd Floor San Francisco, CA 94111	N/A	501(c)(3) Public Charity	1707-38791 - 12/07/2017 A challenge grant to provide general operating support	\$86,100.00
Bill Wilson Center 3490 The Alameda Santa Clara, CA 95050	N/A	501(c)(3) Public Charity	1702-38090 - 06/23/2017 A challenge grant to provide general operating support	\$38,850.00
Boys & Girls Clubs of Silicon Valley Sobrato Center for Nonprofits 518 Valley Way Milpitas, CA 95035	N/A	501(c)(3) Public Charity	1707-38789 - 12/07/2017 A challenge grant to provide general operating support	\$114,800.00
Boys & Girls Clubs of the Peninsula 401 Pierce Road Menlo Park, CA 94025	N/A	501(c)(3) Public Charity	1707-38743 - 12/07/2017 A challenge grant to provide general operating support	\$430,500.00
Breakthrough Silicon Valley 1635 Park Avenue San Jose, CA 95126	N/A	501(c)(3) Public Charity	1707-38744 - 12/07/2017 A challenge grant to provide general operating support	\$123,000.00
Building Skills Partnership 828 W. Washington Blvd. Los Angeles, CA 90015	N/A	501(c)(3) Public Charity	1704-38536 - 05/17/2017 To deepen and expand the comprehensive Financial Education Program.	\$125,000.00

PART XV Supplementary Information**3 Grants and Contributions Paid During the Year or Approved for Future Payment***b: Approved for future payment*

Name and Address (home or business)	Rel	Status	Purpose of Grant or Contribution	Amount
California Association for Bilingual Education 20888 Amar Road Walnut, CA 91789	N/A	501(c)(3) Public Charity	1704-38535 - 05/17/2017 To support statewide implementation of Proposition 58 by increasing the supply of bilingual teachers and education leaders who are focused on English Learners.	\$375,000.00
Californians Together 525 East 7th Street Long Beach, CA 90813	N/A	501(c)(3) Public Charity	1704-38531 - 05/17/2017 To advance state-level education policies specifically intended to elevate English Learners in early education and K-12 schools	\$325,000.00
Children's Health Council 650 Clark Way Palo Alto, CA 94304	N/A	501(c)(3) Public Charity	1707-38746 - 12/07/2017 A challenge grant to provide general operating support	\$94,300.00
Downtown Streets Inc. 1671 The Alameda, Suite 306 San Jose, CA 95126	N/A	501(c)(3) Public Charity	1703-38147 - 06/23/2017 A challenge grant to provide general operating support	\$63,000.00
Each One Reach One, Inc. 146 S. Spruce Ave. South San Francisco, CA 94080	N/A	501(c)(3) Public Charity	1708-38924 - 12/07/2017 A challenge grant to provide general operating support	\$38,950.00
Family Supportive Housing, Inc. 692 North King Road San Jose, CA 95133	N/A	501(c)(3) Public Charity	1702-37909 - 06/23/2017 A challenge grant to provide general operating support	\$55,650.00
Foundation for a College Education 2160 Euclid Avenue East Palo Alto, CA 94303	N/A	501(c)(3) Public Charity	1707-38747 - 12/07/2017 A challenge grant to provide general operating support	\$104,550.00
Fresh Lifelines for Youth, Inc. (FLY) Sobrato Center for Nonprofits 568 Valley Way Milpitas, CA 95035	N/A	501(c)(3) Public Charity	1707-38748 - 12/07/2017 A challenge grant to provide general operating support	\$430,500.00
Friends for Youth, Inc. 1741 Broadway Street First Floor Redwood City, CA 94063	N/A	501(c)(3) Public Charity	1707-38749 - 12/07/2017 A challenge grant to provide general operating support	\$43,050.00
Hands on Bay Area 1504 Bryant Street, Suite 100 San Francisco, CA 94103	N/A	501(c)(3) Public Charity	1702-37849 - 06/23/2017 A challenge grant to provide general operating support	\$10,500.00
Housing Industry Foundation 1730 S. El Camino Real Suite 480 San Mateo, CA 94402	N/A	501(c)(3) Public Charity	1702-38038 - 06/23/2017 A challenge grant to provide general operating support	\$36,750.00

PART XV Supplementary Information**3 Grants and Contributions Paid During the Year or Approved for Future Payment***b: Approved for future payment*

Name and Address (home or business)	Rel	Status	Purpose of Grant or Contribution	Amount
Human Investment Project 800 South Claremont Street Suite 210 San Mateo, CA 94401	N/A	501(c)(3) Public Charity	1708-38950 - 09/27/2017 To support growth, improvement, and sustainability of the Self Sufficiency Program.	\$220,000.00
Human Investment Project 800 South Claremont Street Suite 210 San Mateo, CA 94401	N/A	501(c)(3) Public Charity	1702-38080 - 06/23/2017 A challenge grant to provide general operating support	\$50,400.00
Ignited 5301 Stevens Creek Blvd.; PO Box 58059, MS 55L-WO Santa Clara, CA 95052	N/A	501(c)(3) Public Charity	1707-38764 - 12/07/2017 A challenge grant to provide general operating support	\$43,050.00
JobTrain, Inc. 1200 O'Brien Drive Menlo Park, CA 94025	N/A	501(c)(3) Public Charity	1702-37859 - 06/23/2017 A challenge grant to provide general operating support	\$156,450.00
Kainos Home & Training Center 3631 Jefferson Avenue Redwood City, CA 94062	N/A	501(c)(3) Public Charity	1702-38137 - 06/23/2017 A challenge grant to provide general operating support	\$28,350.00
LifeMoves 181 Constitution Drive Menlo Park, CA 94025	N/A	501(c)(3) Public Charity	1702-37852 - 06/23/2017 A challenge grant to provide general operating support	\$220,500.00
Literacy Lab 120a Linden Street Oakland, CA 94607	N/A	501(c)(3) Public Charity	1707-38765 - 12/07/2017 A challenge grant to provide general operating support	\$73,800.00
Loyola Marymount University 1 LMU Drive Los Angeles, CA 90045	N/A	501(c)(3) Public Charity	17-39145 - 12/07/2017 To support Center for Equity for English Learners (CEEL) to engage and advance crucial policy pertaining to English Learners in the state of California.	\$200,000.00
Mid-Peninsula Boys & Girls Club 200 North Quebec Street San Mateo, CA 94401	N/A	501(c)(3) Public Charity	1708-38921 - 12/07/2017 A challenge grant to provide general operating support	\$98,400.00
NPower 405 S. 10th Street San Jose, CA 95112	N/A	501(c)(3) Public Charity	1708-38954 - 09/27/2017 To support the growth and program expansion of NPower.	\$100,000.00
Opportunity Fund Northern California 111 West St. John Street, Suite 800 San Jose, CA 95113	N/A	501(c)(3) Public Charity	1702-38056 - 06/23/2017 A challenge grant to provide general operating support	\$105,000.00
PACT: People Acting in Community Together 1100 Shasta Ave, Suite 210 San Jose, CA 95126	N/A	501(c)(3) Public Charity	1702-38115 - 06/23/2017 A challenge grant to provide general operating support	\$57,750.00

PART XV Supplementary Information**3 Grants and Contributions Paid During the Year or Approved for Future Payment***b: Approved for future payment*

Name and Address (home or business)	Rel	Status	Purpose of Grant or Contribution	Amount
Peninsula Bridge 457 Kingsley Ave. Palo Alto, CA 94301-3222	N/A	501(c)(3) Public Charity	1707-38778 - 12/07/2017 A challenge grant to provide general operating support	\$112,750.00
Peninsula Conflict Resolution Center 1660 South Amphlett Blvd., Suite 219 San Mateo, CA 94402	N/A	501(c)(3) Public Charity	1707-38771 - 12/07/2017 A challenge grant to provide general operating support	\$51,250.00
Playworks Education Energized 2155 South Bascom Ave #201 Suite 201 Campbell, CA 95008	N/A	501(c)(3) Public Charity	1707-38772 - 12/07/2017 A challenge grant to provide general operating support	\$112,750.00
Puente De La Costa Sur 620 North Street PO Box 554 Pescadero, CA 94060	N/A	501(c)(3) Public Charity	1709-39007 - 09/27/2017 Unrestricted capital to convene community members in addressing some of the urgent challenges facing the South Coast	\$50,000.00
Reach Institute for School Leadership 1221 Preservation Park Way Suite 100 Oakland, CA 94612	N/A	501(c)(3) Public Charity	17-39143 - 12/07/2017 To document the development and impact of Innovative Teach Residency program.	\$25,000.00
Reading Partners Sobrato Center for Nonprofits 600B Valley Way Milpitas, CA 95035	N/A	501(c)(3) Public Charity	1707-38773 - 12/07/2017 A challenge grant to provide general operating support	\$106,600.00
Rebuilding Together Peninsula 841 Kaynyne Street Redwood City, CA 94063	N/A	501(c)(3) Public Charity	1704-38449 - 06/23/2017 A challenge grant to provide general operating support	\$57,750.00
Ronald McDonald House at Stanford 520 Sand Hill Road Palo Alto, CA 94304	N/A	501(c)(3) Public Charity	1702-38113 - 06/23/2017 A challenge grant to provide general operating support	\$121,800.00
Sacred Heart Nativity School 310 Edwards Ave. San Jose, CA 95110	N/A	501(c)(3) Public Charity	17-39172 - 09/27/2017 To support fundraising approach, business model and ensure a smooth succession transition.	\$62,500.00
Silicon Valley Children's Fund 75 E Santa Clara Street Suite 1450 San Jose, CA 95113	N/A	501(c)(3) Public Charity	1708-38929 - 12/07/2017 A challenge grant to provide general operating support	\$153,750.00
Silicon Valley Creates 38 W. Santa Clara Street San Jose, CA 95113	N/A	501(c)(3) Public Charity	1702-37851 - 06/23/2017 A challenge grant to provide general operating support	\$84,000.00
Silicon Valley Urban Debate League Sobrato Center for Nonprofits 502 Valley Way Milpitas, CA 95035	N/A	501(c)(3) Public Charity	1707-38787 - 12/07/2017 A challenge grant to provide general operating support	\$65,600.00
Somos Mayfair, Inc. 370-B S. King Road Suite B San Jose, CA 95116	N/A	501(c)(3) Public Charity	1707-38776 - 12/07/2017 A challenge grant to provide general operating support	\$159,900.00

PART XV Supplementary Information**3 Grants and Contributions Paid During the Year or Approved for Future Payment***b: Approved for future payment*

Name and Address (home or business)	Rel	Status	Purpose of Grant or Contribution	Amount
SV@Home 350 W Julian St. #5 #5 San Jose, CA 95110	N/A	501(c)(3) Public Charity	17-39147 - 12/07/2017 To invest in SV@Home's communication, engagement and outreach program.	\$100,000.00
Teach for America - Bay Area 1400 Parkmoor Avenue Suite 270 San Jose, CA 95126	N/A	501(c)(3) Public Charity	17-39202 - 12/07/2017 To deepen TFA partnerships, improve TFA alumni leadership capacity, and increase number of TFA corps members in the San Jose area.	\$500,000.00
The Education Trust-West 1814 Franklin Street Suite 600 Oakland, CA 94612	N/A	501(c)(3) Public Charity	17-39149 - 12/07/2017 To conduct policy research and advocacy focused on strengthening the state's accountability and continuous improvement system to better serve ELs.	\$75,000.00
THINK Together Sobrato Center for Nonprofits 550 Valley Way Milpitas, CA 95035	N/A	501(c)(3) Public Charity	1707-38779 - 12/07/2017 A challenge grant to provide general operating support	\$57,400.00
Upwardly Global 582 Market Street, Suite 1207 San Francisco, CA 94104	N/A	501(c)(3) Public Charity	1702-38117 - 06/23/2017 A challenge grant to provide general operating support	\$33,600.00
WeHOPE 1854 Bay Road East Palo Alto, CA 94303	N/A	501(c)(3) Public Charity	1703-38367 - 06/23/2017 A challenge grant to provide general operating support	\$21,000.00
			Total: Part XV, Line 3b	\$6,501,550.00

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Unrelated business income		Excluded by section 512, 513, or 514		(e)
(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	Related or exempt function income
Enter gross amounts unless otherwise indicated.				
1 Program service revenue:				
a REDWOOD SHORES - OFFICE				
b & MEETING SPACE FOR				
c NONPROFITS				4,041,634.
d SOBRATO EARLY ACADEMIC				
e LANGUAGE MODEL				1,800,300.
f				
g Fees and contracts from government agencies				
2 Membership dues and assessments				
3 Interest on savings and temporary cash investments	531190	508. 14	954,477.	
4 Dividends and interest from securities	531190	975. 14	3,239,356.	
5 Net rental income or (loss) from real estate:				
a Debt-financed property				
b Not debt-financed property	531190	-13,537. 16	53,606.	
6 Net rental income or (loss) from personal property				
7 Other investment income	531190	-119,484. 14	-321,946.	
8 Gain or (loss) from sales of assets other than inventory	531190	-11,238. 18	34,615,421.	
9 Net income or (loss) from special events				
10 Gross profit or (loss) from sales of inventory				
11 Other revenue:				
a SECTION 965(A) INCOME				
b INCLUSION				25,465.
c				
d				
e				
12 Subtotal. Add columns (b), (d), and (e)		-142,776.	38,540,914.	5,867,399.
13 Total. Add line 12, columns (b), (d), and (e)				44,265,537.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII	Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations
------------------	--

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.		
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	<div style="border-bottom: 1px solid black; height: 1.2em; margin-bottom: 5px;"></div> <div style="text-align: center;">Date</div>	<div style="border-bottom: 1px solid black; height: 1.2em; margin-bottom: 5px;"></div> <div style="text-align: center;">Title</div>	<div style="border: 1px solid black; padding: 5px;"> May the IRS discuss this return with the preparer shown below? See instr. <input checked="checked" type="checkbox"/> Yes <input type="checkbox"/> No </div>

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature <i>Scott Daily</i> <small>For Tax Return Purposes Only</small>	Date	Check <input type="checkbox"/> if self-employed	PTIN
	SCOTT DAILY		11/14/18		P01280203
	Firm's name ▶ FRANK, RIMERMAN + CO. LLP			Firm's EIN ▶ 94-1341042	
	Firm's address ▶ 60 SOUTH MARKET STREET, SUITE 500 SAN JOSE, CA 95113			Phone no. (408) 279-5566	

THE SOBRATO FAMILY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SANKATY OFFSHORE		P		
b SEI VI HMX AIV, LP		P		
c NORTH HAVEN EXPANSION (CO-INVESTMENT)		P		
d NORTH HAVEN EXPANSION		P		
e RIVERWOOD		P		
f CSFB III (STRATEGIC PARTNERS)		P		
g CSFB III (STRATEGIC PARTNERS)		P		
h ABRY		P		
i UNIVERSITY TECH		P		
j UNIVERSITY TECH		P		
k EUROPEAN STRATEGIC PARTNERS 2008 'B'		P		
l EUROPEAN STRATEGIC PARTNERS 2008 'B'		P		
m SPECTRUM EQUITY INVESTORS VI		P		
n CSFB SP IV, LP		P		
o CSFB SP IV, LP		P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 45,302.			45,302.
b		1,528.	-1,528.
c 217,002.			217,002.
d 216,997.			216,997.
e 129,577.			129,577.
f		697.	-697.
g 39,104.		2,558.	36,546.
h 11,234.			11,234.
i 104.			104.
j		1,095.	-1,095.
k 7,086.			7,086.
l 212,240.			212,240.
m 1,569,103.			1,569,103.
n		68.	-68.
o 148,492.		11,002.	137,490.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			45,302.
b			-1,528.
c			217,002.
d			216,997.
e			129,577.
f			-697.
g			36,546.
h			11,234.
i			104.
j			-1,095.
k			7,086.
l			212,240.
m			1,569,103.
n			-68.
o			137,490.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

THE SOBRATO FAMILY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a KAYNE ANDERSON MEZZANINE PARTNERS	P		
b NEWBURY	P		
c NEWBURY	P		
d OAKTREE POWER OPPORTUNITIES FUND III (OFFSHORE)	P		
e AEA INVESTORS FUND V	P		
f AVENUE EUROPE SPECIAL SITUATIONS FUND II	P		
g AVENUE EUROPE SPECIAL SITUATIONS FUND II	P		
h AXIOM ASIA PRIVATE CAPITAL FUND III	P		
i AXIOM ASIA PRIVATE CAPITAL FUND III	P		
j CSFB V (OFFSHORE)	P		
k SILVER LAKE PARTNERS IV	P		
l FTV IV	P		
m FTV IV	P		
n FORMATION8	P		
o FORMATION8	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 334,451.			334,451.
b 17.			17.
c 169,578.			169,578.
d		1,532.	-1,532.
e 527,775.			527,775.
f 1,088.			1,088.
g 8,159.			8,159.
h		546.	-546.
i 34,259.			34,259.
j 115,645.			115,645.
k 25.			25.
l 1,591.			1,591.
m 45,523.			45,523.
n 9,423.			9,423.
o 9,876.			9,876.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			334,451.
b			17.
c			169,578.
d			-1,532.
e			527,775.
f			1,088.
g			8,159.
h			-546.
i			34,259.
j			115,645.
k			25.
l			1,591.
m			45,523.
n			9,423.
o			9,876.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

THE SOBRATO FAMILY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	DCPF VI OIL & GAS	P		
b	DCPF VI OIL & GAS	P		
c	RIVERWOOD CAPITAL PARTNERS II, LP	P		
d	RIVERWOOD CAPITAL PARTNERS II, LP	P		
e	PARALLAX	P		
f	LITTLEJOHN FUND V, LP	P		
g	LITTLEJOHN FUND V, LP	P		
h	CHARLESBANK EQUITY FUND VIII LP	P		
i	CHARLESBANK EQUITY FUND VIII LP	P		
j	WEBSTER CAPITAL III, LP	P		
k	BOYU CAPITAL FUND II, LP	P		
l	TRIDENT VI PARALLEL FUND	P		
m	TRIDENT VI PARALLEL FUND	P		
n	ATLAS CAPITAL RESOURCES II LP	P		
o	DELOS INVESTMENT FUND, LP	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	68,336.		68,336.
b	307,313.		307,313.
c	1,456.		1,456.
d		693.	-693.
e	241,702.		241,702.
f	1,098.		1,098.
g	7,254.		7,254.
h	29.		29.
i		30,600.	-30,600.
j	83,083.		83,083.
k	33,097.		33,097.
l	563.		563.
m	27,059.		27,059.
n	214.		214.
o	4,398.		4,398.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			68,336.
b			307,313.
c			1,456.
d			-693.
e			241,702.
f			1,098.
g			7,254.
h			29.
i			-30,600.
j			83,083.
k			33,097.
l			563.
m			27,059.
n			214.
o			4,398.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

THE SOBRATO FAMILY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CCP III, LP	P		
b CCP III DEBT ACQUISITION LP	P		
c CCP III (CAYMAN) LP	P		
d CCP III (PEI) LP	P		
e CCP III (PEI) LP	P		
f MISSION BAY CAPITAL II, LP	P		
g REACH, LP	P		
h REACH, LP	P		
i DELOS MBHE BELLWETHER, LLC	P		
j SFF INVESTMENT OVERHEAD - UNREALIZED GAIN/LOSS	P		
k NT FUND II	P		
l NT FUND II	P		
m NT S&P 500	P		
n NT S&P 500	P		
o EAFE (INCLUDES S&P 500)	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a		396.	-396.
b		1.	-1.
c	214.		214.
d	257.		257.
e	9,513.		9,513.
f	53,154.		53,154.
g	11,728.		11,728.
h	474.		474.
i	181.		181.
j	192,597.	192,597.	0.
k	20,021.		20,021.
l	58,618.		58,618.
m	74,685.		74,685.
n	7,888,135.		7,888,135.
o	727,289.		727,289.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-396.
b			-1.
c			214.
d			257.
e			9,513.
f			53,154.
g			11,728.
h			474.
i			181.
j			0.
k			20,021.
l			58,618.
m			74,685.
n			7,888,135.
o			727,289.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE SOBRATO FAMILY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	EAFE (INCLUDES S&P 500)	P		
b	VC	P		
c	NT S&P 400	P		
d	NT S&P 400	P		
e	NT RUSSELL 2000	P		
f	NT RUSSELL 2000	P		
g	NT MSCI EM	P		
h	NT MSCI EM	P		
i	DOMESTIC ESG	P		
j	DOMESTIC ESG	P		
k	EMERGING ESG	P		
l	DEVELOPED INT'L	P		
m	SFF INVESTMENT OVERHEAD - UNREALIZED GAIN/LOSS	P		
n	UNREALIZED GAIN/LOSS	P		
o	SALE OF BUILDING HELD FOR INVESTMENT - NEWARK	D		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 26,500,018.			26,500,018.
b 5,682.		5,682.	0.
c 100,963.			100,963.
d 2,477,247.			2,477,247.
e 255,292.			255,292.
f 2,017,947.			2,017,947.
g 98,052.			98,052.
h 8,527,680.			8,527,680.
i 16,641.			16,641.
j 1,873.			1,873.
k		34,429.	-34,429.
l		3.	-3.
m 373.		373.	0.
n 1,981,328.		1,981,328.	0.
o 43,402,929.			43,402,929.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			26,500,018.
b			0.
c			100,963.
d			2,477,247.
e			255,292.
f			2,017,947.
g			98,052.
h			8,527,680.
i			16,641.
j			1,873.
k			-34,429.
l			-3.
m			0.
n			0.
o			43,402,929.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

THE SOBRATO FAMILY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PAUL ROYALTY - UNREALIZED GAIN/LOSS		P		
b SUMMERWOOD - UNREALIZED GAIN/LOSS		P		
c SANKATY OFFSHORE - UNREALIZED GAIN/LOSS		P		
d SEI VI HMX AIV, LP - UNREALIZED GAIN/LOSS		P		
e NORTH HAVEN EXPANSION (CO-INVESTMENT) - UNREALIZE		P		
f NORTH HAVEN EXPANSION - UNREALIZED GAIN/LOSS		P		
g DATA CENTER HOLDINGS AIV - UNREALIZED GAIN/LOSS		P		
h RIVERWOOD - UNREALIZED GAIN/LOSS		P		
i CSFB III (STRATEGIC PARTNERS) - UNREALIZED GAIN/L		P		
j ABRY - UNREALIZED GAIN/LOSS		P		
k UNIVERSITY TECH - UNREALIZED GAIN/LOSS		P		
l EUROPEAN STRATEGIC PARTNERS 2008 'B' - UNREALIZED		P		
m SPECTRUM EQUITY INVESTORS VI - UNREALIZED GAIN/LO		P		
n CSFB SP IV, LP - UNREALIZED GAIN/LOSS		P		
o KAYNE ANDERSON MEZZANINE PARTNERS - UNREALIZED GA		P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 310.		310.	0.
b			0.
c 132,656.		132,656.	0.
d 715,370.		715,370.	0.
e			0.
f			0.
g 707.		707.	0.
h			0.
i 12,327.		12,327.	0.
j			0.
k			0.
l 26,797.		26,797.	0.
m			0.
n			0.
o			0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			0.
c			0.
d			0.
e			0.
f			0.
g			0.
h			0.
i			0.
j			0.
k			0.
l			0.
m			0.
n			0.
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

THE SOBRATO FAMILY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NEWBURY - UNREALIZED GAIN/LOSS	P		
b	SEI VI CHOW AIV - UNREALIZED GAIN/LOSS	P		
c	ELLIOTT INTERNATIONAL (OFFSHORE) - UNREALIZED GAI	P		
d	OAKTREE POWER OPPORTUNITIES FUND III (OFFSHORE) -	P		
e	AEA INVESTORS FUND V - UNREALIZED GAIN/LOSS	P		
f	AVENUE EUROPE SPECIAL SITUATIONS FUND II - UNREAL	P		
g	LAZARD, LTD CLARENDON HOUSE - UNREALIZED GAIN/LOS	P		
h	AXIOM ASIA PRIVATE CAPITAL FUND III - UNREALIZED	P		
i	RIVERWOOD TUNNEL - UNREALIZED GAIN/LOSS	P		
j	CSFB V (OFFSHORE) - UNREALIZED GAIN/LOSS	P		
k	SILVER LAKE PARTNERS IV - UNREALIZED GAIN/LOSS	P		
l	FTV IV - UNREALIZED GAIN/LOSS	P		
m	FORMATION8 - UNREALIZED GAIN/LOSS	P		
n	DCPF VI OIL & GAS - UNREALIZED GAIN/LOSS	P		
o	SEI VI TPT AIV, LP - UNREALIZED GAIN/LOSS	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	29,370.	29,370.	0.
b	364.	364.	0.
c	791,773.	791,773.	0.
d	245,809.	245,809.	0.
e	990,558.	990,558.	0.
f	128,285.	128,285.	0.
g	15.	15.	0.
h	585,387.	585,387.	0.
i	94.	94.	0.
j	23,872.	23,872.	0.
k	275,246.	275,246.	0.
l	330,509.	330,509.	0.
m	821,261.	821,261.	0.
n			0.
o	345,419.	345,419.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			0.
c			0.
d			0.
e			0.
f			0.
g			0.
h			0.
i			0.
j			0.
k			0.
l			0.
m			0.
n			0.
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

THE SOBRATO FAMILY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	RIVERWOOD CAPITAL PARTNERS II, LP - UNREALIZED GA	P		
b	SILVER LAKE PARTNERS IV CAYMAN - UNREALIZED GAIN/	P		
c	SLP IV FEEDER I, LP - UNREALIZED GAIN/LOSS	P		
d	TRIDENT VI PARALLEL AIV I - UNREALIZED GAIN/LOSS	P		
e	RCP II BRAZIL HOLDINGS AIV - UNREALIZED GAIN/LOSS	P		
f	PARALLAX - UNREALIZED GAIN/LOSS	P		
g	LITTLEJOHN FUND V, LP - UNREALIZED GAIN/LOSS	P		
h	CHARLESBANK EQUITY FUND VIII LP - UNREALIZED GAIN	P		
i	WEBSTER CAPITAL III, LP - UNREALIZED GAIN/LOSS	P		
j	BOYU CAPITAL FUND II, LP - UNREALIZED GAIN/LOSS	P		
k	TRIDENT VI PARALLEL FUND - UNREALIZED GAIN/LOSS	P		
l	ATLAS CAPITAL RESOURCES II LP - UNREALIZED GAIN/L	P		
m	ATLAS CAPITAL RESOURCES II (A-1 CAYMAN), LP - UNR	P		
n	ATLAS CAPITAL RESOURCES II (A-2 CAYMAN), LP - UNR	P		
o	DELOS INVESTMENT FUND, LP - UNREALIZED GAIN/LOSS	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	89,914.	89,914.	0.
b			0.
c			0.
d			0.
e			0.
f			0.
g	140,189.	140,189.	0.
h	138,395.	138,395.	0.
i	16,395.	16,395.	0.
j	385,598.	385,598.	0.
k			0.
l	67,822.	67,822.	0.
m	28.	28.	0.
n	26.	26.	0.
o	122,870.	122,870.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			0.
c			0.
d			0.
e			0.
f			0.
g			0.
h			0.
i			0.
j			0.
k			0.
l			0.
m			0.
n			0.
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE SOBRATO FAMILY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	DELOS FCA, LLC - UNREALIZED GAIN/LOSS	P		
b	CRESSEY & CRESSEY COMPANY FUND V, LP - UNREALIZED	P		
c	CCP III, LP - UNREALIZED GAIN/LOSS	P		
d	CCP III DEPT ACQUISITION LP - UNREALIZED GAIN/LOS	P		
e	CCP III (CAYMAN) LP - UNREALIZED GAIN/LOSS	P		
f	CCP III AIV II HOLDINGS LP - UNREALIZED GAIN/LOSS	P		
g	CCP III AIV I LP - UNREALIZED GAIN/LOSS	P		
h	CCP III (PEI) LP - UNREALIZED GAIN/LOSS	P		
i	PATRIA BRAZILIAN PRIVATE EQUITY FUND V LP - UNREA	P		
j	PATRIA-BRAZILIAN PRIVATE EQUITY FUND V AIV 1 LP -	P		
k	PATRIA-BRAZILIAN PRIVATE EQUITY FUND V AIV 2 LP -	P		
l	ATLAS CAPITAL RESOURCES II (A3) LP - UNREALIZED G	P		
m	MISSION BAY CAPITAL II, LP - UNREALIZED GAIN/LOSS	P		
n	REACH LP - UNREALIZED GAIN/LOSS	P		
o	SLP CASTLE FEEDER - UNREALIZED GAIN/LOSS	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 14,801.		14,801.	0.
b 144,265.		144,265.	0.
c 69,433.		69,433.	0.
d 9.		9.	0.
e 1,628.		1,628.	0.
f			0.
g 587.		587.	0.
h			0.
i 29,736.		29,736.	0.
j 102,259.		102,259.	0.
k 102,259.		102,259.	0.
l 38,107.		38,107.	0.
m 31,836.		31,836.	0.
n 64,161.		64,161.	0.
o			0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			0.
c			0.
d			0.
e			0.
f			0.
g			0.
h			0.
i			0.
j			0.
k			0.
l			0.
m			0.
n			0.
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

THE SOBRATO FAMILY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CC PF AIV LP - UNREALIZED GAIN/LOSS		P		
b SLP IV BASQUIAT FEEDER I LP - UNREALIZED GAIN/LOS		P		
c SLP IV RV FOI FEEDER I LP - UNREALIZED GAIN/LOSS		P		
d ATLAS CAPITAL RESOURCES II (A5 - CAYMAN) LP - UNR		P		
e ATLAS CAPITAL RESOURCES II (A5) LP - UNREALIZED G		P		
f RW INDUSTRIOUS AIV - UNREALIZED GAIN/LOSS		P		
g DELOS FOS, LP - UNREALIZED GAIN/LOSS		P		
h DELOS MBHE, LLC - UNREALIZED GAIN/LOSS		P		
i DELOS MBHE BELLWETHER, LLC - UNREALIZED GAIN/LOSS		P		
j CCP III AIV III, LP - UNREALIZED GAIN/LOSS		P		
k RW VACASA AIV, LP - UNREALIZED GAIN/LOSS		P		
l SLP IV WEST FEEDER I, LLC - UNREALIZED GAIN/LOSS		P		
m DELOS CR I, LLC - UNREALIZED GAIN/LOSS		P		
n DELOS CR II, LLC - UNREALIZED GAIN/LOSS		P		
o DELOS FRAC, LLC - UNREALIZED GAIN/LOSS		P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 8,215.		8,215.	0.
b			0.
c			0.
d			0.
e 22,389.		22,389.	0.
f 4,747.		4,747.	0.
g 1,442.		1,442.	0.
h			0.
i			0.
j 20.		20.	0.
k 2,178.		2,178.	0.
l			0.
m			0.
n 333.		333.	0.
o 5.		5.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			0.
c			0.
d			0.
e			0.
f			0.
g			0.
h			0.
i			0.
j			0.
k			0.
l			0.
m			0.
n			0.
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

THE SOBRATO FAMILY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	DELOS PATRIOT, LLC - UNREALIZED GAIN/LOSS	P		
b	ATLAS CAPITAL RESOURCES II (A6 - CAYMAN) - UNREAL	P		
c	CCSL AIV, LP - UNREALIZED GAIN/LOSS	P		
d	CAPITAL GAINS DIVIDENDS			
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 412.		412.	0.
b			0.
c 6,111.		6,111.	0.
d 10,414.			10,414.
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			0.
c			0.
d			10,414.
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	96,797,430.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2017

Name of the organization

THE SOBRATO FAMILY FOUNDATION

Employer identification number

77-0348912

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2017)

Name of organization	Employer identification number
THE SOBRATO FAMILY FOUNDATION	77-0348912

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	SOBRATO CHARITABLE LEAD TRUST III 10600 N. DE ANZA BLVD. SUITE 200 CUPERTINO, CA 95014	\$ 86,199.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	SOBRATO CHARITABLE LEAD TRUST IV 10600 N. DE ANZA BLVD. SUITE 200 CUPERTINO, CA 95014	\$ 126,645.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Employer identification number

77-0348912

Part II

[illegible]

Name of organization	Employer identification number
THE SOBRATO FAMILY FOUNDATION	77-0348912

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info. once.) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

Underpayment of Estimated Tax by Corporations

▶ Attach to the corporation's tax return.

FORM 990-PF**2017**▶ Go to www.irs.gov/Form2220 for instructions and the latest information.

Name THE SOBRATO FAMILY FOUNDATION	Employer identification number 77-0348912
--	---

Note: Generally, the corporation isn't required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38 on the estimated tax penalty line of the corporation's income tax return, but **do not** attach Form 2220.

Part I Required Annual Payment

1 Total tax (see instructions)	1	1,013,158.
2a Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 1	2a	
2b Look-back interest included on line 1 under section 460(b)(2) for completed long-term contracts or section 167(g) for depreciation under the income forecast method	2b	
2c Credit for federal tax paid on fuels (see instructions)	2c	
d Total. Add lines 2a through 2c	2d	
3 Subtract line 2d from line 1. If the result is less than \$500, do not complete or file this form. The corporation doesn't owe the penalty	3	1,013,158.
4 Enter the tax shown on the corporation's 2016 income tax return. See instructions. Caution: If the tax is zero or the tax year was for less than 12 months, skip this line and enter the amount from line 3 on line 5	4	125,110.
5 Required annual payment. Enter the smaller of line 3 or line 4. If the corporation is required to skip line 4, enter the amount from line 3	5	125,110.

Part II Reasons for Filing - Check the boxes below that apply. If any boxes are checked, the corporation **must** file Form 2220 even if it doesn't owe a penalty. See instructions.

- 6 ☐ The corporation is using the adjusted seasonal installment method.
- 7 ☒ The corporation is using the annualized income installment method.
- 8 ☒ The corporation is a "large corporation" figuring its first required installment based on the prior year's tax.

Part III Figuring the Underpayment

	(a)	(b)	(c)	(d)	
9 Installment due dates. Enter in columns (a) through (d) the 15th day of the 4th (Form 990-PF filers: Use 5th month), 6th, 9th, and 12th months of the corporation's tax year	9	05/15/17	06/15/17	09/15/17	12/15/17
10 Required installments. If the box on line 6 and/or line 7 above is checked, enter the amounts from Sch A, line 38. If the box on line 8 (but not 6 or 7) is checked, see instructions for the amounts to enter. If none of these boxes are checked, enter 25% (0.25) of line 5 above in each column	10				
11 Estimated tax paid or credited for each period. For column (a) only, enter the amount from line 11 on line 15. See instructions	11	107,402.		575,000.	145,000.
Complete lines 12 through 18 of one column before going to the next column.					
12 Enter amount, if any, from line 18 of the preceding column	12		107,402.	107,402.	682,402.
13 Add lines 11 and 12	13		107,402.	682,402.	827,402.
14 Add amounts on lines 16 and 17 of the preceding column	14				
15 Subtract line 14 from line 13. If zero or less, enter -0-	15	107,402.	107,402.	682,402.	827,402.
16 If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter -0-	16				
17 Underpayment. If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18	17				
18 Overpayment. If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next column	18	107,402.	107,402.	682,402.	

Go to Part IV on page 2 to figure the penalty. Do not go to Part IV if there are no entries on line 17 - no penalty is owed.

LHA For Paperwork Reduction Act Notice, see separate instructions.

Form 2220 (2017)

Part IV Figuring the Penalty

	(a)	(b)	(c)	(d)
19 Enter the date of payment or the 15th day of the 4th month after the close of the tax year, whichever is earlier. (C Corporations with tax years ending June 30 and S corporations: Use 3rd month instead of 4th month. Form 990-PF and Form 990-T filers: Use 5th month instead of 4th month.) See instructions	19			
20 Number of days from due date of installment on line 9 to the date shown on line 19	20			
21 Number of days on line 20 after 4/15/2017 and before 7/1/2017	21			
22 Underpayment on line 17 x $\frac{\text{Number of days on line 21} \times 4\% (0.04)}{365}$...	22	\$	\$	\$
23 Number of days on line 20 after 06/30/2017 and before 10/1/2017 ...	23			
24 Underpayment on line 17 x $\frac{\text{Number of days on line 23} \times 4\% (0.04)}{365}$...	24	\$	\$	\$
25 Number of days on line 20 after 9/30/2017 and before 1/1/2018	25			
26 Underpayment on line 17 x $\frac{\text{Number of days on line 25} \times 4\% (0.04)}{365}$...	26	\$	\$	\$
27 Number of days on line 20 after 12/31/2017 and before 4/1/2018 ...	27			
28 Underpayment on line 17 x $\frac{\text{Number of days on line 27} \times 4\% (0.04)}{365}$...	28	\$	\$	\$
29 Number of days on line 20 after 3/31/2018 and before 7/1/2018	29			
30 Underpayment on line 17 x $\frac{\text{Number of days on line 29} \times \%}{365}$	30	\$	\$	\$
31 Number of days on line 20 after 6/30/2018 and before 10/1/2018 ...	31			
32 Underpayment on line 17 x $\frac{\text{Number of days on line 31} \times \%}{365}$	32	\$	\$	\$
33 Number of days on line 20 after 9/30/2018 and before 1/1/2019	33			
34 Underpayment on line 17 x $\frac{\text{Number of days on line 33} \times \%}{365}$	34	\$	\$	\$
35 Number of days on line 20 after 12/31/2018 and before 3/16/2019 ...	35			
36 Underpayment on line 17 x $\frac{\text{Number of days on line 35} \times \%}{365}$	36	\$	\$	\$
37 Add lines 22, 24, 26, 28, 30, 32, 34, and 36	37	\$	\$	\$
38 Penalty. Add columns (a) through (d) of line 37. Enter the total here and on Form 1120, line 33; or the comparable line for other income tax returns	38	\$		0.

* Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at www.irs.gov. You can also call 1-800-829-4933 to get interest rate information.

Schedule A Adjusted Seasonal Installment Method and Annualized Income Installment Method

See instructions.

Form 1120S filers: For lines 1, 2, 3, and 21, below, "taxable income" refers to excess net passive income or the amount on which tax is imposed under section 1374(a), whichever applies.**Part I Adjusted Seasonal Installment Method****Caution:** Use this method only if the base period percentage for any 6 consecutive months is at least 70%.
See instructions.

		(a)	(b)	(c)	(d)
		First 3 months	First 5 months	First 8 months	First 11 months
1 Enter taxable income for the following periods.					
a Tax year beginning in 2014	1a				
b Tax year beginning in 2015	1b				
c Tax year beginning in 2016	1c				
2 Enter taxable income for each period for the tax year beginning in 2017. See the instructions for the treatment of extraordinary items	2				
3 Enter taxable income for the following periods.		First 4 months	First 6 months	First 9 months	Entire year
a Tax year beginning in 2014	3a				
b Tax year beginning in 2015	3b				
c Tax year beginning in 2016	3c				
4 Divide the amount in each column on line 1a by the amount in column (d) on line 3a	4				
5 Divide the amount in each column on line 1b by the amount in column (d) on line 3b	5				
6 Divide the amount in each column on line 1c by the amount in column (d) on line 3c	6				
7 Add lines 4 through 6	7				
8 Divide line 7 by 3.0	8				
9a Divide line 2 by line 8	9a				
b Extraordinary items (see instructions)	9b				
c Add lines 9a and 9b	9c				
10 Figure the tax on the amt on ln 9c using the instr for Form 1120, Sch J, line 2 or comparable line of corp's return ...	10				
11a Divide the amount in columns (a) through (c) on line 3a by the amount in column (d) on line 3a	11a				
b Divide the amount in columns (a) through (c) on line 3b by the amount in column (d) on line 3b	11b				
c Divide the amount in columns (a) through (c) on line 3c by the amount in column (d) on line 3c	11c				
12 Add lines 11a through 11c	12				
13 Divide line 12 by 3.0	13				
14 Multiply the amount in columns (a) through (c) of line 10 by columns (a) through (c) of line 13. In column (d), enter the amount from line 10, column (d)	14				
15 Enter any alternative minimum tax for each payment period. See instructions	15				
16 Enter any other taxes for each payment period. See instr.	16				
17 Add lines 14 through 16	17				
18 For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c. See instructions	18				
19 Total tax after credits. Subtract line 18 from line 17. If zero or less, enter -0-	19				

**

Part II Annualized Income Installment Method

		(a)	(b)	(c)	(d)
		First <u>2</u> months	First <u>3</u> months	First <u>6</u> months	First <u>9</u> months
20 Annualization periods (see instructions)	20				
21 Enter taxable income for each annualization period. See instructions for the treatment of extraordinary items	21				
22 Annualization amounts (see instructions)	22	6.000000	4.000000	2.000000	1.333330
23a Annualized taxable income. Multiply line 21 by line 22 ..	23a				
b Extraordinary items (see instructions)	23b				
c Add lines 23a and 23b	23c				
24 Figure the tax on the amount on line 23c using the instructions for Form 1120, Schedule J, line 2, or comparable line of corporation's return	24				
25 Enter any alternative minimum tax for each payment period (see instructions)	25				
26 Enter any other taxes for each payment period. See instr.	26				
27 Total tax. Add lines 24 through 26	27				
28 For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c. See instructions	28				
29 Total tax after credits. Subtract line 28 from line 27. If zero or less, enter -0-	29				
30 Applicable percentage	30	25%	50%	75%	100%
31 Multiply line 29 by line 30	31				

Part III Required Installments

		1st installment	2nd installment	3rd installment	4th installment
Note: Complete lines 32 through 38 of one column before completing the next column.					
32 If only Part I or Part II is completed, enter the amount in each column from line 19 or line 31. If both parts are completed, enter the smaller of the amounts in each column from line 19 or line 31	32	0.	0.	0.	0.
33 Add the amounts in all preceding columns of line 38. See instructions	33				
34 Adjusted seasonal or annualized income installments. Subtract line 33 from line 32. If zero or less, enter -0- ..	34				
35 Enter 25% (0.25) of line 5 on page 1 of Form 2220 in each column. Note: "Large corporations," see the instructions for line 10 for the amounts to enter	35	31,278.	475,302.	253,290.	253,289.
36 Subtract line 38 of the preceding column from line 37 of the preceding column	36		31,278.	506,580.	759,870.
37 Add lines 35 and 36	37	31,278.	506,580.	759,870.	1,013,159.
38 Required installments. Enter the smaller of line 34 or line 37 here and on page 1 of Form 2220, line 10. See instructions	38	0.	0.	0.	0.

Form 2220 (2017)

** ANNUALIZED INCOME INSTALLMENT METHOD USING STANDARD OPTION

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	(E) DEPREC.	DATE ACQUIRED	(F) GAIN OR LOSS
SANKATY OFFSHORE	45,302.	0.	0.		0.		45,302.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	(E) DEPREC.	DATE ACQUIRED	(F) GAIN OR LOSS
SEI VI HMX AIV, LP	0.	1,528.	0.		0.		-1,528.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	(E) DEPREC.	DATE ACQUIRED	(F) GAIN OR LOSS
NORTH HAVEN EXPANSION (CO-INVESTMENT)	217,002.	0.	0.		0.		217,002.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
NORTH HAVEN EXPANSION	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
216,997.	0.	0.	0.	216,997.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
RIVERWOOD	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
129,577.	0.	0.	0.	129,577.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
CSFB III (STRATEGIC PARTNERS)	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	697.	0.	0.	-697.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
CSFB III (STRATEGIC PARTNERS)	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
39,104.	0.	0.	0.	39,104.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
ABRY	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
11,234.	0.	0.	0.	11,234.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
UNIVERSITY TECH	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
104.	0.	0.	0.	104.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
UNIVERSITY TECH	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	1,095.	0.	0.	-1,095.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
EUROPEAN STRATEGIC PARTNERS 2008 'B'	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
7,086.	0.	0.	0.	7,086.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
EUROPEAN STRATEGIC PARTNERS 2008 'B'	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
212,240.	0.	0.	0.	212,240.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SPECTRUM EQUITY INVESTORS VI	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,569,103.	0.	0.	0.	1,569,103.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
CSFB SP IV, LP	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	80.	0.	0.	-80.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
CSFB SP IV, LP	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
148,492.	0.	0.	0.	148,492.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
KAYNE ANDERSON MEZZANINE PARTNERS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
334,451.	0.	0.	0.	334,451.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
NEWBURY	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
17.	0.	0.	0.	17.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
NEWBURY	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
169,578.	8.	0.	0.	169,570.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
OAKTREE POWER OPPORTUNITIES FUND III (OFFSHORE)	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	1,532.	0.	0.	-1,532.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
AEA INVESTORS FUND V	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
527,775.	0.	0.	0.	527,775.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
AVENUE EUROPE SPECIAL SITUATIONS FUND II	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,088.	0.	0.	0.	1,088.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
AVENUE EUROPE SPECIAL SITUATIONS FUND II	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
8,159.	0.	0.	0.	8,159.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
AXIOM ASIA PRIVATE CAPITAL FUND III	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	546.	0.	0.	-546.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
AXIOM ASIA PRIVATE CAPITAL FUND III	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
34,259.	0.	0.	0.	34,259.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
CSFB V (OFFSHORE)	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
115,645.	0.	0.	0.	115,645.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SILVER LAKE PARTNERS IV	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
25.	0.	0.	0.	25.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
FTV IV	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,591.	0.	0.	0.	1,591.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	(E) DEPREC.	DATE ACQUIRED	(F) GAIN OR LOSS
FTV IV	45,523.	0.	0.		0.		45,523.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	(E) DEPREC.	DATE ACQUIRED	(F) GAIN OR LOSS
FORMATION8	9,423.	0.	0.		0.		9,423.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	(E) DEPREC.	DATE ACQUIRED	(F) GAIN OR LOSS
FORMATION8	9,876.	0.	0.		0.		9,876.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	(E) DEPREC.	DATE ACQUIRED	(F) GAIN OR LOSS
DCPF VI OIL & GAS	68,336.	0.	0.		0.		68,336.

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
DCPF VI OIL & GAS			PURCHASED		
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
307,313.	0.	0.	0.	307,313.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
RIVERWOOD CAPITAL PARTNERS II, LP			PURCHASED		
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
1,456.	0.	0.	0.	1,456.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
RIVERWOOD CAPITAL PARTNERS II, LP			PURCHASED		
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
0.	693.	0.	0.	-693.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
PARALLAX			PURCHASED		
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
241,702.	0.	0.	0.	241,702.	

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED		DATE SOLD
LITTLEJOHN FUND V, LP	PURCHASED				
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
1,098.	0.	0.	0.	1,098.	

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED		DATE SOLD
LITTLEJOHN FUND V, LP	PURCHASED				
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
7,254.	0.	0.	0.	7,254.	

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED		DATE SOLD
CHARLESBANK EQUITY FUND VIII LP	PURCHASED				
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
29.	0.	0.	0.	29.	

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED		DATE SOLD
CHARLESBANK EQUITY FUND VIII LP	PURCHASED				
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
0.	30,600.	0.	0.	-30,600.	

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
WEBSTER CAPITAL III, LP	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
83,083.	0.	0.	0.	83,083.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
BOYU CAPITAL FUND II, LP	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
33,097.	0.	0.	0.	33,097.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
TRIDENT VI PARALLEL FUND	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
563.	0.	0.	0.	563.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
TRIDENT VI PARALLEL FUND	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
27,059.	0.	0.	0.	27,059.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
ATLAS CAPITAL RESOURCES II LP	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
214.	0.	0.	0.	214.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
DELOS INVESTMENT FUND, LP	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
4,398.	0.	0.	0.	4,398.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
CCP III, LP	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	396.	0.	0.	-396.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
CCP III DEBT ACQUISITION LP	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	1.	0.	0.	-1.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
CCP III (CAYMAN) LP	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
214.	0.	0.	0.	214.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
CCP III (PEI) LP	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
257.	0.	0.	0.	257.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
CCP III (PEI) LP	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
9,513.	0.	0.	0.	9,513.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
MISSION BAY CAPITAL II, LP	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
53,154.	0.	0.	0.	53,154.

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
REACH, LP			PURCHASED		
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
11,728.	0.	0.	0.	11,728.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
REACH, LP			PURCHASED		
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
474.	0.	0.	0.	474.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
DELOS MBHE BELLWETHER, LLC			PURCHASED		
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
181.	0.	0.	0.	181.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SFF INVESTMENT OVERHEAD - UNREALIZED GAIN/LOSS			PURCHASED		
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
192,597.	0.	0.	0.	192,597.	

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
NT FUND II	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
20,021.	0.	0.	0.	20,021.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
NT FUND II	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
58,618.	0.	0.	0.	58,618.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
NT S&P 500	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
74,685.	0.	0.	0.	74,685.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
NT S&P 500	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
7,888,135.	0.	0.	0.	7,888,135.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
EAFE (INCLUDES S&P 500)	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
727,289.	0.	0.	0.	727,289.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
EAFE (INCLUDES S&P 500)	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
26,500,018.	21,518,640.	0.	0.	4,981,378.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VC	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
5,682.	0.	0.	0.	5,682.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
NT S&P 400	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
100,963.	0.	0.	0.	100,963.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
NT S&P 400	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,477,247.	0.	0.	0.	2,477,247.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
NT RUSSELL 2000	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
255,292.	0.	0.	0.	255,292.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
NT RUSSELL 2000	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,017,947.	0.	0.	0.	2,017,947.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
NT MSCI EM	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
98,052.	0.	0.	0.	98,052.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
NT MSCI EM	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
8,527,680.	0.	0.	0.	8,527,680.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
DOMESTIC ESG	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
16,641.	0.	0.	0.	16,641.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
DOMESTIC ESG	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,873.	0.	0.	0.	1,873.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
EMERGING ESG	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	34,429.	0.	0.	-34,429.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	(E) DEPREC.	DATE ACQUIRED	(F) GAIN OR LOSS
DEVELOPED INT'L	0.	3.	0.		0.		-3.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	(E) DEPREC.	DATE ACQUIRED	(F) GAIN OR LOSS
SFF INVESTMENT OVERHEAD - UNREALIZED GAIN/LOSS	373.	0.	0.		0.		373.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	(E) DEPREC.	DATE ACQUIRED	(F) GAIN OR LOSS
UNREALIZED GAIN/LOSS	1,981,328.	0.	0.		0.		1,981,328.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	MANNER ACQUIRED DONATED	(E) DEPREC.	DATE ACQUIRED	(F) GAIN OR LOSS
SALE OF BUILDING HELD FOR INVESTMENT - NEWARK	43,402,929.	46,831,474.	0.		0.		-3,428,545.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
PAUL ROYALTY - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
310.	0.	0.	0.	310.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SUMMERWOOD - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	47,159.	0.	0.	-47,159.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SANKATY OFFSHORE - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
132,656.	0.	0.	0.	132,656.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SEI VI HMX AIV, LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
715,370.	0.	0.	0.	715,370.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
NORTH HAVEN EXPANSION (CO-INVESTMENT) - UNREALIZED GAIN/LOSS	0.	151,607.	0.	0.	-151,607.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
NORTH HAVEN EXPANSION - UNREALIZED GAIN/LOSS	0.	183,902.	0.	0.	-183,902.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
DATA CENTER HOLDINGS AIV - UNREALIZED GAIN/LOSS	707.	0.	0.	0.	707.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
RIVERWOOD - UNREALIZED GAIN/LOSS	0.	107,694.	0.	0.	-107,694.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
CSFB III (STRATEGIC PARTNERS) - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
12,327.	0.	0.	0.	12,327.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
ABRY - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	11,362.	0.	0.	-11,362.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
UNIVERSITY TECH - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	8,386.	0.	0.	-8,386.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
EUROPEAN STRATEGIC PARTNERS 2008 'B' - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
26,797.	0.	0.	0.	26,797.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SPECTRUM EQUITY INVESTORS VI - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	1,537,368.	0.	0.	-1,537,368.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
CSFB SP IV, LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	68,150.	0.	0.	-68,150.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
KAYNE ANDERSON MEZZANINE PARTNERS - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	22,325.	0.	0.	-22,325.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
NEWBURY - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
29,370.	0.	0.	0.	29,370.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SEI VI CHOW AIV - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
364.	0.	0.	0.	364.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
ELLIOTT INTERNATIONAL (OFFSHORE) - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
791,773.	0.	0.	0.	791,773.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
OAKTREE POWER OPPORTUNITIES FUND III (OFFSHORE) - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
245,809.	0.	0.	0.	245,809.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
AEA INVESTORS FUND V - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
990,558.	0.	0.	0.	990,558.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
AVENUE EUROPE SPECIAL SITUATIONS FUND II - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
128,285.	0.	0.	0.	128,285.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
LAZARD, LTD CLARENDON HOUSE - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
15.	0.	0.	0.	15.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
AXIOM ASIA PRIVATE CAPITAL FUND III - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
585,387.	0.	0.	0.	585,387.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
RIVERWOOD TUNNEL - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
94.	0.	0.	0.	94.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
CSFB V (OFFSHORE) - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
23,872.	0.	0.	0.	23,872.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SILVER LAKE PARTNERS IV - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
275,246.	0.	0.	0.	275,246.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
FTV IV - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
330,509.	0.	0.	0.	330,509.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
FORMATION8 - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
821,261.	0.	0.	0.	821,261.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
DCPF VI OIL & GAS - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	572,431.	0.	0.	-572,431.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SEI VI TPT AIV, LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
345,419.	0.	0.	0.	345,419.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
RIVERWOOD CAPITAL PARTNERS II, LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
89,914.	0.	0.	0.	89,914.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SILVER LAKE PARTNERS IV CAYMAN - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	240.	0.	0.	-240.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SLP IV FEEDER I, LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	831.	0.	0.	-831.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
TRIDENT VI PARALLEL AIV I - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	2,534.	0.	0.	-2,534.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
RCP II BRAZIL HOLDINGS AIV - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	130.	0.	0.	-130.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
PARALLAX - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	228,195.	0.	0.	-228,195.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
LITTLEJOHN FUND V, LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
140,189.	0.	0.	0.	140,189.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
CHARLESBANK EQUITY FUND VIII LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
138,395.	0.	0.	0.	138,395.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
WEBSTER CAPITAL III, LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
16,395.	0.	0.	0.	16,395.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
BOYU CAPITAL FUND II, LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
385,598.	0.	0.	0.	385,598.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
TRIDENT VI PARALLEL FUND - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	48,022.	0.	0.	-48,022.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
ATLAS CAPITAL RESOURCES II LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
67,822.	0.	0.	0.	67,822.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
ATLAS CAPITAL RESOURCES II (A-1 CAYMAN), LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
28.	0.	0.	0.	28.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
ATLAS CAPITAL RESOURCES II (A-2 CAYMAN), LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
26.	0.	0.	0.	26.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
DELOS INVESTMENT FUND, LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
122,870.	0.	0.	0.	122,870.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
DELOS FCA, LLC - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
14,801.	0.	0.	0.	14,801.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
CRESSEY & CRESSEY COMPANY FUND V, LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
144,265.	0.	0.	0.	144,265.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
CCP III, LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
69,433.	0.	0.	0.	69,433.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
CCP III DEPT ACQUISITION LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
9.	0.	0.	0.	9.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
CCP III (CAYMAN) LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,628.	0.	0.	0.	1,628.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
CCP III AIV II HOLDINGS LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	4,143.	0.	0.	-4,143.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
CCP III AIV I LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
587.	0.	0.	0.	587.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
CCP III (PEI) LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	7,536.	0.	0.	-7,536.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
PATRIA BRAZILIAN PRIVATE EQUITY FUND V LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
29,736.	0.	0.	0.	29,736.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
PATRIA-BRAZILIAN PRIVATE EQUITY FUND V AIV 1 LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
102,259.	0.	0.	0.	102,259.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
PATRIA-BRAZILIAN PRIVATE EQUITY FUND V AIV 2 LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
102,259.	0.	0.	0.	102,259.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
ATLAS CAPITAL RESOURCES II (A3) LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
38,107.	0.	0.	0.	38,107.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
MISSION BAY CAPITAL II, LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
31,836.	0.	0.	0.	31,836.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
REACH LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
64,161.	0.	0.	0.	64,161.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SLP CASTLE FEEDER - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	3,248.	0.	0.	-3,248.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
CC PF AIV LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
8,215.	0.	0.	0.	8,215.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SLP IV BASQUIAT FEEDER I LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	3,326.	0.	0.	-3,326.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SLP IV RV FOI FEEDER I LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	525.	0.	0.	-525.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
ATLAS CAPITAL RESOURCES II (A5 - CAYMAN) LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	1,314.	0.	0.	-1,314.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
ATLAS CAPITAL RESOURCES II (A5) LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
22,389.	0.	0.	0.	22,389.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
RW INDUSTRIOUS AIV - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
4,747.	0.	0.	0.	4,747.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
DELOS FOS, LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,442.	0.	0.	0.	1,442.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
DELOS MBHE, LLC - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	642.	0.	0.	-642.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
DELOS MBHE BELLWETHER, LLC - UNREALIZED GAIN/LOSS	0.	76,534.	0.	0.	-76,534.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
CCP III AIV III, LP - UNREALIZED GAIN/LOSS	20.	0.	0.	0.	20.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
RW VACASA AIV, LP - UNREALIZED GAIN/LOSS	2,178.	0.	0.	0.	2,178.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
SLP IV WEST FEEDER I, LLC - UNREALIZED GAIN/LOSS	0.	1,278.	0.	0.	-1,278.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
DELOS CR I, LLC - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	202.	0.	0.	-202.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
DELOS CR II, LLC - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
333.	0.	0.	0.	333.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
DELOS FRAC, LLC - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
5.	0.	0.	0.	5.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
DELOS PATRIOT, LLC - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
412.	0.	0.	0.	412.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
ATLAS CAPITAL RESOURCES II (A6 - CAYMAN) - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	9,183.	0.	0.	-9,183.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
CCSL AIV, LP - UNREALIZED GAIN/LOSS	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
6,111.	0.	0.	0.	6,111.

CAPITAL GAINS DIVIDENDS FROM PART IV 10,414.

TOTAL TO FORM 990-PF, PART I, LINE 6A 34,604,868.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
ABRY	1,736.	1,736.	
AEA INVESTORS FUND V	1,388.	1,388.	
ATLAS CAPITAL RESOURCES II (A3) LP	4,620.	4,620.	
ATLAS CAPITAL RESOURCES II (A5 -CAYMAN) LP	1,357.	1,357.	
ATLAS CAPITAL RESOURCES II (A5) LP	2.	2.	
ATLAS CAPITAL RESOURCES II (A6-CAYMAN)	10,017.	10,017.	
ATLAS CAPITAL RESOURCES II LP	6,903.	6,903.	
AVENUE EUROPE SPECIAL SITUATIONS FUND II	2,228.	2,228.	
AXIOM ASIA PRIVATE CAPITAL FUND III	642.	642.	

BOYU CAPITAL FUND II, LP	21.	21.
CC PF AIV LP	30.	30.
CCP III (PEI) LP	5.	5.
CCP III AIV II HOLDINGS LP	1,455.	1,455.
CCP III DEBT ACQUISITION LP	1.	1.
CHARLESBANK EQUITY FUND VIII LP	21,550.	21,480.
CSFB III (STRATEGIC PARTNERS)	3,634.	3,624.
CSFB SP IV, LP	3,211.	2,836.
DCPF VI OIL & GAS	533.	533.
DELOS CR II, LC	8.	8.
DELOS FOS, LP	955.	955.
DELOS INVESTMENT FUND, LP	759.	759.
DELOS MBHE BELLWETHER, LLC	6,921.	6,921.
DELOS MBHE, LLC	5,638.	5,638.
EAFE (INCLUDES S&P 500)	205.	6,905.
EUROPEAN STRATEGIC PARTNERS 2008 'B'	22,624.	22,621.
FORMATION8	3,276.	3,276.
FTV IV	2,027.	2,027.
KAYNE ANDERSON MEZZANINE PARTNERS	93,360.	93,360.
LITTLEJOHN FUND V, LP	7,975.	7,975.
MISSION BAY CAPITAL II, LP	1,983.	1,983.
NEWBURY	2,993.	2,989.
NORTH HAVEN EXPANSION	4,284.	4,284.
NORTH HAVEN EXPANSION (CO-INVESTMENT)	4,288.	4,288.
NT FUND II	14,225.	1,331.
NT MSCI EM	1,988.	1,988.
NT S&P 400	1,452.	1,452.
NT S&P 500	1,930.	1,930.
PARALLAX	5,187.	5,187.
PATRIA-BRAZILIAN PRIVATE EQUITY FUND V AIV 1, LP	162.	162.
PATRIA-BRAZILIAN PRIVATE EQUITY FUND V AIV 2, LP	162.	162.
PAUL ROYALTY	405.	405.
REACH, LP	3,457.	3,457.
RIVERWOOD	113.	113.
RIVERWOOD CAPITAL PARTNERS II, LP	243.	243.
RW INDUSTRIOUS AIV LP	37.	0.
RW VACASA AIV, LP	12.	3.
SEI VI CHOW AIV	10.	10.
SFF INTEREST INCOME	669,917.	669,917.
SILVER LAKE PARTNERS IV CAYMAN LP	350.	350.
SLP CASTLE FEEDER	3,255.	3,255.
SLP IV BASQUIAT FEEDER I LP	3,346.	3,346.
SLP IV FEEDER I LP	6.	6.
SLP IV RV FOI FEEDER I, LP	532.	532.
SLP IV WEST FEEDER I, LLC	1,278.	1,278.
SPECTRUM EQUITY INVESTORS VI	44.	44.

SUMMERWOOD	7,185.	7,185.	
TRIDENT VI PARALLEL AIV I LP	5,605.	5,605.	
TRIDENT VI PARALLEL FUND	16,162.	16,162.	
UNIVERSITY TECH	598.	598.	
WEBSTER CAPITAL III, LP	695.	695.	
TOTAL TO PART I, LINE 3	954,985.	948,283.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ABRY	1,012.	0.	1,012.	1,012.	
AEA INVESTORS FUND V	5.	0.	5.	5.	
ATLAS CAPITAL RESOURCES II (A3) LP	4,340.	0.	4,340.	4,340.	
AVENUE EUROPE SPECIAL SITUATIONS FUND II	5,190.	0.	5,190.	5,190.	
AXIOM ASIA PRIVATE CAPITAL FUND III	3,991.	0.	3,991.	3,991.	
BOYU CAPITAL FUND II, LP	4,248.	0.	4,248.	4,248.	
CCP III (PEI) LP	7,591.	0.	7,591.	7,591.	
CCP III AIV II HOLDINGS LP	2,706.	0.	2,706.	2,706.	
CHARLESBANK EQUITY FUND VIII LP	698.	0.	698.	0.	
CRESSEY & COMPANY FUND V LP	163.	0.	163.	163.	
CSFB III (STRATEGIC PARTNERS)	366.	0.	366.	363.	
CSFB SP IV, LP	8,366.	0.	8,366.	8,148.	
DEVELOPED INT'L	384,360.	1.	384,359.	384,359.	
DOMESTIC ESG	471,474.	3,393.	468,081.	483,515.	
EAFE (INCLUDES S&P 500)	919,485.	0.	919,485.	1,807,654.	
EMERGING ESG	150,601.	1.	150,600.	150,600.	
EUROPEAN STRATEGIC PARTNERS 2008 'B'	231,700.	0.	231,700.	231,644.	
FTV IV	7,202.	0.	7,202.	7,202.	
LAZARD, LTD					
CLARENDON HOUSE	6,428.	0.	6,428.	6,428.	
LITTLEJOHN FUND V, LP	863.	0.	863.	863.	
NEWBURY	4,827.	0.	4,827.	4,827.	

NT FUND II	147,220.	0.	147,220.	160,114.
NT MSCI EM	331,947.	0.	331,947.	336,840.
NT RUSSELL 2000	90,328.	0.	90,328.	47,678.
NT S&P 400	124,617.	0.	124,617.	73,565.
NT S&P 500	295,462.	0.	295,462.	295,462.
RCP II BRAZIL				
HOLDINGS AIV LP	321.	0.	321.	321.
RIVERWOOD	2.	0.	2.	2.
SLP IV FEEDER I LP	839.	0.	839.	839.
SOHO	0.	0.	0.	72.
SPECTRUM EQUITY				
INVESTORS VI	3,582.	0.	3,582.	3,582.
SWEEP ACCOUNT	0.	684.	-684.	133,690.
SWEEP LEAD TRUST 2	0.	0.	0.	5,738.
TRANSITION	7,009.	4,697.	2,312.	2,312.
TRIDENT VI				
PARALLEL FUND	30,929.	0.	30,929.	30,929.
UNIVERSITY TECH	24.	0.	24.	24.
VC	659.	138.	521.	521.
WESTFIELD	1,506.	1,500.	6.	6.
TO PART I, LINE 4	3,250,061.	10,414.	3,239,647.	4,206,544.

FORM 990-PF	RENTAL INCOME	STATEMENT	4
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KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
CSFB STRATEGIC PARTNERS III K-1	6	
SUMMERWOOD K-1	9	40,004.
CS STRATEGIC PARTNERS IV K-1	10	
NEWBURY EQUITY PARTNERS II (CAYMAN) K-1	11	
DCPF VI OIL & GAS K-1	15	65.
TOTAL TO FORM 990-PF, PART I, LINE 5A		40,069.

FORM 990-PF	RENTAL EXPENSES	STATEMENT	5
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DESCRIPTION	ACTIVITY NUMBER	AMOUNT	TOTAL
CSFB STRATEGIC PARTNERS III K-1 RENTAL LOSS		16,122.	
- SUBTOTAL -	6		16,122.
CS STRATEGIC PARTNERS IV K-1 RENTAL LOSS		149.	

	- SUBTOTAL -	10		149.
NEWBURY EQUITY PARTNERS II (CAYMAN)				
K-1			1.	
	- SUBTOTAL -	11		1.
TOTAL RENTAL EXPENSES				16,272.
NET RENTAL INCOME TO FORM 990-PF, PART I, LINE 5B				23,797.

FORM 990-PF	OTHER INCOME	STATEMENT	6
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PAUL ROYALTY	-2,286.	-2,286.	
SUMMERWOOD	-30.	-30.	
SEI VI HMX AIV, LP	-249.	-249.	
NORTH HAVEN EXPANSION (CO-INVESTMENT)	-22,268.	-22,268.	
NORTH HAVEN EXPANSION	-37,379.	-37,379.	
DATA CENTER HOLDINGS AIV	-707.	-707.	
RIVERWOOD	-27,874.	-27,874.	
CSFB III (STRATEGIC PARTNERS)	4,197.	-1,747.	
ABRY	556.	0.	
UNIVERSITY TECH	-2,030.	-2,062.	
EUROPEAN STRATEGIC PARTNERS 2008 'B'	-46,711.	-46,472.	
SPECTRUM EQUITY INVESTORS VI	-35,361.	-35,361.	
CSFB SP IV, LP	-18,165.	-15,693.	
KAYNE ANDERSON MEZZANINE PARTNERS	-14,752.	-12,795.	
NEWBURY	-35,669.	-38,703.	
SEI VI CHOW AIV	-374.	-374.	
OAKTREE POWER OPPORTUNITIES FUND III (OFFSHORE)	5,957.	5,957.	
AEA INVESTORS FUND V	-3,220.	-3,220.	
AVENUE EUROPE SPECIAL SITUATIONS FUND II	-93,671.	-93,671.	
LAZARD, LTD CLARENDON HOUSE	-6,443.	-6,443.	
AXIOM ASIA PRIVATE CAPITAL FUND III	-57,912.	-57,912.	
RIVERWOOD TUNNEL (RW TUNNEL)	-94.	-94.	
CSFB V (OFFSHORE)	8,244.	8,244.	
SILVER LAKE PARTNERS IV	-18,991.	-18,991.	
FTV IV	-36,867.	-36,867.	
FORMATION8	-19,578.	-19,578.	
DCPF VI OIL & GAS	160,836.	308,724.	
SEI VI TPT AIV, LP	-6,649.	0.	
RIVERWOOD CAPITAL PARTNERS II, LP	-20,635.	-20,635.	
SILVER LAKE PARTNERS IV CAYMAN LP	-110.	-110.	
SLP IV FEEDER I LP	-14.	-14.	
TRIDENT VI PARALLEL AIV I LP	-3,071.	-3,071.	
RCP II BRAZIL HOLDINGS AIV LP	-191.	-191.	

PARALLAX	-27,311.	-27,311.
LITTLEJOHN FUND V, LP	-10,872.	-10,872.
CHARLESBANK EQUITY FUND VIII LP	-19,856.	-4,464.
WEBSTER CAPITAL III, LP	-10,285.	-10,285.
BOYU CAPITAL FUND II, LP	-21,113.	-21,113.
TRIDENT VI PARALLEL FUND	-26,691.	-26,691.
ATLAS CAPITAL RESOURCES II LP	-26,444.	-26,444.
ATLAS CAPITAL RESOURCES II (A-1 CAYMAN) LP	-28.	-28.
ATLAS CAPITAL RESOURCES II (A-2 CAYMAN) LP	-26.	-26.
DELOS INVESTMENT FUND, LP	-6,708.	-6,708.
DELOSFCA, LLC	-14,801.	-4,625.
CRESSEY & COMPANY FUND V LP	-23,723.	-23,723.
CCP III, LP	-13,461.	-13,461.
CCP III DEBT ACQUISITION LP	-9.	-9.
CCP III (CAYMAN) LP	-1,842.	-1,842.
CCP III AIV II HOLDINGS LP	-18.	-18.
CCP III AIV I LP	-587.	-587.
CCP III (PEI) LP	-9,830.	-9,830.
PATRIA-BRAZILIAN PRIVATE EQUITY FUND V AIV 1, LP	-102,421.	-102,421.
PATRIA-BRAZILIAN PRIVATE EQUITY FUND V AIV 2, LP	-102,421.	-102,421.
ATLAS CAPITAL RESOURCES II (A3) LP	-47,067.	-47,067.
MISSION BAY CAPITAL II, LP	-21,386.	-21,386.
REACH, LP	-79,820.	-79,820.
SLP CASTLE FEEDER	-7.	-7.
CC PF AIV LP	-8,245.	-231.
SLP IV BASQUIAT FEEDER I LP	-20.	-20.
SLP IV RV FOI FEEDER I, LP	-7.	-7.
ATLAS CAPITAL RESOURCES II (A5 -CAYMAN) LP	-43.	-43.
ATLAS CAPITAL RESOURCES II (A5) LP	-22,391.	-22,391.
RW INDUSTRIOUS AIV LP	-4,784.	-26.
DELOS FOS, LP	-2,397.	-2,397.
DELOS MBHE, LLC	-4,996.	-5,034.
DELOS MBHE BELLWETHER, LLC	69,432.	-5,211.
CCP III AIV III, LP	-20.	-20.
RW VACASA AIV, LP	-2,190.	0.
DELOS CR I, LLC	202.	-6.
DELOS CR II, LC	-341.	-306.
DELOS FRAC, LLC	-5.	-2.
DELOS PATRIOT, LLC	-412.	-38.
ATLAS CAPITAL RESOURCES II (A6-CAYMAN)	-834.	-834.
CCSL AIV, LP	-6,111.	-17.
NT S&P 500	806.	0.
EAFE (INCLUDES S&P 500)	0.	27,667.
TRANSITION	3,598.	0.
NT S&P 400	8,532.	8,532.
NT RUSSELL 2000	41,853.	19,135.
DOMESTIC ESG	51,324.	7,308.
EMERGING ESG	7,745.	7,745.

DEVELOPED INT'L	76,112.	23,499.
EUROPEAN STRATEGIC PARTNERS PFIC		
INCOME	0.	199,834.
AVENUE EUROPE SPECIAL SITUATIONS		
FUND II PFIC INCOME	0.	5,021.
AXIOM ASIA PRIVATE CAPITAL FUND III		
PFIC INCOME	0.	150,533.
SLP IV CAYMAN FEEDERS PFIC INCOME	0.	29,956.
TRIDENT VI PARALLEL FUND LP PFIC		
INCOME	0.	975.
BOYU CAPITAL FUND II, LP PFIC		
INCOME	0.	518.
DEPOSIT FORFEIT INCOME	250,000.	250,000.
SECTION 965(A) INCOME NOT REPORTED		
ON BOOKS	-25,465.	0.
REDWOOD SHORES - OFFICE & MEETING		
SPACE FOR NONPROFITS	4,041,634.	0.
SOBRATO EARLY ACADEMIC LANGUAGE		
MODEL	1,800,300.	0.
SECTION 965(A) INCOME INCLUSION	25,465.	25,465.
TOTAL TO FORM 990-PF, PART I, LINE 11	5,400,504.	-3,426.

FORM 990-PF	LEGAL FEES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	21,527.	0.		21,527.
TO FM 990-PF, PG 1, LN 16A	21,527.	0.		21,527.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	8
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	39,600.	0.		39,600.
TO FORM 990-PF, PG 1, LN 16B	39,600.	0.		39,600.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	9
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OTHER PROFESSIONAL SERVICES	526,617.	54,883.		463,480.	
TO FORM 990-PF, PG 1, LN 16C	526,617.	54,883.		463,480.	

FORM 990-PF	TAXES			STATEMENT	10
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PAYROLL TAXES	37,711.	0.		37,711.	
PROPERTY TAXES	185.	0.		185.	
FOREIGN TAXES	305,839.	305,839.		0.	
INCOME TAXES	755,428.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	1,099,163.	305,839.		37,896.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	11
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
DONATED SERVICES - EXPENSE	283,323.	0.		0.	
REPAIRS AND MAINTENANCE	71.	0.		0.	
BANK CHARGES/LATE FEES	324.	0.		324.	
BUSINESS TAX/LICENSE/PERMITS	20.	0.		20.	
DUES/SUBS/PUBS	121,359.	0.		121,359.	
COMPUTER - R&M/SUPPORT/PARTS	985.	0.		985.	
OFFICE SUPPLIES	7,597.	0.		7,597.	
PHONE/FAX/MODEM	12,251.	0.		12,251.	
PHOTO WORK	844.	0.		844.	
POSTAGE	37.	0.		37.	
SOFTWARE LICENSES	6,286.	0.		6,286.	
MANAGEMENT FEES	188,637.	188,637.		0.	
ADVERTISING AND MARKETING	119,057.	0.		125,567.	
PAYROLL PROCESSING FEE	1,540.	0.		1,540.	
MISCELLANEOUS EXPENSE	284,654.	120,986.		163,739.	
INSURANCE	5,536.	0.		5,523.	

THE SOBRATO FAMILY FOUNDATION			77-0348912
TELECOMMUNICATIONS	1,802.	0.	1,802.
EQUIPMENT AND FURNITURE			
EXPENSE	10,256.	0.	10,256.
IN-KIND LEASE VALUES	0.	0.	88,270.
DIRECT CHARITABLE EXPENSES	9,876,988.	0.	5,881,003.
CSFB STRATEGIC PARTNERS III			
K-1 RENTAL LOSS	16,122.	0.	0.
CS STRATEGIC PARTNERS IV K-1			
RENTAL LOSS	149.	0.	0.
NEWBURY EQUITY PARTNERS II			
(CAYMAN) K-1	1.	1.	0.
TO FORM 990-PF, PG 1, LN 23	10,937,839.	309,624.	6,427,403.

FOOTNOTES	STATEMENT	12
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SOBRATO FAMILY FOUNDATION
STATEMENT REGARDING PART VII-B
QUESTION 1(A)(2) AND (3)

THE SOBRATO FAMILY FOUNDATION IS PROVIDED WITH
PROFESSIONAL SERVICES WITHOUT CHARGE BY DISQUALIFIED
PERSONS.

SECTIONS 4941(D)(2)(B) AND (C) SPECIFICALLY EXCLUDE THESE
TRANSACTIONS FROM SELF-DEALING TAX.

FOR QUESTION 11 ON PART VII-A, PLEASE SEE FORM 5471
FOR FURTHER INFORMATION REGARDING THE CONTROLLED ENTITY.

PAGE 1, PART I, LINE 24 - THESE FIGURES INCLUDE
CHARITABLE PROGRAMS (A-\$11,040,000, D-\$5,881,003)
CONDUCTED DIRECTLY BY THE FOUNDATION - SEE PAGE 7,
PART IX-A FOR DETAILS.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	13
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DESCRIPTION	AMOUNT
GIFT REPORTED ON PRIOR YEAR TAX RETURN	1,516,500.
TOTAL TO FORM 990-PF, PART III, LINE 3	1,516,500.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	14
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE	FAIR MARKET VALUE
ORGANIZATIONAL FEES	665.	665.	0.	0.
COMPUTER SOFTWARE	14,522.	14,522.	0.	0.
CONFERENCE TABLE	5,211.	5,211.	0.	0.
COMPUTER - RP #W80081597XJ	1,779.	1,777.	2.	2.
COMPUTER - MF #W80122B77XJ	1,779.	1,777.	2.	2.
COMPUTER - MC #C02GQ1WJDV7P	2,699.	2,699.	0.	0.
COMPUTER - MARA LOW #C02H702GDV7P	2,402.	2,402.	0.	0.
IMAC 21.5" D25HM0A7DHJT - LS	3,727.	3,727.	0.	0.
MACBOOK AIR FOR LSS	2,029.	2,029.	0.	0.
MBP 15.4 C02HX2Y1F1G4-R R. VIAJAR	2,725.	2,725.	0.	0.
IMAC D25K604JDNMM LS	1,632.	1,585.	47.	47.
IMAC C02KT056F1G3 - VR	2,279.	2,213.	66.	66.
IMAC C02KT057F1G3 - SD	2,279.	2,213.	66.	66.
IMAC C02KT05CF1G3 RICK WILLIAMS	2,279.	2,213.	66.	66.
MBP 15.4 C02L62LAF1G3				
KENJI TREANOR	2,279.	2,213.	66.	66.
MBP 15.4 C02LJ0RLF1G3				
MARA LOW	2,632.	2,556.	76.	76.
MBP 15.5 C02LJ0RK1G3				
TAMARA RADCLIFFE	2,632.	2,556.	76.	76.
BIKE RACKS	2,234.	2,170.	64.	64.
BIKE RACKS	1,146.	1,113.	33.	33.
2 CHAIN LINK GATES	3,130.	3,040.	90.	90.
TWIN DOLPHIN CAFE - 39 YR PROPERTY	25,726.	2,970.	22,756.	22,756.
TWIN DOLPHIN CAFE - 5 YR PROPERTY	165,112.	160,357.	4,755.	4,755.
BUILDING PURCHASE	6,332,359.	811,840.	5,520,519.	5,520,519.
BUILDING PURCHASE	10,331,743.	1,324,580.	9,007,163.	9,007,163.

TWIN DOLPHIN LAND	5,554,701.	0.	5,554,701.	5,554,701.
COMPUTER - MBP 15.4				
C02MK25YFD58 PERRY	2,127.	1,943.	184.	184.
COMPUTER - MBP 15.4				
C02MQ0C7FD58 SREEHARSHA	2,127.	1,943.	184.	184.
COMPUTER - MBP 15.4				
C02MV1X8FD58 D LOPEZ	2,127.	1,943.	184.	184.
COMPUTER - MBP 15.4				
C02N6MPWG3QC	2,323.	2,123.	200.	200.
COMPUTER - MBP 15.4				
C02N6MQDG3QC	2,323.	2,123.	200.	200.
COMPUTER - MBP 15.4				
C02N6MQVG3QC	2,323.	2,123.	200.	200.
COMPUTER - MBP 15.4				
C02N769SG3QC	2,323.	2,123.	200.	200.
LANIER MPC5503 COPIER	10,657.	8,815.	1,842.	1,842.
FURNITURE-AUDIO	994.	839.	155.	155.
FURNITURE-AUDIO	1,621.	1,368.	253.	253.
ROOF REPLACEMENT	195,469.	16,080.	179,389.	179,389.
MBP 15.4 SN#C02PL5FDG8WN	2,093.	1,491.	602.	602.
MBP 15.4 SN#C02PL8XPG8WN	2,093.	1,491.	602.	602.
MBP 15.4 SN#C02PL9FPG8WN	2,093.	1,491.	602.	602.
MBP 15.4 SN#C02PLAYEG8WN	2,093.	1,491.	602.	602.
MBP 15.4 SN#C02PLDNAG8WN	2,093.	1,491.	602.	602.
MBP 15.4 SN#C02PLDRCG8WN	2,093.	1,491.	602.	602.
MBP 15.4 SN#C02PLDU9G8WN	2,093.	1,491.	602.	602.
MBP 15.4 SN#C02PLJ3NG8WN	2,093.	1,491.	602.	602.
MBP 15.4 SN#C02PLJ59G8WN	2,093.	1,491.	602.	602.
MBP 15.4 SN#C02PLJBVG8WN	2,093.	1,491.	602.	602.
MBP 15.4 SN#C02PLJBVG8WN	2,093.	1,491.	602.	602.
MBP 15.4 SN#C02PLJBVG8WN	2,093.	1,491.	602.	602.
MBP 15.4 SN#C02PLJBVG8WN	2,093.	1,491.	602.	602.
MBP 15.4 SN#C02Q923TG8WL	2,054.	1,462.	592.	592.
GARBAGE CAN INSTALLS	2,590.	1,844.	746.	746.
GARBAGE CAN INSTALLS	4,226.	3,009.	1,217.	1,217.
HVAC REPLACEMENT	221,310.	16,552.	204,758.	204,758.
HVAC REPLACEMENT	186,000.	9,935.	176,065.	176,065.
PERMIAN BUILDERS INC	190,708.	0.	190,708.	190,708.
PERMIAN BUILDERS INC	26,395.	0.	26,395.	26,395.
ARC TEC INC	1,640.	0.	1,640.	1,640.
ARC TEC INC	920.	0.	920.	920.
ARC TEC INC	193.	0.	193.	193.
ARC TEC INC	4,350.	0.	4,350.	4,350.
AMERICAN REPROGRAPHICS				
COMPANY LLC	45.	0.	45.	45.
ARC TEC INC	270.	0.	270.	270.
ARC TEC INC	3,180.	0.	3,180.	3,180.
MAGNUM DRYWALL INC	4,647.	0.	4,647.	4,647.
NEW PROJECTOR SYSTEM	2,684.	1,637.	1,047.	1,047.
NEW PROJECTOR SYSTEM	1,645.	1,004.	641.	641.
TELEPHONE SYSTEM INSTALL	8,483.	4,156.	4,327.	4,327.
TELEPHONE SYSTEM INSTALL	5,199.	2,548.	2,651.	2,651.
HVAC REPLACEMENT	69,791.	30,010.	39,781.	39,781.
HVAC REPLACEMENT	113,869.	48,963.	64,906.	64,906.

INTERIOR RENOVATIONS - 350TI 2016	23,380.	4,179.	19,201.	19,201.
INTERIOR RENOVATIONS - 350TI	217,103.	41,249.	175,854.	175,854.
CAPITALIZED COSTS - PROPERTY HELD FOR SALE	147,898.	0.	147,898.	147,898.
HVAC REPLACEMENT	140,835.	140,835.	0.	0.
REPLACE HEATER	35,000.	35,000.	0.	0.
VALVE	56,840.	56,840.	0.	0.
NEW SIDEWALK REPLACEMENT	43,919.	23,332.	20,587.	20,587.
NEW SIDEWALK REPLACEMENT	26,918.	14,300.	12,618.	12,618.
TO 990-PF, PART II, LN 14	24,263,416.	2,856,814.	21,406,602.	21,406,602.

FORM 990-PF	CORPORATE STOCK	STATEMENT 15
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
DEVELOPED INT'L ESG	54,441,506.	54,441,506.
DOMESTIC ESG	84,257,909.	84,257,909.
EMERGING MARKETS ESG	37,839,801.	37,839,801.
NORTHERN TRUST INVESTMENT FUND II	8,791,657.	8,791,657.
TOTAL TO FORM 990-PF, PART II, LINE 10B	185,330,873.	185,330,873.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 16
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ABRY PARTNERS V, L.P.	FMV	56,544.	56,544.
AEA INVESTORS FUND V	FMV	4,055,075.	4,055,075.
ATLAS CAPITAL RESOURCES II (A1-CAYMAN) LP	FMV	208,273.	208,273.
ATLAS CAPITAL RESOURCES II (A2-CAYMAN) LP	FMV	164,382.	164,382.
ATLAS CAPITAL RESOURCES II (A3) LP	FMV	143,908.	143,908.
ATLAS CAPITAL RESOURCES II (A4-F2) LP	FMV	130,710.	130,710.
ATLAS CAPITAL RESOURCES II (A5) LP	FMV	168,082.	168,082.
ATLAS CAPITAL RESOURCES II (A5-CAYMAN) LP	FMV	117,653.	117,653.
ATLAS CAPITAL RESOURCES II LP	FMV	-28,305.	-28,305.
ATLAS CAPITAL RESOURCES II (A1-CAYMAN) LP	FMV	350,760.	350,760.
AVENUE EUROPE SPECIAL SITUATIONS II	FMV	727,999.	727,999.
AXIOM ASIA III	FMV	2,968,210.	2,968,210.

BOYU CAPITAL FUND II, LP	FMV	1,385,754.	1,385,754.
CC PF AIV, LP	FMV	160,643.	160,643.
CCP III AIV I, LP	FMV	62,164.	62,164.
CCP III AIV II HOLDINGS LP	FMV	34,575.	34,575.
CENTERBRIDGE CAPITAL PARTNERS III (CAYMAN) LP	FMV	44,317.	44,317.
CENTERBRIDGE CAPITAL PARTNERS III (PEI) LP	FMV	88,843.	88,843.
CENTERBRIDGE CAPITAL PARTNERS III, LP	FMV	290,538.	290,538.
CHARLESBANK EQUITY FUND VIII LP	FMV	873,144.	873,144.
CRESSEY & CRESSEY CO FUND V LP	FMV	439,451.	439,451.
CCSL AIV, LP	FMV	159,949.	159,949.
CS STRATEGIC PARTNERS IV	FMV	603,189.	603,189.
CSFB STRAT PARTNERS V	FMV	944,489.	944,489.
CSFB STRATEGIC PARTNERS III RE, LP	FMV	375,839.	375,839.
DATA CENTER	FMV	200,691.	200,691.
DCPF OIL & GAS	FMV	1,812,069.	1,812,069.
DELOS FOS, LP	FMV	166,386.	166,386.
DELOS INVESTMENT FUND LP	FMV	252,091.	252,091.
DELOS MBHE BELLWETHER, LP	FMV	223,361.	223,361.
DELOS MBHE, LP	FMV	224,879.	224,879.
DELOSFCA, LLC	FMV	169,117.	169,117.
DELOS CR I, LLC	FMV	108.	108.
DELOS CR II, LLC	FMV	10,164.	10,164.
DELOS FRAC, LLC	FMV	19.	19.
DELOS PATRIOT, LLC	FMV	12,059.	12,059.
ELLIOTT INTERNATIONAL LIMITED	FMV	10,235,864.	10,235,864.
EUROPEAN STRATEGIC PARTNERS 2008 'B'	FMV	2,209,213.	2,209,213.
FORMATION8	FMV	3,123,285.	3,123,285.
FTV IV	FMV	2,730,966.	2,730,966.
INVESTMENT OVERHEAD	FMV	217,379.	217,379.
KAYNE ANDERSON MEZZANINE PARTNERS	FMV	478,834.	478,834.
LITTLEJOHN FUND V, LP	FMV	856,859.	856,859.
MISSION BAY CAPITAL II, LP	FMV	331,106.	331,106.
NEWBURY EQUITY PARTNERS II, LP	FMV	1,222,709.	1,222,709.
NORTH HAVEN EXPANSION CAPITAL CO-INVESTMENT	FMV	2,239,834.	2,239,834.
NORTH HAVEN EXPANSION CAPITAL OFFSHORE FEEDER	FMV	2,108,404.	2,108,404.
OAKTREE POWER OPPORTUNITIES FUND III	FMV	1,576,235.	1,576,235.
OTHER PROGRAM RELATED INVESTMENTS	FMV	19,845,228.	0.
PARALLAX CAPITAL FUND, LP	FMV	513,775.	513,775.
PATRIA-BRAZILIAN PRIVATE EQUITY FUND V AIV 1, LP	FMV	952,699.	952,699.
PATRIA-BRAZILIAN PRIVATE EQUITY FUND V AIV 2, LP	FMV	952,699.	952,699.
PATRIA-BRAZILIAN PRIVATE EQUITY FUND V, LP	FMV	-1,714,861.	-1,714,861.
PAUL CAPITAL ROYALTY ACQUISITION FUND, LP	FMV	10,178.	10,178.
PRESENT VALUE ADJUSTMENT (PRI)	FMV	-1,841,421.	0.

RCP II BRAZIL HOLDINGS AIV LP	FMV	31,438.	31,438.
REACH CAPITAL, LP	FMV	1,714,469.	1,714,469.
RIVERWOOD CAPITAL PARTNERS	FMV	816,456.	816,456.
RIVERWOOD CAPITAL PARTNERS II LP	FMV	632,540.	632,540.
RIVERWOOD TUNNEL	FMV	52,181.	52,181.
RW INDUSTRIOUS AIV LP	FMV	30,273.	30,273.
RW VACASA AIV, LP	FMV	59,952.	59,952.
SANKATY	FMV	947,695.	947,695.
SEI VI HMX AIV	FMV	2,791.	2,791.
SEI VI TPT AIV, LP	FMV	301,000.	301,000.
SILVER LAKE PARTNERS IV	FMV	1,197,074.	1,197,074.
SILVER LAKE PARTNERS IV CAYMAN LP	FMV	107,824.	107,824.
SLP IV RV FEEDER I, LP	FMV	38,373.	38,373.
SLP IV CASTLE FEEDER I, LP	FMV	63,715.	63,715.
SLP IV BASQUIAT FEEDER, LP	FMV	59,765.	59,765.
SLP IV RV FOI FEEDER I, LP	FMV	38,676.	38,676.
SLP IV WEST FEEDER I, LP	FMV	144,295.	144,295.
SPECTRUM EQUITY INVESTORS VI	FMV	1,973,187.	1,973,187.
STONEPOINT	FMV	1,940,008.	1,940,008.
UNIVERSITY TECHNOLOGY VENTURES, LP	FMV	250,243.	250,243.
WEBSTER CAPITAL III	FMV	827,946.	827,946.
TOTAL TO FORM 990-PF, PART II, LINE 13		74,876,016.	56,872,209.

FORM 990-PF	OTHER ASSETS	STATEMENT 17
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
PROPERTY HELD FOR SALE	60,147,898.	0.	0.
TO FORM 990-PF, PART II, LINE 15	60,147,898.	0.	0.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 18
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
FLEXIBLE SPENDING ACCOUNT	4,858.	7,172.
ACCRUED VACATION	196,113.	343,690.
SALES/EXCISE TAX	144,000.	144,000.
SECURITY DEPOSIT	112,951.	110,704.
PREPAID RENT	32,124.	36,892.
FUTURE IN-KIND RENT OBLIGATIONS	4,253,485.	5,124,419.
DEFERRED REVENUE	1,010,400.	870,600.
INTERCO REIMBURSEABLE SALARIES	259,383.	0.
401K	19,293.	0.
TOTAL TO FORM 990-PF, PART II, LINE 22	6,032,607.	6,637,477.

FORM 990-PF	LIST OF SUBSTANTIAL CONTRIBUTORS	STATEMENT	19
	PART VII-A, LINE 10		

NAME OF CONTRIBUTOR	ADDRESS
JOHN A. SOBRATO	10600 NORTH DE ANZA BLVD, STE 200 CUPERTINO, CA 95014
JOHN M. SOBRATO	10600 NORTH DE ANZA BLVD, STE 200 CUPERTINO, CA 95014
SHERI J. SOBRATO	10600 NORTH DE ANZA BLVD, STE 200 CUPERTINO, CA 95014
LISA S. SONSINI	10600 NORTH DE ANZA BLVD, STE 200 CUPERTINO, CA 95014

FORM 990-PF	EXPLANATION CONCERNING PART VII-A, LINE 12	STATEMENT	20
	QUALIFYING DISTRIBUTION STATEMENT		

EXPLANATION

\$4.5M AND \$750K IN QUALIFYING DISTRIBUTIONS WERE MADE TO JOHN A. SOBRATO'S DONOR ADVISED FUND IN THE SILICON VALLEY COMMUNITY FOUNDATION, AND \$10M IN QUALIFYING DISTRIBUTIONS WERE MADE TO SHERI SOBRATO'S DONOR ADVISED FUND IN THE SILICON VALLEY COMMUNITY FOUNDATION. THE FUNDS WILL BE USED AT THE COMMUNITY FOUNDATION'S DISCRETION TO FURTHER THE FOUNDATION'S EDUCATIONAL MISSION.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 21
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JOHN A. SOBRATO 10600 N. DE ANZA BLVD, #200 CUPERTINO, CA 95014	BOARD CHAIRMAN 1.00	0.	0.	0.
LISA SONSINI 10600 N. DE ANZA BLVD, #200 CUPERTINO, CA 95014	BOARD PRESIDENT 5.00	0.	0.	0.
JOHN M. SOBRATO 10600 N. DE ANZA BLVD, #200 CUPERTINO, CA 95014	CFO 1.00	0.	0.	0.
MATT SONSINI 10600 N. DE ANZA BLVD, #200 CUPERTINO, CA 95014	SECRETARY 1.00	0.	0.	0.
SHERI SOBRATO 10600 N. DE ANZA BLVD, #200 CUPERTINO, CA 95014	DIRECTOR 1.00	0.	0.	0.
SUE SOBRATO 10600 N. DE ANZA BLVD, #200 CUPERTINO, CA 95014	DIRECTOR 1.00	0.	0.	0.
RICK WILLIAMS 10600 N. DE ANZA BLVD, #200 CUPERTINO, CA 95014	CEO 50.00	422,457.	47,807.	0.
GREG AVIS 200 MIDDLEFIELD ROAD, SUITE 200 MENLO PARK, CA 94025	DIRECTOR 1.00	0.	0.	0.
BJ CASSIN FOUR MAIN ST. SUITE 250 LOS ALTOS, CA 94022	DIRECTOR 1.00	0.	0.	0.
CAROL LARSON 343 SECOND ST. LOS ALTOS, CA 94022	DIRECTOR 1.00	0.	0.	0.
BRYAN POLSTER 1801 PAGE MILL ROAD PALO ALTO, CA 94304	DIRECTOR 1.00	0.	0.	0.

JEFF SOBRATO
10600 N. DE ANZA BLVD, #200
CUPERTINO, CA 95014

DIRECTOR
1.00

0. 0. 0.

JOHN MATTHEW
879 N. 5TH STREET
SAN JOSE, CA 95112

DIRECTOR
1.00

0. 0. 0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

422,457. 47,807. 0.

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 22

ACTIVITY ONE

THE SOBRATO EARLY ACADEMIC LANGUAGE MODEL (SEAL) PROVIDES PROFESSIONAL DEVELOPMENT AND SUPPORT FOR TEACHERS AND EDUCATIONAL LEADERS SEEKING TO HELP ENGLISH LANGUAGE LEARNING STUDENTS IN PRE-K TO 3RD GRADE ATTAIN AGE-APPROPRIATE LITERACY AND GRADE-LEVEL MASTERY OF ACADEMIC MATERIAL, IN ALIGNMENT WITH 21ST CENTURY SKILLS AND THE COMMON CORE STANDARDS.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 1

5,954,181.

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 23

ACTIVITY TWO

THE FOUNDATION PROVIDES DIRECT AND IN-KIND SUPPORT FOR THE SOBRATO CENTERS FOR NONPROFITS, PROVIDING OVER THREE HUNDRED THOUSAND SQUARE FEET OF OFFICE AND MEETING SPACE FOR NONPROFIT ORGANIZATIONS.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 2

1,830,325.

FORM 990-PF	SUMMARY OF DIRECT CHARITABLE ACTIVITIES	STATEMENT	24
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ACTIVITY THREE

THE FOUNDATION ENGAGES IN A NUMBER OF GRANTMAKING INITIATIVES, AIMED AT EXPANDING ACCESS TO HIGH QUALITY SCHOOLS AND TEACHERS (21ST CENTURY EDUCATION), INCREASING PIPELINES TO MIDDLE-SKILLS JOBS FOR INDIVIDUALS WITHOUT A COLLEGE DEGREE (PATHWAYS FOR SUCCESS), AND INCREASING THE EFFECTIVENESS, SUSTAINABILITY, AND COMMUNITY IMPACT OF OUR NONPROFIT PARTNERS (THRIVING NONPROFIT SECTOR AND GENERAL OPERATING SUPPORT).

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 3

3,255,494.

FORM 990-PF	SUMMARY OF PROGRAM-RELATED INVESTMENTS	STATEMENT	25
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DESCRIPTION

THE FOUNDATION MAKES PROGRAM RELATED INVESTMENTS (PRIS) TO ORGANIZATIONS AND PROJECTS THAT FURTHER ITS MISSION AND IMPACT IN THE COMMUNITY. THE FOUNDATION'S PRIS EXPAND THE AMOUNT OF CHARITABLE ACTIVITIES THE FOUNDATION CAN SUPPORT. CURRENTLY, THE FOUNDATION'S PRI PROGRAM IS FOCUSED ON ENCOURAGING THE DEVELOPMENT OF PERMANENT SUPPORTIVE HOUSING, THE EXPANSION OF CHARTER SCHOOLS INTO UNDERSERVED COMMUNITIES, THE DEVELOPMENT OF EDUCATION TECHNOLOGY, AND OTHER CAPITAL PROJECTS ALIGNED WITH ITS EDUCATION AND ECONOMIC OPPORTUNITY MISSION THROUGH THE PROVISION OF LOW-INTEREST LOANS OR EQUITY INVESTMENTS.

AMOUNT

TO FORM 990-PF, PART IX-B, LINE 1

7,400,000.

FORM 990-PF	ELECTION UNDER REGULATIONS SECTION	STATEMENT	26
	53.4942(A)-3(D)(2) TO TREAT		
	EXCESS QUALIFYING DISTRIBUTIONS		
	AS DISTRIBUTIONS OUT OF CORPUS		

SEE ATTACHED STATEMENT A REGARDING 2016 CONDUIT PRIVATE FOUNDATION
ELECTIONS MADE ON THE FOUNDATION'S 2016 FILED 990-PF.

STATEMENT 27

JOHN A. SOBRATO
LISA SONSINI
MATT SONSINI
SHERI SOBRATO
GREG AVIS

FORM 990-PF	GRANT APPLICATION SUBMISSION INFORMATION	STATEMENT	28
	PART XV, LINES 2A THROUGH 2D		

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

2A. APPLICATIONS ARE SENT OUT DIRECTLY BY THE FOUNDATION STAFF
10600 NORTH DE ANZA BOULEVARD, SUITE 200
CUPERTINO, CA 95014

TELEPHONE NUMBER

(408) 446-0700

FORM AND CONTENT OF APPLICATIONS

2B. TO APPLY FOR FOUNDATION FUNDING A NONPROFIT SHOULD VISIT
WWW.SOBRATO.ORG. A FORM IS AVAILABLE ONLINE TO FILL OUT TO THEN RECEIVE
INFORMATION FROM THE FOUNDATION REGARDING FUNDING OPPORTUNITIES.

ANY SUBMISSION DEADLINES

2C. SEE 2B ABOVE

RESTRICTIONS AND LIMITATIONS ON AWARDS

2D. THE SOBRATO FAMILY FOUNDATION'S INVESTMENTS ARE TARGETED TOWARD STRONG
COMMUNITY BASED ORGANIZATIONS THAT PROMOTE SELF RELIANCE AND ECONOMIC
INDEPENDENCE, AND POSITIVELY CONTRIBUTE TO THE QUALITY OF LIFE FOR
ECONOMICALLY, PHYSICALLY AND EMOTIONALLY CHALLENGED INDIVIDUALS THROUGHOUT
SILICON VALLEY.

2017 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No.	Description	Date Acquired	Method	Life	C o n v	Line No.	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
1	ORGANIZATIONAL FEES	01/01/94	248	60M	HY	43	665.				665.	665.		0.	665.
154	PERMIAN BUILDERS INC	10/27/15	NC	.000	HY		190,708.				190,708.			0.	
155	PERMIAN BUILDERS INC	11/30/15	NC	.000	HY		26,395.				26,395.			0.	
156	ARC TEC INC	06/10/15	NC	.000	HY		1,640.				1,640.			0.	
157	ARC TEC INC	07/08/15	NC	.000	HY		920.				920.			0.	
158	ARC TEC INC	07/08/15	NC	.000	HY		193.				193.			0.	
159	ARC TEC INC	08/12/15	NC	.000	HY		4,350.				4,350.			0.	
160	AMERICAN REPROGRAPHICS COMPANY LLC	08/10/15	NC	.000	HY		45.				45.			0.	
161	ARC TEC INC	09/09/15	NC	.000	HY		270.				270.			0.	
162	ARC TEC INC	10/14/15	NC	.000	HY		3,180.				3,180.			0.	
163	MAGNUM DRYWALL INC	11/30/15	NC	.000	HY		4,647.				4,647.			0.	
182	CAPITALIZED COSTS - PROPERTY HELD FOR SALE	12/31/16	NC	.000	HY		147,898.				147,898.			0.	
112	TWIN DOLPHIN LAND	01/08/13	L				5,554,701.				5,554,701.			0.	
	* 990-PF PG 1 TOTAL - SHELL						5,935,612.				5,935,612.	665.		0.	665.
	COMPUTERS														
5	COMPUTER SOFTWARE	08/15/01	198	36M	HY	43	14,522.				14,522.	14,522.		0.	14,522.
47	COMPUTER - RP #W80081597XJ	04/15/10	200DB	5.00	HY	17	1,779.				1,779.	1,777.		0.	1,777.
48	COMPUTER - MF #W80122B77XJ	04/15/10	200DB	5.00	HY	17	1,779.				1,779.	1,777.		0.	1,777.

728111 04-01-17

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

2017 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No.	Description	Date Acquired	Method	Life	C o n v	Line No.	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
49	COMPUTER - MC #C02GQ1WJDV7P	12/01/11	200DB	5.00	HY	17	2,699.				2,699.	2,699.		0.	2,699.
50	COMPUTER - MARA LOW #C02H702GDV7P	03/02/12	200DB	5.00	HY	17	2,402.				2,402.	2,352.		50.	2,402.
51	IMAC 21.5" D25HM0A7DHJT - LS	07/01/12	200DB	5.00	HY	17	3,727.				3,727.	3,727.		0.	3,727.
52	MACBOOK AIR FOR LSS	07/01/12	200DB	5.00	HY	17	2,029.				2,029.	1,971.		58.	2,029.
53	MBP 15.4 C02HX2Y1F1G4-R R. VIAJAR	07/01/12	200DB	5.00	HY	17	2,725.				2,725.	2,647.		78.	2,725.
87	IMAC D25K604JDNMM LS	07/01/13	200DB	5.00	HY	17	1,632.				1,632.	1,491.		94.	1,585.
99	IMAC C02KT056F1G3 - VR	07/01/13	200DB	5.00	HY	17	2,279.				2,279.	2,082.		131.	2,213.
100	IMAC C02KT057F1G3 - SD	07/01/13	200DB	5.00	HY	17	2,279.				2,279.	2,082.		131.	2,213.
101	IMAC C02KT05CF1G3 RICK WILLIAMS	07/01/13	200DB	5.00	HY	17	2,279.				2,279.	2,082.		131.	2,213.
102	MBP 15.4 C02L62LAF1G3 KENJI TREANOR	07/01/13	200DB	5.00	HY	17	2,279.				2,279.	2,082.		131.	2,213.
103	MBP 15.4 C02LJ0RLF1G3 MARA LOW	07/01/13	200DB	5.00	HY	17	2,632.				2,632.	2,405.		151.	2,556.
104	MBP 15.5 C02LJ0RK1G3 TAMARA RADCLIFFE	07/01/13	200DB	5.00	HY	17	2,632.				2,632.	2,405.		151.	2,556.
118	COMPUTER - MBP 15.4 C02MK25YFD58 PERRY	07/01/14	200DB	5.00	HY	17	2,127.			1,064.	1,063.	757.		122.	879.
119	COMPUTER - MBP 15.4 C02MQ0C7FD58 SREEHARSHA	07/01/14	200DB	5.00	HY	17	2,127.			1,064.	1,063.	757.		122.	879.
120	COMPUTER - MBP 15.4 C02MV1X8FD58 D LOPEZ	07/01/14	200DB	5.00	HY	17	2,127.			1,064.	1,063.	757.		122.	879.
121	COMPUTER - MBP 15.4 C02N6MPWG3QC	07/01/14	200DB	5.00	HY	17	2,323.			1,162.	1,161.	827.		134.	961.
122	COMPUTER - MBP 15.4 C02N6MQDG3QC	07/01/14	200DB	5.00	HY	17	2,323.			1,162.	1,161.	827.		134.	961.
123	COMPUTER - MBP 15.4 C02N6MQVG3QC	07/01/14	200DB	5.00	HY	17	2,323.			1,162.	1,161.	827.		134.	961.

728111 04-01-17

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

2017 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No.	Description	Date Acquired	Method	Life	C o n v	Line No.	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
124	COMPUTER - MBP 15.4 C02N769SG3QC	07/01/14	200DB	5.00	HY	17	2,323.			1,162.	1,161.	827.		134.	961.
136	MBP 15.4 SN#C02PL5FDG8WN	06/16/15	200DB	5.00	HY	17	2,093.				2,093.	1,089.		402.	1,491.
137	MBP 15.4 SN#C02PL8XPG8WN	06/16/15	200DB	5.00	HY	17	2,093.				2,093.	1,089.		402.	1,491.
138	MBP 15.4 SN#C02PL9FPG8WN	06/16/15	200DB	5.00	HY	17	2,093.				2,093.	1,089.		402.	1,491.
139	MBP 15.4 SN#C02PLAYEG8WN	06/16/15	200DB	5.00	HY	17	2,093.				2,093.	1,089.		402.	1,491.
140	MBP 15.4 SN#C02PLDNAG8WN	06/16/15	200DB	5.00	HY	17	2,093.				2,093.	1,089.		402.	1,491.
141	MBP 15.4 SN#C02PLDRCG8WN	06/16/15	200DB	5.00	HY	17	2,093.				2,093.	1,089.		402.	1,491.
142	MBP 15.4 SN#C02PLDU9G8WN	06/16/15	200DB	5.00	HY	17	2,093.				2,093.	1,089.		402.	1,491.
143	MBP 15.4 SN#C02PLJ3NG8WN	06/16/15	200DB	5.00	HY	17	2,093.				2,093.	1,089.		402.	1,491.
144	MBP 15.4 SN#C02PLJ59G8WN	06/16/15	200DB	5.00	HY	17	2,093.				2,093.	1,089.		402.	1,491.
145	MBP 15.4 SN#C02PLJBVG8WN	06/16/15	200DB	5.00	HY	17	2,093.				2,093.	1,089.		402.	1,491.
146	MBP 15.4 SN#C02PLJBVG8WN	06/16/15	200DB	5.00	HY	17	2,093.				2,093.	1,089.		402.	1,491.
147	MBP 15.4 SN#C02PLJBVG8WN	06/16/15	200DB	5.00	HY	17	2,093.				2,093.	1,089.		402.	1,491.
148	MBP 15.4 SN#C02PLJBVG8WN	06/16/15	200DB	5.00	HY	17	2,093.				2,093.	1,089.		402.	1,491.
149	MBP 15.4 SN#C02Q923TG8WL	08/31/15	200DB	5.00	HY	17	2,054.				2,054.	1,068.		394.	1,462.
	* 990-PF PG 1 TOTAL - COMPUTERS						92,610.			7,840.	84,770.	66,905.		7,628.	74,533.
	EQUIPMENT														
105	BIKE RACKS	07/01/13	200DB	5.00	HY	17	2,234.				2,234.	2,041.		129.	2,170.

728111 04-01-17

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

2017 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No.	Description	Date Acquired	Method	Life	C o n v	Line No.	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
106	BIKE RACKS	07/01/13	200DB	5.00		HY17	1,146.				1,146.	1,047.		66.	1,113.
107	2 CHAIN LINK GATES	07/01/13	200DB	5.00		HY17	3,130.				3,130.	2,859.		181.	3,040.
132	LANIER MPC5503 COPIER	07/01/14	200DB	5.00		HY17	10,657.				10,657.	7,587.		1,228.	8,815.
164	NEW PROJECTOR SYSTEM	01/01/16	200DB	5.00		MQ17	2,684.				2,684.	939.		698.	1,637.
165	NEW PROJECTOR SYSTEM	01/01/16	200DB	5.00		MQ17	1,645.				1,645.	576.		428.	1,004.
166	TELEPHONE SYSTEM INSTALL	09/30/16	200DB	5.00		MQ17	8,483.				8,483.	1,272.		2,884.	4,156.
167	TELEPHONE SYSTEM INSTALL	09/30/16	200DB	5.00		MQ17	5,199.				5,199.	780.		1,768.	2,548.
168	HVAC REPLACEMENT	11/01/16	200DB	5.00		MQ17	69,791.				69,791.	3,490.		26,520.	30,010.
169	HVAC REPLACEMENT	11/01/16	200DB	5.00		MQ17	113,869.				113,869.	5,693.		43,270.	48,963.
193	HVAC REPLACEMENT	12/31/17	200DB	5.00		MQ19B	140,835.			140,835.				140,835.	
194	REPLACE HEATER	12/31/17	200DB	5.00		MQ19B	35,000.			35,000.				35,000.	
195	VALVE	12/31/17	200DB	5.00		MQ19B	56,840.			56,840.				56,840.	
	* 990-PF PG 1 TOTAL - EQUIPMENT						451,513.			232,675.	218,838.	26,284.		309,847.	103,456.
	FURNITURE														
45	CONFERENCE TABLE	07/01/09	200DB	7.00		HY17	5,211.				5,211.	5,211.		0.	5,211.
133	FURNITURE-AUDIO	07/01/14	200DB	7.00		HY17	994.			497.	497.	280.		62.	342.
134	FURNITURE-AUDIO	07/01/14	200DB	7.00		HY17	1,621.			811.	810.	456.		101.	557.
150	GARBAGE CAN INSTALLS	05/01/15	200DB	5.00		HY17	2,590.				2,590.	1,347.		497.	1,844.

728111 04-01-17

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

2017 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No.	Description	Date Acquired	Method	Life	C o n v	Line No.	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
151	GARBAGE CAN INSTALLS	05/01/15	200DB	5.00	HY	17	4,226.				4,226.	2,197.		812.	3,009.
	* 990-PF PG 1 TOTAL - FURNITURE						14,642.			1,308.	13,334.	9,491.		1,472.	10,963.
	INTERIOR														
108	TWIN DOLPHIN CAFE - 39 YR PROPERTY	07/01/13	SL	39.00	MM	16	25,726.				25,726.	2,310.		660.	2,970.
109	TWIN DOLPHIN CAFE - 5 YR PROPERTY	07/01/13	200DB	5.00	HY	17	165,112.				165,112.	150,846.		9,511.	160,357.
170	INTERIOR RENOVATIONS - 350TI 2016	03/07/16	150DB	15.00	MQ	17	23,380.				23,380.	2,046.		2,133.	4,179.
171	INTERIOR RENOVATIONS - 350TI	12/31/15	150DB	15.00	HY	17	217,103.				217,103.	21,710.		19,539.	41,249.
	* 990-PF PG 1 TOTAL - INTERIOR						431,321.				431,321.	176,912.		31,843.	208,755.
	SHELL														
110	BUILDING PURCHASE	01/08/13	SL	39.00	MM	16	6,332,359.				6,332,359.	649,472.		162,368.	811,840.
111	BUILDING PURCHASE	01/08/13	SL	39.00	MM	16	10331743.				10331743.	1,059,664.		264,916.	1,324,580.
135	ROOF REPLACEMENT	10/16/14	SL	39.00	MM	16	195,469.				195,469.	11,068.		5,012.	16,080.
152	HVAC REPLACEMENT	02/01/15	SL	39.00	MM	16	221,310.				221,310.	10,877.		5,675.	16,552.
153	HVAC REPLACEMENT	12/04/15	SL	39.00	MM	16	186,000.				186,000.	5,166.		4,769.	9,935.
196	NEW SIDEWALK REPLACEMENT	06/28/17	150DB	15.00	MQ	19E	43,919.			21,960.	21,959.			23,332.	1,372.
197	NEW SIDEWALK REPLACEMENT	06/28/17	150DB	15.00	MQ	19E	26,918.			13,459.	13,459.			14,300.	841.
	* 990-PF PG 1 TOTAL - SHELL						17337718.			35,419.	17302299.	1,736,247.		480,372.	2,181,200.
	* GRAND TOTAL 990-PF PG 1 DEPR & AMORT						24263416.			277,242.	23986174.	2,016,504.		831,162.	2,579,572.

728111 04-01-17

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

2017 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

[illegible]

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a	3,471.	
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	3,471.

Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.

8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Name of shareholder	Identifying number (see instructions)
THE SOBRATO FAMILY FOUNDATION	77-0348912
Number, street, and room or suite no. (If a P.O. box, see instructions.)	Shareholder tax year: calendar year 2017 or other tax year beginning
10600 NORTH DE ANZA BLVD, NO. 200	and ending
City or town, state, and ZIP code or country	
CUPERTINO, CA 95014	
Check type of shareholder filing the return: <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> S Corporation <input type="checkbox"/> Nongrantor Trust <input type="checkbox"/> Estate	
Check if any Excepted Specified Foreign Financial Assets are Reported on this Form (see instructions)	<input type="checkbox"/>
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF)	Employer identification number (if any)
ANGEL RESERVE GMBH EUROPEAN STRATEGIC PARTNERS 2008 'B', LP	
Address (Enter number, street, city or town, and country.)	Reference ID number (see instructions)
MAINZER LANDSTR. 46	ARG12312014
60325 FRANKFURT, GERMANY	Tax year of PFIC or QEF: calendar year 2017 or other tax year beginning and ending

Part I

Summary of Annual Information (See instructions.)

Provide the following information with respect to all shares of the PFIC held by the shareholder:

1

Description of each class of shares held by the shareholder: N/A

☐ Check if shares jointly owned with spouse.

2

Date shares acquired during the taxable year, if applicable:

3

Number of shares held at the end of the taxable year:

4

Value of shares held at the end of the taxable year (check the appropriate box, if applicable):

(a) ☒ \$0-50,000

(b) ☐ \$50,001-100,000

(c) ☐ \$100,001-150,000

(d) ☐ \$150,001-200,000

(e) If more than \$200,000, list value:

5

Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, or inclusion or deduction under section 1296:

(a) ☐ Section 1291 \$

(b) ☒ Section 1293 (Qualified Electing Fund) \$

(c) ☐ Section 1296 (Mark to Market) \$

Part II	Elections (See instructions.)	SEE STATEMENT 36
A	<input checked="" type="checkbox"/> Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. Complete lines 6a through 7c of Part III.	
B	<input type="checkbox"/> Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to calculate the tax that may be deferred. Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you maynot make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.	
C	<input type="checkbox"/> Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). Complete Part IV.	
D	<input type="checkbox"/> Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. Enter gain or loss on line 15f of Part V.	
E	<input type="checkbox"/> Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V.	
F	<input type="checkbox"/> Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). Enter gain on line 15f of Part V.	
G	<input type="checkbox"/> Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of a section 1297(e) PFIC, within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.	
H	<input type="checkbox"/> Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a), elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.	

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Name of shareholder		Identifying number (see instructions)	
THE SOBRATO FAMILY FOUNDATION		77-0348912	
Number, street, and room or suite no. (If a P.O. box, see instructions.)		Shareholder tax year: calendar year 2017 or other tax year beginning	
10600 NORTH DE ANZA BLVD, NO. 200		and ending	
City or town, state, and ZIP code or country			
CUPERTINO, CA 95014			
Check type of shareholder filing the return: <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> S Corporation <input type="checkbox"/> Nongrantor Trust <input type="checkbox"/> Estate			
Check if any Excepted Specified Foreign Financial Assets are Reported on this Form (see instructions) <input type="checkbox"/>			
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF)		Employer identification number (if any)	
ANGEL VERWALTUNGS GMBH EUROPEAN STRATEGIC PARTNERS 2008 'B', LP			
Address (Enter number, street, city or town, and country.)		Reference ID number (see instructions)	
MAINZER LANDSTR. 46 60325 FRANKFURT, GERMANY		AVG12312014	
		Tax year of PFIC or QEF: calendar year 2017 or other tax year beginning and ending	

Part I

Summary of Annual Information (See instructions.)

Provide the following information with respect to all shares of the PFIC held by the shareholder:

1

Description of each class of shares held by the shareholder: N/A

☐ Check if shares jointly owned with spouse.

2

Date shares acquired during the taxable year, if applicable:

3

Number of shares held at the end of the taxable year:

4

Value of shares held at the end of the taxable year (check the appropriate box, if applicable):

(a)

☒ \$0-50,000

(b)

☐ \$50,001-100,000

(c)

☐ \$100,001-150,000

(d)

☐ \$150,001-200,000

(e) If more than \$200,000, list value:

5

Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, or inclusion or deduction under section 1296:

(a)

☐ Section 1291 \$

(b)

☒ Section 1293 (Qualified Electing Fund) \$

(c)

☐ Section 1296 (Mark to Market) \$

Part II

Elections (See instructions.)

SEE STATEMENT 37

A

☒

Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. Complete lines 6a through 7c of Part III.

B

☐

Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to calculate the tax that may be deferred. Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you maynot make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.

C

☐

Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). Complete Part IV.

D

☐

Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. Enter gain or loss on line 15f of Part V.

E

☐

Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V.

F

☐

Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). Enter gain on line 15f of Part V.

G

☐

Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of a section 1297(e) PFIC, within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.

H

☐

Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a), elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11		10c
11	Enter any unreversed inclusions (as defined in section 1296(d))		11
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return		12
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14		13c
14a	Enter any unreversed inclusions (as defined in section 1296(d))		14a
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c		14b
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations		14c

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a	414 .		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b			
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income	6c		414 .	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a			
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b			
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)	7c			
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.					
8 a	Add lines 6c and 7c	8a			
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b			
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c			
d	Add lines 8b and 8c	8d			
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)	8e			
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.					
9 a	Enter the total tax for the tax year (See instructions.)	9a			
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b			
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B	9c			

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a	6,298.		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b			
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income	6c			6,298.
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a	51,807.		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b			
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)	7c			51,807.

Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.

8 a	Add lines 6c and 7c	8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b	
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c	
d	Add lines 8b and 8c	8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)	8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.			
9 a	Enter the total tax for the tax year (See instructions.)	9a	
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b	
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B	9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a	4,434.		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b			
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income	6c		4,434.	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a	4,067.		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b			
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)	7c		4,067.	

Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.

8 a	Add lines 6c and 7c	8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b	
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c	
d	Add lines 8b and 8c	8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)	8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.			
9 a	Enter the total tax for the tax year (See instructions.)	9a	
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b	
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B	9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
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Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Name of shareholder		Identifying number (see instructions)	
THE SOBRATO FAMILY FOUNDATION		77-0348912	
Number, street, and room or suite no. (If a P.O. box, see instructions.)		Shareholder tax year: calendar year 2017 or other tax year beginning	
10600 NORTH DE ANZA BLVD, NO. 200		and ending	
City or town, state, and ZIP code or country			
CUPERTINO, CA 95014			
Check type of shareholder filing the return: <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> S Corporation <input type="checkbox"/> Nongrantor Trust <input type="checkbox"/> Estate			
Check if any Excepted Specified Foreign Financial Assets are Reported on this Form (see instructions) <input type="checkbox"/>			
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF)		Employer identification number (if any)	
GABRIEL INVESTMENT S.A.R.L.			
EUROPEAN STRATEGIC PARTNERS 2008 'B', LP			
Address (Enter number, street, city or town, and country.)		Reference ID number (see instructions)	
20, AVENUE MONTERY		GISARL12312014	
L-2163 LUXEMBOURG		Tax year of PFIC or QEF: calendar year 2017 or other tax year beginning and ending	

Part I

Summary of Annual Information (See instructions.)

Provide the following information with respect to all shares of the PFIC held by the shareholder:

1

Description of each class of shares held by the shareholder: N/A

☐ Check if shares jointly owned with spouse.

2

Date shares acquired during the taxable year, if applicable:

3

Number of shares held at the end of the taxable year:

4

Value of shares held at the end of the taxable year (check the appropriate box, if applicable):

(a)

☒ \$0-50,000

(b)

☐ \$50,001-100,000

(c)

☐ \$100,001-150,000

(d)

☐ \$150,001-200,000

(e) If more than \$200,000, list value:

5

Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, or inclusion or deduction under section 1296:

(a)

☐ Section 1291 \$

(b)

☒ Section 1293 (Qualified Electing Fund) \$

(c)

☐ Section 1296 (Mark to Market) \$

Part II	Elections (See instructions.)	SEE STATEMENT 42
A	<input checked="" type="checkbox"/> Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. Complete lines 6a through 7c of Part III.	
B	<input type="checkbox"/> Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to calculate the tax that may be deferred. Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you maynot make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.	
C	<input type="checkbox"/> Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). Complete Part IV.	
D	<input type="checkbox"/> Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. Enter gain or loss on line 15f of Part V.	
E	<input type="checkbox"/> Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V.	
F	<input type="checkbox"/> Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). Enter gain on line 15f of Part V.	
G	<input type="checkbox"/> Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of a section 1297(e) PFIC, within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.	
H	<input type="checkbox"/> Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a), elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.	

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11		10c
11	Enter any unreversed inclusions (as defined in section 1296(d))		11
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return		12
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14		13c
14a	Enter any unreversed inclusions (as defined in section 1296(d))		14a
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c		14b
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations		14c

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
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c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

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15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
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20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
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6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
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Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
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Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
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Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
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12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
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b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
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e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
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6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
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c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
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a	Enter the fair market value of the stock on the date of sale or disposition	13a	
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Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.							
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding election						
18	Undistributed earnings to which the election relates						
19	Deferred tax						
20	Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.							
21	Event terminating election						
22	Earnings distributed or deemed distributed during the tax year						
23	Deferred tax due with this return						
24	Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.							
25	Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26	Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a	9,907.		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b			
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income	6c			9,907.
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a	35,774.		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b			
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)	7c			35,774.

Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.

8 a	Add lines 6c and 7c	8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b	
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c	
d	Add lines 8b and 8c	8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)	8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.			
9 a	Enter the total tax for the tax year (See instructions.)	9a	
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b	
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B	9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Name of shareholder	Identifying number (see instructions)
THE SOBRATO FAMILY FOUNDATION	77-0348912
Number, street, and room or suite no. (If a P.O. box, see instructions.)	Shareholder tax year: calendar year 2017 or other tax year beginning
10600 NORTH DE ANZA BLVD, NO. 200	and ending
City or town, state, and ZIP code or country	
CUPERTINO, CA 95014	
Check type of shareholder filing the return: <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> S Corporation <input type="checkbox"/> Nongrantor Trust <input type="checkbox"/> Estate	
Check if any Excepted Specified Foreign Financial Assets are Reported on this Form (see instructions)	<input type="checkbox"/>
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF)	Employer identification number (if any)
NORDIC CAPITAL VIII ALPHA, L.P.	
EUROPEAN STRATEGIC PARTNERS 2008 'B', LP	98-1072824
Address (Enter number, street, city or town, and country.)	Reference ID number (see instructions)
	NCVIIIALP12312014
26 ESPLANADE	Tax year of PFIC or QEF: calendar year 2017 or other
ST. HELIER, JERSEY JE2 3QA	tax year beginning , and
	ending , .

Part I

Summary of Annual Information (See instructions.)

Provide the following information with respect to all shares of the PFIC held by the shareholder:

1

Description of each class of shares held by the shareholder: N/A

☐ Check if shares jointly owned with spouse.

2

Date shares acquired during the taxable year, if applicable:

3

Number of shares held at the end of the taxable year:

4

Value of shares held at the end of the taxable year (check the appropriate box, if applicable):

(a)

☒ \$0-50,000

(b)

☐ \$50,001-100,000

(c)

☐ \$100,001-150,000

(d)

☐ \$150,001-200,000

(e) If more than \$200,000, list value:

5

Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, or inclusion or deduction under section 1296:

(a)

☐ Section 1291 \$

(b)

☒ Section 1293 (Qualified Electing Fund) \$ 29,782.

(c)

☐ Section 1296 (Mark to Market) \$

Part II	Elections (See instructions.)	SEE STATEMENT 48
A	<input checked="" type="checkbox"/> Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. Complete lines 6a through 7c of Part III.	
B	<input type="checkbox"/> Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to calculate the tax that may be deferred. Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you maynot make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.	
C	<input type="checkbox"/> Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). Complete Part IV.	
D	<input type="checkbox"/> Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. Enter gain or loss on line 15f of Part V.	
E	<input type="checkbox"/> Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V.	
F	<input type="checkbox"/> Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). Enter gain on line 15f of Part V.	
G	<input type="checkbox"/> Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of a section 1297(e) PFIC, within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.	
H	<input type="checkbox"/> Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a), elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.	

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a	5,389.		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b			
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income	6c		5,389.	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a	24,393.		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b			
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)	7c		24,393.	

Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.

8 a	Add lines 6c and 7c	8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b	
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c	
d	Add lines 8b and 8c	8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)	8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.			
9 a	Enter the total tax for the tax year (See instructions.)	9a	
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b	
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B	9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
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c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

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c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

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24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
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20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
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23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a	4,724.	
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	4,724.

Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.

8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
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Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a	11,834.		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b			
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income	6c			11,834.
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a	29,826.		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b			
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)	7c			29,826.

Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.

8 a	Add lines 6c and 7c	8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b	
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c	
d	Add lines 8b and 8c	8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)	8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.			
9 a	Enter the total tax for the tax year (See instructions.)	9a	
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b	
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B	9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Name of shareholder		Identifying number (see instructions)	
THE SOBRATO FAMILY FOUNDATION		77-0348912	
Number, street, and room or suite no. (If a P.O. box, see instructions.)		Shareholder tax year: calendar year 2017 or other tax year beginning	
10600 NORTH DE ANZA BLVD, NO. 200		and ending	
City or town, state, and ZIP code or country			
CUPERTINO, CA 95014			
Check type of shareholder filing the return: <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> S Corporation <input type="checkbox"/> Nongrantor Trust <input type="checkbox"/> Estate			
Check if any Excepted Specified Foreign Financial Assets are Reported on this Form (see instructions) <input type="checkbox"/>			
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF)		Employer identification number (if any)	
NORDIC CAPITAL VIII UF CO-INVEST ALPHA LP EUROPEAN STRATEGIC PARTNERS 2008 'B' LP		98-0624885	
Address (Enter number, street, city or town, and country.)		Reference ID number (see instructions)	
26 ESPLANADE ST. HELIER JERSEY JE2 3QA		NCVUFICIALP12312014	
		Tax year of PFIC or QEF: calendar year 2017 or other tax year beginning and ending	

Part I

Summary of Annual Information (See instructions.)

Provide the following information with respect to all shares of the PFIC held by the shareholder:

1

Description of each class of shares held by the shareholder: N/A

☐ Check if shares jointly owned with spouse.

2

Date shares acquired during the taxable year, if applicable:

3

Number of shares held at the end of the taxable year:

4

Value of shares held at the end of the taxable year (check the appropriate box, if applicable):

(a)

☒ \$0-50,000

(b)

☐ \$50,001-100,000

(c)

☐ \$100,001-150,000

(d)

☐ \$150,001-200,000

(e) If more than \$200,000, list value:

5

Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, or inclusion or deduction under section 1296:

(a)

☐ Section 1291 \$

(b)

☒ Section 1293 (Qualified Electing Fund) \$

(c)

☐ Section 1296 (Mark to Market) \$

Part II	Elections (See instructions.)	SEE STATEMENT 61
A	<input checked="" type="checkbox"/> Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. <i>Complete lines 6a through 7c of Part III.</i>	
B	<input type="checkbox"/> Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. <i>Complete lines 8a through 9c of Part III to calculate the tax that may be deferred.</i> Note: <i>If any portion of line 6a or line 7a of Part III is includible under section 951, you maynot make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.</i>	
C	<input type="checkbox"/> Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). <i>Complete Part IV.</i>	
D	<input type="checkbox"/> Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. <i>Enter gain or loss on line 15f of Part V.</i>	
E	<input type="checkbox"/> Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. <i>Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V.</i>	
F	<input type="checkbox"/> Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). <i>Enter gain on line 15f of Part V.</i>	
G	<input type="checkbox"/> Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of a section 1297(e) PFIC, within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). <i>Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.</i>	
H	<input type="checkbox"/> Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a), elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). <i>Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.</i>	

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Name of shareholder	Identifying number (see instructions)
THE SOBRATO FAMILY FOUNDATION	77-0348912
Number, street, and room or suite no. (If a P.O. box, see instructions.)	Shareholder tax year: calendar year 2017 or other tax year beginning
10600 NORTH DE ANZA BLVD, NO. 200	and ending
City or town, state, and ZIP code or country	
CUPERTINO, CA 95014	
Check type of shareholder filing the return: <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> S Corporation <input type="checkbox"/> Nongrantor Trust <input type="checkbox"/> Estate	
Check if any Excepted Specified Foreign Financial Assets are Reported on this Form (see instructions) <input type="checkbox"/>	
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF)	Employer identification number (if any)
ADVENT DAHLIA GUARANTOR SARL EUROPEAN STRATEGIC PARTNERS 2008 B LP	
Address (Enter number, street, city or town, and country.)	Reference ID number (see instructions)
2-4 RUE BEEK, L-1222 LUXEMBOURG, LUXEMBOURG	ADV123115
	Tax year of PFIC or QEF: calendar year 2017 or other tax year beginning and ending

Part I

Summary of Annual Information (See instructions.)

Provide the following information with respect to all shares of the PFIC held by the shareholder:

1

Description of each class of shares held by the shareholder: N/A

☐ Check if shares jointly owned with spouse.

2

Date shares acquired during the taxable year, if applicable:

3

Number of shares held at the end of the taxable year:

4

Value of shares held at the end of the taxable year (check the appropriate box, if applicable):

(a) ☒ \$0-50,000

(b) ☐ \$50,001-100,000

(c) ☐ \$100,001-150,000

(d) ☐ \$150,001-200,000

(e) If more than \$200,000, list value:

5

Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, or inclusion or deduction under section 1296:

(a) ☐ Section 1291 \$

(b) ☒ Section 1293 (Qualified Electing Fund) \$

(c) ☐ Section 1296 (Mark to Market) \$

Part II	Elections (See instructions.)	SEE STATEMENT 62
A	<input checked="" type="checkbox"/> Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. Complete lines 6a through 7c of Part III.	
B	<input type="checkbox"/> Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to calculate the tax that may be deferred. Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you maynot make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.	
C	<input type="checkbox"/> Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). Complete Part IV.	
D	<input type="checkbox"/> Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. Enter gain or loss on line 15f of Part V.	
E	<input type="checkbox"/> Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V.	
F	<input type="checkbox"/> Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). Enter gain on line 15f of Part V.	
G	<input type="checkbox"/> Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of a section 1297(e) PFIC, within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.	
H	<input type="checkbox"/> Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a), elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.	

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
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Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
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c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
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15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
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c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
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Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Name of shareholder THE SOBRATO FAMILY FOUNDATION	Identifying number (see instructions) 77-0348912
Number, street, and room or suite no. (If a P.O. box, see instructions.) 10600 NORTH DE ANZA BLVD, NO. 200	Shareholder tax year: calendar year 2017 or other tax year beginning and ending
City or town, state, and ZIP code or country CUPERTINO, CA 95014	
Check type of shareholder filing the return: <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> S Corporation <input type="checkbox"/> Nongrantor Trust <input type="checkbox"/> Estate	
Check if any Excepted Specified Foreign Financial Assets are Reported on this Form (see instructions) <input type="checkbox"/>	
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF) MOBILE CHALLENGER GROUP SARL EUROPEAN STRATEGIC PARTNERS 2008 B LP	Employer identification number (if any)
Address (Enter number, street, city or town, and country.) 20, AVENUE MONTEREY LUXEMBOURG, LUXEMBOURG L-2163	Reference ID number (see instructions) MCG123115
	Tax year of PFIC or QEF: calendar year 2017 or other tax year beginning , and ending , .

Part I Summary of Annual Information (See instructions.)

Provide the following information with respect to all shares of the PFIC held by the shareholder:

1 Description of each class of shares held by the shareholder: **N/A**
☐ Check if shares jointly owned with spouse.

2 Date shares acquired during the taxable year, if applicable: _____

3 Number of shares held at the end of the taxable year: _____

4 Value of shares held at the end of the taxable year (check the appropriate box, if applicable):
(a) ☒ \$0-50,000 (b) ☐ \$50,001-100,000 (c) ☐ \$100,001-150,000 (d) ☐ \$150,001-200,000
(e) If more than \$200,000, list value: _____

5 Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, or inclusion or deduction under section 1296:
(a) ☐ Section 1291 \$ _____
(b) ☒ Section 1293 (Qualified Electing Fund) \$ _____
(c) ☐ Section 1296 (Mark to Market) \$ _____

Part II Elections (See instructions.) **SEE STATEMENT 64**

A ☒ **Election To Treat the PFIC as a QEF.** I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. *Complete lines 6a through 7c of Part III.*

B ☐ **Election To Extend Time For Payment of Tax.** I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. *Complete lines 8a through 9c of Part III to calculate the tax that may be deferred.*
Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you maynot make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.

C ☐ **Election To Mark-to-Market PFIC Stock.** I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). *Complete Part IV.*

D ☐ **Deemed Sale Election.** I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. *Enter gain or loss on line 15f of Part V.*

E ☐ **Deemed Dividend Election.** I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. *Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V.*

F ☐ **Election To Recognize Gain on Deemed Sale of PFIC.** I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). *Enter gain on line 15f of Part V.*

G ☐ **Deemed Dividend Election With Respect to a Section 1297(e) PFIC.** I, a shareholder of a section 1297(e) PFIC, within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). *Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.*

H ☐ **Deemed Dividend Election With Respect to a Former PFIC.** I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a), elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). *Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.*

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Name of shareholder		Identifying number (see instructions)	
THE SOBRATO FAMILY FOUNDATION		77-0348912	
Number, street, and room or suite no. (If a P.O. box, see instructions.)		Shareholder tax year: calendar year 2017 or other tax year beginning	
10600 NORTH DE ANZA BLVD, NO. 200		and ending	
City or town, state, and ZIP code or country			
CUPERTINO, CA 95014			
Check type of shareholder filing the return: <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> S Corporation <input type="checkbox"/> Nongrantor Trust <input type="checkbox"/> Estate			
Check if any Excepted Specified Foreign Financial Assets are Reported on this Form (see instructions) <input type="checkbox"/>			
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF)		Employer identification number (if any)	
NEW DAWN MEP HOLDCO SARL			
EUROPEAN STRATEGIC PARTNERS 2008 B LP			
Address (Enter number, street, city or town, and country.)		Reference ID number (see instructions)	
20, AVENUE MONTEREY		NDMEP123115	
LUXEMBOURG, LUXEMBOURG L-2163		Tax year of PFIC or QEF: calendar year 2017 or other tax year beginning and ending	

Part I

Summary of Annual Information (See instructions.)

Provide the following information with respect to all shares of the PFIC held by the shareholder:

1

Description of each class of shares held by the shareholder: N/A

☐ Check if shares jointly owned with spouse.

2

Date shares acquired during the taxable year, if applicable:

3

Number of shares held at the end of the taxable year:

4

Value of shares held at the end of the taxable year (check the appropriate box, if applicable):

(a) ☒ \$0-50,000

(b) ☐ \$50,001-100,000

(c) ☐ \$100,001-150,000

(d) ☐ \$150,001-200,000

(e) If more than \$200,000, list value:

5

Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, or inclusion or deduction under section 1296:

(a) ☐ Section 1291 \$

(b) ☒ Section 1293 (Qualified Electing Fund) \$

(c) ☐ Section 1296 (Mark to Market) \$

Part II	Elections (See instructions.)	SEE STATEMENT 65
A	<input checked="" type="checkbox"/> Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. Complete lines 6a through 7c of Part III.	
B	<input type="checkbox"/> Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to calculate the tax that may be deferred. Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you maynot make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.	
C	<input type="checkbox"/> Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). Complete Part IV.	
D	<input type="checkbox"/> Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. Enter gain or loss on line 15f of Part V.	
E	<input type="checkbox"/> Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V.	
F	<input type="checkbox"/> Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). Enter gain on line 15f of Part V.	
G	<input type="checkbox"/> Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of a section 1297(e) PFIC, within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.	
H	<input type="checkbox"/> Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a), elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.	

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Name of shareholder		Identifying number (see instructions)	
THE SOBRATO FAMILY FOUNDATION		77-0348912	
Number, street, and room or suite no. (If a P.O. box, see instructions.)		Shareholder tax year: calendar year 2017 or other tax year beginning	
10600 NORTH DE ANZA BLVD, NO. 200		and ending	
City or town, state, and ZIP code or country			
CUPERTINO, CA 95014			
Check type of shareholder filing the return: <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> S Corporation <input type="checkbox"/> Nongrantor Trust <input type="checkbox"/> Estate			
Check if any Excepted Specified Foreign Financial Assets are Reported on this Form (see instructions) <input type="checkbox"/>			
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF)		Employer identification number (if any)	
MEILLERUTAUZ SA (5)			
EUROPEAN STRATEGIC PARTNERS 2008 B LP			
Address (Enter number, street, city or town, and country.)		Reference ID number (see instructions)	
12/29 RUE DU CAPITAINE GUYNEMER		MEILLSA123115	
PARIS, FRANCE		Tax year of PFIC or QEF: calendar year 2017 or other tax year beginning and ending	

Part I

Summary of Annual Information (See instructions.)

Provide the following information with respect to all shares of the PFIC held by the shareholder:

1

Description of each class of shares held by the shareholder: N/A

☐ Check if shares jointly owned with spouse.

2

Date shares acquired during the taxable year, if applicable:

3

Number of shares held at the end of the taxable year:

4

Value of shares held at the end of the taxable year (check the appropriate box, if applicable):

(a) ☒ \$0-50,000

(b) ☐ \$50,001-100,000

(c) ☐ \$100,001-150,000

(d) ☐ \$150,001-200,000

(e) If more than \$200,000, list value:

5

Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, or inclusion or deduction under section 1296:

(a) ☐ Section 1291 \$

(b) ☒ Section 1293 (Qualified Electing Fund) \$ 242.

(c) ☐ Section 1296 (Mark to Market) \$

Part II	Elections (See instructions.)	SEE STATEMENT 70
A	<input checked="" type="checkbox"/> Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. Complete lines 6a through 7c of Part III.	
B	<input type="checkbox"/> Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to calculate the tax that may be deferred. Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you maynot make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.	
C	<input type="checkbox"/> Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). Complete Part IV.	
D	<input type="checkbox"/> Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. Enter gain or loss on line 15f of Part V.	
E	<input type="checkbox"/> Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V.	
F	<input type="checkbox"/> Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). Enter gain on line 15f of Part V.	
G	<input type="checkbox"/> Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of a section 1297(e) PFIC, within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.	
H	<input type="checkbox"/> Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a), elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.	

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a	242.		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b			
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income	6c			242.
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a			
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b			
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)	7c			
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.					
8 a	Add lines 6c and 7c	8a			
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b			
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c			
d	Add lines 8b and 8c	8d			
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)	8e			
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.					
9 a	Enter the total tax for the tax year (See instructions.)	9a			
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b			
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B	9c			

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.							
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding election						
18	Undistributed earnings to which the election relates						
19	Deferred tax						
20	Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.							
21	Event terminating election						
22	Earnings distributed or deemed distributed during the tax year						
23	Deferred tax due with this return						
24	Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.							
25	Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26	Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Name of shareholder THE SOBRATO FAMILY FOUNDATION	Identifying number (see instructions) 77-0348912
Number, street, and room or suite no. (If a P.O. box, see instructions.) 10600 NORTH DE ANZA BLVD, NO. 200	Shareholder tax year: calendar year 2017 or other tax year beginning and ending
City or town, state, and ZIP code or country CUPERTINO, CA 95014	
Check type of shareholder filing the return: <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> S Corporation <input type="checkbox"/> Nongrantor Trust <input type="checkbox"/> Estate	
Check if any Excepted Specified Foreign Financial Assets are Reported on this Form (see instructions)	<input type="checkbox"/>
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF) EQUIMAN SAS EUROPEAN STRATEGIC PARTNERS 2008 B LP	Employer identification number (if any)
Address (Enter number, street, city or town, and country.) 12/29 RUE DU CAPITAINE GUYNEMER PARIS, FRANCE	Reference ID number (see instructions) EQUI123115
	Tax year of PFIC or QEF: calendar year 2017 or other tax year beginning , and ending , .

Part I Summary of Annual Information (See instructions.)

Provide the following information with respect to all shares of the PFIC held by the shareholder:

1 Description of each class of shares held by the shareholder: **N/A**
☐ Check if shares jointly owned with spouse.

2 Date shares acquired during the taxable year, if applicable:

3 Number of shares held at the end of the taxable year:

4 Value of shares held at the end of the taxable year (check the appropriate box, if applicable):
(a) ☒ \$0-50,000 (b) ☐ \$50,001-100,000 (c) ☐ \$100,001-150,000 (d) ☐ \$150,001-200,000
(e) If more than \$200,000, list value:

5 Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, or inclusion or deduction under section 1296:
(a) ☐ Section 1291 \$
(b) ☒ Section 1293 (Qualified Electing Fund) \$
(c) ☐ Section 1296 (Mark to Market) \$

Part II Elections (See instructions.)	SEE STATEMENT 71
A <input checked="" type="checkbox"/> Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. <i>Complete lines 6a through 7c of Part III.</i>	
B <input type="checkbox"/> Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. <i>Complete lines 8a through 9c of Part III to calculate the tax that may be deferred.</i> Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you maynot make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.	
C <input type="checkbox"/> Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). <i>Complete Part IV.</i>	
D <input type="checkbox"/> Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. <i>Enter gain or loss on line 15f of Part V.</i>	
E <input type="checkbox"/> Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. <i>Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V.</i>	
F <input type="checkbox"/> Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). <i>Enter gain on line 15f of Part V.</i>	
G <input type="checkbox"/> Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of a section 1297(e) PFIC, within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). <i>Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.</i>	
H <input type="checkbox"/> Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a), elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). <i>Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.</i>	

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Name of shareholder THE SOBRATO FAMILY FOUNDATION	Identifying number (see instructions) 77-0348912
Number, street, and room or suite no. (If a P.O. box, see instructions.) 10600 NORTH DE ANZA BLVD, NO. 200	Shareholder tax year: calendar year 2017 or other tax year beginning and ending
City or town, state, and ZIP code or country CUPERTINO, CA 95014	
Check type of shareholder filing the return: <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> S Corporation <input type="checkbox"/> Nongrantor Trust <input type="checkbox"/> Estate	
Check if any Excepted Specified Foreign Financial Assets are Reported on this Form (see instructions) <input type="checkbox"/>	
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF) HAYFIN CAPITAL HOLDINGS LIMITED EUROPEAN STRATEGIC PARTNERS 2008 B LP	Employer identification number (if any)
Address (Enter number, street, city or town, and country.)	Reference ID number (see instructions) HAYFIN123115
	Tax year of PFIC or QEF: calendar year 2017 or other tax year beginning , and ending , .

Part I Summary of Annual Information (See instructions.)

Provide the following information with respect to all shares of the PFIC held by the shareholder:

1 Description of each class of shares held by the shareholder: **N/A**
☐ Check if shares jointly owned with spouse.

2 Date shares acquired during the taxable year, if applicable: _____

3 Number of shares held at the end of the taxable year: _____

4 Value of shares held at the end of the taxable year (check the appropriate box, if applicable):
(a) ☒ \$0-50,000 (b) ☐ \$50,001-100,000 (c) ☐ \$100,001-150,000 (d) ☐ \$150,001-200,000
(e) If more than \$200,000, list value: _____

5 Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, or inclusion or deduction under section 1296:
(a) ☐ Section 1291 \$ _____
(b) ☒ Section 1293 (Qualified Electing Fund) \$ _____
(c) ☐ Section 1296 (Mark to Market) \$ _____

Part II Elections (See instructions.) **SEE STATEMENT 72**

A ☒ **Election To Treat the PFIC as a QEF.** I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. *Complete lines 6a through 7c of Part III.*

B ☐ **Election To Extend Time For Payment of Tax.** I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. *Complete lines 8a through 9c of Part III to calculate the tax that may be deferred.*
Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you maynot make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.

C ☐ **Election To Mark-to-Market PFIC Stock.** I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). *Complete Part IV.*

D ☐ **Deemed Sale Election.** I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. *Enter gain or loss on line 15f of Part V.*

E ☐ **Deemed Dividend Election.** I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. *Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V.*

F ☐ **Election To Recognize Gain on Deemed Sale of PFIC.** I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). *Enter gain on line 15f of Part V.*

G ☐ **Deemed Dividend Election With Respect to a Section 1297(e) PFIC.** I, a shareholder of a section 1297(e) PFIC, within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). *Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.*

H ☐ **Deemed Dividend Election With Respect to a Former PFIC.** I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a), elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). *Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.*

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Name of shareholder THE SOBRATO FAMILY FOUNDATION		Identifying number (see instructions) 77-0348912	
Number, street, and room or suite no. (If a P.O. box, see instructions.) 10600 NORTH DE ANZA BLVD, NO. 200		Shareholder tax year: calendar year 2017 or other tax year beginning and ending	
City or town, state, and ZIP code or country CUPERTINO, CA 95014			
Check type of shareholder filing the return: <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> S Corporation <input type="checkbox"/> Nongrantor Trust <input type="checkbox"/> Estate			
Check if any Excepted Specified Foreign Financial Assets are Reported on this Form (see instructions) <input type="checkbox"/>			
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF) AL TOSCANA (LUXEMBOURG) HOLDINGS SARL EUROPEAN STRATEGIC PARTNERS 2008 'B' LP		Employer identification number (if any)	
Address (Enter number, street, city or town, and country.)		Reference ID number (see instructions) ALTOSCANA2016	
		Tax year of PFIC or QEF: calendar year 2017 or other tax year beginning and ending	

Part I Summary of Annual Information (See instructions.)

Provide the following information with respect to all shares of the PFIC held by the shareholder:

1 Description of each class of shares held by the shareholder: **N/A**
☐ Check if shares jointly owned with spouse.

2 Date shares acquired during the taxable year, if applicable:

3 Number of shares held at the end of the taxable year:

4 Value of shares held at the end of the taxable year (check the appropriate box, if applicable):
(a) ☒ \$0-50,000 (b) ☐ \$50,001-100,000 (c) ☐ \$100,001-150,000 (d) ☐ \$150,001-200,000
(e) If more than \$200,000, list value:

5 Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, or inclusion or deduction under section 1296:
(a) ☐ Section 1291 \$
(b) ☒ Section 1293 (Qualified Electing Fund) \$
(c) ☐ Section 1296 (Mark to Market) \$

Part II Elections (See instructions.) **SEE STATEMENT 73**

A ☒ **Election To Treat the PFIC as a QEF.** I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. *Complete lines 6a through 7c of Part III.*

B ☐ **Election To Extend Time For Payment of Tax.** I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. *Complete lines 8a through 9c of Part III to calculate the tax that may be deferred.*
Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you maynot make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.

C ☐ **Election To Mark-to-Market PFIC Stock.** I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). *Complete Part IV.*

D ☐ **Deemed Sale Election.** I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. *Enter gain or loss on line 15f of Part V.*

E ☐ **Deemed Dividend Election.** I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. *Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V.*

F ☐ **Election To Recognize Gain on Deemed Sale of PFIC.** I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). *Enter gain on line 15f of Part V.*

G ☐ **Deemed Dividend Election With Respect to a Section 1297(e) PFIC.** I, a shareholder of a section 1297(e) PFIC, within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). *Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.*

H ☐ **Deemed Dividend Election With Respect to a Former PFIC.** I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a), elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). *Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.*

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Name of shareholder		Identifying number (see instructions)	
THE SOBRATO FAMILY FOUNDATION		77-0348912	
Number, street, and room or suite no. (If a P.O. box, see instructions.)		Shareholder tax year: calendar year 2017 or other tax year beginning	
10600 NORTH DE ANZA BLVD, NO. 200		and ending	
City or town, state, and ZIP code or country			
CUPERTINO, CA 95014			
Check type of shareholder filing the return: <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> S Corporation <input type="checkbox"/> Nongrantor Trust <input type="checkbox"/> Estate			
Check if any Excepted Specified Foreign Financial Assets are Reported on this Form (see instructions) <input type="checkbox"/>			
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF)		Employer identification number (if any)	
CENTIPEDE ACQUICO SARL			
EUROPEAN STRATEGIC PARTNERS 2008 'B' LP			
Address (Enter number, street, city or town, and country.)		Reference ID number (see instructions)	
		CENTIPEDE2016	
		Tax year of PFIC or QEF: calendar year 2017 or other tax year beginning and ending	

Part I

Summary of Annual Information (See instructions.)

Provide the following information with respect to all shares of the PFIC held by the shareholder:

1

Description of each class of shares held by the shareholder: N/A

☐ Check if shares jointly owned with spouse.

2

Date shares acquired during the taxable year, if applicable:

3

Number of shares held at the end of the taxable year:

4

Value of shares held at the end of the taxable year (check the appropriate box, if applicable):

(a)

☒ \$0-50,000

(b)

☐ \$50,001-100,000

(c)

☐ \$100,001-150,000

(d)

☐ \$150,001-200,000

(e) If more than \$200,000, list value:

5

Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, or inclusion or deduction under section 1296:

(a)

☐ Section 1291 \$

(b)

☒ Section 1293 (Qualified Electing Fund) \$

(c)

☐ Section 1296 (Mark to Market) \$

Part II

Elections (See instructions.)

SEE STATEMENT 74

A

☒

Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. Complete lines 6a through 7c of Part III.

B

☐

Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to calculate the tax that may be deferred. Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you maynot make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.

C

☐

Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). Complete Part IV.

D

☐

Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. Enter gain or loss on line 15f of Part V.

E

☐

Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V.

F

☐

Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). Enter gain on line 15f of Part V.

G

☐

Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of a section 1297(e) PFIC, within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.

H

☐

Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a), elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Name of shareholder		Identifying number (see instructions)	
THE SOBRATO FAMILY FOUNDATION		77-0348912	
Number, street, and room or suite no. (If a P.O. box, see instructions.)		Shareholder tax year: calendar year 2017 or other tax year beginning	
10600 NORTH DE ANZA BLVD, NO. 200		and ending	
City or town, state, and ZIP code or country			
CUPERTINO, CA 95014			
Check type of shareholder filing the return: <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> S Corporation <input type="checkbox"/> Nongrantor Trust <input type="checkbox"/> Estate			
Check if any Excepted Specified Foreign Financial Assets are Reported on this Form (see instructions) <input type="checkbox"/>			
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF)		Employer identification number (if any)	
CENTIPEDE DEBTO SARL			
EUROPEAN STRATEGIC PARTNERS 2008 'B' LP			
Address (Enter number, street, city or town, and country.)		Reference ID number (see instructions)	
		CENTIPEDEDEBTO2016	
		Tax year of PFIC or QEF: calendar year 2017 or other tax year beginning and ending	

Part I

Summary of Annual Information (See instructions.)

Provide the following information with respect to all shares of the PFIC held by the shareholder:

1

Description of each class of shares held by the shareholder: N/A

☐ Check if shares jointly owned with spouse.

2

Date shares acquired during the taxable year, if applicable:

3

Number of shares held at the end of the taxable year:

4

Value of shares held at the end of the taxable year (check the appropriate box, if applicable):

(a) ☒ \$0-50,000

(b) ☐ \$50,001-100,000

(c) ☐ \$100,001-150,000

(d) ☐ \$150,001-200,000

(e) If more than \$200,000, list value:

5

Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, or inclusion or deduction under section 1296:

(a) ☐ Section 1291 \$

(b) ☒ Section 1293 (Qualified Electing Fund) \$

(c) ☐ Section 1296 (Mark to Market) \$

Part II

Elections (See instructions.)

SEE STATEMENT 75

A

☒ Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. Complete lines 6a through 7c of Part III.

B

☐ Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to calculate the tax that may be deferred. Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you maynot make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.

C

☐ Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). Complete Part IV.

D

☐ Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. Enter gain or loss on line 15f of Part V.

E

☐ Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V.

F

☐ Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). Enter gain on line 15f of Part V.

G

☐ Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of a section 1297(e) PFIC, within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.

H

☐ Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a), elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Name of shareholder		Identifying number (see instructions)	
THE SOBRATO FAMILY FOUNDATION		77-0348912	
Number, street, and room or suite no. (If a P.O. box, see instructions.)		Shareholder tax year: calendar year 2017 or other tax year beginning	
10600 NORTH DE ANZA BLVD, NO. 200		and ending	
City or town, state, and ZIP code or country			
CUPERTINO, CA 95014			
Check type of shareholder filing the return: <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> S Corporation <input type="checkbox"/> Nongrantor Trust <input type="checkbox"/> Estate			
Check if any Excepted Specified Foreign Financial Assets are Reported on this Form (see instructions) <input type="checkbox"/>			
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF)		Employer identification number (if any)	
NOMAD ACQUICO SARL			
EUROPEAN STRATEGIC PARTNERS 2008 'B' LP			
Address (Enter number, street, city or town, and country.)		Reference ID number (see instructions)	
		NOMADACQUICO2016	
		Tax year of PFIC or QEF: calendar year 2017 or other tax year beginning and ending	

Part I

Summary of Annual Information (See instructions.)

Provide the following information with respect to all shares of the PFIC held by the shareholder:

1

Description of each class of shares held by the shareholder: N/A

☐ Check if shares jointly owned with spouse.

2

Date shares acquired during the taxable year, if applicable:

3

Number of shares held at the end of the taxable year:

4

Value of shares held at the end of the taxable year (check the appropriate box, if applicable):

(a)

☒ \$0-50,000

(b)

☐ \$50,001-100,000

(c)

☐ \$100,001-150,000

(d)

☐ \$150,001-200,000

(e) If more than \$200,000, list value:

5

Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, or inclusion or deduction under section 1296:

(a)

☐ Section 1291 \$

(b)

☒ Section 1293 (Qualified Electing Fund) \$

(c)

☐ Section 1296 (Mark to Market) \$

Part II

Elections (See instructions.)

SEE STATEMENT 76

A

☒

Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. Complete lines 6a through 7c of Part III.

B

☐

Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to calculate the tax that may be deferred. Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you maynot make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.

C

☐

Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). Complete Part IV.

D

☐

Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. Enter gain or loss on line 15f of Part V.

E

☐

Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V.

F

☐

Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). Enter gain on line 15f of Part V.

G

☐

Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of a section 1297(e) PFIC, within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.

H

☐

Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a), elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Name of shareholder		Identifying number (see instructions)	
THE SOBRATO FAMILY FOUNDATION		77-0348912	
Number, street, and room or suite no. (If a P.O. box, see instructions.)		Shareholder tax year: calendar year 2017 or other tax year beginning	
10600 NORTH DE ANZA BLVD, NO. 200		and ending	
City or town, state, and ZIP code or country			
CUPERTINO, CA 95014			
Check type of shareholder filing the return: <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> S Corporation <input type="checkbox"/> Nongrantor Trust <input type="checkbox"/> Estate			
Check if any Excepted Specified Foreign Financial Assets are Reported on this Form (see instructions) <input type="checkbox"/>			
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF)		Employer identification number (if any)	
NOMAD MIDCO SARL			
EUROPEAN STRATEGIC PARTNERS 2008 'B' LP			
Address (Enter number, street, city or town, and country.)		Reference ID number (see instructions)	
		NOMADMIDCO2016	
		Tax year of PFIC or QEF: calendar year 2017 or other	
		tax year beginning , and	
		ending , .	

Part I

Summary of Annual Information (See instructions.)

Provide the following information with respect to all shares of the PFIC held by the shareholder:

1

Description of each class of shares held by the shareholder: N/A

☐ Check if shares jointly owned with spouse.

2

Date shares acquired during the taxable year, if applicable:

3

Number of shares held at the end of the taxable year:

4

Value of shares held at the end of the taxable year (check the appropriate box, if applicable):

(a) ☒ \$0-50,000

(b) ☐ \$50,001-100,000

(c) ☐ \$100,001-150,000

(d) ☐ \$150,001-200,000

(e) If more than \$200,000, list value:

5

Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, or inclusion or deduction under section 1296:

(a) ☐ Section 1291 \$

(b) ☒ Section 1293 (Qualified Electing Fund) \$

(c) ☐ Section 1296 (Mark to Market) \$

Part II	Elections (See instructions.)	SEE STATEMENT 78
A	<input checked="" type="checkbox"/> Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. Complete lines 6a through 7c of Part III.	
B	<input type="checkbox"/> Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to calculate the tax that may be deferred. Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you maynot make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.	
C	<input type="checkbox"/> Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). Complete Part IV.	
D	<input type="checkbox"/> Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. Enter gain or loss on line 15f of Part V.	
E	<input type="checkbox"/> Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V.	
F	<input type="checkbox"/> Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). Enter gain on line 15f of Part V.	
G	<input type="checkbox"/> Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of a section 1297(e) PFIC, within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.	
H	<input type="checkbox"/> Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a), elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.	

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Name of shareholder	Identifying number (see instructions)
THE SOBRATO FAMILY FOUNDATION	77-0348912
Number, street, and room or suite no. (If a P.O. box, see instructions.)	Shareholder tax year: calendar year 2017 or other tax year beginning
10600 NORTH DE ANZA BLVD, NO. 200	and ending
City or town, state, and ZIP code or country	
CUPERTINO, CA 95014	
Check type of shareholder filing the return: <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> S Corporation <input type="checkbox"/> Nongrantor Trust <input type="checkbox"/> Estate	
Check if any Excepted Specified Foreign Financial Assets are Reported on this Form (see instructions)	<input type="checkbox"/>
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF)	Employer identification number (if any)
CHARTERHOUSE CAPITAL PARTNERS IX EUROPEAN STRATEGIC PARTNERS 2008 'B' LP	
Address (Enter number, street, city or town, and country.)	Reference ID number (see instructions)
	CHARTERHOUSE2016
	Tax year of PFIC or QEF: calendar year 2017 or other tax year beginning and ending

Part I

Summary of Annual Information (See instructions.)

Provide the following information with respect to all shares of the PFIC held by the shareholder:

1

Description of each class of shares held by the shareholder: N/A

☐ Check if shares jointly owned with spouse.

2

Date shares acquired during the taxable year, if applicable:

3

Number of shares held at the end of the taxable year:

4

Value of shares held at the end of the taxable year (check the appropriate box, if applicable):

(a)

☒ \$0-50,000

(b)

☐ \$50,001-100,000

(c)

☐ \$100,001-150,000

(d)

☐ \$150,001-200,000

(e) If more than \$200,000, list value:

5

Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, or inclusion or deduction under section 1296:

(a)

☐ Section 1291 \$

(b)

☒ Section 1293 (Qualified Electing Fund) \$ 5,546.

(c)

☐ Section 1296 (Mark to Market) \$

Part II

Elections (See instructions.)

SEE STATEMENT 79

A

☒ Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. Complete lines 6a through 7c of Part III.

B

☐ Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to calculate the tax that may be deferred. Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you maynot make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.

C

☐ Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). Complete Part IV.

D

☐ Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. Enter gain or loss on line 15f of Part V.

E

☐ Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V.

F

☐ Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). Enter gain on line 15f of Part V.

G

☐ Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of a section 1297(e) PFIC, within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.

H

☐ Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a), elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.

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04-01-17 LHA For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see separate instructions.

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a	1,589.		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b			
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income	6c			1,589.
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a	3,957.		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b			
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)	7c			3,957.

Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.

8 a	Add lines 6c and 7c	8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b	
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c	
d	Add lines 8b and 8c	8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)	8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.			
9 a	Enter the total tax for the tax year (See instructions.)	9a	
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b	
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B	9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.							
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding election						
18	Undistributed earnings to which the election relates						
19	Deferred tax						
20	Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.							
21	Event terminating election						
22	Earnings distributed or deemed distributed during the tax year						
23	Deferred tax due with this return						
24	Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.							
25	Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26	Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Name of shareholder	Identifying number (see instructions)
THE SOBRATO FAMILY FOUNDATION	77-0348912
Number, street, and room or suite no. (If a P.O. box, see instructions.)	Shareholder tax year: calendar year 2017 or other tax year beginning
10600 NORTH DE ANZA BLVD, NO. 200	and ending
City or town, state, and ZIP code or country	
CUPERTINO, CA 95014	
Check type of shareholder filing the return: <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> S Corporation <input type="checkbox"/> Nongrantor Trust <input type="checkbox"/> Estate	
Check if any Excepted Specified Foreign Financial Assets are Reported on this Form (see instructions) <input type="checkbox"/>	
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF)	Employer identification number (if any)
RICE PROPERTY SARL	
Address (Enter number, street, city or town, and country.)	Reference ID number (see instructions)
2C, RUE ALBERT BORSCHETTE, BLDG K2-D1	RICE2016
LUXEMBOURG, LUXEMBOURG 1246	Tax year of PFIC or QEF: calendar year 2017 or other tax year beginning and ending

Part I

Summary of Annual Information (See instructions.)

Provide the following information with respect to all shares of the PFIC held by the shareholder:

1

Description of each class of shares held by the shareholder: N/A

☐ Check if shares jointly owned with spouse.

2

Date shares acquired during the taxable year, if applicable:

3

Number of shares held at the end of the taxable year:

4

Value of shares held at the end of the taxable year (check the appropriate box, if applicable):

(a) ☒ \$0-50,000

(b) ☐ \$50,001-100,000

(c) ☐ \$100,001-150,000

(d) ☐ \$150,001-200,000

(e) If more than \$200,000, list value:

5

Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, or inclusion or deduction under section 1296:

(a) ☐ Section 1291 \$

(b) ☒ Section 1293 (Qualified Electing Fund) \$

(c) ☐ Section 1296 (Mark to Market) \$

Part II

Elections (See instructions.)

SEE STATEMENT 80

A

☒ Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. Complete lines 6a through 7c of Part III.

B

☐ Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to calculate the tax that may be deferred. Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you maynot make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.

C

☐ Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). Complete Part IV.

D

☐ Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. Enter gain or loss on line 15f of Part V.

E

☐ Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V.

F

☐ Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). Enter gain on line 15f of Part V.

G

☐ Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of a section 1297(e) PFIC, within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.

H

☐ Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a), elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
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c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
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Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
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10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
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c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
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b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.							
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding election						
18	Undistributed earnings to which the election relates						
19	Deferred tax						
20	Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.							
21	Event terminating election						
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Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.							
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Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c		
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Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
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Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
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Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
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e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

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Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.							
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b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
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Note: See instructions in case of multiple sales or dispositions.

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15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
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e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Name of shareholder	Identifying number (see instructions)
THE SOBRATO FAMILY FOUNDATION	77-0348912
Number, street, and room or suite no. (If a P.O. box, see instructions.)	Shareholder tax year: calendar year 2017 or other tax year beginning
10600 NORTH DE ANZA BLVD, NO. 200	and ending
City or town, state, and ZIP code or country	
CUPERTINO, CA 95014	
Check type of shareholder filing the return: <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> S Corporation <input type="checkbox"/> Nongrantor Trust <input type="checkbox"/> Estate	
Check if any Excepted Specified Foreign Financial Assets are Reported on this Form (see instructions) <input type="checkbox"/>	
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF)	Employer identification number (if any)
TRITON IV LUXCO NO. 27 SARL	
Address (Enter number, street, city or town, and country.)	Reference ID number (see instructions)
2C, RUE ALBERT BORSCHETTE, BLDG K2-D1	TRITON272016
LUXEMBOURG, LUXEMBOURG 1246	Tax year of PFIC or QEF: calendar year 2017 or other tax year beginning and ending

Part I

Summary of Annual Information (See instructions.)

Provide the following information with respect to all shares of the PFIC held by the shareholder:

1

Description of each class of shares held by the shareholder: N/A

☐ Check if shares jointly owned with spouse.

2

Date shares acquired during the taxable year, if applicable:

3

Number of shares held at the end of the taxable year:

4

Value of shares held at the end of the taxable year (check the appropriate box, if applicable):

(a) ☒ \$0-50,000

(b) ☐ \$50,001-100,000

(c) ☐ \$100,001-150,000

(d) ☐ \$150,001-200,000

(e) If more than \$200,000, list value:

5

Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, or inclusion or deduction under section 1296:

(a) ☐ Section 1291 \$

(b) ☒ Section 1293 (Qualified Electing Fund) \$

(c) ☐ Section 1296 (Mark to Market) \$

Part II

Elections (See instructions.)

SEE STATEMENT 90

A

☒ Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. Complete lines 6a through 7c of Part III.

B

☐ Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to calculate the tax that may be deferred. Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you maynot make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.

C

☐ Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). Complete Part IV.

D

☐ Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. Enter gain or loss on line 15f of Part V.

E

☐ Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V.

F

☐ Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). Enter gain on line 15f of Part V.

G

☐ Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of a section 1297(e) PFIC, within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.

H

☐ Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a), elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Name of shareholder		Identifying number (see instructions)	
THE SOBRATO FAMILY FOUNDATION		77-0348912	
Number, street, and room or suite no. (If a P.O. box, see instructions.)		Shareholder tax year: calendar year 2017 or other tax year beginning	
10600 NORTH DE ANZA BLVD, NO. 200		and ending	
City or town, state, and ZIP code or country			
CUPERTINO, CA 95014			
Check type of shareholder filing the return: <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> S Corporation <input type="checkbox"/> Nongrantor Trust <input type="checkbox"/> Estate			
Check if any Excepted Specified Foreign Financial Assets are Reported on this Form (see instructions) <input type="checkbox"/>			
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF)		Employer identification number (if any)	
TRITON IV LUXCO NO. 28 SARL			
Address (Enter number, street, city or town, and country.)		Reference ID number (see instructions)	
2C, RUE ALBERT BORSCHETTE, BLDG K2-D1		TRITON282016	
LUXEMBOURG, LUXEMBOURG 1246		Tax year of PFIC or QEF: calendar year 2017 or other tax year beginning , and ending , .	

Part I

Summary of Annual Information (See instructions.)

Provide the following information with respect to all shares of the PFIC held by the shareholder:

1

Description of each class of shares held by the shareholder: N/A

☐ Check if shares jointly owned with spouse.

2

Date shares acquired during the taxable year, if applicable:

3

Number of shares held at the end of the taxable year:

4

Value of shares held at the end of the taxable year (check the appropriate box, if applicable):

(a) ☒ \$0-50,000

(b) ☐ \$50,001-100,000

(c) ☐ \$100,001-150,000

(d) ☐ \$150,001-200,000

(e) If more than \$200,000, list value:

5

Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, or inclusion or deduction under section 1296:

(a) ☐ Section 1291 \$

(b) ☒ Section 1293 (Qualified Electing Fund) \$

(c) ☐ Section 1296 (Mark to Market) \$

Part II

Elections (See instructions.)

SEE STATEMENT 91

A

☒ Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. Complete lines 6a through 7c of Part III.

B

☐ Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to calculate the tax that may be deferred. Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you maynot make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.

C

☐ Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). Complete Part IV.

D

☐ Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. Enter gain or loss on line 15f of Part V.

E

☐ Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V.

F

☐ Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). Enter gain on line 15f of Part V.

G

☐ Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of a section 1297(e) PFIC, within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.

H

☐ Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a), elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.							
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding election						
18	Undistributed earnings to which the election relates						
19	Deferred tax						
20	Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.							
21	Event terminating election						
22	Earnings distributed or deemed distributed during the tax year						
23	Deferred tax due with this return						
24	Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.							
25	Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26	Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
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f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
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Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
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c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11		10c
11	Enter any unreversed inclusions (as defined in section 1296(d))		11
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return		12
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14		13c
14a	Enter any unreversed inclusions (as defined in section 1296(d))		14a
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c		14b
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations		14c

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Name of shareholder	Identifying number (see instructions)
THE SOBRATO FAMILY FOUNDATION	77-0348912
Number, street, and room or suite no. (If a P.O. box, see instructions.)	Shareholder tax year: calendar year 2017 or other tax year beginning
10600 NORTH DE ANZA BLVD, NO. 200	and ending
City or town, state, and ZIP code or country	
CUPERTINO, CA 95014	
Check type of shareholder filing the return: <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> S Corporation <input type="checkbox"/> Nongrantor Trust <input type="checkbox"/> Estate	
Check if any Excepted Specified Foreign Financial Assets are Reported on this Form (see instructions)	<input type="checkbox"/>
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF)	Employer identification number (if any)
GABRIEL ACQUISITIONS SARL (11)	
Address (Enter number, street, city or town, and country.)	Reference ID number (see instructions)
20, AVENUE MONTEREY	GABRIELACQUISITIONS2017
LUXEMBOURG L-2163	Tax year of PFIC or QEF: calendar year 2017 or other tax year beginning and ending

Part I

Summary of Annual Information (See instructions.)

Provide the following information with respect to all shares of the PFIC held by the shareholder:

1

Description of each class of shares held by the shareholder: N/A

☐ Check if shares jointly owned with spouse.

2

Date shares acquired during the taxable year, if applicable:

3

Number of shares held at the end of the taxable year:

4

Value of shares held at the end of the taxable year (check the appropriate box, if applicable):

(a) ☒ \$0-50,000

(b) ☐ \$50,001-100,000

(c) ☐ \$100,001-150,000

(d) ☐ \$150,001-200,000

(e) If more than \$200,000, list value:

5

Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, or inclusion or deduction under section 1296:

(a) ☐ Section 1291 \$

(b) ☒ Section 1293 (Qualified Electing Fund) \$

(c) ☐ Section 1296 (Mark to Market) \$

Part II

Elections (See instructions.)

SEE STATEMENT 97

A ☒

Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. Complete lines 6a through 7c of Part III.

B ☐

Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to calculate the tax that may be deferred. Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you maynot make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.

C ☐

Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). Complete Part IV.

D ☐

Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. Enter gain or loss on line 15f of Part V.

E ☐

Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V.

F ☐

Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). Enter gain on line 15f of Part V.

G ☐

Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of a section 1297(e) PFIC, within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.

H ☐

Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a), elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.

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04-01-17 LHA For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see separate instructions.

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Name of shareholder	Identifying number (see instructions)
THE SOBRATO FAMILY FOUNDATION	77-0348912
Number, street, and room or suite no. (If a P.O. box, see instructions.)	Shareholder tax year: calendar year 2017 or other tax year beginning
10600 NORTH DE ANZA BLVD, NO. 200	and ending
City or town, state, and ZIP code or country	
CUPERTINO, CA 95014	
Check type of shareholder filing the return: <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> S Corporation <input type="checkbox"/> Nongrantor Trust <input type="checkbox"/> Estate	
Check if any Excepted Specified Foreign Financial Assets are Reported on this Form (see instructions)	<input type="checkbox"/>
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF)	Employer identification number (if any)
ANGEL RESERVE SARL	
Address (Enter number, street, city or town, and country.)	Reference ID number (see instructions)
20 AVENUE MONTEREY	ANGELRESERVE2017
LUXEMBOURG L-2163	Tax year of PFIC or QEF: calendar year 2017 or other tax year beginning and ending

Part I

Summary of Annual Information (See instructions.)

Provide the following information with respect to all shares of the PFIC held by the shareholder:

1

Description of each class of shares held by the shareholder: N/A

☐ Check if shares jointly owned with spouse.

2

Date shares acquired during the taxable year, if applicable:

3

Number of shares held at the end of the taxable year:

4

Value of shares held at the end of the taxable year (check the appropriate box, if applicable):

(a)

☒ \$0-50,000

(b)

☐ \$50,001-100,000

(c)

☐ \$100,001-150,000

(d)

☐ \$150,001-200,000

(e)

If more than \$200,000, list value:

5

Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, or inclusion or deduction under section 1296:

(a)

☐ Section 1291 \$

(b)

☒ Section 1293 (Qualified Electing Fund) \$

(c)

☐ Section 1296 (Mark to Market) \$

Part II

Elections (See instructions.)

SEE STATEMENT 98

A

☒

Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. Complete lines 6a through 7c of Part III.

B

☐

Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to calculate the tax that may be deferred. Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you maynot make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.

C

☐

Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). Complete Part IV.

D

☐

Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. Enter gain or loss on line 15f of Part V.

E

☐

Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V.

F

☐

Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). Enter gain on line 15f of Part V.

G

☐

Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of a section 1297(e) PFIC, within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.

H

☐

Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a), elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
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b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.							
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding election						
18	Undistributed earnings to which the election relates						
19	Deferred tax						
20	Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.							
21	Event terminating election						
22	Earnings distributed or deemed distributed during the tax year						
23	Deferred tax due with this return						
24	Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.							
25	Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26	Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Name of shareholder	Identifying number (see instructions)
THE SOBRATO FAMILY FOUNDATION	77-0348912
Number, street, and room or suite no. (If a P.O. box, see instructions.)	Shareholder tax year: calendar year 2017 or other tax year beginning
10600 NORTH DE ANZA BLVD, NO. 200	and ending
City or town, state, and ZIP code or country	
CUPERTINO, CA 95014	
Check type of shareholder filing the return: <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> S Corporation <input type="checkbox"/> Nongrantor Trust <input type="checkbox"/> Estate	
Check if any Excepted Specified Foreign Financial Assets are Reported on this Form (see instructions)	<input type="checkbox"/>
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF)	Employer identification number (if any)
CIDRON HUMBER LIMITED (3)	
Address (Enter number, street, city or town, and country.)	Reference ID number (see instructions)
26 ESPLANADE	CIDRON2017
ST HELIER, JERSEY JE2 3QA	Tax year of PFIC or QEF: calendar year or other tax year beginning JUL 6, 2017 and ending DEC 31, 2017.

Part I

Summary of Annual Information (See instructions.)

Provide the following information with respect to all shares of the PFIC held by the shareholder:

1

Description of each class of shares held by the shareholder: N/A

☐ Check if shares jointly owned with spouse.

2

Date shares acquired during the taxable year, if applicable:

3

Number of shares held at the end of the taxable year:

4

Value of shares held at the end of the taxable year (check the appropriate box, if applicable):

(a) ☒ \$0-50,000

(b) ☐ \$50,001-100,000

(c) ☐ \$100,001-150,000

(d) ☐ \$150,001-200,000

(e) If more than \$200,000, list value:

5

Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, or inclusion or deduction under section 1296:

(a) ☐ Section 1291 \$

(b) ☒ Section 1293 (Qualified Electing Fund) \$

(c) ☐ Section 1296 (Mark to Market) \$

Part II

Elections (See instructions.)

SEE STATEMENT 101

A

☒ Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. Complete lines 6a through 7c of Part III.

B

☐ Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to calculate the tax that may be deferred. Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you maynot make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.

C

☐ Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). Complete Part IV.

D

☐ Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. Enter gain or loss on line 15f of Part V.

E

☐ Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V.

F

☐ Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). Enter gain on line 15f of Part V.

G

☐ Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of a section 1297(e) PFIC, within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.

H

☐ Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a), elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.

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04-01-17 LHA For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see separate instructions.

Form 8621 (Rev. 12-2016)

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6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
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10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
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13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
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c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
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Note: See instructions in case of multiple sales or dispositions.

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c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11		10c
11	Enter any unreversed inclusions (as defined in section 1296(d))		11
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return		12
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14		13c
14a	Enter any unreversed inclusions (as defined in section 1296(d))		14a
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c		14b
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations		14c

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
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Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
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Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
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b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a	1,706.	
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	1,706.

Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.

8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
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Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
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b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

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Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
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Form 8621 (Rev. 12-2016)

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6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
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b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
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12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
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f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
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c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

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Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
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Form 8621 (Rev. 12-2016)

Name of shareholder	Identifying number (see instructions)
THE SOBRATO FAMILY FOUNDATION	77-0348912
Number, street, and room or suite no. (If a P.O. box, see instructions.)	Shareholder tax year: calendar year 2017 or other tax year beginning
10600 NORTH DE ANZA BLVD, NO. 200	and ending
City or town, state, and ZIP code or country	
CUPERTINO, CA 95014	
Check type of shareholder filing the return: <input type="checkbox"/> Individual <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> S Corporation <input type="checkbox"/> Nongrantor Trust <input type="checkbox"/> Estate	
Check if any Excepted Specified Foreign Financial Assets are Reported on this Form (see instructions) <input type="checkbox"/>	
Name of passive foreign investment company (PFIC) or qualified electing fund (QEF)	Employer identification number (if any)
ARON BIDCO GMBH	
Address (Enter number, street, city or town, and country.)	Reference ID number (see instructions)
SCHILLERSTRASSE 20	ARONBIDCO2017
FRANKFURT, GERMANY 60313	Tax year of PFIC or QEF: calendar year 2017 or other tax year beginning , and ending , .

Part I

Summary of Annual Information (See instructions.)

Provide the following information with respect to all shares of the PFIC held by the shareholder:

1

Description of each class of shares held by the shareholder: N/A

☐ Check if shares jointly owned with spouse.

2

Date shares acquired during the taxable year, if applicable:

3

Number of shares held at the end of the taxable year:

4

Value of shares held at the end of the taxable year (check the appropriate box, if applicable):

(a) ☒ \$0-50,000

(b) ☐ \$50,001-100,000

(c) ☐ \$100,001-150,000

(d) ☐ \$150,001-200,000

(e) If more than \$200,000, list value:

5

Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, or inclusion or deduction under section 1296:

(a) ☐ Section 1291 \$

(b) ☒ Section 1293 (Qualified Electing Fund) \$

(c) ☐ Section 1296 (Mark to Market) \$

Part II

Elections (See instructions.)

SEE STATEMENT 110

A

☒ Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. Complete lines 6a through 7c of Part III.

B

☐ Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to calculate the tax that may be deferred. Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you maynot make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.

C

☐ Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). Complete Part IV.

D

☐ Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. Enter gain or loss on line 15f of Part V.

E

☐ Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V.

F

☐ Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). Enter gain on line 15f of Part V.

G

☐ Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of a section 1297(e) PFIC, within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.

H

☐ Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a), elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
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c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
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c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
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Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
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c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
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Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
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Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
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13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
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Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
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c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
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c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
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Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
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b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
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c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
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13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
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Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
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Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year ...	8c		
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e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
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Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

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b	Enter your adjusted basis in the stock at the end of the tax year	10b	
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11	Enter any unreversed inclusions (as defined in section 1296(d))		11
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return		12
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
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Note: See instructions in case of multiple sales or dispositions.

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15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
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Form **8621** (Rev. 12-2016)

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c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
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Note: See instructions in case of multiple sales or dispositions.

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15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders complete lines 6a through 7c. If you are making Election B, also complete lines 8a through 9c. (See instructions.)

6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be excluded under section 1293(g)	6b		
c	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be excluded under section 1293(g)	7b		
c	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of the Schedule D used for your income tax return. (See instructions.)		7c	
Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax year.				
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c	Enter the portion of line 8a not already included in line 8b that is attributable to shares in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)		8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income under section 951, you may make Election B with respect to the amount on line 8e.				
9 a	Enter the total tax for the tax year (See instructions.)	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by making Election B		9c	

Part IV Gain or (Loss) From Mark-to-Market Election (See instructions.)

10a	Enter the fair market value of your PFIC stock at the end of the tax year	10a	
b	Enter your adjusted basis in the stock at the end of the tax year	10b	
c	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary income on your tax return. If a loss, go to line 11	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))	11	
12	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary loss on your tax return	12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
a	Enter the fair market value of the stock on the date of sale or disposition	13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition	13b	
c	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your tax return. If a loss, go to line 14	13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))	14a	
b	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c	14b	
c	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax return according to the rules generally applicable for losses provided elsewhere in the Code and regulations	14c	

Note: See instructions in case of multiple sales or dispositions.

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund(See instructions.)Complete a **separate** Part V for each excess distribution and disposition (see instructions).

15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain, complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. (See instructions.)	16f	

Form **8621** (Rev. 12-2016)

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections*Complete a separate column for each outstanding election.*

Complete lines 17 through 20 to report the status of outstanding prior year section 1294 elections.						
	(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax year of outstanding election						
18 Undistributed earnings to which the election relates						
19 Deferred tax						
20 Interest accrued on deferred tax (line 19) as of the filing date						
Complete lines 21 through 24 only if a section 1294 election is terminated in the current year.						
21 Event terminating election						
22 Earnings distributed or deemed distributed during the tax year						
23 Deferred tax due with this return						
24 Accrued interest due with this return						
Complete lines 25 and 26 only if there is a partial termination of a section 1294 election in the current tax year.						
25 Deferred tax outstanding after partial termination of election. Subtract line 23 from line 19						
26 Interest accrued after partial termination of election. Subtract line 24 from line 20						

Form 8621 (Rev. 12-2016)

Form **8865****Return of U.S. Persons With Respect to
Certain Foreign Partnerships**

OMB No. 1545-1668

Department of the Treasury
Internal Revenue Service

► **Attach to your tax return.**
 ► **Go to www.irs.gov/Form8865 for instructions and the latest information.**
 Information furnished for the foreign partnership's tax year
 beginning **JAN 1**, 2017, and ending **DEC 31**, 2017

2017Attachment
Sequence No. **118**

Name of person filing this return

Filer's identifying number

77-0348912**THE SOBRATO FAMILY FOUNDATION**

Filer's address (if you are not filing this form with your tax return)

A Category of filer (see Categories of Filers in the instructions and check applicable box(es):

1 ☐ 2 ☐ 3 ☒ 4 ☐B Filer's tax year beginning **JAN 1**, 2017, and ending **DEC 31**, 2017

C Filer's share of liabilities: Nonrecourse \$ Qualified nonrecourse financing \$ Other \$

D If filer is a member of a consolidated group but not the parent, enter the following information about the parent:

Name

EIN

Address

E Check if any excepted specified foreign financial assets are reported on this form (see instructions) ☐

F Information about certain other partners (see instructions)

(1) Name	(2) Address	(3) Identifying number	(4) Check applicable box(es)		
			Category 1	Category 2	Constructive owner

G1 Name and address of foreign partnership

AEA INVESTORS FUND V
C/O MAPLES CORPORATE SERVICES LIMITED
PO BOX 309, UGLAND HOUSE, SOUTH CHURCH STREET
GRAND CAYMAN, CAYMAN ISLANDS KY1-1104

2(a) EIN (if any)

98-1019545

2(b) Reference ID number

3 Country under whose laws organized

CAYMAN ISLANDS

4 Date of organization 01/01/2012	5 Principal place of business CAYMAN ISLANDS	6 Principal business activity code number 523900	7 Principal business activity INVESTMENTS	8a Functional currency US DOLLARS	8b Exchange rate (see instr.)
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H Provide the following information for the foreign partnership's tax year:

1 Name, address, and identifying number of agent (if any) in the United States
N/A

2 Check if the foreign partnership must file:

☐ Form 1042 ☐ Form 8804 ☐ Form 1065 or 1065-B
 Service Center where Form 1065 or 1065-B is filed:
3 Name and address of foreign partnership's agent in country of organization, if any
N/A4 Name and address of person(s) with custody of the books and records of the foreign partnership, and the location of such books and records, if different
N/A5 Were any special allocations made by the foreign partnership? ☐ Yes ☒ No6 Enter the no. of Forms 8858, Info Return of U.S. Persons With Respect To Foreign Disregarded Entities, attached to this return ☐7 How is this partnership classified under the law of the country in which it is organized? ☐ **LTD PARTNERSHIP**8a Does the filer have an interest in the foreign partnership, or an interest indirectly through the foreign partnership, that is a separate unit under Reg. 1.1503(d)-1(b)(4) or part of a combined separate unit under Reg. 1.1503(d)-1(b)(4)(ii)? If "No," skip question 8b. ☐ Yes ☐ Nob If "Yes," does the separate unit or combined separate unit have a dual consolidated loss as defined in Reg. 1.1503(d)-1(b)(5)(ii)? ☐ Yes ☐ No

9 Does this partnership meet both of the following requirements?
 • The partnership's total receipts for the tax year were less than \$250,000 and
 • The value of the partnership's total assets at the end of the tax year was less than \$1 million. } ☐ Yes ☐ No
 If "Yes," do not complete Schedules L, M-1, and M-2.

Sign Here Only If You Are Filing This Form Separately and Not With Your Tax Return. Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than general partner or limited liability company member) is based on all information of which preparer has any knowledge.

Signature of general partner or limited liability company member

Date

Paid Preparer Use Only	Print/Type preparer's name SCOTT DAILY	Preparer's signature	Date 11/14/18	Check <input type="checkbox"/> if self-employed	PTIN P01280203
	Firm's name FRANK, RIMERMAN + CO. LLP	Firm's EIN 94-1341042			
	Firm's address 60 SOUTH MARKET STREET, SUITE 500 SAN JOSE, CA 95113	Phone no. (408) 279-5566			

Schedule A

Constructive Ownership of Partnership Interest. Check the boxes that apply to the filer. If you check box **b**, enter the name, address, and U.S. taxpayer identifying number (if any) of the person(s) whose interest you constructively own. See instructions.

a ☒ Owns a direct interest

b ☐ Owns a constructive interest

Name	Address	Identifying number (if any)	Check if foreign person	Check if direct partner

Schedule A-1

Certain Partners of Foreign Partnership (see instructions)

Name	Address	Identifying number (if any)	Check if foreign person

Does the partnership have any other foreign person as a direct partner? ☒ **Yes** ☐ **No**

Schedule A-2

Affiliation Schedule. List all partnerships (foreign or domestic) in which the foreign partnership owns a direct interest or indirectly owns a 10% interest.

Name	Address	EIN (if any)	Total ordinary income or loss	Check if foreign partnership

Schedule B

Income Statement - Trade or Business Income

Caution: Include **only** trade or business income and expenses on lines 1a through 22 below. See the instructions for more information.

Income	1 a Gross receipts or sales	1a		
	b Less returns and allowances	1b		1c
	2 Cost of goods sold			2
	3 Gross profit. Subtract line 2 from line 1c			3
	4 Ordinary income (loss) from other partnerships, estates, and trusts (attach statement)			4
	5 Net farm profit (loss) (attach Schedule F (Form 1040))			5
	6 Net gain (loss) from Form 4797, Part II, line 17 (attach Form 4797)			6
7 Other income (loss) (attach statement)			7	
8 Total income (loss). Combine lines 3 through 7			8	
Deductions (see instructions for limitations)	9 Salaries and wages (other than to partners) (less employment credits)			9
	10 Guaranteed payments to partners			10
	11 Repairs and maintenance			11
	12 Bad debts			12
	13 Rent			13
	14 Taxes and licenses			14
	15 Interest			15
	16 a Depreciation (if required, attach Form 4562)	16a		
	b Less depreciation reported elsewhere on return	16b		16c
	17 Depletion (Do not deduct oil and gas depletion.)			17
	18 Retirement plans, etc.			18
	19 Employee benefit programs			19
	20 Other deductions (attach statement)			20
	21 Total deductions. Add the amounts shown in the far right column for lines 9 through 20			21
22 Ordinary business income (loss) from trade or business activities. Subtract line 21 from line 8			22	

**SCHEDULE O
(Form 8865)**Department of the Treasury
Internal Revenue Service**Transfer of Property to a Foreign Partnership
(under section 6038B)**▶ Attach to Form 8865. See Instructions for Form 8865.
▶ Go to www.irs.gov/Form8865 for instructions and the latest information.

OMB No. 1545-1668

2017

Name of transferor THE SOBRATO FAMILY FOUNDATION		Filer's identifying number 77-0348912	
Name of foreign partnership AEA INVESTORS FUND V C/O MAPLES CORPORATE SERVICES LIM		EIN (if any) 98-1019545	Reference ID number (see instr)
1 a Is the partnership a section 721(c) partnership (as defined in Temporary Regulations section 1.721(c)-1T(b)(14)? See instructions		<input type="checkbox"/> Yes	<input type="checkbox"/> No
b If "Yes," was the gain deferral method applied to avoid the recognition of gain upon the contribution of property?		<input type="checkbox"/> Yes	<input type="checkbox"/> No
2 Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)?		<input type="checkbox"/> Yes	<input type="checkbox"/> No

Part I Transfers Reportable Under Section 6038B						
Type of property	(a) Date of transfer	(b) Number of items transferred	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Section 704(c) allocation method	(f) Gain recognized on transfer
Cash			127,231.			
Stock, notes receivable and payable, and other securities						
Inventory						
Tangible property used in trade or business						
Intangible property described in section 197(f)(9)						
Intangible property, other than intangible property described in section 197(f)(9)						
Other property						
Totals			127,231.			

3 Enter the transferor's percentage interest in the partnership: (a) Before the transfer	.1476 %	(b) After the transfer	.1414 %
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Supplemental Information Required To Be Reported (see instructions):

Part II Dispositions Reportable Under Section 6038B							
(a) Type of property	(b) Date of original transfer	(c) Date of disposition	(d) Manner of disposition	(e) Gain recognized by partnership	(f) Depreciation recapture recognized by partnership	(g) Gain allocated to partner	(h) Depreciation recapture allocated to partner

Part III Is any transfer reported on this schedule subject to gain recognition under section 904(f)(3) or section 904(f)(5)(F)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
--	------------------------------	--

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 8865.

Schedule O (Form 8865) 2017

Form **8865****Return of U.S. Persons With Respect to
Certain Foreign Partnerships**

OMB No. 1545-1668

Department of the Treasury
Internal Revenue Service

▶ **Attach to your tax return.**
▶ **Go to www.irs.gov/Form8865 for instructions and the latest information.**
Information furnished for the foreign partnership's tax year
beginning **JAN 1**, 2017, and ending **DEC 31**, 2017

2017Attachment
Sequence No. **118**

Name of person filing this return

Filer's identifying number

77-0348912**THE SOBRATO FAMILY FOUNDATION**

Filer's address (if you are not filing this form with your tax return)

A Category of filer (see Categories of Filers in the instructions and check applicable box(es):1 ☐ 2 ☐ 3 ☒ 4 ☐**B** Filer's tax year beginning **JAN 1**, 2017, and ending **DEC 31**, 2017**C** Filer's share of liabilities: Nonrecourse \$ Qualified nonrecourse financing \$ Other \$**D** If filer is a member of a consolidated group but not the parent, enter the following information about the parent:

Name

EIN

Address

E Check if any excepted specified foreign financial assets are reported on this form (see instructions) ☐**F** Information about certain other partners (see instructions)

(1) Name	(2) Address	(3) Identifying number	(4) Check applicable box(es)		
			Category 1	Category 2	Constructive owner

G1 Name and address of foreign partnership**AXIOM ASIA PRIVATE CAPITAL FUND III, LP
C/O CODAN TRUST COMPANY (CAYMAN) LTD
CRICKET SQUARE, HUTCHINS DRIVE PO BOX 2681
GRAND CAYMAN, CAYMAN ISLANDS KY1-1111****2(a)** EIN (if any)**98-1044657****2(b)** Reference ID number**3** Country under whose laws organized**CAYMAN ISLANDS**

4 Date of organization 10/05/2012	5 Principal place of business CAYMAN ISLANDS	6 Principal business activity code number 523900	7 Principal business activity INVESTMENTS	8a Functional currency US DOLLARS	8b Exchange rate (see instr.)
--	---	---	--	--	--------------------------------------

H Provide the following information for the foreign partnership's tax year:**1** Name, address, and identifying number of agent (if any) in the United States**2** Check if the foreign partnership must file:☐ Form 1042 ☐ Form 8804 ☒ Form 1065 or 1065-B

Service Center where Form 1065 or 1065-B is filed:

OGDEN, UT**3** Name and address of foreign partnership's agent in country of organization, if any**AXIOM ASIA PRIVATE CAPITAL ASSOCIATES
CRICKET SQ, HUTCHINS DR PO BOX 2681
GEORGE TOWN, GRAND CAYMAN, CAYMAN IS****4** Name and address of person(s) with custody of the books and records of the foreign partnership, and the location of such books and records, if different**STATE STREET FUND SERVICES (HK) LTD
8 FINANCE STREET
CENTRAL HONG KONG****5** Were any special allocations made by the foreign partnership? ☐ Yes ☒ No**6** Enter the no. of Forms 8858, Info Return of U.S. Persons With Respect To Foreign Disregarded Entities, attached to this return**7** How is this partnership classified under the law of the country in which it is organized? **EXEMPT LTD PARTNER****8a** Does the filer have an interest in the foreign partnership, or an interest indirectly through the foreign partnership, that is a separate unit under Reg. 1.1503(d)-1(b)(4) or part of a combined separate unit under Reg. 1.1503(d)-1(b)(4)(ii)? If "No," skip question 8b. ☒ Yes ☐ No**b** If "Yes," does the separate unit or combined separate unit have a dual consolidated loss as defined in Reg. 1.1503(d)-1(b)(5)(ii)? ☐ Yes ☐ No**9** Does this partnership meet both of the following requirements?

- The partnership's total receipts for the tax year were less than \$250,000 and
- The value of the partnership's total assets at the end of the tax year was less than \$1 million.

If "Yes," do not complete Schedules L, M-1, and M-2.

☐ Yes ☐ NoSign Here
Only If You
Are Filing
This Form
Separately
and Not With
Your Tax
Return.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than general partner or limited liability company member) is based on all information of which preparer has any knowledge.

Signature of general partner or limited liability company member

Date

**Paid
Preparer
Use
Only**

Print/Type preparer's name

SCOTT DAILY

Preparer's signature

Date

11/14/18Check ☐ if
self-employed

PTIN

P01280203Firm's name **FRANK, RIMERMAN + CO. LLP**Firm's EIN **94-1341042**Firm's address **60 SOUTH MARKET STREET, SUITE 500
SAN JOSE, CA 95113**Phone no.
(408) 279-5566

Schedule A

Constructive Ownership of Partnership Interest. Check the boxes that apply to the filer. If you check box **b**, enter the name, address, and U.S. taxpayer identifying number (if any) of the person(s) whose interest you constructively own. See instructions.

a ☒ Owns a direct interest

b ☐ Owns a constructive interest

Name	Address	Identifying number (if any)	Check if foreign person	Check if direct partner

Schedule A-1

Certain Partners of Foreign Partnership (see instructions)

Name	Address	Identifying number (if any)	Check if foreign person

Does the partnership have any other foreign person as a direct partner? ☒ **Yes** ☐ **No**

Schedule A-2

Affiliation Schedule. List all partnerships (foreign or domestic) in which the foreign partnership owns a direct interest or indirectly owns a 10% interest.

Name	Address	EIN (if any)	Total ordinary income or loss	Check if foreign partnership
SEE ATTACHED				

Schedule B

Income Statement - Trade or Business Income

Caution: Include **only** trade or business income and expenses on lines 1a through 22 below. See the instructions for more information.

Income	1 a Gross receipts or sales	1a		
	b Less returns and allowances	1b		1c
	2 Cost of goods sold			2
	3 Gross profit. Subtract line 2 from line 1c			3
	4 Ordinary income (loss) from other partnerships, estates, and trusts (attach statement)			4
	5 Net farm profit (loss) (attach Schedule F (Form 1040))			5
	6 Net gain (loss) from Form 4797, Part II, line 17 (attach Form 4797)			6
7 Other income (loss) (attach statement)			7	
8 Total income (loss). Combine lines 3 through 7			8	
Deductions (see instructions for limitations)	9 Salaries and wages (other than to partners) (less employment credits)			9
	10 Guaranteed payments to partners			10
	11 Repairs and maintenance			11
	12 Bad debts			12
	13 Rent			13
	14 Taxes and licenses			14
	15 Interest			15
	16 a Depreciation (if required, attach Form 4562)	16a		
	b Less depreciation reported elsewhere on return	16b		16c
	17 Depletion (Do not deduct oil and gas depletion.)			17
	18 Retirement plans, etc.			18
	19 Employee benefit programs			19
	20 Other deductions (attach statement)			20
	21 Total deductions. Add the amounts shown in the far right column for lines 9 through 20			21
22 Ordinary business income (loss) from trade or business activities. Subtract line 21 from line 8			22	

SCHEDULE O
(Form 8865)

Department of the Treasury
Internal Revenue Service

Transfer of Property to a Foreign Partnership
(under section 6038B)

▶ **Attach to Form 8865. See Instructions for Form 8865.**
▶ **Go to www.irs.gov/Form8865 for instructions and the latest information.**

OMB No. 1545-1668

2017

Name of transferor THE SOBRATO FAMILY FOUNDATION		Filer's identifying number 77-0348912	
Name of foreign partnership AXIOM ASIA PRIVATE CAPITAL FUND I C/O CODAN TRUST COMPANY (CAYMAN)		EIN (if any) 98-1044657	Reference ID number (see instr)
1 a Is the partnership a section 721(c) partnership (as defined in Temporary Regulations section 1.721(c)-1T(b)(14)? See instructions <input type="checkbox"/> Yes <input type="checkbox"/> No			
b If "Yes," was the gain deferral method applied to avoid the recognition of gain upon the contribution of property? <input type="checkbox"/> Yes <input type="checkbox"/> No			
2 Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)? <input type="checkbox"/> Yes <input type="checkbox"/> No			

Part I Transfers Reportable Under Section 6038B						
Type of property	(a) Date of transfer	(b) Number of items transferred	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Section 704(c) allocation method	(f) Gain recognized on transfer
Cash			187,500.			
Stock, notes receivable and payable, and other securities						
Inventory						
Tangible property used in trade or business						
Intangible property described in section 197(f)(9)						
Intangible property, other than intangible property described in section 197(f)(9)						
Other property						
Totals			187,500.			

3 Enter the transferor's percentage interest in the partnership: (a) Before the transfer	.2542 %	(b) After the transfer	.2525 %
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Supplemental Information Required To Be Reported (see instructions):

Part II Dispositions Reportable Under Section 6038B							
(a) Type of property	(b) Date of original transfer	(c) Date of disposition	(d) Manner of disposition	(e) Gain recognized by partnership	(f) Depreciation recapture recognized by partnership	(g) Gain allocated to partner	(h) Depreciation recapture allocated to partner

Part III Is any transfer reported on this schedule subject to gain recognition under section 904(f)(3) or section 904(f)(5)(F)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
--	---

FORM 8865 FOOTNOTE DISCLOSURE (CONT.)

SCHEDULE O

PART I

TYPE OF PROPERTY:	CASH
DATE OF TRANSFER:	VARIOUS
FMV ON DATE OF TRANSFER:	USD 187,500
PERCENTAGE INTEREST IN PSHIP	
AFTER TRANSFER (ENDING CAPITAL %):	0%

CATEGORY 1 FILER STATEMENT

NO PARTNER OWNS GREATER THAN 50% OF THE CAPITAL, PROFITS, DEDUCTIONS OR LOSSES OF THE PARTNERSHIP

CATEGORY 2 FILER STATEMENT

THE PARTNERSHIP WAS NOT CONTROLLED BY U.S. PERSONS WITH AT LEAST 10% INTERESTS IN THE CAPITAL, PROFITS, DEDUCTIONS OR LOSSES OF THE PARTNERSHIP. PLEASE CONSULT YOUR TAX ADVISOR

CATEGORY 3 FILER STATEMENT

CATEGORY 3 FILERS WHO ONLY TRANSFERRED CASH AND WHO DO NOT OWN A 10% OR GREATER INTEREST IN THE TRANSFEREE PARTNERSHIP AFTER THE TRANSFER ARE NOT REQUIRED TO COMPLETE FORM 8865, SCHEDULE A-1.

SCHEDULE A-2 INFORMATION:

ANCHOR EQUITY PARTNERS FUND I, L.P.	PO BOX 309, UGLAND HOUSE GRAND CAYMAN, CAYMAN ISLANDS KY1-1104	EIN: 98-1069005 FOREIGN
CHINA CONSUMER CAPITAL FUND II, L.P.	2003A, TOWER 3, CHINA CENTRAL PLACE 77 JIANGUO ROAD, CHAOYANG DISTRICT BEIJING, CHINA 100025	EIN: 98-1229680 FOREIGN
CID GREATER CHINA FUND IV, L.P.	190 ELGIN AVENUE, GEORGE TOWN, GRAND CAYMAN KY1-9005, CAYMAN ISLANDS	EIN: FOREIGN FOREIGN
INDIA BUSINESS EXCELLENCE FUND - IIA	IFS COURT, BANK STREET, TWENTYEIGHT CYBERCITY, EBENE, MAURITIUS 72201	EIN: 98-1015504 FOREIGN
KAIWU WALDEN CAPITAL, L.P.	MAPLES CORPORATE SERVICES LIMITED P.O. BOX 309, UGLAND HOUSE GRAND CAYMAN CJ KY1-1104	EIN: 98-1169198 FOREIGN
MAGICAPITAL FUND (PARALLEL) L.P.	C/O HARNEYS SERVICE (CAYMAN) LIMITED 4TH FLOOR, HARBOUR PLACE 103 SOUTH CHURCH STREET, PO BOX 10240 GRAND CAYMAN KY1-1002, CAYMAN ISLANDS	EIN: FOREIGN FOREIGN
SOUTHERN CAPITAL FUND III, L.P.	C/O M&C CORPORATE SERVICES LIMITED PO BOX 309, UGLAND HOUSE GRAND CAYMAN, CAYMAN ISLANDS KY1-1104	EIN: 98-1087919 FOREIGN

SCHEDULE A-2 INFORMATION (CONT.)

ASCENDENT CAPITAL PARTNERS I, L.P.	ASCENDENT CAPITAL PARTNERS (ASIA) LTD SUITE 1609, 16/F, JARDINE HOUSE, 1 CONNAUGHT PLACE CENTRAL, HONG KONG	EIN: 98-1019637 FOREIGN
CDH VENTURE PARTNERS III, L.P.	CDH VENTURE MANAGEMENT III COMPANY LIMITED PO BOX 309, UGLAND HOUSE GRAND CAYMAN, CAYMAN ISLANDS KY1-1104	EIN: 98-1060764 FOREIGN
DCM VENTURES CHINA FUND (DCM VII), L.P.	2420 SAND HILL ROAD SUITE 200 MENLO PARK, CA 94025	EIN: 98-1119396 FOREIGN
LIGHTSPEED CHINA PARTNERS II, L.P.	P.O. BOX 309, UGLAND HOUSE GRAND CAYMAN, CAYMAN ISLANDS KY1-1104	EIN: 98-1179662 FOREIGN
NEXT CAPITAL III, L.P.	LEVEL 30/31, 25 BLIGH STREET SYDNEY NEW 2000, AUSTRALIA	EIN: FOREIGN FOREIGN
CITIC CAPITAL CHINA (SKIPPER), L.P.	C/O 28TH FLOOR CITIC TOWER 1 TIM MEI AVENUE CENTRAL, HONG KONG	EIN: FOREIGN FOREIGN
RAISSON CAPITAL, L.P.	C/O INTERTRUST CORPORATE SERVICES (CAYMAN) LIMITED 190 ELGIN AVENUE, GORGE TOWN GRAND CAYMAN KY1-9005 CAYMAN ISLANDS	EIN: 98-1147193 FOREIGN
ASCENDENT CAPITAL PARTNERS II, L.P.	C/O ASCENDENT CAPITAL PARTNERS (ASIA) LTD. SUITE 1609, 16/F, JARDINE HOUSE, 1 CONNAUGHT PLACE CENTRAL, HONG KONG	EIN: 98-1247526 FOREIGN
CID GREATER CHINA FUND V, L.P.	190 ELGIN AVENUE, GEORGE TOWN GRAND CAYMAN KY1-9005 CAYMAN ISLANDS	EIN: FOREIGN FOREIGN
LYFE CAPITAL FUND, L.P.	SUITE 1804, UNITED PLAZA 1468 NAN JING ROAD WEST SHANGHAI, CHINA 200040	EIN: 98-1257429 FOREIGN
KALAARI CAPITAL PARTNERS III, LLC	IFS COURT, BANK STREET, TWENTY EIGHT CYBERCITY EBENE 72201, MAURITIUS	EIN: 98-1242375 FOREIGN
MEKONG ENTERPRISE FUND III LIMITED PARTNERSHIP	C/O WALKERS CORPORATE LIMITED CAYMAN CORPORATE CENTRE, 27 HOSPITAL ROAD GEORGE TOWN, GRAND CAYMAN KY1-9008 CAYMAN ISLANDS	EIN: FOREIGN FOREIGN

SCHEDULE A-2 INFORMATION (CONT.)

ADVANTECH CAPITAL L.P.	190 ELGIN AVENUE, GEORGE TOWN GRAND CAYMAN KY1-9005, CAYMAN ISLANDS	EIN: 98-1263129 FOREIGN
REDVIEW CAPITAL L.P.	190 ELGIN AVENUE, GEORGE TOWN GRAND CAYMAN KY1-9005, CAYMAN ISLANDS	EIN: 98-1263811 FOREIGN
MAGICAPITAL FUND II L.P.	4TH FLOOR, HARBOUR PLACE, 103 SOUTH CHURCH PO BOX 10240, GRAND CAYMAN CV KY1-1002	EIN: FOREIGN FOREIGN
BANYAN PARTNERS FUND I, L.P.	C/O BANYAN GROUP GLOBAL LIMITED SUITE 1109, 11/F, CHAMPION TOWER 3 GARDEN ROAD, CENTRAL, HONG KONG	EIN: FOREIGN FOREIGN
BANYAN PARTNERS FUND II, L.P.	C/O BANYAN GROUP GLOBAL LIMITED SUITE 1109, 11/F, CHAMPION TOWER 3 GARDEN ROAD, CENTRAL, HONG KONG	EIN: FOREIGN FOREIGN
SEABRIGHT II, LTD.	C/O ANEX MANAGEMENT SERVICES LTD. 9TH FLOOR EBENE TOWERS 52 CYBERCITY EBENE, MAURITIUS	EIN: 98-1257013 FOREIGN
JAPAN IRELAND INVESTMENT PARTNERS-S, LP	CUSTOM HOUSE PLAZA BLOCK 6 INTERNATIONAL FINANCIAL SERVICES CENTRE DUBLIN 1 EI	EIN: 98-1069868 FOREIGN
GLOBIS FUND V LP	PO BOX 309, UGLAND HOUSE GRAND CAYMAN, CAYMAN ISLANDS KY1-1104	EIN: 98-1349437 FOREIGN
BANYAN INVEST MANAGEMENT CO., LTD	C/O BANYAN GROUP GLOBAL LIMITED SUITE 1109, 11/F, CHAMPION TOWER 3 GARDEN ROAD, CENTRAL, HONG KONG	EIN: 98-1225434 FOREIGN
BANYAN CAPITAL HOLDING CO., LTD	C/O BANYAN GROUP GLOBAL LIMITED SUITE 1109, 11/F, CHAMPION TOWER 3 GARDEN ROAD, CENTRAL, HONG KONG	EIN: 98-1225595 FOREIGN

Form **8865****Return of U.S. Persons With Respect to
Certain Foreign Partnerships**

OMB No. 1545-1668

Department of the Treasury
Internal Revenue Service

▶ **Attach to your tax return.**
▶ **Go to www.irs.gov/Form8865 for instructions and the latest information.**
Information furnished for the foreign partnership's tax year
beginning **JAN 1**, 2017, and ending **DEC 31**, 2017

2017Attachment
Sequence No. **118**

Name of person filing this return

Filer's identifying number
77-0348912**THE SOBRATO FAMILY FOUNDATION**

Filer's address (if you are not filing this form with your tax return)

A Category of filer (see Categories of Filers in the instructions and check applicable box(es):1 ☐ 2 ☐ 3 ☒ 4 ☐**B** Filer's tax year beginning **JAN 1**, 2017, and ending **DEC 31**, 2017**C** Filer's share of liabilities: Nonrecourse \$ Qualified nonrecourse financing \$ Other \$**D** If filer is a member of a consolidated group but not the parent, enter the following information about the parent:

Name

EIN

Address

E Check if any excepted specified foreign financial assets are reported on this form (see instructions) ☐**F** Information about certain other partners (see instructions)

(1) Name	(2) Address	(3) Identifying number	(4) Check applicable box(es)		
			Category 1	Category 2	Constructive owner

G1 Name and address of foreign partnership**EUROPEAN STRATEGIC PARTNERS 2008 'B' LP****1 GEORGE STREET
EDINBURGH, SCOTLAND EH2 2LL, UNITED KINGDOM,****2(a)** EIN (if any)**98-0624885****2(b)** Reference ID number**3** Country under whose laws organized**UNITED KINGDOM**

4 Date of organization 07/01/2008	5 Principal place of business UNITED KINGDOM	6 Principal business activity code number 523900	7 Principal business activity INVESTMENTS	8a Functional currency EURO	8b Exchange rate (see instr.) .885000
--	---	---	--	--	--

H Provide the following information for the foreign partnership's tax year:**1** Name, address, and identifying number of agent (if any) in the United States
NONE**2** Check if the foreign partnership must file:☐ Form 1042 ☐ Form 8804 ☒ Form 1065 or 1065-B

Service Center where Form 1065 or 1065-B is filed:

OGDEN, UT**3** Name and address of foreign partnership's agent in country of organization, if any**SL CAPITAL PARTNERS LLP****1 GEORGE STREET****EDINBURGH, UNITED KINGDOM EH2 2LL****4** Name and address of person(s) with custody of the books and records of the foreign partnership, and the location of such books and records, if different**5** Were any special allocations made by the foreign partnership? ☒ **Yes** ☐ **No****6** Enter the no. of Forms 8858, Info Return of U.S. Persons With Respect To Foreign Disregarded Entities, attached to this return **▶****7** How is this partnership classified under the law of the country in which it is organized? **▶ LTD PARTNERSHIP****8a** Does the filer have an interest in the foreign partnership, or an interest indirectly through the foreign partnership, that is a separate unit under Reg. 1.1503(d)-1(b)(4) or part of a combined separate unit under Reg. 1.1503(d)-1(b)(4)(ii)? If "No," skip question 8b. ☐ **Yes** ☒ **No****b** If "Yes," does the separate unit or combined separate unit have a dual consolidated loss as defined in Reg. 1.1503(d)-1(b)(5)(ii)? ☐ **Yes** ☐ **No****9** Does this partnership meet **both** of the following requirements?
• The partnership's total receipts for the tax year were less than \$250,000 and
• The value of the partnership's total assets at the end of the tax year was less than \$1 million. ☐ **Yes** ☐ **No**
If "Yes," **do not** complete Schedules L, M-1, and M-2.

Sign Here Only If You Are Filing This Form Separately and Not With Your Tax Return. Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than general partner or limited liability company member) is based on all information of which preparer has any knowledge.

Signature of general partner or limited liability company member

Date

Paid Preparer Use Only	Print/Type preparer's name SCOTT DAILY	Preparer's signature	Date 11/14/18	Check <input type="checkbox"/> if self-employed	PTIN P01280203
	Firm's name ▶ FRANK, RIMERMAN + CO. LLP	Firm's EIN ▶ 94-1341042			
	Firm's address ▶ 60 SOUTH MARKET STREET, SUITE 500 SAN JOSE, CA 95113	Phone no. (408) 279-5566			

Schedule A

Constructive Ownership of Partnership Interest. Check the boxes that apply to the filer. If you check box **b**, enter the name, address, and U.S. taxpayer identifying number (if any) of the person(s) whose interest you constructively own. See instructions.

a ☒ Owns a direct interest

b ☐ Owns a constructive interest

Name	Address	Identifying number (if any)	Check if foreign person	Check if direct partner

Schedule A-1

Certain Partners of Foreign Partnership (see instructions)

Name	Address	Identifying number (if any)	Check if foreign person

Does the partnership have any other foreign person as a direct partner? ☐ Yes ☐ No

Schedule A-2

Affiliation Schedule. List all partnerships (foreign or domestic) in which the foreign partnership owns a direct interest or indirectly owns a 10% interest.

Name	Address	EIN (if any)	Total ordinary income or loss	Check if foreign partnership

STATEMENT 119

Schedule B

Income Statement - Trade or Business Income

Caution: Include **only** trade or business income and expenses on lines 1a through 22 below. See the instructions for more information.

Income	1 a Gross receipts or sales	1a		
	b Less returns and allowances	1b		1c
	2 Cost of goods sold			2
	3 Gross profit. Subtract line 2 from line 1c			3
	4 Ordinary income (loss) from other partnerships, estates, and trusts (attach statement)			4
	5 Net farm profit (loss) (attach Schedule F (Form 1040))			5
	6 Net gain (loss) from Form 4797, Part II, line 17 (attach Form 4797)			6
7 Other income (loss) (attach statement)			7	
8 Total income (loss). Combine lines 3 through 7			8	
Deductions (see instructions for limitations)	9 Salaries and wages (other than to partners) (less employment credits)			9
	10 Guaranteed payments to partners			10
	11 Repairs and maintenance			11
	12 Bad debts			12
	13 Rent			13
	14 Taxes and licenses			14
	15 Interest			15
	16 a Depreciation (if required, attach Form 4562)	16a		
	b Less depreciation reported elsewhere on return	16b		16c
	17 Depletion (Do not deduct oil and gas depletion.)			17
	18 Retirement plans, etc.			18
	19 Employee benefit programs			19
	20 Other deductions (attach statement)			20
	21 Total deductions. Add the amounts shown in the far right column for lines 9 through 20			21
	22 Ordinary business income (loss) from trade or business activities. Subtract line 21 from line 8			22

**SCHEDULE O
(Form 8865)**Department of the Treasury
Internal Revenue Service**Transfer of Property to a Foreign Partnership
(under section 6038B)**▶ Attach to Form 8865. See Instructions for Form 8865.
▶ Go to www.irs.gov/Form8865 for instructions and the latest information.

OMB No. 1545-1668

2017

Name of transferor THE SOBRATO FAMILY FOUNDATION		Filer's identifying number 77-0348912
Name of foreign partnership EUROPEAN STRATEGIC PARTNERS 2008	EIN (if any) 98-0624885	Reference ID number (see instr)
1 a Is the partnership a section 721(c) partnership (as defined in Temporary Regulations section 1.721(c)-1T(b)(14)? See instructions <input type="checkbox"/> Yes <input type="checkbox"/> No		
b If "Yes," was the gain deferral method applied to avoid the recognition of gain upon the contribution of property? <input type="checkbox"/> Yes <input type="checkbox"/> No		
2 Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)? <input type="checkbox"/> Yes <input type="checkbox"/> No		

Part I Transfers Reportable Under Section 6038B						
Type of property	(a) Date of transfer	(b) Number of items transferred	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Section 704(c) allocation method	(f) Gain recognized on transfer
Cash			127,291.			
Stock, notes receivable and payable, and other securities						
Inventory						
Tangible property used in trade or business						
Intangible property described in section 197(f)(9)						
Intangible property, other than intangible property described in section 197(f)(9)						
Other property						
Totals			127,291.			

3 Enter the transferor's percentage interest in the partnership: (a) Before the transfer	.4916 %	(b) After the transfer	.4916 %
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Supplemental Information Required To Be Reported (see instructions):

Part II Dispositions Reportable Under Section 6038B							
(a) Type of property	(b) Date of original transfer	(c) Date of disposition	(d) Manner of disposition	(e) Gain recognized by partnership	(f) Depreciation recapture recognized by partnership	(g) Gain allocated to partner	(h) Depreciation recapture allocated to partner

Part III Is any transfer reported on this schedule subject to gain recognition under section 904(f)(3) or section 904(f)(5)(F)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
--	---

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 8865.

Schedule O (Form 8865) 2017

Form **8865****Return of U.S. Persons With Respect to
Certain Foreign Partnerships**

OMB No. 1545-1668

Department of the Treasury
Internal Revenue Service

► **Attach to your tax return.**
► **Go to www.irs.gov/Form8865 for instructions and the latest information.**
Information furnished for the foreign partnership's tax year
beginning **JAN 1**, 2017, and ending **DEC 31**, 2017

2017Attachment
Sequence No. **118**

Name of person filing this return

Filer's identifying number

77-0348912**THE SOBRATO FAMILY FOUNDATION**

Filer's address (if you are not filing this form with your tax return)

A Category of filer (see Categories of Filers in the instructions and check applicable box(es):1 ☐ 2 ☐ 3 ☒ 4 ☐**B** Filer's tax year beginning **JAN 1**, 2017, and ending **DEC 31**, 2017**C** Filer's share of liabilities: Nonrecourse \$ Qualified nonrecourse financing \$ Other \$**D** If filer is a member of a consolidated group but not the parent, enter the following information about the parent:

Name

EIN

Address

E Check if any excepted specified foreign financial assets are reported on this form (see instructions) ☐**F** Information about certain other partners (see instructions)

(1) Name	(2) Address	(3) Identifying number	(4) Check applicable box(es)		
			Category 1	Category 2	Constructive owner

G1 Name and address of foreign partnership**2(a)** EIN (if any)**26-4649689****2(b)** Reference ID number**3** Country under whose laws organized**CAYMAN ISLANDS****70 WILLOW ROAD, SUITE 100
MENLO PARK, CA 94025**

4 Date of organization 09/29/2009	5 Principal place of business CAYMAN ISLANDS	6 Principal business activity code number 523900	7 Principal business activity INVESTMENTS	8a Functional currency US DOLLARS	8b Exchange rate (see instr.)
--	---	---	--	--	--------------------------------------

H Provide the following information for the foreign partnership's tax year:**1** Name, address, and identifying number of agent (if any) in the United States**2** Check if the foreign partnership must file:☐ Form 1042 ☐ Form 8804 ☒ Form 1065 or 1065-B

Service Center where Form 1065 or 1065-B is filed:

OGDEN, UT**3** Name and address of foreign partnership's agent in country of organization, if any**C/O MAPLES CORPORATE SERVICES LIMITED
PO BOX 309, UGLAND HOUSE
GRAND CAYMAN, CAYMAN ISLANDS KY1-110****4** Name and address of person(s) with custody of the books and records of the foreign partnership, and the location of such books and records, if different**THOMAS SMACH
70 WILLOW ROAD, SUITE 100
MENLO PARK, CA 94025****5** Were any special allocations made by the foreign partnership? ☐ Yes ☒ No**6** Enter the no. of Forms 8858, Info Return of U.S. Persons With Respect To Foreign Disregarded Entities, attached to this return ☐**7** How is this partnership classified under the law of the country in which it is organized? ☐ **LTD PARTNERSHIP****8a** Does the filer have an interest in the foreign partnership, or an interest indirectly through the foreign partnership, that is a separate unit under Reg. 1.1503(d)-1(b)(4) or part of a combined separate unit under Reg. 1.1503(d)-1(b)(4)(ii)? If "No," skip question 8b. ☐ Yes ☐ No**b** If "Yes," does the separate unit or combined separate unit have a dual consolidated loss as defined in Reg. 1.1503(d)-1(b)(5)(ii)? ☐ Yes ☐ No**9** Does this partnership meet **both** of the following requirements?
• The partnership's total receipts for the tax year were less than \$250,000 and
• The value of the partnership's total assets at the end of the tax year was less than \$1 million. ☐ Yes ☐ No
If "Yes," **do not** complete Schedules L, M-1, and M-2.Sign Here
Only If You
Are Filing
This Form
Separately
and Not With
Your Tax
Return.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than general partner or limited liability company member) is based on all information of which preparer has any knowledge.

Signature of general partner or limited liability company member

Date

**Paid
Preparer
Use
Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if
self-employed

PTIN

SCOTT DAILY**11/14/18****P01280203**Firm's name **► FRANK, RIMERMAN + CO. LLP**Firm's EIN **► 94-1341042**Firm's address **► 60 SOUTH MARKET STREET, SUITE 500
SAN JOSE, CA 95113**Phone no.
(408) 279-5566

Schedule A

Constructive Ownership of Partnership Interest. Check the boxes that apply to the filer. If you check box **b**, enter the name, address, and U.S. taxpayer identifying number (if any) of the person(s) whose interest you constructively own. See instructions.

a ☒ Owns a direct interest

b ☐ Owns a constructive interest

Name	Address	Identifying number (if any)	Check if foreign person	Check if direct partner

Schedule A-1

Certain Partners of Foreign Partnership (see instructions)

Name	Address	Identifying number (if any)	Check if foreign person

Does the partnership have any other foreign person as a direct partner? ☐ Yes ☐ No

Schedule A-2

Affiliation Schedule. List all partnerships (foreign or domestic) in which the foreign partnership owns a direct interest or indirectly owns a 10% interest.

Name	Address	EIN (if any)	Total ordinary income or loss	Check if foreign partnership

Schedule B

Income Statement - Trade or Business Income

Caution: Include **only** trade or business income and expenses on lines 1a through 22 below. See the instructions for more information.

Income	1 a Gross receipts or sales	1a		
	b Less returns and allowances	1b		1c
	2 Cost of goods sold			2
	3 Gross profit. Subtract line 2 from line 1c			3
	4 Ordinary income (loss) from other partnerships, estates, and trusts (attach statement)			4
	5 Net farm profit (loss) (attach Schedule F (Form 1040))			5
	6 Net gain (loss) from Form 4797, Part II, line 17 (attach Form 4797)			6
7 Other income (loss) (attach statement)			7	
8 Total income (loss). Combine lines 3 through 7			8	
Deductions (see instructions for limitations)	9 Salaries and wages (other than to partners) (less employment credits)			9
	10 Guaranteed payments to partners			10
	11 Repairs and maintenance			11
	12 Bad debts			12
	13 Rent			13
	14 Taxes and licenses			14
	15 Interest			15
	16 a Depreciation (if required, attach Form 4562)	16a		
	b Less depreciation reported elsewhere on return	16b		16c
	17 Depletion (Do not deduct oil and gas depletion.)			17
	18 Retirement plans, etc.			18
	19 Employee benefit programs			19
	20 Other deductions (attach statement)			20
	21 Total deductions. Add the amounts shown in the far right column for lines 9 through 20			21
22 Ordinary business income (loss) from trade or business activities. Subtract line 21 from line 8			22	

SCHEDULE O
(Form 8865)

Department of the Treasury
Internal Revenue Service

Transfer of Property to a Foreign Partnership
(under section 6038B)

▶ **Attach to Form 8865. See Instructions for Form 8865.**
▶ **Go to www.irs.gov/Form8865 for instructions and the latest information.**

OMB No. 1545-1668

2017

Name of transferor **THE SOBRATO FAMILY FOUNDATION** Filer's identifying number **77-0348912**

Name of foreign partnership **RIVERWOOD CAPITAL PARTNERS L.P.** EIN (if any) **26-4649689** Reference ID number (see instr)

- 1 a** Is the partnership a section 721(c) partnership (as defined in Temporary Regulations section 1.721(c)-1T(b)(14)? See instructions ☐ Yes ☐ No
- b** If "Yes," was the gain deferral method applied to avoid the recognition of gain upon the contribution of property? ☐ Yes ☐ No
- 2** Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)? ☐ Yes ☐ No

Part I Transfers Reportable Under Section 6038B

Type of property	(a) Date of transfer	(b) Number of items transferred	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Section 704(c) allocation method	(f) Gain recognized on transfer
Cash			32,524.			
Stock, notes receivable and payable, and other securities						
Inventory						
Tangible property used in trade or business						
Intangible property described in section 197(f)(9)						
Intangible property, other than intangible property described in section 197(f)(9)						
Other property						
Totals			32,524.			

3 Enter the transferor's percentage interest in the partnership: (a) Before the transfer **.6963** % (b) After the transfer **.6977** %

Supplemental Information Required To Be Reported (see instructions):

Part II Dispositions Reportable Under Section 6038B

(a) Type of property	(b) Date of original transfer	(c) Date of disposition	(d) Manner of disposition	(e) Gain recognized by partnership	(f) Depreciation recapture recognized by partnership	(g) Gain allocated to partner	(h) Depreciation recapture allocated to partner

Part III Is any transfer reported on this schedule subject to gain recognition under section 904(f)(3) or section 904(f)(5)(F)? ☐ Yes ☒ No

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 8865.

Schedule O (Form 8865) 2017

Form **8865****Return of U.S. Persons With Respect to
Certain Foreign Partnerships**

OMB No. 1545-1668

Department of the Treasury
Internal Revenue Service

► **Attach to your tax return.**
► **Go to www.irs.gov/Form8865 for instructions and the latest information.**
Information furnished for the foreign partnership's tax year
beginning **JAN 1**, 2017, and ending **DEC 31**, 2017

2017Attachment
Sequence No. **118**

Name of person filing this return

Filer's identifying number

77-0348912**THE SOBRATO FAMILY FOUNDATION**

Filer's address (if you are not filing this form with your tax return)

A Category of filer (see Categories of Filers in the instructions and check applicable box(es):1 ☐ 2 ☐ 3 ☒ 4 ☐**B** Filer's tax year beginning **JAN 1**, 2017, and ending **DEC 31**, 2017**C** Filer's share of liabilities: Nonrecourse \$ Qualified nonrecourse financing \$ Other \$**D** If filer is a member of a consolidated group but not the parent, enter the following information about the parent:

Name

EIN

Address

E Check if any excepted specified foreign financial assets are reported on this form (see instructions) ☐**F** Information about certain other partners (see instructions)

(1) Name	(2) Address	(3) Identifying number	(4) Check applicable box(es)		
			Category 1	Category 2	Constructive owner

G1 Name and address of foreign partnership

AVENUE EUROPE SPECIAL SITUATIONS FUND II
(U.S.), LP
399 PARK AVENUE, 6TH FLOOR
NEW YORK, NY 10022

2(a) EIN (if any)**98-0697237****2(b)** Reference ID number**3** Country under whose laws organized**CAYMAN ISLANDS**

4 Date of organization 08/25/2011	5 Principal place of business CAYMAN ISLANDS	6 Principal business activity code number 523900	7 Principal business activity INVESTING	8a Functional currency US DOLLARS	8b Exchange rate (see instr.)
--	---	---	--	--	--------------------------------------

H Provide the following information for the foreign partnership's tax year:**1** Name, address, and identifying number of agent (if any) in the United States
NONE**2** Check if the foreign partnership must file:☐ Form 1042 ☐ Form 8804 ☒ Form 1065 or 1065-B

Service Center where Form 1065 or 1065-B is filed:

E-FILE**3** Name and address of foreign partnership's agent in country of organization, if any**4** Name and address of person(s) with custody of the books and records of the foreign partnership, and the location of such books and records, if different

- 5** Were any special allocations made by the foreign partnership? ☐ Yes ☒ No
- 6** Enter the no. of Forms 8858, Info Return of U.S. Persons With Respect To Foreign Disregarded Entities, attached to this return ☐
- 7** How is this partnership classified under the law of the country in which it is organized? **PARTNERSHIP**
- 8a** Does the filer have an interest in the foreign partnership, or an interest indirectly through the foreign partnership, that is a separate unit under Reg. 1.1503(d)-1(b)(4) or part of a combined separate unit under Reg. 1.1503(d)-1(b)(4)(ii)? If "No," skip question 8b. ☐ Yes ☐ No
- b** If "Yes," does the separate unit or combined separate unit have a dual consolidated loss as defined in Reg. 1.1503(d)-1(b)(5)(ii)? ☐ Yes ☐ No
- 9** Does this partnership meet **both** of the following requirements?
• The partnership's total receipts for the tax year were less than \$250,000 and
• The value of the partnership's total assets at the end of the tax year was less than \$1 million. ☐ Yes ☐ No
If "Yes," **do not** complete Schedules L, M-1, and M-2.

Sign Here
Only If You
Are Filing
This Form
Separately
and Not With
Your Tax
Return.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than general partner or limited liability company member) is based on all information of which preparer has any knowledge.

Signature of general partner or limited liability company member

Date

**Paid
Preparer
Use
Only**

Print/Type preparer's name SCOTT DAILY	Preparer's signature	Date 11/14/18	Check <input type="checkbox"/> if self-employed	PTIN P01280203
Firm's name FRANK, RIMERMAN + CO. LLP	Firm's EIN 94-1341042			
Firm's address 60 SOUTH MARKET STREET, SUITE 500 SAN JOSE, CA 95113	Phone no. (408) 279-5566			

Schedule A

Constructive Ownership of Partnership Interest. Check the boxes that apply to the filer. If you check box **b**, enter the name, address, and U.S. taxpayer identifying number (if any) of the person(s) whose interest you constructively own. See instructions.

a ☒ Owns a direct interest

b ☐ Owns a constructive interest

Name	Address	Identifying number (if any)	Check if foreign person	Check if direct partner

Schedule A-1

Certain Partners of Foreign Partnership (see instructions)

Name	Address	Identifying number (if any)	Check if foreign person

Does the partnership have any other foreign person as a direct partner?

☒ **Yes**

☐ **No**

Schedule A-2

Affiliation Schedule. List all partnerships (foreign or domestic) in which the foreign partnership owns a direct interest or indirectly owns a 10% interest.

Name	Address	EIN (if any)	Total ordinary income or loss	Check if foreign partnership

Schedule B

Income Statement - Trade or Business Income

Caution: Include **only** trade or business income and expenses on lines 1a through 22 below. See the instructions for more information.

Income	1 a Gross receipts or sales	1a		
	b Less returns and allowances	1b		1c
	2 Cost of goods sold			2
	3 Gross profit. Subtract line 2 from line 1c			3
	4 Ordinary income (loss) from other partnerships, estates, and trusts (attach statement)			4
	5 Net farm profit (loss) (attach Schedule F (Form 1040))			5
	6 Net gain (loss) from Form 4797, Part II, line 17 (attach Form 4797)			6
7 Other income (loss) (attach statement)			7	
8 Total income (loss). Combine lines 3 through 7			8	
Deductions (see instructions for limitations)	9 Salaries and wages (other than to partners) (less employment credits)			9
	10 Guaranteed payments to partners			10
	11 Repairs and maintenance			11
	12 Bad debts			12
	13 Rent			13
	14 Taxes and licenses			14
	15 Interest			15
	16 a Depreciation (if required, attach Form 4562)	16a		
	b Less depreciation reported elsewhere on return	16b		16c
	17 Depletion (Do not deduct oil and gas depletion.)			17
	18 Retirement plans, etc.			18
	19 Employee benefit programs			19
	20 Other deductions (attach statement)			20
	21 Total deductions. Add the amounts shown in the far right column for lines 9 through 20			21
22 Ordinary business income (loss) from trade or business activities. Subtract line 21 from line 8			22	

SCHEDULE O
(Form 8865)

Department of the Treasury
Internal Revenue Service

Transfer of Property to a Foreign Partnership
(under section 6038B)

▶ **Attach to Form 8865. See Instructions for Form 8865.**
▶ **Go to www.irs.gov/Form8865 for instructions and the latest information.**

OMB No. 1545-1668

2017

Name of transferor THE SOBRATO FAMILY FOUNDATION		Filer's identifying number 77-0348912	
Name of foreign partnership AVENUE EUROPE SPECIAL SITUATIONS (U.S.), LP	EIN (if any) 98-0697237	Reference ID number (see instr)	
1 a Is the partnership a section 721(c) partnership (as defined in Temporary Regulations section 1.721(c)-1T(b)(14)? See instructions		<input type="checkbox"/> Yes	<input type="checkbox"/> No
b If "Yes," was the gain deferral method applied to avoid the recognition of gain upon the contribution of property?		<input type="checkbox"/> Yes	<input type="checkbox"/> No
2 Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)?		<input type="checkbox"/> Yes	<input type="checkbox"/> No

Part I Transfers Reportable Under Section 6038B						
Type of property	(a) Date of transfer	(b) Number of items transferred	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Section 704(c) allocation method	(f) Gain recognized on transfer
Cash						
Stock, notes receivable and payable, and other securities						
Inventory						
Tangible property used in trade or business						
Intangible property described in section 197(f)(9)						
Intangible property, other than intangible property described in section 197(f)(9)						
Other property						
Totals						

3 Enter the transferor's percentage interest in the partnership: (a) Before the transfer	%	(b) After the transfer	%
---	---	------------------------	---

Supplemental Information Required To Be Reported (see instructions):

Part II Dispositions Reportable Under Section 6038B							
(a) Type of property	(b) Date of original transfer	(c) Date of disposition	(d) Manner of disposition	(e) Gain recognized by partnership	(f) Depreciation recapture recognized by partnership	(g) Gain allocated to partner	(h) Depreciation recapture allocated to partner

Part III Is any transfer reported on this schedule subject to gain recognition under section 904(f)(3) or section 904(f)(5)(F)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
--	------------------------------	--

Form **8865****Return of U.S. Persons With Respect to
Certain Foreign Partnerships**

OMB No. 1545-1668

Department of the Treasury
Internal Revenue Service

► **Attach to your tax return.**
► **Go to www.irs.gov/Form8865 for instructions and the latest information.**
Information furnished for the foreign partnership's tax year
beginning **JAN 1**, 2017, and ending **DEC 31**, 2017

2017Attachment
Sequence No. **118**

Name of person filing this return

THE SOBRATO FAMILY FOUNDATIONFiler's identifying number
77-0348912

Filer's address (if you are not filing this form with your tax return)

A Category of filer (see Categories of Filers in the instructions and check applicable box(es):1 ☐ 2 ☐ 3 ☒ 4 ☐**B** Filer's tax year
beginning **JAN 1**, 2017, and ending **DEC 31**, 2017**C** Filer's share of liabilities: Nonrecourse \$ Qualified nonrecourse financing \$ Other \$**D** If filer is a member of a consolidated group but not the parent, enter the following information about the parent:

Name

EIN

Address

E Check if any excepted specified foreign financial assets are reported on this form (see instructions) ☐**F** Information about certain other partners (see instructions)

(1) Name	(2) Address	(3) Identifying number	(4) Check applicable box(es)		
			Category 1	Category 2	Constructive owner

G1 Name and address of foreign partnership**BOYU CAPITAL FUND II, LP****UGLAND HOUSE, 121 SOUTH CHURCH STREET
GRAND CAYMAN, CAYMAN ISLANDS KY1-1104****2(a)** EIN (if any)**98-1141267****2(b)** Reference ID number**3** Country under whose laws organized

4 Date of organization	5 Principal place of business	6 Principal business activity code number	7 Principal business activity	8a Functional currency	8b Exchange rate (see instr.)
-------------------------------	--------------------------------------	--	--------------------------------------	-------------------------------	--------------------------------------

H Provide the following information for the foreign partnership's tax year:

1 Name, address, and identifying number of agent (if any) in the United States	2 Check if the foreign partnership must file: <input type="checkbox"/> Form 1042 <input type="checkbox"/> Form 8804 <input checked="" type="checkbox"/> Form 1065 or 1065-B Service Center where Form 1065 or 1065-B is filed:
3 Name and address of foreign partnership's agent in country of organization, if any	4 Name and address of person(s) with custody of the books and records of the foreign partnership, and the location of such books and records, if different

- 5** Were any special allocations made by the foreign partnership? ☐ Yes ☐ No
- 6** Enter the no. of Forms 8858, Info Return of U.S. Persons With Respect To Foreign Disregarded Entities, attached to this return ☐
- 7** How is this partnership classified under the law of the country in which it is organized? ☐
- 8a** Does the filer have an interest in the foreign partnership, or an interest indirectly through the foreign partnership, that is a separate unit under Reg. 1.1503(d)-1(b)(4) or part of a combined separate unit under Reg. 1.1503(d)-1(b)(4)(ii)? If "No," skip question 8b. ☐ Yes ☐ No
- b** If "Yes," does the separate unit or combined separate unit have a dual consolidated loss as defined in Reg. 1.1503(d)-1(b)(5)(ii)? ☐ Yes ☐ No
- 9** Does this partnership meet **both** of the following requirements?
• The partnership's total receipts for the tax year were less than \$250,000 and
• The value of the partnership's total assets at the end of the tax year was less than \$1 million. ☐ Yes ☐ No
If "Yes," **do not** complete Schedules L, M-1, and M-2.

Sign Here Only If You Are Filing This Form Separately and Not With Your Tax Return.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than general partner or limited liability company member) is based on all information of which preparer has any knowledge.

Signature of general partner or limited liability company member ☐ Date ☐

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	SCOTT DAILY		11/14/18		P01280203
	Firm's name ► FRANK, RIMERMAN + CO. LLP	Firm's EIN ► 94-1341042			
	Firm's address ► 60 SOUTH MARKET STREET, SUITE 500 SAN JOSE, CA 95113	Phone no. (408) 279-5566			

Schedule A

Constructive Ownership of Partnership Interest. Check the boxes that apply to the filer. If you check box **b**, enter the name, address, and U.S. taxpayer identifying number (if any) of the person(s) whose interest you constructively own. See instructions.

a ☐ Owns a direct interest

b ☐ Owns a constructive interest

Name	Address	Identifying number (if any)	Check if foreign person	Check if direct partner

Schedule A-1

Certain Partners of Foreign Partnership (see instructions)

Name	Address	Identifying number (if any)	Check if foreign person

Does the partnership have any other foreign person as a direct partner? ☐ Yes ☐ No

Schedule A-2

Affiliation Schedule. List all partnerships (foreign or domestic) in which the foreign partnership owns a direct interest or indirectly owns a 10% interest.

Name	Address	EIN (if any)	Total ordinary income or loss	Check if foreign partnership

Schedule B

Income Statement - Trade or Business Income

Caution: Include **only** trade or business income and expenses on lines 1a through 22 below. See the instructions for more information.

Income	1 a Gross receipts or sales	1a		
	b Less returns and allowances	1b		1c
	2 Cost of goods sold			2
	3 Gross profit. Subtract line 2 from line 1c			3
	4 Ordinary income (loss) from other partnerships, estates, and trusts (attach statement)			4
	5 Net farm profit (loss) (attach Schedule F (Form 1040))			5
	6 Net gain (loss) from Form 4797, Part II, line 17 (attach Form 4797)			6
7 Other income (loss) (attach statement)			7	
8 Total income (loss). Combine lines 3 through 7			8	
Deductions (see instructions for limitations)	9 Salaries and wages (other than to partners) (less employment credits)			9
	10 Guaranteed payments to partners			10
	11 Repairs and maintenance			11
	12 Bad debts			12
	13 Rent			13
	14 Taxes and licenses			14
	15 Interest			15
	16 a Depreciation (if required, attach Form 4562)	16a		
	b Less depreciation reported elsewhere on return	16b		16c
	17 Depletion (Do not deduct oil and gas depletion.)			17
	18 Retirement plans, etc.			18
	19 Employee benefit programs			19
	20 Other deductions (attach statement)			20
	21 Total deductions. Add the amounts shown in the far right column for lines 9 through 20			21
	22 Ordinary business income (loss) from trade or business activities. Subtract line 21 from line 8			22

SCHEDULE O
(Form 8865)

Department of the Treasury
Internal Revenue Service

Transfer of Property to a Foreign Partnership
(under section 6038B)

▶ **Attach to Form 8865. See Instructions for Form 8865.**
▶ **Go to www.irs.gov/Form8865 for instructions and the latest information.**

OMB No. 1545-1668

2017

Name of transferor **THE SOBRATO FAMILY FOUNDATION** Filer's identifying number **77-0348912**

Name of foreign partnership **BOYU CAPITAL FUND II, LP** EIN (if any) **98-1141267** Reference ID number (see instr)

- 1 a** Is the partnership a section 721(c) partnership (as defined in Temporary Regulations section 1.721(c)-1T(b)(14)? See instructions ☐ Yes ☐ No
- b** If "Yes," was the gain deferral method applied to avoid the recognition of gain upon the contribution of property? ☐ Yes ☐ No
- 2** Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)? ☐ Yes ☐ No

Part I Transfers Reportable Under Section 6038B

Type of property	(a) Date of transfer	(b) Number of items transferred	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Section 704(c) allocation method	(f) Gain recognized on transfer
Cash						
Stock, notes receivable and payable, and other securities						
Inventory						
Tangible property used in trade or business						
Intangible property described in section 197(f)(9)						
Intangible property, other than intangible property described in section 197(f)(9)						
Other property						
Totals						

3 Enter the transferor's percentage interest in the partnership: (a) Before the transfer % (b) After the transfer %

Supplemental Information Required To Be Reported (see instructions):

Part II Dispositions Reportable Under Section 6038B

(a) Type of property	(b) Date of original transfer	(c) Date of disposition	(d) Manner of disposition	(e) Gain recognized by partnership	(f) Depreciation recapture recognized by partnership	(g) Gain allocated to partner	(h) Depreciation recapture allocated to partner

Part III Is any transfer reported on this schedule subject to gain recognition under section 904(f)(3) or section 904(f)(5)(F)? ☐ Yes ☐ No

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 8865.

Schedule O (Form 8865) 2017

Form **8865****Return of U.S. Persons With Respect to
Certain Foreign Partnerships**

OMB No. 1545-1668

Department of the Treasury
Internal Revenue Service

► **Attach to your tax return.**
 ► **Go to www.irs.gov/Form8865 for instructions and the latest information.**
 Information furnished for the foreign partnership's tax year
 beginning **JAN 1**, 2017, and ending **DEC 31**, 2017

2017Attachment
Sequence No. **118**

Name of person filing this return

Filer's identifying number
77-0348912**THE SOBRATO FAMILY FOUNDATION**

Filer's address (if you are not filing this form with your tax return)

A Category of filer (see Categories of Filers in the instructions and check applicable box(es):1 ☐ 2 ☐ 3 ☒ 4 ☐**B** Filer's tax year beginning **JAN 1**, 2017, and ending **DEC 31**, 2017**C** Filer's share of liabilities: Nonrecourse \$ Qualified nonrecourse financing \$ Other \$**D** If filer is a member of a consolidated group but not the parent, enter the following information about the parent:

Name

EIN

Address

E Check if any excepted specified foreign financial assets are reported on this form (see instructions) ☐**F** Information about certain other partners (see instructions)

(1) Name	(2) Address	(3) Identifying number	(4) Check applicable box(es)		
			Category 1	Category 2	Constructive owner

G1 Name and address of foreign partnership
TRIDENT VI PARALLEL FUND LP
C/O STONE PONIT CAPITAL, LLC
20 HORSENECK LANE
GREENWICH, CT 06830
2(a) EIN (if any)**98-1132009****2(b)** Reference ID number**3** Country under whose laws organized**CAYMAN ISLANDS**

4 Date of organization 07/18/2013	5 Principal place of business	6 Principal business activity code number 523900	7 Principal business activity INVESTMENTS	8a Functional currency US DOLLARS	8b Exchange rate (see instr.)
--	--------------------------------------	---	--	--	--------------------------------------

H Provide the following information for the foreign partnership's tax year:**1** Name, address, and identifying number of agent (if any) in the United States**2** Check if the foreign partnership must file:☒ Form 1042 ☐ Form 8804 ☒ Form 1065 or 1065-B

Service Center where Form 1065 or 1065-B is filed:

E-FILE**3** Name and address of foreign partnership's agent in country of organization, if any
N/A**4** Name and address of person(s) with custody of the books and records of the foreign partnership, and the location of such books and records, if different
N/A**5** Were any special allocations made by the foreign partnership? ☐ Yes ☒ No**6** Enter the no. of Forms 8858, Info Return of U.S. Persons With Respect To Foreign Disregarded Entities, attached to this return**7** How is this partnership classified under the law of the country in which it is organized? **LIMITED PARTNERSHIP****8a** Does the filer have an interest in the foreign partnership, or an interest indirectly through the foreign partnership, that is a separate unit under Reg. 1.1503(d)-1(b)(4) or part of a combined separate unit under Reg. 1.1503(d)-1(b)(4)(ii)? If "No," skip question 8b. ☐ Yes ☒ No**b** If "Yes," does the separate unit or combined separate unit have a dual consolidated loss as defined in Reg. 1.1503(d)-1(b)(5)(ii)? ☐ Yes ☐ No
9 Does this partnership meet **both** of the following requirements?
 • The partnership's total receipts for the tax year were less than \$250,000 and
 • The value of the partnership's total assets at the end of the tax year was less than \$1 million.
 If "Yes," **do not** complete Schedules L, M-1, and M-2. ☐ Yes ☐ No
Sign Here
Only If You
Are Filing
This Form
Separately
and Not With
Your Tax
Return.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than general partner or limited liability company member) is based on all information of which preparer has any knowledge.

Signature of general partner or limited liability company member

Date

Paid Preparer Use Only	Print/Type preparer's name SCOTT DAILY	Preparer's signature	Date 11/14/18	Check <input type="checkbox"/> if self-employed	PTIN P01280203
	Firm's name ►FRANK, RIMERMAN + CO. LLP	Firm's EIN ► 94-1341042		Phone no.	
	Firm's address ►60 SOUTH MARKET STREET, SUITE 500 SAN JOSE, CA 95113	(408) 279-5566			

Schedule A

Constructive Ownership of Partnership Interest. Check the boxes that apply to the filer. If you check box **b**, enter the name, address, and U.S. taxpayer identifying number (if any) of the person(s) whose interest you constructively own. See instructions.

a ☒ Owns a direct interest

b ☐ Owns a constructive interest

Name	Address	Identifying number (if any)	Check if foreign person	Check if direct partner

Schedule A-1

Certain Partners of Foreign Partnership (see instructions)

Name	Address	Identifying number (if any)	Check if foreign person

Does the partnership have any other foreign person as a direct partner? ☒ **Yes** ☐ **No**

Schedule A-2

Affiliation Schedule. List all partnerships (foreign or domestic) in which the foreign partnership owns a direct interest or indirectly owns a 10% interest.

Name	Address	EIN (if any)	Total ordinary income or loss	Check if foreign partnership

Schedule B

Income Statement - Trade or Business Income

Caution: Include **only** trade or business income and expenses on lines 1a through 22 below. See the instructions for more information.

Income	1 a Gross receipts or sales	1a		
	b Less returns and allowances	1b		1c
	2 Cost of goods sold			2
	3 Gross profit. Subtract line 2 from line 1c			3
	4 Ordinary income (loss) from other partnerships, estates, and trusts (attach statement)			4
	5 Net farm profit (loss) (attach Schedule F (Form 1040))			5
	6 Net gain (loss) from Form 4797, Part II, line 17 (attach Form 4797)			6
7 Other income (loss) (attach statement)			7	
8 Total income (loss). Combine lines 3 through 7			8	
Deductions (see instructions for limitations)	9 Salaries and wages (other than to partners) (less employment credits)			9
	10 Guaranteed payments to partners			10
	11 Repairs and maintenance			11
	12 Bad debts			12
	13 Rent			13
	14 Taxes and licenses			14
	15 Interest			15
	16 a Depreciation (if required, attach Form 4562)	16a		
	b Less depreciation reported elsewhere on return	16b		16c
	17 Depletion (Do not deduct oil and gas depletion.)			17
	18 Retirement plans, etc.			18
	19 Employee benefit programs			19
	20 Other deductions (attach statement)			20
	21 Total deductions. Add the amounts shown in the far right column for lines 9 through 20			21
22 Ordinary business income (loss) from trade or business activities. Subtract line 21 from line 8			22	

SCHEDULE O
(Form 8865)

Department of the Treasury
Internal Revenue Service

Transfer of Property to a Foreign Partnership
(under section 6038B)

▶ Attach to Form 8865. See Instructions for Form 8865.
▶ Go to www.irs.gov/Form8865 for instructions and the latest information.

OMB No. 1545-1668

2017

Name of transferor THE SOBRATO FAMILY FOUNDATION		Filer's identifying number 77-0348912	
Name of foreign partnership TRIDENT VI PARALLEL FUND LP C/O STONE PONIT CAPITAL, LLC	EIN (if any) 98-1132009	Reference ID number (see instr)	
1 a Is the partnership a section 721(c) partnership (as defined in Temporary Regulations section 1.721(c)-1T(b)(14)? See instructions		<input type="checkbox"/> Yes	<input type="checkbox"/> No
b If "Yes," was the gain deferral method applied to avoid the recognition of gain upon the contribution of property?		<input type="checkbox"/> Yes	<input type="checkbox"/> No
2 Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)?		<input type="checkbox"/> Yes	<input type="checkbox"/> No

Part I Transfers Reportable Under Section 6038B						
Type of property	(a) Date of transfer	(b) Number of items transferred	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Section 704(c) allocation method	(f) Gain recognized on transfer
Cash			527,058.			
Stock, notes receivable and payable, and other securities						
Inventory						
Tangible property used in trade or business						
Intangible property described in section 197(f)(9)						
Intangible property, other than intangible property described in section 197(f)(9)						
Other property						
Totals			527,058.			

3 Enter the transferor's percentage interest in the partnership: (a) Before the transfer	.1057 %	(b) After the transfer	.1058 %
--	---------	------------------------	---------

Supplemental Information Required To Be Reported (see instructions):

Part II Dispositions Reportable Under Section 6038B							
(a) Type of property	(b) Date of original transfer	(c) Date of disposition	(d) Manner of disposition	(e) Gain recognized by partnership	(f) Depreciation recapture recognized by partnership	(g) Gain allocated to partner	(h) Depreciation recapture allocated to partner

Part III Is any transfer reported on this schedule subject to gain recognition under section 904(f)(3) or section 904(f)(5)(F)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
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Form **8865****Return of U.S. Persons With Respect to
Certain Foreign Partnerships**

OMB No. 1545-1668

Department of the Treasury
Internal Revenue Service

► **Attach to your tax return.**
► **Go to www.irs.gov/Form8865 for instructions and the latest information.**
Information furnished for the foreign partnership's tax year
beginning **JAN 1**, 2017, and ending **DEC 31**, 2017

2017Attachment
Sequence No. **118**

Name of person filing this return

Filer's identifying number

77-0348912**THE SOBRATO FAMILY FOUNDATION**

Filer's address (if you are not filing this form with your tax return)

A Category of filer (see Categories of Filers in the instructions and check applicable box(es):1 ☐ 2 ☐ 3 ☒ 4 ☐**B** Filer's tax year beginning **JAN 1**, 2017, and ending **DEC 31**, 2017**C** Filer's share of liabilities: Nonrecourse \$ Qualified nonrecourse financing \$ Other \$**D** If filer is a member of a consolidated group but not the parent, enter the following information about the parent:

Name

EIN

Address

E Check if any excepted specified foreign financial assets are reported on this form (see instructions) ☐**F** Information about certain other partners (see instructions)

(1) Name	(2) Address	(3) Identifying number	(4) Check applicable box(es)		
			Category 1	Category 2	Constructive owner

G1 Name and address of foreign partnership**2(a)** EIN (if any)**46-3800867****RIVERWOOD CAPITAL PARTNERS II L.P.****2(b)** Reference ID number**70 WILLOW ROAD, SUITE 100
MENLO PARK, CA 94025****3** Country under whose laws organized**CAYMAN ISLANDS**

4 Date of organization 01/01/2014	5 Principal place of business CAYMAN ISLANDS	6 Principal business activity code number 525990	7 Principal business activity INVESTMENTS	8a Functional currency US DOLLARS	8b Exchange rate (see instr.)
--	---	---	--	--	--------------------------------------

H Provide the following information for the foreign partnership's tax year:**1** Name, address, and identifying number of agent (if any) in the United States**2** Check if the foreign partnership must file:☐ Form 1042 ☐ Form 8804 ☒ Form 1065 or 1065-B
Service Center where Form 1065 or 1065-B is filed:**3** Name and address of foreign partnership's agent in country of organization, if any
**C/O MAPLES CORPORATE SERVICES LIMITED
PO BOX 309, UGLAND HOUSE
GRAND CAYMAN, CAYMAN ISLANDS KY1-110****4** Name and address of person(s) with custody of the books and records of the foreign partnership, and the location of such books and records, if different**THOMAS SMACH
70 WILLOW ROAD, SUITE 100
MENLO PARK, CA 94025****5** Were any special allocations made by the foreign partnership? ☐ Yes ☒ No**6** Enter the no. of Forms 8858, Info Return of U.S. Persons With Respect To Foreign Disregarded Entities, attached to this return ☐**7** How is this partnership classified under the law of the country in which it is organized? ☐ **LTD PARTNERSHIP****8a** Does the filer have an interest in the foreign partnership, or an interest indirectly through the foreign partnership, that is a separate unit under Reg. 1.1503(d)-1(b)(4) or part of a combined separate unit under Reg. 1.1503(d)-1(b)(4)(ii)? If "No," skip question 8b. ☐ Yes ☐ No**b** If "Yes," does the separate unit or combined separate unit have a dual consolidated loss as defined in Reg. 1.1503(d)-1(b)(5)(ii)? ☐ Yes ☐ No**9** Does this partnership meet **both** of the following requirements?
• The partnership's total receipts for the tax year were less than \$250,000 and
• The value of the partnership's total assets at the end of the tax year was less than \$1 million. ☐ Yes ☐ No
If "Yes," **do not** complete Schedules L, M-1, and M-2.Sign Here
Only If You
Are Filing
This Form
Separately
and Not With
Your Tax
Return.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than general partner or limited liability company member) is based on all information of which preparer has any knowledge.

Signature of general partner or limited liability company member

Date

**Paid
Preparer
Use
Only**

Print/Type preparer's name SCOTT DAILY	Preparer's signature	Date 11/14/18	Check <input type="checkbox"/> if self-employed	PTIN P01280203
Firm's name ► FRANK, RIMERMAN + CO. LLP	Firm's EIN ► 94-1341042			
Firm's address ► 60 SOUTH MARKET STREET, SUITE 500 SAN JOSE, CA 95113	Phone no. (408) 279-5566			

Schedule A

Constructive Ownership of Partnership Interest. Check the boxes that apply to the filer. If you check box **b**, enter the name, address, and U.S. taxpayer identifying number (if any) of the person(s) whose interest you constructively own. See instructions.

a ☒ Owns a direct interest

b ☐ Owns a constructive interest

Name	Address	Identifying number (if any)	Check if foreign person	Check if direct partner

Schedule A-1

Certain Partners of Foreign Partnership (see instructions)

Name	Address	Identifying number (if any)	Check if foreign person

Does the partnership have any other foreign person as a direct partner? ☒ **Yes** ☐ **No**

Schedule A-2

Affiliation Schedule. List all partnerships (foreign or domestic) in which the foreign partnership owns a direct interest or indirectly owns a 10% interest.

Name	Address	EIN (if any)	Total ordinary income or loss	Check if foreign partnership
99 TAXIS LTD	AVE. DOS BANDEIRANTES, 460	98-1153759		<input checked="" type="checkbox"/>
	SAO PAULO, BRAZIL			
RW CABLE (CAYMAN) HOLDINGS	70 WILLOW CREEK ROAD #100	30-1002509		
	MENLO PARK, CA			

Schedule B

Income Statement - Trade or Business Income

Caution: Include **only** trade or business income and expenses on lines 1a through 22 below. See the instructions for more information.

Income	1 a Gross receipts or sales	1a		
	b Less returns and allowances	1b		1c
	2 Cost of goods sold			2
	3 Gross profit. Subtract line 2 from line 1c			3
	4 Ordinary income (loss) from other partnerships, estates, and trusts (attach statement)			4
	5 Net farm profit (loss) (attach Schedule F (Form 1040))			5
	6 Net gain (loss) from Form 4797, Part II, line 17 (attach Form 4797)			6
	7 Other income (loss) (attach statement)			7
8 Total income (loss). Combine lines 3 through 7			8	
Deductions (see instructions for limitations)	9 Salaries and wages (other than to partners) (less employment credits)			9
	10 Guaranteed payments to partners			10
	11 Repairs and maintenance			11
	12 Bad debts			12
	13 Rent			13
	14 Taxes and licenses			14
	15 Interest			15
	16 a Depreciation (if required, attach Form 4562)	16a		
	b Less depreciation reported elsewhere on return	16b		16c
	17 Depletion (Do not deduct oil and gas depletion.)			17
	18 Retirement plans, etc.			18
	19 Employee benefit programs			19
	20 Other deductions (attach statement)			20
	21 Total deductions. Add the amounts shown in the far right column for lines 9 through 20			21
22 Ordinary business income (loss) from trade or business activities. Subtract line 21 from line 8			22	

**SCHEDULE O
(Form 8865)**Department of the Treasury
Internal Revenue Service**Transfer of Property to a Foreign Partnership
(under section 6038B)**▶ Attach to Form 8865. See Instructions for Form 8865.
▶ Go to www.irs.gov/Form8865 for instructions and the latest information.

OMB No. 1545-1668

2017

Name of transferor THE SOBRATO FAMILY FOUNDATION		Filer's identifying number 77-0348912
Name of foreign partnership RIVERWOOD CAPITAL PARTNERS II L.P	EIN (if any) 46-3800867	Reference ID number (see instr)
1 a Is the partnership a section 721(c) partnership (as defined in Temporary Regulations section 1.721(c)-1T(b)(14)? See instructions <input type="checkbox"/> Yes <input type="checkbox"/> No		
b If "Yes," was the gain deferral method applied to avoid the recognition of gain upon the contribution of property? <input type="checkbox"/> Yes <input type="checkbox"/> No		
2 Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)? <input type="checkbox"/> Yes <input type="checkbox"/> No		

Part I Transfers Reportable Under Section 6038B						
Type of property	(a) Date of transfer	(b) Number of items transferred	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Section 704(c) allocation method	(f) Gain recognized on transfer
Cash			218,838.			
Stock, notes receivable and payable, and other securities						
Inventory						
Tangible property used in trade or business						
Intangible property described in section 197(f)(9)						
Intangible property, other than intangible property described in section 197(f)(9)						
Other property						
Totals			218,838.			

3 Enter the transferor's percentage interest in the partnership: (a) Before the transfer	.1036 %	(b) After the transfer	.1036 %
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Supplemental Information Required To Be Reported (see instructions):

Part II Dispositions Reportable Under Section 6038B							
(a) Type of property	(b) Date of original transfer	(c) Date of disposition	(d) Manner of disposition	(e) Gain recognized by partnership	(f) Depreciation recapture recognized by partnership	(g) Gain allocated to partner	(h) Depreciation recapture allocated to partner

Part III Is any transfer reported on this schedule subject to gain recognition under section 904(f)(3) or section 904(f)(5)(F)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
--	---

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 8865.

Schedule O (Form 8865) 2017

Form **8865****Return of U.S. Persons With Respect to
Certain Foreign Partnerships**

OMB No. 1545-1668

Department of the Treasury
Internal Revenue Service

► **Attach to your tax return.**
► **Go to www.irs.gov/Form8865 for instructions and the latest information.**
Information furnished for the foreign partnership's tax year
beginning **JAN 1**, 2017, and ending **DEC 31**, 2017

2017Attachment
Sequence No. **118**

Name of person filing this return

Filer's identifying number

77-0348912**THE SOBRATO FAMILY FOUNDATION**

Filer's address (if you are not filing this form with your tax return)

A Category of filer (see Categories of Filers in the instructions and check applicable box(es):1 ☐ 2 ☐ 3 ☒ 4 ☐**B** Filer's tax year beginning **JAN 1**, 2017, and ending **DEC 31**, 2017**C** Filer's share of liabilities: Nonrecourse \$ Qualified nonrecourse financing \$ Other \$**D** If filer is a member of a consolidated group but not the parent, enter the following information about the parent:

Name

EIN

Address

E Check if any excepted specified foreign financial assets are reported on this form (see instructions) ☐**F** Information about certain other partners (see instructions)

(1) Name	(2) Address	(3) Identifying number	(4) Check applicable box(es)		
			Category 1	Category 2	Constructive owner

G1 Name and address of foreign partnership**2(a)** EIN (if any)**98-0632180****2(b)** Reference ID number**3** Country under whose laws organized**NEWBURY EQUITY PARTNERS II (CAYMAN), LP****100 FIRST STAMFORD PLACE 2ND FLOOR
STAMFORD, CT 06902**

4 Date of organization	5 Principal place of business	6 Principal business activity code number	7 Principal business activity	8a Functional currency	8b Exchange rate (see instr.)
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H Provide the following information for the foreign partnership's tax year:**1** Name, address, and identifying number of agent (if any) in the United States**2** Check if the foreign partnership must file:☐ Form 1042 ☐ Form 8804 ☒ Form 1065 or 1065-B

Service Center where Form 1065 or 1065-B is filed:

3 Name and address of foreign partnership's agent in country of organization, if any**4** Name and address of person(s) with custody of the books and records of the foreign partnership, and the location of such books and records, if different**5** Were any special allocations made by the foreign partnership? ☐ Yes ☐ No**6** Enter the no. of Forms 8858, Info Return of U.S. Persons With Respect To Foreign Disregarded Entities, attached to this return ☐**7** How is this partnership classified under the law of the country in which it is organized? ☐**8a** Does the filer have an interest in the foreign partnership, or an interest indirectly through the foreign partnership, that is a separate unit under Reg. 1.1503(d)-1(b)(4) or part of a combined separate unit under Reg. 1.1503(d)-1(b)(4)(ii)? If "No," skip question 8b. ☐ Yes ☐ No**b** If "Yes," does the separate unit or combined separate unit have a dual consolidated loss as defined in Reg. 1.1503(d)-1(b)(5)(ii)? ☐ Yes ☐ No**9** Does this partnership meet **both** of the following requirements? ☐ Yes ☐ No

- The partnership's total receipts for the tax year were less than \$250,000 and
- The value of the partnership's total assets at the end of the tax year was less than \$1 million.

If "Yes," **do not** complete Schedules L, M-1, and M-2.Sign Here
Only If You
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Separately
and Not With
Your Tax
Return.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than general partner or limited liability company member) is based on all information of which preparer has any knowledge.

Signature of general partner or limited liability company member

Date

**Paid
Preparer
Use
Only**

Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
SCOTT DAILY		11/14/18		P01280203
Firm's name ►FRANK, RIMERMAN + CO. LLP			Firm's EIN ► 94-1341042	
Firm's address ►60 SOUTH MARKET STREET, SUITE 500			Phone no.	
SAN JOSE, CA 95113			(408) 279-5566	

Schedule A

Constructive Ownership of Partnership Interest. Check the boxes that apply to the filer. If you check box **b**, enter the name, address, and U.S. taxpayer identifying number (if any) of the person(s) whose interest you constructively own. See instructions.

a ☐ Owns a direct interest

b ☐ Owns a constructive interest

Name	Address	Identifying number (if any)	Check if foreign person	Check if direct partner

Schedule A-1

Certain Partners of Foreign Partnership (see instructions)

Name	Address	Identifying number (if any)	Check if foreign person

Does the partnership have any other foreign person as a direct partner? ☐ Yes ☐ No

Schedule A-2

Affiliation Schedule. List all partnerships (foreign or domestic) in which the foreign partnership owns a direct interest or indirectly owns a 10% interest.

Name	Address	EIN (if any)	Total ordinary income or loss	Check if foreign partnership

Schedule B

Income Statement - Trade or Business Income

Caution: Include **only** trade or business income and expenses on lines 1a through 22 below. See the instructions for more information.

Income	1 a Gross receipts or sales	1a		
	b Less returns and allowances	1b		1c
	2 Cost of goods sold			2
	3 Gross profit. Subtract line 2 from line 1c			3
	4 Ordinary income (loss) from other partnerships, estates, and trusts (attach statement)			4
	5 Net farm profit (loss) (attach Schedule F (Form 1040))			5
	6 Net gain (loss) from Form 4797, Part II, line 17 (attach Form 4797)			6
7 Other income (loss) (attach statement)			7	
8 Total income (loss). Combine lines 3 through 7			8	
Deductions (see instructions for limitations)	9 Salaries and wages (other than to partners) (less employment credits)			9
	10 Guaranteed payments to partners			10
	11 Repairs and maintenance			11
	12 Bad debts			12
	13 Rent			13
	14 Taxes and licenses			14
	15 Interest			15
	16 a Depreciation (if required, attach Form 4562)	16a		
	b Less depreciation reported elsewhere on return	16b		16c
	17 Depletion (Do not deduct oil and gas depletion.)			17
	18 Retirement plans, etc.			18
	19 Employee benefit programs			19
	20 Other deductions (attach statement)			20
	21 Total deductions. Add the amounts shown in the far right column for lines 9 through 20			21
22 Ordinary business income (loss) from trade or business activities. Subtract line 21 from line 8			22	

**SCHEDULE O
(Form 8865)**

Department of the Treasury
Internal Revenue Service

**Transfer of Property to a Foreign Partnership
(under section 6038B)**

▶ Attach to Form 8865. See Instructions for Form 8865.
▶ Go to www.irs.gov/Form8865 for instructions and the latest information.

OMB No. 1545-1668

2017

Name of transferor THE SOBRATO FAMILY FOUNDATION		Filer's identifying number 77-0348912	
Name of foreign partnership NEWBURY EQUITY PARTNERS II (CAYMA	EIN (if any) 98-0632180	Reference ID number (see instr)	

1 a Is the partnership a section 721(c) partnership (as defined in Temporary Regulations section 1.721(c)-1T(b)(14)? See instructions ☐ Yes ☐ No

b If "Yes," was the gain deferral method applied to avoid the recognition of gain upon the contribution of property? ☐ Yes ☐ No

2 Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)? ☐ Yes ☐ No

Part I Transfers Reportable Under Section 6038B						
Type of property	(a) Date of transfer	(b) Number of items transferred	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Section 704(c) allocation method	(f) Gain recognized on transfer
Cash			45,000.			
Stock, notes receivable and payable, and other securities						
Inventory						
Tangible property used in trade or business						
Intangible property described in section 197(f)(9)						
Intangible property, other than intangible property described in section 197(f)(9)						
Other property						
Totals			45,000.			

3 Enter the transferor's percentage interest in the partnership: (a) Before the transfer **.2439** % (b) After the transfer **.2559** %

Supplemental Information Required To Be Reported (see instructions):

Part II Dispositions Reportable Under Section 6038B							
(a) Type of property	(b) Date of original transfer	(c) Date of disposition	(d) Manner of disposition	(e) Gain recognized by partnership	(f) Depreciation recapture recognized by partnership	(g) Gain allocated to partner	(h) Depreciation recapture allocated to partner

Part III Is any transfer reported on this schedule subject to gain recognition under section 904(f)(3) or section 904(f)(5)(F)? ☐ Yes ☒ No

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 8865.

Schedule O (Form 8865) 2017

Form **8865****Return of U.S. Persons With Respect to
Certain Foreign Partnerships**

OMB No. 1545-1668

Department of the Treasury
Internal Revenue Service

► **Attach to your tax return.**
► **Go to www.irs.gov/Form8865 for instructions and the latest information.**
Information furnished for the foreign partnership's tax year
beginning **JAN 1**, 2017, and ending **DEC 31**, 2017

2017Attachment
Sequence No. **118**

Name of person filing this return

Filer's identifying number

77-0348912**THE SOBRATO FAMILY FOUNDATION**

Filer's address (if you are not filing this form with your tax return)

A Category of filer (see Categories of Filers in the instructions and check applicable box(es)):1 ☐ 2 ☐ 3 ☒ 4 ☐**B** Filer's tax year beginning **JAN 1**, 2017, and ending **DEC 31**, 2017**C** Filer's share of liabilities: Nonrecourse \$ Qualified nonrecourse financing \$ Other \$**D** If filer is a member of a consolidated group but not the parent, enter the following information about the parent:

Name

EIN

Address

E Check if any excepted specified foreign financial assets are reported on this form (see instructions) ☐**F** Information about certain other partners (see instructions)

(1) Name	(2) Address	(3) Identifying number	(4) Check applicable box(es)		
			Category 1	Category 2	Constructive owner

G1 Name and address of foreign partnership**2(a)** EIN (if any)**OAKTREE POWER OPPORTUNITIES FUND****2(b)** Reference ID number**3** Country under whose laws organized

4 Date of organization	5 Principal place of business	6 Principal business activity code number	7 Principal business activity	8a Functional currency	8b Exchange rate (see instr.)
-------------------------------	--------------------------------------	--	--------------------------------------	-------------------------------	--------------------------------------

H Provide the following information for the foreign partnership's tax year:**1** Name, address, and identifying number of agent (if any) in the United States**2** Check if the foreign partnership must file:☐ Form 1042 ☐ Form 8804 ☒ Form 1065 or 1065-B
Service Center where Form 1065 or 1065-B is filed:**3** Name and address of foreign partnership's agent in country of organization, if any**4** Name and address of person(s) with custody of the books and records of the foreign partnership, and the location of such books and records, if different**5** Were any special allocations made by the foreign partnership? ☐ Yes ☐ No**6** Enter the no. of Forms 8858, Info Return of U.S. Persons With Respect To Foreign Disregarded Entities, attached to this return ☐**7** How is this partnership classified under the law of the country in which it is organized? ☐**8a** Does the filer have an interest in the foreign partnership, or an interest indirectly through the foreign partnership, that is a separate unit under Reg. 1.1503(d)-1(b)(4) or part of a combined separate unit under Reg. 1.1503(d)-1(b)(4)(ii)? If "No," skip question 8b. ☐ Yes ☐ No**b** If "Yes," does the separate unit or combined separate unit have a dual consolidated loss as defined in Reg. 1.1503(d)-1(b)(5)(ii)? ☐ Yes ☐ No**9** Does this partnership meet **both** of the following requirements?
• The partnership's total receipts for the tax year were less than \$250,000 and
• The value of the partnership's total assets at the end of the tax year was less than \$1 million. ☐ Yes ☐ No
If "Yes," **do not** complete Schedules L, M-1, and M-2.Sign Here
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Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than general partner or limited liability company member) is based on all information of which preparer has any knowledge.

Signature of general partner or limited liability company member

Date

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	SCOTT DAILY		11/14/18		P01280203
	Firm's name ► FRANK, RIMERMAN + CO. LLP	Firm's EIN ► 94-1341042			
	Firm's address ► 60 SOUTH MARKET STREET, SUITE 500 SAN JOSE, CA 95113	Phone no. (408) 279-5566			

Schedule A

Constructive Ownership of Partnership Interest. Check the boxes that apply to the filer. If you check box **b**, enter the name, address, and U.S. taxpayer identifying number (if any) of the person(s) whose interest you constructively own. See instructions.

a ☐ Owns a direct interest

b ☐ Owns a constructive interest

Name	Address	Identifying number (if any)	Check if foreign person	Check if direct partner

Schedule A-1

Certain Partners of Foreign Partnership (see instructions)

Name	Address	Identifying number (if any)	Check if foreign person

Does the partnership have any other foreign person as a direct partner? ☐ Yes ☐ No

Schedule A-2

Affiliation Schedule. List all partnerships (foreign or domestic) in which the foreign partnership owns a direct interest or indirectly owns a 10% interest.

Name	Address	EIN (if any)	Total ordinary income or loss	Check if foreign partnership

Schedule B

Income Statement - Trade or Business Income

Caution: Include **only** trade or business income and expenses on lines 1a through 22 below. See the instructions for more information.

Income	1 a Gross receipts or sales	1a		
	b Less returns and allowances	1b		1c
	2 Cost of goods sold			2
	3 Gross profit. Subtract line 2 from line 1c			3
	4 Ordinary income (loss) from other partnerships, estates, and trusts (attach statement)			4
	5 Net farm profit (loss) (attach Schedule F (Form 1040))			5
	6 Net gain (loss) from Form 4797, Part II, line 17 (attach Form 4797)			6
7 Other income (loss) (attach statement)			7	
8 Total income (loss). Combine lines 3 through 7			8	
Deductions (see instructions for limitations)	9 Salaries and wages (other than to partners) (less employment credits)			9
	10 Guaranteed payments to partners			10
	11 Repairs and maintenance			11
	12 Bad debts			12
	13 Rent			13
	14 Taxes and licenses			14
	15 Interest			15
	16 a Depreciation (if required, attach Form 4562)	16a		
	b Less depreciation reported elsewhere on return	16b		16c
	17 Depletion (Do not deduct oil and gas depletion.)			17
	18 Retirement plans, etc.			18
	19 Employee benefit programs			19
	20 Other deductions (attach statement)			20
	21 Total deductions. Add the amounts shown in the far right column for lines 9 through 20			21
22 Ordinary business income (loss) from trade or business activities. Subtract line 21 from line 8			22	

**SCHEDULE O
(Form 8865)**

Department of the Treasury
Internal Revenue Service

**Transfer of Property to a Foreign Partnership
(under section 6038B)**

▶ Attach to Form 8865. See Instructions for Form 8865.
▶ Go to www.irs.gov/Form8865 for instructions and the latest information.

OMB No. 1545-1668

2017

Name of transferor THE SOBRATO FAMILY FOUNDATION		Filer's identifying number 77-0348912	
Name of foreign partnership OAKTREE POWER OPPORTUNITIES FUND	EIN (if any)	Reference ID number (see instr)	

- 1 a** Is the partnership a section 721(c) partnership (as defined in Temporary Regulations section 1.721(c)-1T(b)(14)? See instructions ☐ Yes ☐ No
- b** If "Yes," was the gain deferral method applied to avoid the recognition of gain upon the contribution of property? ☐ Yes ☐ No
- 2** Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)? ☐ Yes ☐ No

Part I Transfers Reportable Under Section 6038B

Type of property	(a) Date of transfer	(b) Number of items transferred	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Section 704(c) allocation method	(f) Gain recognized on transfer
Cash			19,773.			
Stock, notes receivable and payable, and other securities						
Inventory						
Tangible property used in trade or business						
Intangible property described in section 197(f)(9)						
Intangible property, other than intangible property described in section 197(f)(9)						
Other property						
Totals			19,773.			

3 Enter the transferor's percentage interest in the partnership: (a) Before the transfer **.2800** % (b) After the transfer **.2800** %

Supplemental Information Required To Be Reported (see instructions):

Part II Dispositions Reportable Under Section 6038B

(a) Type of property	(b) Date of original transfer	(c) Date of disposition	(d) Manner of disposition	(e) Gain recognized by partnership	(f) Depreciation recapture recognized by partnership	(g) Gain allocated to partner	(h) Depreciation recapture allocated to partner

Part III Is any transfer reported on this schedule subject to gain recognition under section 904(f)(3) or section 904(f)(5)(F)? ☐ Yes ☐ No

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 8865.

Schedule O (Form 8865) 2017

Form **8865****Return of U.S. Persons With Respect to
Certain Foreign Partnerships**

OMB No. 1545-1668

Department of the Treasury
Internal Revenue Service

► **Attach to your tax return.**
► **Go to www.irs.gov/Form8865 for instructions and the latest information.**
Information furnished for the foreign partnership's tax year
beginning **JAN 1**, 2017, and ending **DEC 31**, 2017

2017Attachment
Sequence No. **118**

Name of person filing this return

Filer's identifying number

77-0348912**THE SOBRATO FAMILY FOUNDATION**

Filer's address (if you are not filing this form with your tax return)

A Category of filer (see Categories of Filers in the instructions and check applicable box(es):1 ☐ 2 ☐ 3 ☒ 4 ☐**B** Filer's tax year beginning **JAN 1**, 2017, and ending **DEC 31**, 2017**C** Filer's share of liabilities: Nonrecourse \$ Qualified nonrecourse financing \$ Other \$**D** If filer is a member of a consolidated group but not the parent, enter the following information about the parent:

Name

EIN

Address

E Check if any excepted specified foreign financial assets are reported on this form (see instructions) ☐**F** Information about certain other partners (see instructions)

(1) Name	(2) Address	(3) Identifying number	(4) Check applicable box(es)		
			Category 1	Category 2	Constructive owner

G1 Name and address of foreign partnership**2(a)** EIN (if any)**STRATEGIC PARTNERS OFFSHORE FUND V, LP****2(b)** Reference ID number**3** Country under whose laws organized

4 Date of organization	5 Principal place of business	6 Principal business activity code number	7 Principal business activity	8a Functional currency	8b Exchange rate (see instr.)
-------------------------------	--------------------------------------	--	--------------------------------------	-------------------------------	--------------------------------------

H Provide the following information for the foreign partnership's tax year:**1** Name, address, and identifying number of agent (if any) in the United States**2** Check if the foreign partnership must file:☐ Form 1042 ☐ Form 8804 ☒ Form 1065 or 1065-B
Service Center where Form 1065 or 1065-B is filed:**3** Name and address of foreign partnership's agent in country of organization, if any**4** Name and address of person(s) with custody of the books and records of the foreign partnership, and the location of such books and records, if different**5** Were any special allocations made by the foreign partnership? ☐ Yes ☐ No**6** Enter the no. of Forms 8858, Info Return of U.S. Persons With Respect To Foreign Disregarded Entities, attached to this return ☐**7** How is this partnership classified under the law of the country in which it is organized? ☐**8a** Does the filer have an interest in the foreign partnership, or an interest indirectly through the foreign partnership, that is a separate unit under Reg. 1.1503(d)-1(b)(4) or part of a combined separate unit under Reg. 1.1503(d)-1(b)(4)(ii)? If "No," skip question 8b. ☐ Yes ☐ No**b** If "Yes," does the separate unit or combined separate unit have a dual consolidated loss as defined in Reg. 1.1503(d)-1(b)(5)(ii)? ☐ Yes ☐ No**9** Does this partnership meet **both** of the following requirements?
• The partnership's total receipts for the tax year were less than \$250,000 and
• The value of the partnership's total assets at the end of the tax year was less than \$1 million. ☐ Yes ☐ No
If "Yes," **do not** complete Schedules L, M-1, and M-2.Sign Here
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Your Tax
Return.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than general partner or limited liability company member) is based on all information of which preparer has any knowledge.

Signature of general partner or limited liability company member

Date

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	SCOTT DAILY		11/14/18		P01280203
	Firm's name ► FRANK, RIMERMAN + CO. LLP	Firm's EIN ► 94-1341042			
	Firm's address ► 60 SOUTH MARKET STREET, SUITE 500 SAN JOSE, CA 95113	Phone no. (408) 279-5566			

Schedule A

Constructive Ownership of Partnership Interest. Check the boxes that apply to the filer. If you check box **b**, enter the name, address, and U.S. taxpayer identifying number (if any) of the person(s) whose interest you constructively own. See instructions.

a ☐ Owns a direct interest

b ☐ Owns a constructive interest

Name	Address	Identifying number (if any)	Check if foreign person	Check if direct partner

Schedule A-1

Certain Partners of Foreign Partnership (see instructions)

Name	Address	Identifying number (if any)	Check if foreign person

Does the partnership have any other foreign person as a direct partner? ☐ Yes ☐ No

Schedule A-2

Affiliation Schedule. List all partnerships (foreign or domestic) in which the foreign partnership owns a direct interest or indirectly owns a 10% interest.

Name	Address	EIN (if any)	Total ordinary income or loss	Check if foreign partnership

Schedule B

Income Statement - Trade or Business Income

Caution: Include **only** trade or business income and expenses on lines 1a through 22 below. See the instructions for more information.

Income	1 a Gross receipts or sales	1a		
	b Less returns and allowances	1b		1c
	2 Cost of goods sold			2
	3 Gross profit. Subtract line 2 from line 1c			3
	4 Ordinary income (loss) from other partnerships, estates, and trusts (attach statement)			4
	5 Net farm profit (loss) (attach Schedule F (Form 1040))			5
	6 Net gain (loss) from Form 4797, Part II, line 17 (attach Form 4797)			6
7 Other income (loss) (attach statement)			7	
8 Total income (loss). Combine lines 3 through 7			8	
Deductions (see instructions for limitations)	9 Salaries and wages (other than to partners) (less employment credits)			9
	10 Guaranteed payments to partners			10
	11 Repairs and maintenance			11
	12 Bad debts			12
	13 Rent			13
	14 Taxes and licenses			14
	15 Interest			15
	16 a Depreciation (if required, attach Form 4562)	16a		
	b Less depreciation reported elsewhere on return	16b		16c
	17 Depletion (Do not deduct oil and gas depletion.)			17
	18 Retirement plans, etc.			18
	19 Employee benefit programs			19
	20 Other deductions (attach statement)			20
	21 Total deductions. Add the amounts shown in the far right column for lines 9 through 20			21
	22 Ordinary business income (loss) from trade or business activities. Subtract line 21 from line 8			22

SCHEDULE O
(Form 8865)

Department of the Treasury
Internal Revenue Service

Transfer of Property to a Foreign Partnership
(under section 6038B)

▶ **Attach to Form 8865. See Instructions for Form 8865.**
▶ **Go to www.irs.gov/Form8865 for instructions and the latest information.**

OMB No. 1545-1668

2017

Name of transferor **THE SOBRATO FAMILY FOUNDATION** Filer's identifying number **77-0348912**
Name of foreign partnership **STRATEGIC PARTNERS OFFSHORE FUND** EIN (if any) Reference ID number (see instr)

- 1 a** Is the partnership a section 721(c) partnership (as defined in Temporary Regulations section 1.721(c)-1T(b)(14)? See instructions ☐ Yes ☐ No
b If "Yes," was the gain deferral method applied to avoid the recognition of gain upon the contribution of property? ☐ Yes ☐ No
2 Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)? ☐ Yes ☐ No

Part I Transfers Reportable Under Section 6038B

Type of property	(a) Date of transfer	(b) Number of items transferred	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Section 704(c) allocation method	(f) Gain recognized on transfer
Cash			71,799.			
Stock, notes receivable and payable, and other securities						
Inventory						
Tangible property used in trade or business						
Intangible property described in section 197(f)(9)						
Intangible property, other than intangible property described in section 197(f)(9)						
Other property						
Totals			71,799.			

3 Enter the transferor's percentage interest in the partnership: (a) Before the transfer % (b) After the transfer %

Supplemental Information Required To Be Reported (see instructions):

Part II Dispositions Reportable Under Section 6038B

(a) Type of property	(b) Date of original transfer	(c) Date of disposition	(d) Manner of disposition	(e) Gain recognized by partnership	(f) Depreciation recapture recognized by partnership	(g) Gain allocated to partner	(h) Depreciation recapture allocated to partner

Part III Is any transfer reported on this schedule subject to gain recognition under section 904(f)(3) or section 904(f)(5)(F)? ☐ Yes ☐ No

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 8865.

Schedule O (Form 8865) 2017

Form **8865****Return of U.S. Persons With Respect to
Certain Foreign Partnerships**

OMB No. 1545-1668

Department of the Treasury
Internal Revenue Service

► **Attach to your tax return.**
 ► **Go to www.irs.gov/Form8865 for instructions and the latest information.**
 Information furnished for the foreign partnership's tax year
 beginning **JAN 1**, 2017, and ending **DEC 31**, 2017

2017Attachment
Sequence No. **118**

Name of person filing this return

Filer's identifying number
77-0348912**THE SOBRATO FAMILY FOUNDATION**

Filer's address (if you are not filing this form with your tax return)

A Category of filer (see Categories of Filers in the instructions and check applicable box(es):1 ☐ 2 ☐ 3 ☒ 4 ☐**B** Filer's tax year beginning **JAN 1**, 2017, and ending **DEC 31**, 2017**C** Filer's share of liabilities: Nonrecourse \$ Qualified nonrecourse financing \$ Other \$**D** If filer is a member of a consolidated group but not the parent, enter the following information about the parent:

Name

EIN

Address

E Check if any excepted specified foreign financial assets are reported on this form (see instructions) ☐**F** Information about certain other partners (see instructions)

(1) Name	(2) Address	(3) Identifying number	(4) Check applicable box(es)		
			Category 1	Category 2	Constructive owner

G1 Name and address of foreign partnership**ESP 2008 CONDUIT LP**
1 GEORGE STREET
EDINBURGH, UNITED KINGDOM EH2 2LL
2(a) EIN (if any)**98-0624883****2(b)** Reference ID number**3** Country under whose laws organized**UNITED KINGDOM**

4 Date of organization 12/17/2007	5 Principal place of business UNITED KINGDOM	6 Principal business activity code number 523900	7 Principal business activity INVESTMENTS	8a Functional currency EURO	8b Exchange rate (see instr.) .885000
--	---	---	--	--	--

H Provide the following information for the foreign partnership's tax year:
1 Name, address, and identifying number of agent (if any) in the United States
N/A
2 Check if the foreign partnership must file:
☐ Form 1042 ☐ Form 8804 ☒ Form 1065 or 1065-B
 Service Center where Form 1065 or 1065-B is filed:

3 Name and address of foreign partnership's agent in country of organization, if any
SL CAPITAL PARTNERS LLP
1 GEORGE STREET
EDINBURGH, UNITED KINGDOM EH2 2LL
4 Name and address of person(s) with custody of the books and records of the foreign partnership, and the location of such books and records, if different
N/A

- 5** Were any special allocations made by the foreign partnership? ☐ Yes ☐ No
- 6** Enter the no. of Forms 8858, Info Return of U.S. Persons With Respect To Foreign Disregarded Entities, attached to this return ...
- 7** How is this partnership classified under the law of the country in which it is organized? **LIMITED PARTNERSHIP**
- 8a** Does the filer have an interest in the foreign partnership, or an interest indirectly through the foreign partnership, that is a separate unit under Reg. 1.1503(d)-1(b)(4) or part of a combined separate unit under Reg. 1.1503(d)-1(b)(4)(ii)? If "No," skip question 8b. ☐ Yes ☐ No
- b** If "Yes," does the separate unit or combined separate unit have a dual consolidated loss as defined in Reg. 1.1503(d)-1(b)(5)(ii)? ☐ Yes ☐ No
- 9** Does this partnership meet **both** of the following requirements?
 • The partnership's total receipts for the tax year were less than \$250,000 and
 • The value of the partnership's total assets at the end of the tax year was less than \$1 million.
 If "Yes," **do not** complete Schedules L, M-1, and M-2. ☐ Yes ☐ No

Sign Here
Only If You
Are Filing
This Form
Separately
and Not With
Your Tax
Return.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than general partner or limited liability company member) is based on all information of which preparer has any knowledge.

Signature of general partner or limited liability company member

Date

Paid Preparer Use Only	Print/Type preparer's name SCOTT DAILY	Preparer's signature	Date 11/14/18	Check <input type="checkbox"/> if self-employed	PTIN P01280203
	Firm's name FRANK, RIMERMAN + CO. LLP	Firm's EIN 94-1341042		Phone no.	
	Firm's address 60 SOUTH MARKET STREET, SUITE 500 SAN JOSE, CA 95113	(408) 279-5566			

Schedule A

Constructive Ownership of Partnership Interest. Check the boxes that apply to the filer. If you check box **b**, enter the name, address, and U.S. taxpayer identifying number (if any) of the person(s) whose interest you constructively own. See instructions.

a ☒ Owns a direct interest

b ☐ Owns a constructive interest

Name	Address	Identifying number (if any)	Check if foreign person	Check if direct partner

Schedule A-1

Certain Partners of Foreign Partnership (see instructions)

Name	Address	Identifying number (if any)	Check if foreign person

Does the partnership have any other foreign person as a direct partner? ☒ Yes ☐ No

Schedule A-2

Affiliation Schedule. List all partnerships (foreign or domestic) in which the foreign partnership owns a direct interest or indirectly owns a 10% interest.

Name	Address	EIN (if any)	Total ordinary income or loss	Check if foreign partnership
PANDA LP	PO BOX 255, TRAFALGAR CT			<input checked="" type="checkbox"/>
3I MITO S1A LP	LES BANQUES, ST. PETER POR			
	16 PALACE STREET			<input checked="" type="checkbox"/>
	LONDON, UNITED KINGDOM SW1			

Schedule B

Income Statement - Trade or Business Income

Caution: Include **only** trade or business income and expenses on lines 1a through 22 below. See the instructions for more information.

Income	1 a Gross receipts or sales	1a		
	b Less returns and allowances	1b		1c
	2 Cost of goods sold		2	
	3 Gross profit. Subtract line 2 from line 1c		3	
	4 Ordinary income (loss) from other partnerships, estates, and trusts (attach statement)		4	
	5 Net farm profit (loss) (attach Schedule F (Form 1040))		5	
	6 Net gain (loss) from Form 4797, Part II, line 17 (attach Form 4797)		6	
	7 Other income (loss) (attach statement)		7	
8 Total income (loss). Combine lines 3 through 7		8		
Deductions (see instructions for limitations)	9 Salaries and wages (other than to partners) (less employment credits)		9	
	10 Guaranteed payments to partners		10	
	11 Repairs and maintenance		11	
	12 Bad debts		12	
	13 Rent		13	
	14 Taxes and licenses		14	
	15 Interest		15	
	16 a Depreciation (if required, attach Form 4562)	16a		
	b Less depreciation reported elsewhere on return	16b		16c
	17 Depletion (Do not deduct oil and gas depletion.)		17	
	18 Retirement plans, etc.		18	
	19 Employee benefit programs		19	
	20 Other deductions (attach statement)		20	
	21 Total deductions. Add the amounts shown in the far right column for lines 9 through 20		21	
22 Ordinary business income (loss) from trade or business activities. Subtract line 21 from line 8		22		

**SCHEDULE O
(Form 8865)**

Department of the Treasury
Internal Revenue Service

**Transfer of Property to a Foreign Partnership
(under section 6038B)**

▶ Attach to Form 8865. See Instructions for Form 8865.
▶ Go to www.irs.gov/Form8865 for instructions and the latest information.

OMB No. 1545-1668

2017

Name of transferor THE SOBRATO FAMILY FOUNDATION		Filer's identifying number 77-0348912	
Name of foreign partnership ESP 2008 CONDUIT LP	EIN (if any) 98-0624883	Reference ID number (see instr)	

- 1 a** Is the partnership a section 721(c) partnership (as defined in Temporary Regulations section 1.721(c)-1T(b)(14)? See instructions ☐ Yes ☐ No
- b** If "Yes," was the gain deferral method applied to avoid the recognition of gain upon the contribution of property? ☐ Yes ☐ No
- 2** Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)? ☐ Yes ☐ No

Part I Transfers Reportable Under Section 6038B

Type of property	(a) Date of transfer	(b) Number of items transferred	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Section 704(c) allocation method	(f) Gain recognized on transfer
Cash			127,291.			
Stock, notes receivable and payable, and other securities						
Inventory						
Tangible property used in trade or business						
Intangible property described in section 197(f)(9)						
Intangible property, other than intangible property described in section 197(f)(9)						
Other property						
Totals			127,291.			

3 Enter the transferor's percentage interest in the partnership: (a) Before the transfer **.4916** % (b) After the transfer **.4916** %

Supplemental Information Required To Be Reported (see instructions):

Part II Dispositions Reportable Under Section 6038B

(a) Type of property	(b) Date of original transfer	(c) Date of disposition	(d) Manner of disposition	(e) Gain recognized by partnership	(f) Depreciation recapture recognized by partnership	(g) Gain allocated to partner	(h) Depreciation recapture allocated to partner

Part III Is any transfer reported on this schedule subject to gain recognition under section 904(f)(3) or section 904(f)(5)(F)? ☐ Yes ☒ No

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 8865.

Schedule O (Form 8865) 2017

Form **8865****Return of U.S. Persons With Respect to
Certain Foreign Partnerships**

OMB No. 1545-1668

Department of the Treasury
Internal Revenue Service

► **Attach to your tax return.**
 ► **Go to www.irs.gov/Form8865 for instructions and the latest information.**
 Information furnished for the foreign partnership's tax year
 beginning **JAN 1**, 2017, and ending **DEC 31**, 2017

2017Attachment
Sequence No. **118**

Name of person filing this return

Filer's identifying number
77-0348912**THE SOBRATO FAMILY FOUNDATION**

Filer's address (if you are not filing this form with your tax return)

A Category of filer (see Categories of Filers in the instructions and check applicable box(es):1 ☐ 2 ☐ 3 ☒ 4 ☐**B** Filer's tax year beginning **JAN 1**, 2017, and ending **DEC 31**, 2017**C** Filer's share of liabilities: Nonrecourse \$ Qualified nonrecourse financing \$ Other \$**D** If filer is a member of a consolidated group but not the parent, enter the following information about the parent:

Name

EIN

Address

E Check if any excepted specified foreign financial assets are reported on this form (see instructions) ☐**F** Information about certain other partners (see instructions)

(1) Name	(2) Address	(3) Identifying number	(4) Check applicable box(es)		
			Category 1	Category 2	Constructive owner

G1 Name and address of foreign partnership
**PATRIA-BRAZILIAN PRIVATE EQUITY FUND V
 AIV I, LP
 PO BOX 309, UGLAND HOUSE
 GRAND CAYMAN, CAYMAN ISLANDS KY1-1104**
2(a) EIN (if any)**98-1178886****2(b)** Reference ID number**3** Country under whose laws organized**CAYMAN ISLANDS**

4 Date of organization 06/04/2014	5 Principal place of business BRAZIL	6 Principal business activity code number 523900	7 Principal business activity INVESTMENTS	8a Functional currency US DOLLARS	8b Exchange rate (see instr.)
--	---	---	--	--	--------------------------------------

H Provide the following information for the foreign partnership's tax year:**1** Name, address, and identifying number of agent (if any) in the United States**2** Check if the foreign partnership must file:
☐ Form 1042 ☐ Form 8804 ☒ Form 1065 or 1065-B
 Service Center where Form 1065 or 1065-B is filed:
3 Name and address of foreign partnership's agent in country of organization, if any**4** Name and address of person(s) with custody of the books and records of the foreign partnership, and the location of such books and records, if different**5** Were any special allocations made by the foreign partnership? ☒ Yes ☐ No**6** Enter the no. of Forms 8858, Info Return of U.S. Persons With Respect To Foreign Disregarded Entities, attached to this return ☐**7** How is this partnership classified under the law of the country in which it is organized? **PARTNERSHIP****8a** Does the filer have an interest in the foreign partnership, or an interest indirectly through the foreign partnership, that is a separate unit under Reg. 1.1503(d)-1(b)(4) or part of a combined separate unit under Reg. 1.1503(d)-1(b)(4)(ii)? If "No," skip question 8b. ☐ Yes ☐ No**b** If "Yes," does the separate unit or combined separate unit have a dual consolidated loss as defined in Reg. 1.1503(d)-1(b)(5)(ii)? ☐ Yes ☐ No
9 Does this partnership meet **both** of the following requirements?
 • The partnership's total receipts for the tax year were less than \$250,000 and
 • The value of the partnership's total assets at the end of the tax year was less than \$1 million. ☐ Yes ☐ No
 If "Yes," **do not** complete Schedules L, M-1, and M-2.
Sign Here
Only If You
Are Filing
This Form
Separately
and Not With
Your Tax
Return.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than general partner or limited liability company member) is based on all information of which preparer has any knowledge.

Signature of general partner or limited liability company member

Date

Paid Preparer Use Only	Print/Type preparer's name SCOTT DAILY	Preparer's signature	Date 11/14/18	Check <input type="checkbox"/> if self-employed	PTIN P01280203
	Firm's name ► FRANK, RIMERMAN + CO. LLP	Firm's EIN ► 94-1341042		Phone no.	
	Firm's address ► 60 SOUTH MARKET STREET, SUITE 500 SAN JOSE, CA 95113			(408) 279-5566	

Schedule A

Constructive Ownership of Partnership Interest. Check the boxes that apply to the filer. If you check box **b**, enter the name, address, and U.S. taxpayer identifying number (if any) of the person(s) whose interest you constructively own. See instructions.

a ☒ Owns a direct interest

b ☐ Owns a constructive interest

Name	Address	Identifying number (if any)	Check if foreign person	Check if direct partner

Schedule A-1

Certain Partners of Foreign Partnership (see instructions)

Name	Address	Identifying number (if any)	Check if foreign person

Does the partnership have any other foreign person as a direct partner? ☐ Yes ☐ No

Schedule A-2

Affiliation Schedule. List all partnerships (foreign or domestic) in which the foreign partnership owns a direct interest or indirectly owns a 10% interest.

Name	Address	EIN (if any)	Total ordinary income or loss	Check if foreign partnership
BRAZILIAN PRIVATE EQUITY VAV.	CIDADE JARDIM, 803	APPLIED FOR		<input checked="" type="checkbox"/>
	SAO PAULO, BRAZIL			

Schedule B

Income Statement - Trade or Business Income

Caution: Include **only** trade or business income and expenses on lines 1a through 22 below. See the instructions for more information.

Income	1 a Gross receipts or sales	1a		
	b Less returns and allowances	1b		1c
	2 Cost of goods sold		2	
	3 Gross profit. Subtract line 2 from line 1c		3	
	4 Ordinary income (loss) from other partnerships, estates, and trusts (attach statement)		4	
	5 Net farm profit (loss) (attach Schedule F (Form 1040))		5	
	6 Net gain (loss) from Form 4797, Part II, line 17 (attach Form 4797)		6	
	7 Other income (loss) (attach statement)		7	
8 Total income (loss). Combine lines 3 through 7		8		
Deductions (see instructions for limitations)	9 Salaries and wages (other than to partners) (less employment credits)		9	
	10 Guaranteed payments to partners		10	
	11 Repairs and maintenance		11	
	12 Bad debts		12	
	13 Rent		13	
	14 Taxes and licenses		14	
	15 Interest		15	
	16 a Depreciation (if required, attach Form 4562)	16a		
	b Less depreciation reported elsewhere on return	16b		16c
	17 Depletion (Do not deduct oil and gas depletion.)		17	
	18 Retirement plans, etc.		18	
	19 Employee benefit programs		19	
	20 Other deductions (attach statement)		20	
	21 Total deductions. Add the amounts shown in the far right column for lines 9 through 20		21	
22 Ordinary business income (loss) from trade or business activities. Subtract line 21 from line 8		22		

**SCHEDULE O
(Form 8865)**Department of the Treasury
Internal Revenue Service**Transfer of Property to a Foreign Partnership
(under section 6038B)**▶ Attach to Form 8865. See Instructions for Form 8865.
▶ Go to www.irs.gov/Form8865 for instructions and the latest information.

OMB No. 1545-1668

2017

Name of transferor THE SOBRATO FAMILY FOUNDATION		Filer's identifying number 77-0348912
Name of foreign partnership PATRIA-BRAZILIAN PRIVATE EQUITY F AIV I, LP	EIN (if any) 98-1178886	Reference ID number (see instr)
1 a Is the partnership a section 721(c) partnership (as defined in Temporary Regulations section 1.721(c)-1T(b)(14)? See instructions <input type="checkbox"/> Yes <input type="checkbox"/> No		
b If "Yes," was the gain deferral method applied to avoid the recognition of gain upon the contribution of property? <input type="checkbox"/> Yes <input type="checkbox"/> No		
2 Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)? <input type="checkbox"/> Yes <input type="checkbox"/> No		

Part I Transfers Reportable Under Section 6038B						
Type of property	(a) Date of transfer	(b) Number of items transferred	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Section 704(c) allocation method	(f) Gain recognized on transfer
Cash			502,418.			
Stock, notes receivable and payable, and other securities						
Inventory						
Tangible property used in trade or business						
Intangible property described in section 197(f)(9)						
Intangible property, other than intangible property described in section 197(f)(9)						
Other property						
Totals			502,418.			

3 Enter the transferor's percentage interest in the partnership: (a) Before the transfer	.8558 %	(b) After the transfer	.8557 %
---	----------------	------------------------	----------------

Supplemental Information Required To Be Reported (see instructions):

Part II Dispositions Reportable Under Section 6038B							
(a) Type of property	(b) Date of original transfer	(c) Date of disposition	(d) Manner of disposition	(e) Gain recognized by partnership	(f) Depreciation recapture recognized by partnership	(g) Gain allocated to partner	(h) Depreciation recapture allocated to partner

Part III Is any transfer reported on this schedule subject to gain recognition under section 904(f)(3) or section 904(f)(5)(F)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
--	---

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 8865.

Schedule O (Form 8865) 2017

Form **8865****Return of U.S. Persons With Respect to
Certain Foreign Partnerships**

OMB No. 1545-1668

Department of the Treasury
Internal Revenue Service

► **Attach to your tax return.**
► **Go to www.irs.gov/Form8865 for instructions and the latest information.**
Information furnished for the foreign partnership's tax year
beginning **JAN 1**, 2017, and ending **DEC 31**, 2017

2017Attachment
Sequence No. **118**

Name of person filing this return

Filer's identifying number

77-0348912**THE SOBRATO FAMILY FOUNDATION**

Filer's address (if you are not filing this form with your tax return)

A Category of filer (see Categories of Filers in the instructions and check applicable box(es):1 ☐ 2 ☐ 3 ☒ 4 ☐**B** Filer's tax year beginning **JAN 1**, 2017, and ending **DEC 31**, 2017**C** Filer's share of liabilities: Nonrecourse \$ Qualified nonrecourse financing \$ Other \$**D** If filer is a member of a consolidated group but not the parent, enter the following information about the parent:

Name

EIN

Address

E Check if any excepted specified foreign financial assets are reported on this form (see instructions) ☐**F** Information about certain other partners (see instructions)

(1) Name	(2) Address	(3) Identifying number	(4) Check applicable box(es)		
			Category 1	Category 2	Constructive owner

G1 Name and address of foreign partnership

**PATRIA-BRAZILIAN PRIVATE EQUITY FUND V
AIV 2, L.P.
PO BOX 309, UGLAND HOUSE
GRAND CAYMAN, CAYMAN ISLANDS KY1-1104**

2(a) EIN (if any)**98-1178954****2(b)** Reference ID number**3** Country under whose laws organized**CAYMAN ISLANDS**

4 Date of organization 06/06/2014	5 Principal place of business BRAZIL	6 Principal business activity code number 523900	7 Principal business activity INVESTMENTS	8a Functional currency US DOLLARS	8b Exchange rate (see instr.)
--	---	---	--	--	--------------------------------------

H Provide the following information for the foreign partnership's tax year:**1** Name, address, and identifying number of agent (if any) in the United States**2** Check if the foreign partnership must file:☐ Form 1042 ☐ Form 8804 ☒ Form 1065 or 1065-B

Service Center where Form 1065 or 1065-B is filed:

3 Name and address of foreign partnership's agent in country of organization, if any**4** Name and address of person(s) with custody of the books and records of the foreign partnership, and the location of such books and records, if different**5** Were any special allocations made by the foreign partnership? ☒ Yes ☐ No**6** Enter the no. of Forms 8858, Info Return of U.S. Persons With Respect To Foreign Disregarded Entities, attached to this return ☐**7** How is this partnership classified under the law of the country in which it is organized? **PARTNERSHIP****8a** Does the filer have an interest in the foreign partnership, or an interest indirectly through the foreign partnership, that is a separate unit under Reg. 1.1503(d)-1(b)(4) or part of a combined separate unit under Reg. 1.1503(d)-1(b)(4)(ii)? If "No," skip question 8b. ☐ Yes ☐ No**b** If "Yes," does the separate unit or combined separate unit have a dual consolidated loss as defined in Reg. 1.1503(d)-1(b)(5)(ii)? ☐ Yes ☐ No**9** Does this partnership meet both of the following requirements? ☐ Yes ☐ No

- The partnership's total receipts for the tax year were less than \$250,000 and
- The value of the partnership's total assets at the end of the tax year was less than \$1 million.

If "Yes," do not complete Schedules L, M-1, and M-2.

Sign Here
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Are Filing
This Form
Separately
and Not With
Your Tax
Return.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than general partner or limited liability company member) is based on all information of which preparer has any knowledge.

Signature of general partner or limited liability company member

Date

**Paid
Preparer
Use
Only**

Print/Type preparer's name SCOTT DAILY	Preparer's signature	Date 11/14/18	Check <input type="checkbox"/> if self-employed	PTIN P01280203
Firm's name FRANK, RIMERMAN + CO. LLP	Firm's EIN 94-1341042			
Firm's address 60 SOUTH MARKET STREET, SUITE 500 SAN JOSE, CA 95113	Phone no. (408) 279-5566			

Schedule A

Constructive Ownership of Partnership Interest. Check the boxes that apply to the filer. If you check box **b**, enter the name, address, and U.S. taxpayer identifying number (if any) of the person(s) whose interest you constructively own. See instructions.

a ☒ Owns a direct interest

b ☐ Owns a constructive interest

Name	Address	Identifying number (if any)	Check if foreign person	Check if direct partner

Schedule A-1

Certain Partners of Foreign Partnership (see instructions)

Name	Address	Identifying number (if any)	Check if foreign person

Does the partnership have any other foreign person as a direct partner? ☐ Yes ☐ No

Schedule A-2

Affiliation Schedule. List all partnerships (foreign or domestic) in which the foreign partnership owns a direct interest or indirectly owns a 10% interest.

Name	Address	EIN (if any)	Total ordinary income or loss	Check if foreign partnership
BRAZILIAN PRIVATE EQUITY VAV.	CIDADE JARDIM, 803	APPLIED FOR		<input checked="" type="checkbox"/>
	SAO PAULO, BRAZIL			

Schedule B

Income Statement - Trade or Business Income

Caution: Include **only** trade or business income and expenses on lines 1a through 22 below. See the instructions for more information.

Income	1 a Gross receipts or sales	1a		1c	
	b Less returns and allowances	1b		1c	
	2 Cost of goods sold		2		
	3 Gross profit. Subtract line 2 from line 1c		3		
	4 Ordinary income (loss) from other partnerships, estates, and trusts (attach statement)		4		
	5 Net farm profit (loss) (attach Schedule F (Form 1040))		5		
	6 Net gain (loss) from Form 4797, Part II, line 17 (attach Form 4797)		6		
	7 Other income (loss) (attach statement)		7		
8 Total income (loss). Combine lines 3 through 7		8			
Deductions (see instructions for limitations)	9 Salaries and wages (other than to partners) (less employment credits)		9		
	10 Guaranteed payments to partners		10		
	11 Repairs and maintenance		11		
	12 Bad debts		12		
	13 Rent		13		
	14 Taxes and licenses		14		
	15 Interest		15		
	16 a Depreciation (if required, attach Form 4562)	16a		16c	
	b Less depreciation reported elsewhere on return	16b		16c	
	17 Depletion (Do not deduct oil and gas depletion.)		17		
	18 Retirement plans, etc.		18		
	19 Employee benefit programs		19		
	20 Other deductions (attach statement)		20		
	21 Total deductions. Add the amounts shown in the far right column for lines 9 through 20		21		
22 Ordinary business income (loss) from trade or business activities. Subtract line 21 from line 8		22			

**SCHEDULE O
(Form 8865)**Department of the Treasury
Internal Revenue Service**Transfer of Property to a Foreign Partnership
(under section 6038B)**▶ Attach to Form 8865. See Instructions for Form 8865.
▶ Go to www.irs.gov/Form8865 for instructions and the latest information.

OMB No. 1545-1668

2017

Name of transferor THE SOBRATO FAMILY FOUNDATION		Filer's identifying number 77-0348912
Name of foreign partnership PATRIA-BRAZILIAN PRIVATE EQUITY F AIV 2, L.P.	EIN (if any) 98-1178954	Reference ID number (see instr)
1 a Is the partnership a section 721(c) partnership (as defined in Temporary Regulations section 1.721(c)-1T(b)(14)? See instructions <input type="checkbox"/> Yes <input type="checkbox"/> No		
b If "Yes," was the gain deferral method applied to avoid the recognition of gain upon the contribution of property? <input type="checkbox"/> Yes <input type="checkbox"/> No		
2 Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)? <input type="checkbox"/> Yes <input type="checkbox"/> No		

Part I Transfers Reportable Under Section 6038B						
Type of property	(a) Date of transfer	(b) Number of items transferred	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Section 704(c) allocation method	(f) Gain recognized on transfer
Cash			502,418.			
Stock, notes receivable and payable, and other securities						
Inventory						
Tangible property used in trade or business						
Intangible property described in section 197(f)(9)						
Intangible property, other than intangible property described in section 197(f)(9)						
Other property						
Totals			502,418.			

3 Enter the transferor's percentage interest in the partnership: (a) Before the transfer	.8588 %	(b) After the transfer	.8557 %
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Supplemental Information Required To Be Reported (see instructions):

Part II Dispositions Reportable Under Section 6038B							
(a) Type of property	(b) Date of original transfer	(c) Date of disposition	(d) Manner of disposition	(e) Gain recognized by partnership	(f) Depreciation recapture recognized by partnership	(g) Gain allocated to partner	(h) Depreciation recapture allocated to partner

Part III Is any transfer reported on this schedule subject to gain recognition under section 904(f)(3) or section 904(f)(5)(F)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
--	---

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 8865.

Schedule O (Form 8865) 2017

Form **8865****Return of U.S. Persons With Respect to
Certain Foreign Partnerships**

OMB No. 1545-1668

Department of the Treasury
Internal Revenue Service

► **Attach to your tax return.**
► **Go to www.irs.gov/Form8865 for instructions and the latest information.**
Information furnished for the foreign partnership's tax year
beginning **JAN 1**, 2017, and ending **DEC 31**, 2017

2017Attachment
Sequence No. **118**

Name of person filing this return

Filer's identifying number
77-0348912**THE SOBRATO FAMILY FOUNDATION**

Filer's address (if you are not filing this form with your tax return)

A Category of filer (see Categories of Filers in the instructions and check applicable box(es):1 ☐ 2 ☐ 3 ☒ 4 ☐**B** Filer's tax year beginning **JAN 1**, 2017, and ending **DEC 31**, 2017**C** Filer's share of liabilities: Nonrecourse \$ Qualified nonrecourse financing \$ Other \$**D** If filer is a member of a consolidated group but not the parent, enter the following information about the parent:

Name

EIN

Address

E Check if any excepted specified foreign financial assets are reported on this form (see instructions) ☐**F** Information about certain other partners (see instructions)

(1) Name	(2) Address	(3) Identifying number	(4) Check applicable box(es)		
			Category 1	Category 2	Constructive owner

G1 Name and address of foreign partnership**ATLAS CAPITAL RESOURCES II
(A6-CAYMAN) LP
100 NORTHFIELD STREET
GREENWICH, CT 06830****2(a)** EIN (if any)**98-1357136****2(b)** Reference ID number**3** Country under whose laws organized**CAYMAN ISLANDS**

4 Date of organization 04/28/2017	5 Principal place of business CAYMAN ISLANDS	6 Principal business activity code number 551112	7 Principal business activity INVESTMENTS	8a Functional currency	8b Exchange rate (see instr.)
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H Provide the following information for the foreign partnership's tax year:**1** Name, address, and identifying number of agent (if any) in the United States**2** Check if the foreign partnership must file:☐ Form 1042 ☐ Form 8804 ☒ Form 1065 or 1065-B

Service Center where Form 1065 or 1065-B is filed:

OGDEN, UT**3** Name and address of foreign partnership's agent in country of organization, if any**4** Name and address of person(s) with custody of the books and records of the foreign partnership, and the location of such books and records, if different**5** Were any special allocations made by the foreign partnership? ☐ Yes ☒ No**6** Enter the no. of Forms 8858, Info Return of U.S. Persons With Respect To Foreign Disregarded Entities, attached to this return ☐**7** How is this partnership classified under the law of the country in which it is organized? **PARTNERSHIP****8a** Does the filer have an interest in the foreign partnership, or an interest indirectly through the foreign partnership, that is a separate unit under Reg. 1.1503(d)-1(b)(4) or part of a combined separate unit under Reg. 1.1503(d)-1(b)(4)(ii)? If "No," skip question 8b. ☐ Yes ☐ No**b** If "Yes," does the separate unit or combined separate unit have a dual consolidated loss as defined in Reg. 1.1503(d)-1(b)(5)(ii)? ☐ Yes ☐ No**9** Does this partnership meet both of the following requirements? ☐ Yes ☐ No

- The partnership's total receipts for the tax year were less than \$250,000 and
- The value of the partnership's total assets at the end of the tax year was less than \$1 million.

If "Yes," do not complete Schedules L, M-1, and M-2.

Sign Here
Only If You
Are Filing
This Form
Separately
and Not With
Your Tax
Return.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than general partner or limited liability company member) is based on all information of which preparer has any knowledge.

Signature of general partner or limited liability company member

Date

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	SCOTT DAILY		11/14/18		P01280203
	Firm's name ► FRANK, RIMERMAN + CO. LLP	Firm's EIN ► 94-1341042			
	Firm's address ► 60 SOUTH MARKET STREET, SUITE 500 SAN JOSE, CA 95113	Phone no. (408) 279-5566			

Schedule A

Constructive Ownership of Partnership Interest. Check the boxes that apply to the filer. If you check box **b**, enter the name, address, and U.S. taxpayer identifying number (if any) of the person(s) whose interest you constructively own. See instructions.

a ☒ Owns a direct interest

b ☐ Owns a constructive interest

Name	Address	Identifying number (if any)	Check if foreign person	Check if direct partner

Schedule A-1

Certain Partners of Foreign Partnership (see instructions)

Name	Address	Identifying number (if any)	Check if foreign person

Does the partnership have any other foreign person as a direct partner? ☒ **Yes** ☐ **No**

Schedule A-2

Affiliation Schedule. List all partnerships (foreign or domestic) in which the foreign partnership owns a direct interest or indirectly owns a 10% interest.

Name	Address	EIN (if any)	Total ordinary income or loss	Check if foreign partnership

Schedule B

Income Statement - Trade or Business Income

Caution: Include **only** trade or business income and expenses on lines 1a through 22 below. See the instructions for more information.

Income	1 a Gross receipts or sales	1a		
	b Less returns and allowances	1b		1c
	2 Cost of goods sold			2
	3 Gross profit. Subtract line 2 from line 1c			3
	4 Ordinary income (loss) from other partnerships, estates, and trusts (attach statement)			4
	5 Net farm profit (loss) (attach Schedule F (Form 1040))			5
	6 Net gain (loss) from Form 4797, Part II, line 17 (attach Form 4797)			6
7 Other income (loss) (attach statement)			7	
8 Total income (loss). Combine lines 3 through 7			8	
Deductions (see instructions for limitations)	9 Salaries and wages (other than to partners) (less employment credits)			9
	10 Guaranteed payments to partners			10
	11 Repairs and maintenance			11
	12 Bad debts			12
	13 Rent			13
	14 Taxes and licenses			14
	15 Interest			15
	16 a Depreciation (if required, attach Form 4562)	16a		
	b Less depreciation reported elsewhere on return	16b		16c
	17 Depletion (Do not deduct oil and gas depletion.)			17
	18 Retirement plans, etc.			18
	19 Employee benefit programs			19
	20 Other deductions (attach statement)			20
	21 Total deductions. Add the amounts shown in the far right column for lines 9 through 20			21
22 Ordinary business income (loss) from trade or business activities. Subtract line 21 from line 8			22	

**SCHEDULE O
(Form 8865)**Department of the Treasury
Internal Revenue Service**Transfer of Property to a Foreign Partnership
(under section 6038B)**▶ Attach to Form 8865. See Instructions for Form 8865.
▶ Go to www.irs.gov/Form8865 for instructions and the latest information.

OMB No. 1545-1668

2017

Name of transferor THE SOBRATO FAMILY FOUNDATION		Filer's identifying number 77-0348912	
Name of foreign partnership ATLAS CAPITAL RESOURCES II (A6-CAYMAN) LP	EIN (if any) 98-1357136	Reference ID number (see instr)	
1 a Is the partnership a section 721(c) partnership (as defined in Temporary Regulations section 1.721(c)-1T(b)(14)? See instructions		<input type="checkbox"/> Yes	<input type="checkbox"/> No
b If "Yes," was the gain deferral method applied to avoid the recognition of gain upon the contribution of property?		<input type="checkbox"/> Yes	<input type="checkbox"/> No
2 Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)?		<input type="checkbox"/> Yes	<input type="checkbox"/> No

Part I Transfers Reportable Under Section 6038B						
Type of property	(a) Date of transfer	(b) Number of items transferred	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Section 704(c) allocation method	(f) Gain recognized on transfer
Cash			362,121.			
Stock, notes receivable and payable, and other securities						
Inventory						
Tangible property used in trade or business						
Intangible property described in section 197(f)(9)						
Intangible property, other than intangible property described in section 197(f)(9)						
Other property						
Totals			362,121.			

3 Enter the transferor's percentage interest in the partnership: (a) Before the transfer	.0000 %	(b) After the transfer	.2387 %
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Supplemental Information Required To Be Reported (see instructions):

Part II Dispositions Reportable Under Section 6038B							
(a) Type of property	(b) Date of original transfer	(c) Date of disposition	(d) Manner of disposition	(e) Gain recognized by partnership	(f) Depreciation recapture recognized by partnership	(g) Gain allocated to partner	(h) Depreciation recapture allocated to partner

Part III Is any transfer reported on this schedule subject to gain recognition under section 904(f)(3) or section 904(f)(5)(F)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
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LHA For Paperwork Reduction Act Notice, see the Instructions for Form 8865.

Schedule O (Form 8865) 2017

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 34
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

AAIL HOLDINGS S.A.R.L.
EUROPEAN STRATEGIC PARTNERS 2008 'B', LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 35
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

ALTOR FUND III (NO. 2) LIMITED PARTNERSHIP
EUROPEAN STRATEGIC PARTNERS 2008 'B', LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 36
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

ANGEL RESERVE GMBH
EUROPEAN STRATEGIC PARTNERS 2008 'B', LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 37
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

ANGEL VERWALTUNGS GMBH
EUROPEAN STRATEGIC PARTNERS 2008 'B', LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 38
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

GFKL FINANCIAL SERVICES AG
EUROPEAN STRATEGIC PARTNERS 2008 'B', LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 39
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

AL CANDELARIA (LUXEMBOURG) SUBCO S.A.R.L.
EUROPEAN STRATEGIC PARTNERS 2008 'B', LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 40
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

EQUISTONE PARTNERS EUROPE FUND IV "A" L.P.
 EUROPEAN STRATEGIC PARTNERS 2008 'B', LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 41
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

EUROKNIGHTS VI NO. 1 L.P.
 EUROPEAN STRATEGIC PARTNERS 2008 'B', LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 42
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

GABRIEL INVESTMENT S.A.R.L.
 EUROPEAN STRATEGIC PARTNERS 2008 'B', LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 43
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

GABRIEL HOLDINGS S.A.R.L
EUROPEAN STRATEGIC PARTNERS 2008 'B', LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 44
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

GABRIEL ACQUISITIONS GMBH
EUROPEAN STRATEGIC PARTNERS 2008 'B', LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 45
-----------	------------------------	--------------

NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

HAYMARKET FINANCIAL HOLDINGS LIMITED
EUROPEAN STRATEGIC PARTNERS 2008 'B', LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 46
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

HGCAPITAL 6 B LP
EUROPEAN STRATEGIC PARTNERS 2008 'B', LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 47
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

LANCELOT HOLDINGS S.A.R.L.
EUROPEAN STRATEGIC PARTNERS 2008 'B', LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 48
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

NORDIC CAPITAL VIII ALPHA, L.P.
EUROPEAN STRATEGIC PARTNERS 2008 'B', LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 49
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

ADVENT PUMA ACQUISTION LIMITED
EUROPEAN STRATEGIC PARTNERS 2008 'B', LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 50
-----------	------------------------	--------------

NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

TCP CUPCAKE BLOCKER LTD.
EUROPEAN STRATEGIC PARTNERS 2008 'B', LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 51
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

TCP M BLOCKER, L.P
EUROPEAN STRATEGIC PARTNERS 2008 'B', LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 52
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

TOWERBROOK III (CAYMAN) CREDIT LIMITED
EUROPEAN STRATEGIC PARTNERS 2008 'B', LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 53
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

TREBOL HOLDINGS S.A.R.L
EUROPEAN STRATEGIC PARTNERS 2008 'B', LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 54
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

TRITON FUND IV US L.P.
EUROPEAN STRATEGIC PARTNERS 2008 'B', LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 55
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

APOLLO WAREHOUSE SARL
EUROPEAN STRATEGIC PARTNERS 2008 'B', LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 56
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

APOLLO MANAGEMENT - BETELGUNGS VERWALTUNGS
EUROPEAN STRATEGIC PARTNERS 2008 'B' LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 57
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

INVITA DUBLIN VERWALTUNGS GMBH
EUROPEAN STRATEGIC PARTNERS 2008 'B' LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 58
-----------	------------------------	--------------

NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

CCP IX LP NO. 1
EUROPEAN STRATEGIC PARTNERS 2008 'B' LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 59
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

FIFTH CINVEN FUND NO. 5 LP
EUROPEAN STRATEGIC PARTNERS 2008 'B' LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 60
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

TRIS MEP S.A.R.L.
EUROPEAN STRATEGIC PARTNERS 2008 'B' LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 61
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

NORDIC CAPITAL VIII UF CO-INVEST ALPHA LP
EUROPEAN STRATEGIC PARTNERS 2008 'B' LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 62
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

ADVENT DAHLIA GUARANTOR SARL
EUROPEAN STRATEGIC PARTNERS 2008 B LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 63
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

AI COOL LIMITED
EUROPEAN STRATEGIC PARTNERS 2008 B, LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 64
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

MOBILE CHALLENGER GROUP SARL
EUROPEAN STRATEGIC PARTNERS 2008 B LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 65
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

NEW DAWN MEP HOLDCO SARL
EUROPEAN STRATEGIC PARTNERS 2008 B LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 66
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

NEW DAWN MEP ISSUER CO SA
EUROPEAN STRATEGIC PARTNERS 2008 B LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 67
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

NEW DAWN EPP ISSUER CO SA
EUROPEAN STRATEGIC PARTNERS 2008 B LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 68
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

MIE GROUP SA
EUROPEAN STRATEGIC PARTNERS 2008 B LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 69
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

POST INVEST EUROPE SARL
EUROPEAN STRATEGIC PARTNERS 2008 B LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 70
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

MEILLERUTAUZ SA (5)
EUROPEAN STRATEGIC PARTNERS 2008 B LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 71
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

EQUIMAN SAS
EUROPEAN STRATEGIC PARTNERS 2008 B LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 72
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

HAYFIN CAPITAL HOLDINGS LIMITED
EUROPEAN STRATEGIC PARTNERS 2008 B LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 73
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

AL TOSCANA (LUXEMBOURG) HOLDINGS SARL
EUROPEAN STRATEGIC PARTNERS 2008 'B' LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 74
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

CENTIPEDE ACQUICO SARL
EUROPEAN STRATEGIC PARTNERS 2008 'B' LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 75
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

CENTIPEDE DEBTO SARL
EUROPEAN STRATEGIC PARTNERS 2008 'B' LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 76
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

NOMAD ACQUICO SARL
EUROPEAN STRATEGIC PARTNERS 2008 'B' LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 77
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

NOMAD LUXCO SARL
EUROPEAN STRATEGIC PARTNERS 2008 'B' LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 78
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

NOMAD MIDCO SARL
EUROPEAN STRATEGIC PARTNERS 2008 'B' LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 79
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

CHARTERHOUSE CAPITAL PARTNERS IX
EUROPEAN STRATEGIC PARTNERS 2008 'B' LP

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 80
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

RICE PROPERTY SARL

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 81
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

STROMER BIDCO GMBH

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 82
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

STROMER HOLDCO GMBH

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 83
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

TRITON IV LUXCO NO. 12 SARL

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 84
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

TRITON IV LUXCO NO. 15 SARL

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 85
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

TRITON IV LUXCO NO. 16 SARL

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 86
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

TRITON IV LUXCO NO. 18 SARL

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 87
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

TRITON IV LUXCO NO. 19 SARL

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 88
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

TRITON IV LUXCO NO. 25 SARL

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 89
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

TRITON IV LUXCO NO. 26 SARL

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 90
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

TRITON IV LUXCO NO. 27 SARL

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 91
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

TRITON IV LUXCO NO. 28 SARL

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 92
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

TRITON IV LUXCO NO. 32 SARL

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 93
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

TRITON IV LUXCO NO. 33 SARL

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 94
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

TRITON IV LUXCO NO. 11 SARL

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 95
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

SENTINEL HOLDINGS SARL

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 96
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

TENZA GMBH

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 97
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

GABRIEL ACQUISITIONS SARL (11)

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 98
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

ANGEL RESERVE SARL

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 99
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

ICS INSTITUTIONAL EURO LIQUIDITY
FUND - CORE (DIS) SHARES

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 100
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

CIDRON HUMBER TOPCO LIMITED (3)

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 101
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

CIDRON HUMBER LIMITED (3)

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 102
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

CIDRON HUMBER 1 S.A.R.L.

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 103
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

CIDRON HUMBER 2 S.A.R.L.

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 104
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

NDX INTRESSETER AB (3)

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 105
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

ICS INSTITUTONAL EURO LIQUIDITY FUND -
CORE (DIS) SHARES

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 106
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

SHIP GLOBAL 1 S.A.R.L.

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 107
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

EXPRO PETROLEUM EQUIPMENT TECHNOLOGY
(BEIJING) LIMITED COMPANY

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 108
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

EXPRO GROUP MALAYSIA

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 109
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

EXPRO ECOCP (HOLDINGS) LTD

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 110
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

ARON BIDCO GMBH

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 111
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

ARON HOLDCO GMBH

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 112
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

ARON LUXCO SARL
(FKA TRITON IV LUXCO NO. 46 SARL)

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 113
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

ARON MIDCO SARL
(FKA TRITON IV LUXCO NO. 44 SARL)

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 114
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

TRITON IV LUXCO NO. 35 SARL (7)

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 115
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

TRITON IV LUXCO NO. 40 SARL

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 116
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

VULCAN CO-INVEST SARL
(FKA TRITON IV LUXCO NO. 45 SARL)

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 117
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

WERNER EUROPEAN HOLDING SARL

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8621	ADDITIONAL INFORMATION	STATEMENT 118
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NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

BLITZ HOLDCO SARL
(FKA TRITON IV NO.11 SARL) (17)

CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
N/A					

FORM 8865	AFFILIATION SCHEDULE	STATEMENT 119
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NAME	ADDRESS	IDENTIFYING NUMBER	TOTAL ORDINARY INCOME OR (LOSS)	CK IF FOR- EIGN P'SH
ESP 2008 CONDUIT, LP	1 GEORGE STREET EDINBURGH, SCOTLAND, UNITE	98-0624883		X
PANDA LP	PO BOX 255, TRAFALGAR CT LES BANQUES, ST. PETER POR			X
31 MITO S1A LP	16 PALACE STREET LONDON, UNITED KINGDOM SW1			X

FORM 8865	AFFILIATION SCHEDULE	STATEMENT 120
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NAME	ADDRESS	IDENTIFYING NUMBER	TOTAL ORDINARY INCOME OR (LOSS)	CK IF FOR- EIGN P'SH
NAVENT GROUP LTD.	SCOTIA CENTRE PO BOX 268 GRAND CAYMAN, CAYMAN ISLAN	98-0664680		
APTINA ACQUISITION, LP	301 COMMERCIAL ST. #3300 FORT WORTH, TX 76102	98-0584388		
RW MOTIONPOINT HOLDINGS	70 WILLOW CREEK ROAD #100	27-4321332		
RW LSG HOLDINGS LLC	MENLO PARK, CA 94025 70 WILLOW CREEK ROAD #100	35-2445913		
RW INTEGRO HOLDINGS LLC	MENLO PARK, CA 94025 70 WILLOW CREEK ROAD #100	38-3879292		
	MENLO PARK, CA 94025			

FORM 8865		AFFILIATION SCHEDULE		STATEMENT 121	
NAME	ADDRESS	IDENTIFYING NUMBER	TOTAL ORDINARY INCOME OR (LOSS)	CK IF FOR-EIGN P'SH	
GL EUROPE CAYMAN, LP	399 PARK AVE, 6TH FL NEW YORK, NY 10022	20-4100783		X	
PASEO CAYMAN, LP	399 PARK AVE, 6TH FL NEW YORK, NY 10022	98-1080483		X	
ROOTS HOLDINGS LUXEMBOURG	399 PARK AVE, 6TH FL NEW YORK, NY 10022	98-1083939		X	
GL EUROPE POSEIDON CAYMAN	399 PARK AVE, 6TH FL NEW YORK, NY 10022	98-1149570		X	
GLADIUS HOLDING LTD	5 XENIAS ST AND CH TRIKOU ATHENS, KIFISSIA GREECE 14	98-1152479		X	
IBERIAN SGR CORP FINANCE	399 PARK AVE, 6TH FL NEW YORK, NY 10022	98-1186419		X	
IBERICA LUXEMBOURG SARL	399 PARK AVE, 6TH FL NEW YORK, NY 10022	98-1137370		X	
SOUTHWEST INVERSIONES 2014	VELAQUEZ 18 40 DCHA MADRID, SPAIN 28001			X	
GROVE SARL	2 RUE DE FOSSE L-1536, LUXEMBOURG	98-1200273		X	
EUROPE WIND VALENCIA SL	399 PARK AVE, 6TH FL NEW YORK, NY 10022	98-1202873		X	
FIFTH FINANCE SARL	25A BOULEVARD ROYAL L-2449, LUXEMBOURG			X	

FORM 8865		AFFILIATION SCHEDULE		STATEMENT 122	
NAME	ADDRESS	IDENTIFYING NUMBER	TOTAL ORDINARY INCOME OR (LOSS)	CK IF FOR-EIGN P'SH	
HOME POINT CAPITAL LP	1194 OAK VALLEY DRIVE #80	47-1776338			
CROSS OCEAN UK-1 LP	ANN ARBOR, MI 48108 11 CHARLES II STREET LONDON, UNITED KINGDOM SW1			X	
SITUS GROUP HLDGS GP LLC	5065 WESTHEIMER, SUIT 700E	47-2639818			
SITUS GROUP HOLDINGS LP	HOUSTON, TX 77056 5065 WESTHEIMER, SUIT 700E	47-2622598			
TRIDENT ALLIANT HOLDINGS L	HOUSTON, TX 77056 20 HORSENECK LANE	36-4812814			
KESTRA FINANCIAL HOLDINGS	GREENWICH, CT 06830 5707 SOUTHWEST PARKWAY	81-2074773			
KESTRA FINANCIAL HLDGS LLC	AUSTIN, TX 78735 5707 SOUTHWEST PARKWAY	81-2073450			
CROSS OCEAN USD FUND II	AUSTIN, TX 78735 67 FORT STREET, BOX 490	98-1299499		X	
NFP ULTIMATE HOLDINGS	GRAND CAYMAN, CAYMAN ISLAN 340 MADISON AVE, 20TH FLR	30-0781325			
CROSS OCEAN AVIATION FUND	NEW YORK, NY 10173 ARTEMIS HOUSE, 67 FORT ST	98-1385731		X	
T-VI CO ES LP	GRAND CAYMAN, CAYMAN ISLAN 20 HORSENECK LANE	82-2406386			
HENDERSON PARK REAL ESTATE	GREENWICH, CT 06830 11-15 SEATON PLACE	APPLIED FOR		X	
	ST. HELIER, JERSEY				

Statement of Specified Foreign Financial Assets▶ Go to www.irs.gov/Form8938 for instructions and the latest information.

▶ Attach to your tax return.

OMB No. 1545-2195

2017Attachment
Sequence No. **175**For calendar year **2017** or tax year beginning

and ending

If you have attached continuation statements, check here ☒ **X**

Number of continuation statements

1 Name(s) shown on return**THE SOBRATO FAMILY FOUNDATION****2** TIN**77-0348912****3** Type of filer**a** ☐ Specified individual**b** ☐ Partnership**c** ☐ Corporation**d** ☐ Trust

4 If you checked box 3a, skip this line 4. If you checked box 3b or 3c, enter the name and TIN of the specified individual who closely holds the partnership or corporation. If you checked box 3d, enter the name and TIN of the specified person who is a current beneficiary of the trust. (See instructions for definitions and what to do if you have more than one specified individual or specified person to list.)

a Name**b** TIN**Part I Foreign Deposit and Custodial Accounts Summary****1** Number of Deposit Accounts (reported in Part V) ▶**2** Maximum Value of All Deposit Accounts \$**3** Number of Custodial Accounts (reported in Part V) ▶**4** Maximum Value of All Custodial Accounts \$**5** Were any foreign deposit or custodial accounts closed during the tax year? ☐ Yes ☒ No**Part II Other Foreign Assets Summary****1** Number of Foreign Assets (reported in Part VI) ▶**16****2** Maximum Value of All Assets (reported in Part VI) \$ **19,473,822.****3** Were any foreign assets acquired or sold during the tax year? ☐ Yes ☒ No**Part III Summary of Tax Items Attributable to Specified Foreign Financial Assets (see instructions)**

(a) Asset Category	(b) Tax item	(c) Amount reported on form or schedule	Where reported	
			(d) Form and line	(e) Schedule and line
1 Foreign Deposit and Custodial Accounts	1a Interest	\$		
	1b Dividends	\$		
	1c Royalties	\$		
	1d Other income	\$		
	1e Gains (losses)	\$		
	1f Deductions	\$		
	1g Credits	\$		
2 Other Foreign Assets	2a Interest	\$ 123,868.		
	2b Dividends	\$ 17,610.		
	2c Royalties	\$		
	2d Other income	\$ 855,015.		
	2e Gains (losses)	\$ 501,682.		
	2f Deductions	\$		
	2g Credits	\$		

Part IV Excepted Specified Foreign Financial Assets (see instructions)

If you reported specified foreign financial assets on one or more of the following forms, enter the number of such forms filed. You do not need to include these assets on Form 8938 for the tax year.

1. Number of Forms 3520**2.** Number of Forms 3520-A**3.** Number of Forms 5471**1****4.** Number of Forms 8621 **85****5.** Number of Forms 8865 **15****Part V Detailed Information for Each Foreign Deposit and Custodial Account Included in the Part I Summary (see instructions)**

If you have more than one account to report in Part V, attach a continuation statement for each additional account (see instructions).

1 Type of account ☐ Deposit ☐ Custodial**2** Account number or other designation**3** Check all that apply**a** ☐ Account opened during tax year**b** ☐ Account closed during tax year**c** ☐ Account jointly owned with spouse**d** ☐ No tax item reported in Part III with respect to this asset**4** Maximum value of account during tax year \$**5** Did you use a foreign currency exchange rate to convert the value of the account into U.S. dollars? ☐ Yes ☐ No**6** If you answered "Yes" to line 5, complete all that apply.**(a)** Foreign currency in which account is maintained**(b)** Foreign currency exchange rate used to convert to U.S. dollars**(c)** Source of exchange rate used if not from U.S. Treasury Department's Bureau of the Fiscal Service

Part V Detailed Information for Each Foreign Deposit and Custodial Account Included in the Part I Summary

(see instructions) (continued)

7a Name of financial institution in which account is maintained	b Global Intermediary Identification Number (GIIN) (Optional)
8 Mailing address of financial institution in which account is maintained. Number, street, and room or suite no.	
9 City or town, state or province, and country (including postal code)	

Part VI Detailed Information for Each "Other Foreign Asset" Included in the Part II Summary (see instructions)

If you have more than one asset to report in Part VI, attach a continuation statement for each additional asset (see instructions).

1 Description of asset FOREIGN PARTNERSHIP	2 Identifying number or other designation	
3 Complete all that apply. See instructions for reporting of multiple acquisition or disposition dates.		
a Date asset acquired during tax year, if applicable		
b Date asset disposed of during tax year, if applicable		
c <input type="checkbox"/> Check if asset jointly owned with spouse d <input type="checkbox"/> Check if no tax item reported in Part III with respect to this asset		
4 Maximum value of asset during tax year (check box that applies)		
a <input type="checkbox"/> \$0 - \$50,000 b <input type="checkbox"/> \$50,001 - \$100,000 c <input type="checkbox"/> \$100,001 - \$150,000 d <input checked="" type="checkbox"/> \$150,001 - \$200,000		
e If more than \$200,000, list value \$ 1,803,943.		
5 Did you use a foreign currency exchange rate to convert the value of the asset into U.S. dollars? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
6 If you answered "Yes" to line 5, complete all that apply.		
(a) Foreign currency in which asset is denominated UNITED STATES, DOLLAR	(b) Foreign currency exchange rate used to convert to U.S. dollars .000000000	(c) Source of exchange rate used if not from U.S. Treasury Department's Bureau of the Fiscal Service
7 If asset reported on line 1 is stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.		
a Name of foreign entity SANKATY CREDIT OPPORTUNITIES b GIIN (Optional)		
c Type of foreign entity (1) <input checked="" type="checkbox"/> Partnership (2) <input type="checkbox"/> Corporation (3) <input type="checkbox"/> Trust (4) <input type="checkbox"/> Estate		
d Mailing address of foreign entity. Number, street, and room or suite no.		
e City or town, state or province, and country (including postal code)		
8 If asset reported on line 1 is not stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.		
Note. If this asset has more than one issuer or counterparty, attach a continuation statement with the same information for each additional issuer or counterparty (see instructions).		
a Name of issuer or counterparty		
Check if information is for <input type="checkbox"/> Issuer <input type="checkbox"/> Counterparty		
b Type of issuer or counterparty		
(1) <input type="checkbox"/> Individual (2) <input type="checkbox"/> Partnership (3) <input type="checkbox"/> Corporation (4) <input type="checkbox"/> Trust (5) <input type="checkbox"/> Estate		
c Check if issuer or counterparty is a <input type="checkbox"/> U.S. person <input type="checkbox"/> Foreign person		
d Mailing address of issuer or counterparty. Number, street, and room or suite no.		
e City or town, state or province, and country (including postal code)		

Form **8938** (2017)

Part VI Other Foreign Assets

1 Description of asset FOREIGN PARTNERSHIP	2 Identifying number or other designation
---	--

3 Complete all that apply

a Date asset acquired during tax year, if applicable _____

b Date asset disposed of during tax year, if applicable _____

c ☐ Check if asset jointly owned with spouse **d** ☐ Check if no tax item reported in Part III with respect to this asset

4 Maximum value of asset during tax year (check box that applies)

a ☐ \$0 - \$50,000 **b** ☐ \$50,001 - \$100,000 **c** ☐ \$100,001 - \$150,000 **d** ☒ \$150,001 - \$200,000

e If more than \$200,000, list value _____ \$ **200,691.**

5 Did you use a foreign currency exchange rate to convert the value of the asset into U.S. dollars? ☒ Yes ☐ No

6 If you answered "Yes" to line 5, complete all that apply.

(1) Foreign currency in which asset is denominated UNITED STATES, DOLLA	(2) Foreign currency exchange rate used to convert to U.S. dollars	(3) Source of exchange rate used if not from U.S. Treasury Department's Bureau of the Fiscal Service
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7 If asset reported on line 1 is stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

a Name of foreign entity **DATA CENTER HOLDINGS AIV L.P.** **b** GIIN (Optional) _____

c Type of foreign entity **(1)** ☒ Partnership **(2)** ☐ Corporation **(3)** ☐ Trust **(4)** ☐ Estate

d Mailing address of foreign entity. Number, street, and room or suite no. _____

e City or town, state or province, and country (including postal code) _____

8 If asset reported on line 1 is not stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

Note. If this asset has more than one issuer or counterparty, attach a continuation sheet with the same information for each additional issuer or counterparty (see instructions).

a Name of issuer or counterparty _____
Check if information is for ☐ Issuer ☐ Counterparty

b Type of issuer or counterparty

(1) ☐ Individual **(2)** ☐ Partnership **(3)** ☐ Corporation **(4)** ☐ Trust **(5)** ☐ Estate

c Check if issuer or counterparty is a ☐ U.S. person ☐ Foreign person

d Mailing address of issuer or counterparty. Number, street, and room or suite no. _____

e City or town, province or state, and country (including postal code) _____

Part VI Other Foreign Assets

1 Description of asset FOREIGN PARTNERSHIP	2 Identifying number or other designation
---	--

3 Complete all that apply

a Date asset acquired during tax year, if applicable _____

b Date asset disposed of during tax year, if applicable _____

c ☐ Check if asset jointly owned with spouse **d** ☐ Check if no tax item reported in Part III with respect to this asset

4 Maximum value of asset during tax year (check box that applies)

a ☐ \$0 - \$50,000 **b** ☐ \$50,001 - \$100,000 **c** ☐ \$100,001 - \$150,000 **d** ☒ \$150,001 - \$200,000

e If more than \$200,000, list value _____ \$ **1,051,610.**

5 Did you use a foreign currency exchange rate to convert the value of the asset into U.S. dollars? ☒ Yes ☐ No

6 If you answered "Yes" to line 5, complete all that apply.

(1) Foreign currency in which asset is denominated UNITED STATES, DOLLA	(2) Foreign currency exchange rate used to convert to U.S. dollars	(3) Source of exchange rate used if not from U.S. Treasury Department's Bureau of the Fiscal Service
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7 If asset reported on line 1 is stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

a Name of foreign entity **RIVERWOOD CAPITAL PARTNERS L.P.** **b** GIIN (Optional) _____

c Type of foreign entity **(1)** ☒ Partnership **(2)** ☐ Corporation **(3)** ☐ Trust **(4)** ☐ Estate

d Mailing address of foreign entity. Number, street, and room or suite no. _____

e City or town, state or province, and country (including postal code) _____

8 If asset reported on line 1 is not stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

Note. If this asset has more than one issuer or counterparty, attach a continuation sheet with the same information for each additional issuer or counterparty (see instructions).

a Name of issuer or counterparty _____
 Check if information is for ☐ Issuer ☐ Counterparty

b Type of issuer or counterparty
(1) ☐ Individual **(2)** ☐ Partnership **(3)** ☐ Corporation **(4)** ☐ Trust **(5)** ☐ Estate

c Check if issuer or counterparty is a ☐ U.S. person ☐ Foreign person

d Mailing address of issuer or counterparty. Number, street, and room or suite no. _____

e City or town, province or state, and country (including postal code) _____

Part VI Other Foreign Assets

1 Description of asset FOREIGN PARTNERSHIP	2 Identifying number or other designation
---	--

3 Complete all that apply

a Date asset acquired during tax year, if applicable _____

b Date asset disposed of during tax year, if applicable _____

c ☐ Check if asset jointly owned with spouse **d** ☐ Check if no tax item reported in Part III with respect to this asset

4 Maximum value of asset during tax year (check box that applies)

a ☐ \$0 - \$50,000 **b** ☐ \$50,001 - \$100,000 **c** ☐ \$100,001 - \$150,000 **d** ☒ \$150,001 - \$200,000

e If more than \$200,000, list value _____ \$ **1,158,331.**

5 Did you use a foreign currency exchange rate to convert the value of the asset into U.S. dollars? ☒ Yes ☐ No

6 If you answered "Yes" to line 5, complete all that apply.

(1) Foreign currency in which asset is denominated UNITED STATES, DOLLA	(2) Foreign currency exchange rate used to convert to U.S. dollars	(3) Source of exchange rate used if not from U.S. Treasury Department's Bureau of the Fiscal Service
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7 If asset reported on line 1 is stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

a Name of foreign entity **NEWBURY EQUITY PARTNERS II (CA)** GIIN (Optional) _____

c Type of foreign entity **(1)** ☒ Partnership **(2)** ☐ Corporation **(3)** ☐ Trust **(4)** ☐ Estate

d Mailing address of foreign entity. Number, street, and room or suite no. _____

e City or town, state or province, and country (including postal code) _____

8 If asset reported on line 1 is not stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

Note. If this asset has more than one issuer or counterparty, attach a continuation sheet with the same information for each additional issuer or counterparty (see instructions).

a Name of issuer or counterparty _____
 Check if information is for ☐ Issuer ☐ Counterparty

b Type of issuer or counterparty

(1) ☐ Individual **(2)** ☐ Partnership **(3)** ☐ Corporation **(4)** ☐ Trust **(5)** ☐ Estate

c Check if issuer or counterparty is a ☐ U.S. person ☐ Foreign person

d Mailing address of issuer or counterparty. Number, street, and room or suite no. _____

e City or town, province or state, and country (including postal code) _____

Part VI Other Foreign Assets

1 Description of asset FOREIGN PARTNERSHIP	2 Identifying number or other designation
---	--

3 Complete all that apply

a Date asset acquired during tax year, if applicable _____

b Date asset disposed of during tax year, if applicable _____

c ☐ Check if asset jointly owned with spouse **d** ☐ Check if no tax item reported in Part III with respect to this asset

4 Maximum value of asset during tax year (check box that applies)

a ☐ \$0 - \$50,000 **b** ☐ \$50,001 - \$100,000 **c** ☐ \$100,001 - \$150,000 **d** ☒ \$150,001 - \$200,000

e If more than \$200,000, list value _____ \$ **10,235,834.**

5 Did you use a foreign currency exchange rate to convert the value of the asset into U.S. dollars? ☒ Yes ☐ No

6 If you answered "Yes" to line 5, complete all that apply.

(1) Foreign currency in which asset is denominated UNITED STATES, DOLLA	(2) Foreign currency exchange rate used to convert to U.S. dollars	(3) Source of exchange rate used if not from U.S. Treasury Department's Bureau of the Fiscal Service
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7 If asset reported on line 1 is stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

a Name of foreign entity **ELLIOTT INTERNATIONAL LIMITED** **b** GIIN (Optional) _____

c Type of foreign entity **(1)** ☒ Partnership **(2)** ☐ Corporation **(3)** ☐ Trust **(4)** ☐ Estate

d Mailing address of foreign entity. Number, street, and room or suite no. _____

e City or town, state or province, and country (including postal code) _____

8 If asset reported on line 1 is not stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

Note. If this asset has more than one issuer or counterparty, attach a continuation sheet with the same information for each additional issuer or counterparty (see instructions).

a Name of issuer or counterparty _____
 Check if information is for ☐ Issuer ☐ Counterparty

b Type of issuer or counterparty
(1) ☐ Individual **(2)** ☐ Partnership **(3)** ☐ Corporation **(4)** ☐ Trust **(5)** ☐ Estate

c Check if issuer or counterparty is a ☐ U.S. person ☐ Foreign person

d Mailing address of issuer or counterparty. Number, street, and room or suite no. _____

e City or town, province or state, and country (including postal code) _____

Part VI Other Foreign Assets

1 Description of asset FOREIGN PARTNERSHIP	2 Identifying number or other designation
---	--

3 Complete all that apply

a Date asset acquired during tax year, if applicable _____

b Date asset disposed of during tax year, if applicable _____

c ☐ Check if asset jointly owned with spouse **d** ☐ Check if no tax item reported in Part III with respect to this asset

4 Maximum value of asset during tax year (check box that applies)

a ☐ \$0 - \$50,000 **b** ☐ \$50,001 - \$100,000 **c** ☐ \$100,001 - \$150,000 **d** ☒ \$150,001 - \$200,000

e If more than \$200,000, list value _____ \$ **1,495,481.**

5 Did you use a foreign currency exchange rate to convert the value of the asset into U.S. dollars? ☒ Yes ☐ No

6 If you answered "Yes" to line 5, complete all that apply.

(1) Foreign currency in which asset is denominated UNITED STATES, DOLLA	(2) Foreign currency exchange rate used to convert to U.S. dollars	(3) Source of exchange rate used if not from U.S. Treasury Department's Bureau of the Fiscal Service
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7 If asset reported on line 1 is stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

a Name of foreign entity **OAKTREE POWER OPPORTUNITIES FUND** GIIN (Optional) _____

c Type of foreign entity **(1)** ☒ Partnership **(2)** ☐ Corporation **(3)** ☐ Trust **(4)** ☐ Estate

d Mailing address of foreign entity. Number, street, and room or suite no. _____

e City or town, state or province, and country (including postal code) _____

8 If asset reported on line 1 is not stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

Note. If this asset has more than one issuer or counterparty, attach a continuation sheet with the same information for each additional issuer or counterparty (see instructions).

a Name of issuer or counterparty _____
Check if information is for ☐ Issuer ☐ Counterparty

b Type of issuer or counterparty

(1) ☐ Individual **(2)** ☐ Partnership **(3)** ☐ Corporation **(4)** ☐ Trust **(5)** ☐ Estate

c Check if issuer or counterparty is a ☐ U.S. person ☐ Foreign person

d Mailing address of issuer or counterparty. Number, street, and room or suite no. _____

e City or town, province or state, and country (including postal code) _____

Part VI Other Foreign Assets

1 Description of asset FOREIGN PARTNERSHIP	2 Identifying number or other designation
---	--

3 Complete all that apply

- a** Date asset acquired during tax year, if applicable _____
- b** Date asset disposed of during tax year, if applicable _____
- c** ☐ Check if asset jointly owned with spouse **d** ☐ Check if no tax item reported in Part III with respect to this asset

4 Maximum value of asset during tax year (check box that applies)

- a** ☐ \$0 - \$50,000 **b** ☐ \$50,001 - \$100,000 **c** ☐ \$100,001 - \$150,000 **d** ☐ \$150,001 - \$200,000
- e** If more than \$200,000, list value _____ \$ **1,223,580.**

5 Did you use a foreign currency exchange rate to convert the value of the asset into U.S. dollars? ☒ Yes ☐ No**6** If you answered "Yes" to line 5, complete all that apply.

(1) Foreign currency in which asset is denominated UNITED STATES, DOLLA	(2) Foreign currency exchange rate used to convert to U.S. dollars	(3) Source of exchange rate used if not from U.S. Treasury Department's Bureau of the Fiscal Service
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7 If asset reported on line 1 is stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

- a** Name of foreign entity **AVE. EUROPE SPECIAL SITUATIONS** **b** GIIN (Optional) _____
- c** Type of foreign entity **(1)** ☒ Partnership **(2)** ☐ Corporation **(3)** ☐ Trust **(4)** ☐ Estate
- d** Mailing address of foreign entity. Number, street, and room or suite no. _____

e City or town, state or province, and country (including postal code) _____**8** If asset reported on line 1 is not stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

Note. If this asset has more than one issuer or counterparty, attach a continuation sheet with the same information for each additional issuer or counterparty (see instructions).

- a** Name of issuer or counterparty _____
Check if information is for ☐ Issuer ☐ Counterparty
- b** Type of issuer or counterparty
(1) ☐ Individual **(2)** ☐ Partnership **(3)** ☐ Corporation **(4)** ☐ Trust **(5)** ☐ Estate
- c** Check if issuer or counterparty is a ☐ U.S. person ☐ Foreign person
- d** Mailing address of issuer or counterparty. Number, street, and room or suite no. _____

e City or town, province or state, and country (including postal code) _____

Part VI Other Foreign Assets

1 Description of asset FOREIGN PARTNERSHIP	2 Identifying number or other designation
---	--

3 Complete all that apply

a Date asset acquired during tax year, if applicable _____

b Date asset disposed of during tax year, if applicable _____

c ☐ Check if asset jointly owned with spouse **d** ☐ Check if no tax item reported in Part III with respect to this asset

4 Maximum value of asset during tax year (check box that applies)

a ☐ \$0 - \$50,000 **b** ☐ \$50,001 - \$100,000 **c** ☐ \$100,001 - \$150,000 **d** ☒ \$150,001 - \$200,000

e If more than \$200,000, list value _____ \$ **1,244,256.**

5 Did you use a foreign currency exchange rate to convert the value of the asset into U.S. dollars? ☒ Yes ☐ No

6 If you answered "Yes" to line 5, complete all that apply.

(1) Foreign currency in which asset is denominated UNITED STATES, DOLLA	(2) Foreign currency exchange rate used to convert to U.S. dollars	(3) Source of exchange rate used if not from U.S. Treasury Department's Bureau of the Fiscal Service
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7 If asset reported on line 1 is stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

a Name of foreign entity **STRATEGIC PARTNERS OFFSHORE FUb** GIIN (Optional) _____

c Type of foreign entity **(1)** ☒ Partnership **(2)** ☐ Corporation **(3)** ☐ Trust **(4)** ☐ Estate

d Mailing address of foreign entity. Number, street, and room or suite no. _____

e City or town, state or province, and country (including postal code) _____

8 If asset reported on line 1 is not stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

Note. If this asset has more than one issuer or counterparty, attach a continuation sheet with the same information for each additional issuer or counterparty (see instructions).

a Name of issuer or counterparty _____
 Check if information is for ☐ Issuer ☐ Counterparty

b Type of issuer or counterparty
(1) ☐ Individual **(2)** ☐ Partnership **(3)** ☐ Corporation **(4)** ☐ Trust **(5)** ☐ Estate

c Check if issuer or counterparty is a ☐ U.S. person ☐ Foreign person

d Mailing address of issuer or counterparty. Number, street, and room or suite no. _____

e City or town, province or state, and country (including postal code) _____

Part VI Other Foreign Assets

1 Description of asset FOREIGN PARTNERSHIP	2 Identifying number or other designation
---	--

3 Complete all that apply

a Date asset acquired during tax year, if applicable _____

b Date asset disposed of during tax year, if applicable _____

c ☐ Check if asset jointly owned with spouse **d** ☐ Check if no tax item reported in Part III with respect to this asset

4 Maximum value of asset during tax year (check box that applies)

a ☐ \$0 - \$50,000 **b** ☐ \$50,001 - \$100,000 **c** ☒ \$100,001 - \$150,000 **d** ☐ \$150,001 - \$200,000

e If more than \$200,000, list value _____ \$ _____

5 Did you use a foreign currency exchange rate to convert the value of the asset into U.S. dollars? ☒ Yes ☐ No

6 If you answered "Yes" to line 5, complete all that apply.

(1) Foreign currency in which asset is denominated UNITED STATES, DOLLA	(2) Foreign currency exchange rate used to convert to U.S. dollars	(3) Source of exchange rate used if not from U.S. Treasury Department's Bureau of the Fiscal Service
--	---	---

7 If asset reported on line 1 is stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

a Name of foreign entity **SILVER LAKE PARTNERS IV CAYMAN** **b** GIIN (Optional) _____

c Type of foreign entity **(1)** ☒ Partnership **(2)** ☐ Corporation **(3)** ☐ Trust **(4)** ☐ Estate

d Mailing address of foreign entity. Number, street, and room or suite no. _____

e City or town, state or province, and country (including postal code) _____

8 If asset reported on line 1 is not stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

Note. If this asset has more than one issuer or counterparty, attach a continuation sheet with the same information for each additional issuer or counterparty (see instructions).

a Name of issuer or counterparty _____
Check if information is for ☐ Issuer ☐ Counterparty

b Type of issuer or counterparty

(1) ☐ Individual **(2)** ☐ Partnership **(3)** ☐ Corporation **(4)** ☐ Trust **(5)** ☐ Estate

c Check if issuer or counterparty is a ☐ U.S. person ☐ Foreign person

d Mailing address of issuer or counterparty. Number, street, and room or suite no. _____

e City or town, province or state, and country (including postal code) _____

Part VI Other Foreign Assets

1 Description of asset FOREIGN PARTNERSHIP	2 Identifying number or other designation
---	--

3 Complete all that apply

a Date asset acquired during tax year, if applicable _____

b Date asset disposed of during tax year, if applicable _____

c ☐ Check if asset jointly owned with spouse **d** ☐ Check if no tax item reported in Part III with respect to this asset

4 Maximum value of asset during tax year (check box that applies)

a ☐ \$0 - \$50,000 **b** ☐ \$50,001 - \$100,000 **c** ☐ \$100,001 - \$150,000 **d** ☒ \$150,001 - \$200,000

e If more than \$200,000, list value _____ \$ _____

5 Did you use a foreign currency exchange rate to convert the value of the asset into U.S. dollars? ☒ Yes ☐ No

6 If you answered "Yes" to line 5, complete all that apply.

(1) Foreign currency in which asset is denominated UNITED STATES, DOLLA	(2) Foreign currency exchange rate used to convert to U.S. dollars	(3) Source of exchange rate used if not from U.S. Treasury Department's Bureau of the Fiscal Service
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7 If asset reported on line 1 is stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

a Name of foreign entity **TRIDENT VI PARALLEL AIV-I LP** **b** GIIN (Optional) _____

c Type of foreign entity **(1)** ☒ Partnership **(2)** ☐ Corporation **(3)** ☐ Trust **(4)** ☐ Estate

d Mailing address of foreign entity. Number, street, and room or suite no. _____

e City or town, state or province, and country (including postal code) _____

8 If asset reported on line 1 is not stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

Note. If this asset has more than one issuer or counterparty, attach a continuation sheet with the same information for each additional issuer or counterparty (see instructions).

a Name of issuer or counterparty _____
 Check if information is for ☐ Issuer ☐ Counterparty

b Type of issuer or counterparty

(1) ☐ Individual **(2)** ☐ Partnership **(3)** ☐ Corporation **(4)** ☐ Trust **(5)** ☐ Estate

c Check if issuer or counterparty is a ☐ U.S. person ☐ Foreign person

d Mailing address of issuer or counterparty. Number, street, and room or suite no. _____

e City or town, province or state, and country (including postal code) _____

Part VI Other Foreign Assets

1 Description of asset FOREIGN PARTNERSHIP	2 Identifying number or other designation
---	--

3 Complete all that apply

a Date asset acquired during tax year, if applicable _____

b Date asset disposed of during tax year, if applicable _____

c ☐ Check if asset jointly owned with spouse **d** ☐ Check if no tax item reported in Part III with respect to this asset

4 Maximum value of asset during tax year (check box that applies)

a ☐ \$0 - \$50,000 **b** ☐ \$50,001 - \$100,000 **c** ☐ \$100,001 - \$150,000 **d** ☒ \$150,001 - \$200,000

e If more than \$200,000, list value _____ \$ **208,292.**

5 Did you use a foreign currency exchange rate to convert the value of the asset into U.S. dollars? ☒ Yes ☐ No

6 If you answered "Yes" to line 5, complete all that apply.

(1) Foreign currency in which asset is denominated UNITED STATES, DOLLA	(2) Foreign currency exchange rate used to convert to U.S. dollars	(3) Source of exchange rate used if not from U.S. Treasury Department's Bureau of the Fiscal Service
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7 If asset reported on line 1 is stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

a Name of foreign entity **ATLAS CAPITAL RESOURCES II (A1b** GIIN (Optional) _____

c Type of foreign entity **(1)** ☒ Partnership **(2)** ☐ Corporation **(3)** ☐ Trust **(4)** ☐ Estate

d Mailing address of foreign entity. Number, street, and room or suite no. _____

e City or town, state or province, and country (including postal code) _____

8 If asset reported on line 1 is not stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

Note. If this asset has more than one issuer or counterparty, attach a continuation sheet with the same information for each additional issuer or counterparty (see instructions).

a Name of issuer or counterparty _____
 Check if information is for ☐ Issuer ☐ Counterparty

b Type of issuer or counterparty
(1) ☐ Individual **(2)** ☐ Partnership **(3)** ☐ Corporation **(4)** ☐ Trust **(5)** ☐ Estate

c Check if issuer or counterparty is a ☐ U.S. person ☐ Foreign person

d Mailing address of issuer or counterparty. Number, street, and room or suite no. _____

e City or town, province or state, and country (including postal code) _____

Part VI Other Foreign Assets

1 Description of asset FOREIGN PARTNERSHIP	2 Identifying number or other designation
---	--

3 Complete all that apply

a Date asset acquired during tax year, if applicable _____

b Date asset disposed of during tax year, if applicable _____

c ☐ Check if asset jointly owned with spouse **d** ☐ Check if no tax item reported in Part III with respect to this asset

4 Maximum value of asset during tax year (check box that applies)

a ☐ \$0 - \$50,000 **b** ☐ \$50,001 - \$100,000 **c** ☐ \$100,001 - \$150,000 **d** ☒ \$150,001 - \$200,000

e If more than \$200,000, list value _____ \$

5 Did you use a foreign currency exchange rate to convert the value of the asset into U.S. dollars? ☒ Yes ☐ No

6 If you answered "Yes" to line 5, complete all that apply.

(1) Foreign currency in which asset is denominated UNITED STATES, DOLLA	(2) Foreign currency exchange rate used to convert to U.S. dollars	(3) Source of exchange rate used if not from U.S. Treasury Department's Bureau of the Fiscal Service
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7 If asset reported on line 1 is stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

a Name of foreign entity **ATLAS CAPITAL RESOURCES II (A2b** GIIN (Optional) _____

c Type of foreign entity **(1)** ☒ Partnership **(2)** ☐ Corporation **(3)** ☐ Trust **(4)** ☐ Estate

d Mailing address of foreign entity. Number, street, and room or suite no. _____

e City or town, state or province, and country (including postal code) _____

8 If asset reported on line 1 is not stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

Note. If this asset has more than one issuer or counterparty, attach a continuation sheet with the same information for each additional issuer or counterparty (see instructions).

a Name of issuer or counterparty _____
 Check if information is for ☐ Issuer ☐ Counterparty

b Type of issuer or counterparty
(1) ☐ Individual **(2)** ☐ Partnership **(3)** ☐ Corporation **(4)** ☐ Trust **(5)** ☐ Estate

c Check if issuer or counterparty is a ☐ U.S. person ☐ Foreign person

d Mailing address of issuer or counterparty. Number, street, and room or suite no. _____

e City or town, province or state, and country (including postal code) _____

Part VI Other Foreign Assets

1 Description of asset FOREIGN PARTNERSHIP	2 Identifying number or other designation
---	--

3 Complete all that apply

a Date asset acquired during tax year, if applicable _____

b Date asset disposed of during tax year, if applicable _____

c ☐ Check if asset jointly owned with spouse **d** ☐ Check if no tax item reported in Part III with respect to this asset

4 Maximum value of asset during tax year (check box that applies)

a ☒ \$0 - \$50,000 **b** ☐ \$50,001 - \$100,000 **c** ☐ \$100,001 - \$150,000 **d** ☐ \$150,001 - \$200,000

e If more than \$200,000, list value _____ \$

5 Did you use a foreign currency exchange rate to convert the value of the asset into U.S. dollars? ☒ Yes ☐ No

6 If you answered "Yes" to line 5, complete all that apply.

(1) Foreign currency in which asset is denominated UNITED STATES, DOLLA	(2) Foreign currency exchange rate used to convert to U.S. dollars	(3) Source of exchange rate used if not from U.S. Treasury Department's Bureau of the Fiscal Service
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7 If asset reported on line 1 is stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

a Name of foreign entity **CENTERBRIDGE CAPITAL PARTNERS** **b** GIIN (Optional) _____

c Type of foreign entity **(1)** ☒ Partnership **(2)** ☐ Corporation **(3)** ☐ Trust **(4)** ☐ Estate

d Mailing address of foreign entity. Number, street, and room or suite no. _____

e City or town, state or province, and country (including postal code) _____

8 If asset reported on line 1 is not stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

Note. If this asset has more than one issuer or counterparty, attach a continuation sheet with the same information for each additional issuer or counterparty (see instructions).

a Name of issuer or counterparty _____
 Check if information is for ☐ Issuer ☐ Counterparty

b Type of issuer or counterparty
(1) ☐ Individual **(2)** ☐ Partnership **(3)** ☐ Corporation **(4)** ☐ Trust **(5)** ☐ Estate

c Check if issuer or counterparty is a ☐ U.S. person ☐ Foreign person

d Mailing address of issuer or counterparty. Number, street, and room or suite no. _____

e City or town, province or state, and country (including postal code) _____

Part VI Other Foreign Assets

1 Description of asset FOREIGN PARTNERSHIP	2 Identifying number or other designation
---	--

3 Complete all that apply

a Date asset acquired during tax year, if applicable _____

b Date asset disposed of during tax year, if applicable _____

c ☐ Check if asset jointly owned with spouse **d** ☐ Check if no tax item reported in Part III with respect to this asset

4 Maximum value of asset during tax year (check box that applies)

a ☐ \$0 - \$50,000 **b** ☒ \$50,001 - \$100,000 **c** ☐ \$100,001 - \$150,000 **d** ☐ \$150,001 - \$200,000

e If more than \$200,000, list value _____ \$

5 Did you use a foreign currency exchange rate to convert the value of the asset into U.S. dollars? ☒ Yes ☐ No

6 If you answered "Yes" to line 5, complete all that apply.

(1) Foreign currency in which asset is denominated UNITED STATES, DOLLA	(2) Foreign currency exchange rate used to convert to U.S. dollars	(3) Source of exchange rate used if not from U.S. Treasury Department's Bureau of the Fiscal Service
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7 If asset reported on line 1 is stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

a Name of foreign entity **CENTERBRIDGE CAPITAL PARTNERS** **b** GIIN (Optional) _____

c Type of foreign entity **(1)** ☒ Partnership **(2)** ☐ Corporation **(3)** ☐ Trust **(4)** ☐ Estate

d Mailing address of foreign entity. Number, street, and room or suite no. _____

e City or town, state or province, and country (including postal code) _____

8 If asset reported on line 1 is not stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

Note. If this asset has more than one issuer or counterparty, attach a continuation sheet with the same information for each additional issuer or counterparty (see instructions).

a Name of issuer or counterparty _____
 Check if information is for ☐ Issuer ☐ Counterparty

b Type of issuer or counterparty
(1) ☐ Individual **(2)** ☐ Partnership **(3)** ☐ Corporation **(4)** ☐ Trust **(5)** ☐ Estate

c Check if issuer or counterparty is a ☐ U.S. person ☐ Foreign person

d Mailing address of issuer or counterparty. Number, street, and room or suite no. _____

e City or town, province or state, and country (including postal code) _____

Part VI Other Foreign Assets

1 Description of asset FOREIGN PARTNERSHIP	2 Identifying number or other designation
---	--

3 Complete all that apply

- a** Date asset acquired during tax year, if applicable _____
- b** Date asset disposed of during tax year, if applicable _____
- c** ☐ Check if asset jointly owned with spouse **d** ☐ Check if no tax item reported in Part III with respect to this asset

4 Maximum value of asset during tax year (check box that applies)

- a** ☐ \$0 - \$50,000 **b** ☐ \$50,001 - \$100,000 **c** ☒ \$100,001 - \$150,000 **d** ☐ \$150,001 - \$200,000

e If more than \$200,000, list value _____ \$

5 Did you use a foreign currency exchange rate to convert the value of the asset into U.S. dollars? ☒ Yes ☐ No

6 If you answered "Yes" to line 5, complete all that apply.

(1) Foreign currency in which asset is denominated UNITED STATES, DOLLA	(2) Foreign currency exchange rate used to convert to U.S. dollars	(3) Source of exchange rate used if not from U.S. Treasury Department's Bureau of the Fiscal Service
--	---	---

7 If asset reported on line 1 is stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

- a** Name of foreign entity **ATLAS CAPITAL RESOURCES II (A5b** GIIN (Optional) _____
- c** Type of foreign entity **(1)** ☒ Partnership **(2)** ☐ Corporation **(3)** ☐ Trust **(4)** ☐ Estate
- d** Mailing address of foreign entity. Number, street, and room or suite no. _____

e City or town, state or province, and country (including postal code) _____

8 If asset reported on line 1 is not stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

Note. If this asset has more than one issuer or counterparty, attach a continuation sheet with the same information for each additional issuer or counterparty (see instructions).

- a** Name of issuer or counterparty _____
 Check if information is for ☐ Issuer ☐ Counterparty
- b** Type of issuer or counterparty
(1) ☐ Individual **(2)** ☐ Partnership **(3)** ☐ Corporation **(4)** ☐ Trust **(5)** ☐ Estate
- c** Check if issuer or counterparty is a ☐ U.S. person ☐ Foreign person
- d** Mailing address of issuer or counterparty. Number, street, and room or suite no. _____

e City or town, province or state, and country (including postal code) _____

Part VI Other Foreign Assets

1 Description of asset FOREIGN PARTNERSHIP	2 Identifying number or other designation
---	--

3 Complete all that apply

a Date asset acquired during tax year, if applicable _____

b Date asset disposed of during tax year, if applicable _____

c ☐ Check if asset jointly owned with spouse **d** ☐ Check if no tax item reported in Part III with respect to this asset

4 Maximum value of asset during tax year (check box that applies)

a ☐ \$0 - \$50,000 **b** ☐ \$50,001 - \$100,000 **c** ☐ \$100,001 - \$150,000 **d** ☒ \$150,001 - \$200,000

e If more than \$200,000, list value _____ \$

5 Did you use a foreign currency exchange rate to convert the value of the asset into U.S. dollars? ☒ Yes ☐ No

6 If you answered "Yes" to line 5, complete all that apply.

(1) Foreign currency in which asset is denominated UNITED STATES, DOLLA	(2) Foreign currency exchange rate used to convert to U.S. dollars	(3) Source of exchange rate used if not from U.S. Treasury Department's Bureau of the Fiscal Service
--	---	---

7 If asset reported on line 1 is stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

a Name of foreign entity **DELOS FOS, LP** **b** GIIN (Optional) _____

c Type of foreign entity **(1)** ☒ Partnership **(2)** ☐ Corporation **(3)** ☐ Trust **(4)** ☐ Estate

d Mailing address of foreign entity. Number, street, and room or suite no. _____

e City or town, state or province, and country (including postal code) _____

8 If asset reported on line 1 is not stock of a foreign entity or an interest in a foreign entity, enter the following information for the asset.

Note. If this asset has more than one issuer or counterparty, attach a continuation sheet with the same information for each additional issuer or counterparty (see instructions).

a Name of issuer or counterparty _____
 Check if information is for ☐ Issuer ☐ Counterparty

b Type of issuer or counterparty
(1) ☐ Individual **(2)** ☐ Partnership **(3)** ☐ Corporation **(4)** ☐ Trust **(5)** ☐ Estate

c Check if issuer or counterparty is a ☐ U.S. person ☐ Foreign person

d Mailing address of issuer or counterparty. Number, street, and room or suite no. _____

e City or town, province or state, and country (including postal code) _____

**Return by a U.S. Transferor of Property
to a Foreign Corporation**

OMB No. 1545-0026

- Go to www.irs.gov/Form926 for instructions and the latest information.
► Attach to your income tax return for the year of the transfer or distribution.

Attachment
Sequence No. **128**

Part I U.S. Transferor Information (see instructions)

Name of transferor THE SOBRATO FAMILY FOUNDATION	Identifying number (see instructions) 77-0348912
--	--

1 If the transferor was a corporation, complete questions 1a through 1d.

- a** If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) by 5 or fewer domestic corporations? ☐ Yes ☒ No
- b** Did the transferor remain in existence after the transfer? ☒ Yes ☐ No
If not, list the controlling shareholder(s) and their identifying number(s).

Controlling shareholder	Identifying number

- c** If the transferor was a member of an affiliated group filing a consolidated return, was it the parent corporation? ☐ Yes ☒ No
If not, list the name and employer identification number (EIN) of the parent corporation.

Name of parent corporation	EIN of parent corporation

- d** Have basis adjustments under section 367(a)(5) been made? ☐ Yes ☒ No

2 If the transferor was a partner in a partnership that was the actual transferor (but is not treated as such under section 367), complete questions 2a through 2d.

- a** List the name and EIN of the transferor's partnership.

Name of partnership	EIN of partnership
AXIOM ASIA PRIVATE CAPITAL FUND III, LP	98-1044657

- b** Did the partner pick up its pro rata share of gain on the transfer of partnership assets? ☐ Yes ☒ No
- c** Is the partner disposing of its **entire** interest in the partnership? ☐ Yes ☒ No
- d** Is the partner disposing of an interest in a limited partnership that is regularly traded on an established securities market? ☐ Yes ☒ No

Part II Transferee Foreign Corporation Information (see instructions)

3 Name of transferee (foreign corporation) A3F LTD	4a Identifying number, if any 99-999999
5 Address (including country) CRICKET SQUARE, HUTCHINS DRIVE, PO BOX 2681 GRAND CAYMAN, KY1-1111 CAYMAN ISLANDS	4b Reference ID number 2017-031-001
6 Country code of country of incorporation or organization CJ	
7 Foreign law characterization (see instructions) CORPORATION	
8 Is the transferee foreign corporation a controlled foreign corporation? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

LHA For Paperwork Reduction Act Notice, see separate instructions.

Form **926** (Rev. 12-2017)

Part III Information Regarding Transfer of Property (see instructions)**Section A - Cash, Stock, and Securities**

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Cash			118,442.		
Stock and securities (other than those that qualify as eligible property under Regs. sec. 1.367(a)-2(b)(3))					

9 Was cash the only property transferred? ☒ Yes ☐ No
If "Yes," skip the remainder of Part III and go to Part IV.

10 Did the transferor transfer stock or securities subject to section 367(a) with respect to which a gain recognition agreement was filed? ☐ Yes ☐ No

Section B - Property qualifying for Active Trade or Business exception under Regs. sec. 1.367(a)-2(a)(2)(i) and (ii)

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer*
Tangible property (not listed under another category)					
Working interest in oil and gas property (as described in Regs. sec. 1.367(a)-2(b)(2) and (f))					
Financial asset (as described in Regs. sec. 1.367(a)-2(b)(3))					
Certain tangible property to be leased (see Regs. sec. 1.367(a)-2(e))					
Totals					

* If property listed in this section is subject to depreciation recapture or branch loss recapture, see instructions.

Form 926 (Rev. 12-2017)

Section C - Property not qualifying for Active Trade or Business exception (other than intangible property subject to section 367(d))

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer*
Inventory					
Installment obligations, etc. (as described in Regs. sec. 1.367(a)-2(c)(2))					
Nonfunctional currency, etc. (as described in Regs. sec. 1.367(a)-2(c)(3))					
Certain leased tangible property (as described in Regs. sec. 1.367(a)-2(c)(4))					
Certain property to be retransferred (see Regs. sec. 1.367(a)-2(g))					
Property described in Regs. sec. 1.6038B-1(c)(4)(iv)					
Property described in Regs. sec. 1.6038B-1(c)(4)(vii)					
Totals					

* If property listed in this section is subject to depreciation recapture or branch loss recapture, see instructions.

11 Did the transferor transfer assets that qualify for the trade or business exception under section 367(a)(3)? ☐ Yes ☐ No**12** Indicate whether the transferor was required to recognize income under final and Temporary Regulations sections 1.367(a)-2 through 1.367(a)-7 for any of the following.**a** Transfer of property subject to section 367(a)(1) gain recognition ☐ Yes ☐ No**b** Depreciation recapture ☐ Yes ☐ No**c** Branch loss recapture ☐ Yes ☐ No**d** If the answer to 12c is "Yes," enter the amount of foreign branch loss recapture ☐ Yes ☐ No**e** Any other income recognition provision contained in the above-referenced regulations ☐ Yes ☐ No

If the answer to line 12a, 12b, 12c, or 12e is "Yes," see instructions for information that must be included in the Supplemental Part III Information Required To Be Reported section below.

Section D - Intangible property under Regs. sec. 1.367(a)-1(d)(5)

Type of property	(a) Date of transfer	(b) Description of property	(c) Useful life	(d) Arm's length price on date of transfer	(e) Cost or other basis	(f) Income inclusion for year of transfer
Property described in sec. 936(h)(3)(B)						
Property subject to sec. 367(d) pursuant to Regs. sec. 1.367(a)-1(b)(5)						
Totals						

- 13 a** Did the transferor transfer property described in section 936(h)(3)(B) (not including section 1221(a)(3) property or a working interest in oil and gas property)? ☐ Yes ☐ No
- b** If the answer to line 13a is "Yes," enter the total amount included in income under section 367(d), if any, for the transfer of all such property on the income tax return for the year of the transfer ► \$ _____
- 14 a** Did the transferor apply section 367(d) to a transfer of any property pursuant to Regulations section 1.367(a)-1(b)(5)? ☐ Yes ☐ No
- b** If the answer to line 14a is "Yes," enter the total amount included in income under section 367(d), if any, for the transfer of all such property on the income tax return for the year of the transfer ► \$ _____
- c** If the answer to line 14a is "No," did the transferor transfer any property for which it could have applied section 367(d) pursuant to Regulations section 1.367(a)-1(b)(5) but did not? ☐ Yes ☐ No
- d** If the answer to line 14c is "Yes," enter the total amount of gain recognized, if any, under section 367(a)(1) on the transfer of all such property on the income tax return for the year of the transfer ► \$ _____
- 15 a** Did the transferor transfer any intangible property that, at the time of the transfer, had a useful life reasonably anticipated to exceed twenty years? ☐ Yes ☐ No
- b** At the time of the transfer, did any of the transferred intangible property have an indefinite useful life? ☐ Yes ☐ No
- c** Did the transferor choose to apply the 20-year inclusion period provided under Regulations section 1.367(d)-1(c)(3)(ii) for any intangible property? ☐ Yes ☐ No
- d** If the answer to line 15c is "Yes," enter the total estimated anticipated income or cost reduction attributable to the intangible property's, or properties', as applicable, use(s) beyond the 20-year period described in Regulations section 1.367(d)-1(c)(3)(ii) ► \$ _____
- 16** Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)? ☐ Yes ☐ No

Supplemental Part III Information Required To Be Reported (see instructions)**Part IV Additional Information Regarding Transfer of Property** (see instructions)

- 17** Enter the transferor's interest in the foreign transferee corporation before and after the transfer.
(a) Before 0.253 % (b) After 0.253 %
- 18** Type of nonrecognition transaction (see instructions) ► IRC SECTION 351
- 19** Indicate whether any transfer reported in Part III is subject to any of the following.
- | | | |
|--|------------------------------|--|
| a Gain recognition under section 904(f)(3) | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| b Gain recognition under section 904(f)(5)(F) | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| c Recapture under section 1503(d) | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| d Exchange gain under section 987 | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
- 20** Did this transfer result from a change in entity classification? ☐ Yes ☒ No
- 21 a** Did a domestic corporation make a distribution of property covered by section 367(e)(2) (see instructions)? ☐ Yes ☒ No
If "Yes," complete lines 21b and 21c.
- b** Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) ► \$ _____
- c** Did the domestic corporation not recognize gain or loss on the distribution of property because the property was used in the conduct of U.S. trade or business under Regulations section 1.367(e)-2(b)(2)? ☐ Yes ☐ No

**Return by a U.S. Transferor of Property
to a Foreign Corporation**

OMB No. 1545-0026

- Go to www.irs.gov/Form926 for instructions and the latest information.
► Attach to your income tax return for the year of the transfer or distribution.

Attachment
Sequence No. **128**

Part I U.S. Transferor Information (see instructions)

Name of transferor THE SOBRATO FAMILY FOUNDATION	Identifying number (see instructions) 77-0348912
--	--

1 If the transferor was a corporation, complete questions 1a through 1d.

- a** If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) by 5 or fewer domestic corporations? ☐ Yes ☒ No
- b** Did the transferor remain in existence after the transfer? ☒ Yes ☐ No
If not, list the controlling shareholder(s) and their identifying number(s).

Controlling shareholder	Identifying number

- c** If the transferor was a member of an affiliated group filing a consolidated return, was it the parent corporation? ☐ Yes ☒ No
If not, list the name and employer identification number (EIN) of the parent corporation.

Name of parent corporation	EIN of parent corporation

- d** Have basis adjustments under section 367(a)(5) been made? ☐ Yes ☒ No

2 If the transferor was a partner in a partnership that was the actual transferor (but is not treated as such under section 367), complete questions 2a through 2d.

- a** List the name and EIN of the transferor's partnership.

Name of partnership	EIN of partnership
LITTLEJOHN FUND V, L.P.	46-4483221

- b** Did the partner pick up its pro rata share of gain on the transfer of partnership assets? ☐ Yes ☒ No
- c** Is the partner disposing of its **entire** interest in the partnership? ☐ Yes ☒ No
- d** Is the partner disposing of an interest in a limited partnership that is regularly traded on an established securities market? ☐ Yes ☒ No

Part II Transferee Foreign Corporation Information (see instructions)

3 Name of transferee (foreign corporation) WELLFLEET CLO 2017-1, LTD	4a Identifying number, if any
5 Address (including country) CAYMAN CORPORATE CENTRE, 27 HOSPITAL ROAD GEORGE TOWN, GRAND CAYMAN KY1-9008 CAYMAN ISLANDS	4b Reference ID number 2017-045-001
6 Country code of country of incorporation or organization CJ	
7 Foreign law characterization (see instructions) CORPORATION	
8 Is the transferee foreign corporation a controlled foreign corporation? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

LHA For Paperwork Reduction Act Notice, see separate instructions.

Form **926** (Rev. 12-2017)

Part III Information Regarding Transfer of Property (see instructions)**Section A - Cash, Stock, and Securities**

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Cash					
Stock and securities (other than those that qualify as eligible property under Regs. sec. 1.367(a)-2(b)(3))					

9 Was cash the only property transferred? ☐ Yes ☒ No
If "Yes," skip the remainder of Part III and go to Part IV.

10 Did the transferor transfer stock or securities subject to section 367(a) with respect to which a gain recognition agreement was filed? ☐ Yes ☒ No

Section B - Property qualifying for Active Trade or Business exception under Regs. sec. 1.367(a)-2(a)(2)(i) and (ii)

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer*
Tangible property (not listed under another category)					
Working interest in oil and gas property (as described in Regs. sec. 1.367(a)-2(b)(2) and (f))					
Financial asset (as described in Regs. sec. 1.367(a)-2(b)(3))					
Certain tangible property to be leased (see Regs. sec. 1.367(a)-2(e))					
Totals					

* If property listed in this section is subject to depreciation recapture or branch loss recapture, see instructions.

Form **926** (Rev. 12-2017)

Section C - Property not qualifying for Active Trade or Business exception (other than intangible property subject to section 367(d))

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer*
Inventory					
Installment obligations, etc. (as described in Regs. sec. 1.367(a)-2(c)(2))		SUBORD. NOTES	2,347.		2,347.
Nonfunctional currency, etc. (as described in Regs. sec. 1.367(a)-2(c)(3))					
Certain leased tangible property (as described in Regs. sec. 1.367(a)-2(c)(4))					
Certain property to be retransferred (see Regs. sec. 1.367(a)-2(g))					
Property described in Regs. sec. 1.6038B-1(c)(4)(iv)					
Property described in Regs. sec. 1.6038B-1(c)(4)(vii)					
Totals			2,347.		2,347.

* If property listed in this section is subject to depreciation recapture or branch loss recapture, see instructions.

- 11** Did the transferor transfer assets that qualify for the trade or business exception under section 367(a)(3)? ☐ Yes ☒ No
- 12** Indicate whether the transferor was required to recognize income under final and Temporary Regulations sections 1.367(a)-2 through 1.367(a)-7 for any of the following.
- a** Transfer of property subject to section 367(a)(1) gain recognition ☐ Yes ☒ No
- b** Depreciation recapture ☐ Yes ☒ No
- c** Branch loss recapture ☐ Yes ☒ No
- d** If the answer to 12c is "Yes," enter the amount of foreign branch loss recapture ► \$ _____
- e** Any other income recognition provision contained in the above-referenced regulations ☐ Yes ☒ No
- If the answer to line 12a, 12b, 12c, or 12e is "Yes," see instructions for information that must be included in the Supplemental Part III Information Required To Be Reported section below.

Section D - Intangible property under Regs. sec. 1.367(a)-1(d)(5)

Type of property	(a) Date of transfer	(b) Description of property	(c) Useful life	(d) Arm's length price on date of transfer	(e) Cost or other basis	(f) Income inclusion for year of transfer
Property described in sec. 936(h)(3)(B)						
Property subject to sec. 367(d) pursuant to Regs. sec. 1.367(a)-1(b)(5)						
Totals						

- 13 a** Did the transferor transfer property described in section 936(h)(3)(B) (not including section 1221(a)(3) property or a working interest in oil and gas property)? ☐ Yes ☒ No
- b** If the answer to line 13a is "Yes," enter the total amount included in income under section 367(d), if any, for the transfer of all such property on the income tax return for the year of the transfer ► \$ _____
- 14 a** Did the transferor apply section 367(d) to a transfer of any property pursuant to Regulations section 1.367(a)-1(b)(5)? ☐ Yes ☒ No
- b** If the answer to line 14a is "Yes," enter the total amount included in income under section 367(d), if any, for the transfer of all such property on the income tax return for the year of the transfer ► \$ _____
- c** If the answer to line 14a is "No," did the transferor transfer any property for which it could have applied section 367(d) pursuant to Regulations section 1.367(a)-1(b)(5) but did not? ☐ Yes ☒ No
- d** If the answer to line 14c is "Yes," enter the total amount of gain recognized, if any, under section 367(a)(1) on the transfer of all such property on the income tax return for the year of the transfer ► \$ _____
- 15 a** Did the transferor transfer any intangible property that, at the time of the transfer, had a useful life reasonably anticipated to exceed twenty years? ☐ Yes ☒ No
- b** At the time of the transfer, did any of the transferred intangible property have an indefinite useful life? ☐ Yes ☒ No
- c** Did the transferor choose to apply the 20-year inclusion period provided under Regulations section 1.367(d)-1(c)(3)(ii) for any intangible property? ☐ Yes ☒ No
- d** If the answer to line 15c is "Yes," enter the total estimated anticipated income or cost reduction attributable to the intangible property's, or properties', as applicable, use(s) beyond the 20-year period described in Regulations section 1.367(d)-1(c)(3)(ii) ► \$ _____
- 16** Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)? ☐ Yes ☒ No

Supplemental Part III Information Required To Be Reported (see instructions)

Part IV Additional Information Regarding Transfer of Property (see instructions)

- 17** Enter the transferor's interest in the foreign transferee corporation before and after the transfer.
(a) Before 0.000 % (b) After 0.006 %
- 18** Type of nonrecognition transaction (see instructions) ► IRC SECTION 351
- 19** Indicate whether any transfer reported in Part III is subject to any of the following.
- | | | |
|--|------------------------------|--|
| a Gain recognition under section 904(f)(3) | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| b Gain recognition under section 904(f)(5)(F) | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| c Recapture under section 1503(d) | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| d Exchange gain under section 987 | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
- 20** Did this transfer result from a change in entity classification? ☐ Yes ☒ No
- 21 a** Did a domestic corporation make a distribution of property covered by section 367(e)(2) (see instructions)? ☐ Yes ☒ No
If "Yes," complete lines 21b and 21c.
- b** Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) ► \$ _____
- c** Did the domestic corporation not recognize gain or loss on the distribution of property because the property was used in the conduct of U.S. trade or business under Regulations section 1.367(e)-2(b)(2)? ☐ Yes ☐ No

Return by a U.S. Transferor of Property to a Foreign Corporation

OMB No. 1545-0026

- Go to www.irs.gov/Form926 for instructions and the latest information.
► Attach to your income tax return for the year of the transfer or distribution.

Attachment
Sequence No. **128**

Part I U.S. Transferor Information (see instructions)

Name of transferor THE SOBRATO FAMILY FOUNDATION	Identifying number (see instructions) 77-0348912
--	--

1 If the transferor was a corporation, complete questions 1a through 1d.

- a** If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) by 5 or fewer domestic corporations? ☐ Yes ☒ No
- b** Did the transferor remain in existence after the transfer? ☒ Yes ☐ No
If not, list the controlling shareholder(s) and their identifying number(s).

Controlling shareholder	Identifying number

- c** If the transferor was a member of an affiliated group filing a consolidated return, was it the parent corporation? ☐ Yes ☒ No
If not, list the name and employer identification number (EIN) of the parent corporation.

Name of parent corporation	EIN of parent corporation

- d** Have basis adjustments under section 367(a)(5) been made? ☐ Yes ☒ No

2 If the transferor was a partner in a partnership that was the actual transferor (but is not treated as such under section 367), complete questions 2a through 2d.

- a** List the name and EIN of the transferor's partnership.

Name of partnership	EIN of partnership
LITTLEJOHN FUND V, LP	46-4483221

- b** Did the partner pick up its pro rata share of gain on the transfer of partnership assets? ☐ Yes ☒ No
- c** Is the partner disposing of its **entire** interest in the partnership? ☐ Yes ☒ No
- d** Is the partner disposing of an interest in a limited partnership that is regularly traded on an established securities market? ☐ Yes ☒ No

Part II Transferee Foreign Corporation Information (see instructions)

3 Name of transferee (foreign corporation) WELLFLEET CLO 2017-2, LTD	4a Identifying number, if any
5 Address (including country) CAYMAN CORPORATE CENTRE, 27 HOSPITAL ROAD GEORGE TOWN, GRAND CAYMAN KY1-9008 CAYMAN ISLANDS	4b Reference ID number 2017-045-02
6 Country code of country of incorporation or organization CJ	
7 Foreign law characterization (see instructions) CORPORATION	
8 Is the transferee foreign corporation a controlled foreign corporation? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

LHA For Paperwork Reduction Act Notice, see separate instructions.

Form **926** (Rev. 12-2017)

Part III Information Regarding Transfer of Property (see instructions)**Section A - Cash, Stock, and Securities**

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Cash					
Stock and securities (other than those that qualify as eligible property under Regs. sec. 1.367(a)-2(b)(3))					

9 Was cash the only property transferred? ☐ Yes ☒ No
If "Yes," skip the remainder of Part III and go to Part IV.

10 Did the transferor transfer stock or securities subject to section 367(a) with respect to which a gain recognition agreement was filed? ☐ Yes ☒ No

Section B - Property qualifying for Active Trade or Business exception under Regs. sec. 1.367(a)-2(a)(2)(i) and (ii)

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer*
Tangible property (not listed under another category)					
Working interest in oil and gas property (as described in Regs. sec. 1.367(a)-2(b)(2) and (f))					
Financial asset (as described in Regs. sec. 1.367(a)-2(b)(3))					
Certain tangible property to be leased (see Regs. sec. 1.367(a)-2(e))					
Totals					

* If property listed in this section is subject to depreciation recapture or branch loss recapture, see instructions.

Form 926 (Rev. 12-2017)

Section C - Property not qualifying for Active Trade or Business exception (other than intangible property subject to section 367(d))

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer*
Inventory					
Installment obligations, etc. (as described in Regs. sec. 1.367(a)-2(c)(2))		SUBORD. NOTES	4,590.		4,590.
Nonfunctional currency, etc. (as described in Regs. sec. 1.367(a)-2(c)(3))					
Certain leased tangible property (as described in Regs. sec. 1.367(a)-2(c)(4))					
Certain property to be retransferred (see Regs. sec. 1.367(a)-2(g))					
Property described in Regs. sec. 1.6038B-1(c)(4)(iv)					
Property described in Regs. sec. 1.6038B-1(c)(4)(vii)					
Totals			4,590.		4,590.

* If property listed in this section is subject to depreciation recapture or branch loss recapture, see instructions.

- 11** Did the transferor transfer assets that qualify for the trade or business exception under section 367(a)(3)? ☐ Yes ☒ No
- 12** Indicate whether the transferor was required to recognize income under final and Temporary Regulations sections 1.367(a)-2 through 1.367(a)-7 for any of the following.
- a** Transfer of property subject to section 367(a)(1) gain recognition ☐ Yes ☒ No
- b** Depreciation recapture ☐ Yes ☒ No
- c** Branch loss recapture ☐ Yes ☒ No
- d** If the answer to 12c is "Yes," enter the amount of foreign branch loss recapture ► \$ _____
- e** Any other income recognition provision contained in the above-referenced regulations ☐ Yes ☒ No
- If the answer to line 12a, 12b, 12c, or 12e is "Yes," see instructions for information that must be included in the Supplemental Part III Information Required To Be Reported section below.

Section D - Intangible property under Regs. sec. 1.367(a)-1(d)(5)

Type of property	(a) Date of transfer	(b) Description of property	(c) Useful life	(d) Arm's length price on date of transfer	(e) Cost or other basis	(f) Income inclusion for year of transfer
Property described in sec. 936(h)(3)(B)						
Property subject to sec. 367(d) pursuant to Regs. sec. 1.367(a)-1(b)(5)						
Totals						

- 13 a** Did the transferor transfer property described in section 936(h)(3)(B) (not including section 1221(a)(3) property or a working interest in oil and gas property)? ☐ Yes ☒ No
- b** If the answer to line 13a is "Yes," enter the total amount included in income under section 367(d), if any, for the transfer of all such property on the income tax return for the year of the transfer ► \$ _____
- 14 a** Did the transferor apply section 367(d) to a transfer of any property pursuant to Regulations section 1.367(a)-1(b)(5)? ☐ Yes ☒ No
- b** If the answer to line 14a is "Yes," enter the total amount included in income under section 367(d), if any, for the transfer of all such property on the income tax return for the year of the transfer ► \$ _____
- c** If the answer to line 14a is "No," did the transferor transfer any property for which it could have applied section 367(d) pursuant to Regulations section 1.367(a)-1(b)(5) but did not? ☐ Yes ☒ No
- d** If the answer to line 14c is "Yes," enter the total amount of gain recognized, if any, under section 367(a)(1) on the transfer of all such property on the income tax return for the year of the transfer ► \$ _____
- 15 a** Did the transferor transfer any intangible property that, at the time of the transfer, had a useful life reasonably anticipated to exceed twenty years? ☐ Yes ☒ No
- b** At the time of the transfer, did any of the transferred intangible property have an indefinite useful life? ☐ Yes ☒ No
- c** Did the transferor choose to apply the 20-year inclusion period provided under Regulations section 1.367(d)-1(c)(3)(ii) for any intangible property? ☐ Yes ☒ No
- d** If the answer to line 15c is "Yes," enter the total estimated anticipated income or cost reduction attributable to the intangible property's, or properties', as applicable, use(s) beyond the 20-year period described in Regulations section 1.367(d)-1(c)(3)(ii) ► \$ _____
- 16** Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)? ☐ Yes ☒ No

Supplemental Part III Information Required To Be Reported (see instructions)**Part IV Additional Information Regarding Transfer of Property** (see instructions)

- 17** Enter the transferor's interest in the foreign transferee corporation before and after the transfer.
(a) Before 0.000 % (b) After 0.010 %
- 18** Type of nonrecognition transaction (see instructions) ► IRC SECTION 351
- 19** Indicate whether any transfer reported in Part III is subject to any of the following.
- | | | |
|--|------------------------------|--|
| a Gain recognition under section 904(f)(3) | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| b Gain recognition under section 904(f)(5)(F) | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| c Recapture under section 1503(d) | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| d Exchange gain under section 987 | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
- 20** Did this transfer result from a change in entity classification? ☐ Yes ☒ No
- 21 a** Did a domestic corporation make a distribution of property covered by section 367(e)(2) (see instructions)? ☐ Yes ☒ No
If "Yes," complete lines 21b and 21c.
- b** Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) ► \$ _____
- c** Did the domestic corporation not recognize gain or loss on the distribution of property because the property was used in the conduct of U.S. trade or business under Regulations section 1.367(e)-2(b)(2)? ☐ Yes ☐ No

Return by a U.S. Transferor of Property to a Foreign Corporation

OMB No. 1545-0026

- Go to www.irs.gov/Form926 for instructions and the latest information.
► Attach to your income tax return for the year of the transfer or distribution.

Attachment
Sequence No. **128**

Part I U.S. Transferor Information (see instructions)

Name of transferor THE SOBRATO FAMILY FOUNDATION	Identifying number (see instructions) 77-0348912
--	--

1 If the transferor was a corporation, complete questions 1a through 1d.

- a** If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) by 5 or fewer domestic corporations? ☐ Yes ☒ No
- b** Did the transferor remain in existence after the transfer? ☒ Yes ☐ No
If not, list the controlling shareholder(s) and their identifying number(s).

Controlling shareholder	Identifying number

- c** If the transferor was a member of an affiliated group filing a consolidated return, was it the parent corporation? ☐ Yes ☒ No
If not, list the name and employer identification number (EIN) of the parent corporation.

Name of parent corporation	EIN of parent corporation

- d** Have basis adjustments under section 367(a)(5) been made? ☐ Yes ☒ No

2 If the transferor was a partner in a partnership that was the actual transferor (but is not treated as such under section 367), complete questions 2a through 2d.

- a** List the name and EIN of the transferor's partnership.

Name of partnership	EIN of partnership
LITTLEJOHN FUND V, LP	46-4483221

- b** Did the partner pick up its pro rata share of gain on the transfer of partnership assets? ☐ Yes ☒ No
- c** Is the partner disposing of its **entire** interest in the partnership? ☐ Yes ☒ No
- d** Is the partner disposing of an interest in a limited partnership that is regularly traded on an established securities market? ☐ Yes ☒ No

Part II Transferee Foreign Corporation Information (see instructions)

3 Name of transferee (foreign corporation) WELLFLEET CLO 2015-1 MOA, LTD	4a Identifying number, if any
5 Address (including country) CAYMAN CORPORATE CENTRE, 27 HOSPITAL ROAD GEORGE TOWN, GRAND CAYMAN KY1-9008 CAYMAN ISLANDS	4b Reference ID number 2017-045-04
6 Country code of country of incorporation or organization CJ	
7 Foreign law characterization (see instructions) CORPORATION	
8 Is the transferee foreign corporation a controlled foreign corporation? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

LHA For Paperwork Reduction Act Notice, see separate instructions.

Form **926** (Rev. 12-2017)

Part III Information Regarding Transfer of Property (see instructions)**Section A - Cash, Stock, and Securities**

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Cash					
Stock and securities (other than those that qualify as eligible property under Regs. sec. 1.367(a)-2(b)(3))					

9 Was cash the only property transferred? ☐ Yes ☒ No
If "Yes," skip the remainder of Part III and go to Part IV.

10 Did the transferor transfer stock or securities subject to section 367(a) with respect to which a gain recognition agreement was filed? ☐ Yes ☒ No

Section B - Property qualifying for Active Trade or Business exception under Regs. sec. 1.367(a)-2(a)(2)(i) and (ii)

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer*
Tangible property (not listed under another category)					
Working interest in oil and gas property (as described in Regs. sec. 1.367(a)-2(b)(2) and (f))					
Financial asset (as described in Regs. sec. 1.367(a)-2(b)(3))					
Certain tangible property to be leased (see Regs. sec. 1.367(a)-2(e))					
Totals					

* If property listed in this section is subject to depreciation recapture or branch loss recapture, see instructions.

Form **926** (Rev. 12-2017)

Section C - Property not qualifying for Active Trade or Business exception (other than intangible property subject to section 367(d))

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer*
Inventory					
Installment obligations, etc. (as described in Regs. sec. 1.367(a)-2(c)(2))		SUBORD. NOTES	3,408.		3,408.
Nonfunctional currency, etc. (as described in Regs. sec. 1.367(a)-2(c)(3))					
Certain leased tangible property (as described in Regs. sec. 1.367(a)-2(c)(4))					
Certain property to be retransferred (see Regs. sec. 1.367(a)-2(g))					
Property described in Regs. sec. 1.6038B-1(c)(4)(iv)					
Property described in Regs. sec. 1.6038B-1(c)(4)(vii)					
Totals			3,408.		3,408.

* If property listed in this section is subject to depreciation recapture or branch loss recapture, see instructions.

- 11** Did the transferor transfer assets that qualify for the trade or business exception under section 367(a)(3)? ☐ Yes ☒ No
- 12** Indicate whether the transferor was required to recognize income under final and Temporary Regulations sections 1.367(a)-2 through 1.367(a)-7 for any of the following.
- a** Transfer of property subject to section 367(a)(1) gain recognition ☐ Yes ☒ No
- b** Depreciation recapture ☐ Yes ☒ No
- c** Branch loss recapture ☐ Yes ☒ No
- d** If the answer to 12c is "Yes," enter the amount of foreign branch loss recapture ► \$ _____
- e** Any other income recognition provision contained in the above-referenced regulations ☐ Yes ☒ No
- If the answer to line 12a, 12b, 12c, or 12e is "Yes," see instructions for information that must be included in the Supplemental Part III Information Required To Be Reported section below.

Section D - Intangible property under Regs. sec. 1.367(a)-1(d)(5)

Type of property	(a) Date of transfer	(b) Description of property	(c) Useful life	(d) Arm's length price on date of transfer	(e) Cost or other basis	(f) Income inclusion for year of transfer
Property described in sec. 936(h)(3)(B)						
Property subject to sec. 367(d) pursuant to Regs. sec. 1.367(a)-1(b)(5)						
Totals						

- 13 a** Did the transferor transfer property described in section 936(h)(3)(B) (not including section 1221(a)(3) property or a working interest in oil and gas property)? ☐ Yes ☒ No
- b** If the answer to line 13a is "Yes," enter the total amount included in income under section 367(d), if any, for the transfer of all such property on the income tax return for the year of the transfer ► \$ _____
- 14 a** Did the transferor apply section 367(d) to a transfer of any property pursuant to Regulations section 1.367(a)-1(b)(5)? ☐ Yes ☒ No
- b** If the answer to line 14a is "Yes," enter the total amount included in income under section 367(d), if any, for the transfer of all such property on the income tax return for the year of the transfer ► \$ _____
- c** If the answer to line 14a is "No," did the transferor transfer any property for which it could have applied section 367(d) pursuant to Regulations section 1.367(a)-1(b)(5) but did not? ☐ Yes ☒ No
- d** If the answer to line 14c is "Yes," enter the total amount of gain recognized, if any, under section 367(a)(1) on the transfer of all such property on the income tax return for the year of the transfer ► \$ _____
- 15 a** Did the transferor transfer any intangible property that, at the time of the transfer, had a useful life reasonably anticipated to exceed twenty years? ☐ Yes ☒ No
- b** At the time of the transfer, did any of the transferred intangible property have an indefinite useful life? ☐ Yes ☒ No
- c** Did the transferor choose to apply the 20-year inclusion period provided under Regulations section 1.367(d)-1(c)(3)(ii) for any intangible property? ☐ Yes ☒ No
- d** If the answer to line 15c is "Yes," enter the total estimated anticipated income or cost reduction attributable to the intangible property's, or properties', as applicable, use(s) beyond the 20-year period described in Regulations section 1.367(d)-1(c)(3)(ii) ► \$ _____
- 16** Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)? ☐ Yes ☒ No

Supplemental Part III Information Required To Be Reported (see instructions)**Part IV Additional Information Regarding Transfer of Property** (see instructions)

- 17** Enter the transferor's interest in the foreign transferee corporation before and after the transfer.
(a) Before 0.000 % (b) After 0.040 %
- 18** Type of nonrecognition transaction (see instructions) ► IRC SECTION 351
- 19** Indicate whether any transfer reported in Part III is subject to any of the following.
- | | | |
|--|------------------------------|--|
| a Gain recognition under section 904(f)(3) | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| b Gain recognition under section 904(f)(5)(F) | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| c Recapture under section 1503(d) | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| d Exchange gain under section 987 | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
- 20** Did this transfer result from a change in entity classification? ☐ Yes ☒ No
- 21 a** Did a domestic corporation make a distribution of property covered by section 367(e)(2) (see instructions)? ☐ Yes ☒ No
If "Yes," complete lines 21b and 21c.
- b** Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) ► \$ _____
- c** Did the domestic corporation not recognize gain or loss on the distribution of property because the property was used in the conduct of U.S. trade or business under Regulations section 1.367(e)-2(b)(2)? ☐ Yes ☐ No

Return by a U.S. Transferor of Property to a Foreign Corporation

OMB No. 1545-0026

- Go to www.irs.gov/Form926 for instructions and the latest information.
► Attach to your income tax return for the year of the transfer or distribution.

Attachment
Sequence No. **128**

Part I U.S. Transferor Information (see instructions)

Name of transferor THE SOBRATO FAMILY FOUNDATION	Identifying number (see instructions) 77-0348912
--	--

1 If the transferor was a corporation, complete questions 1a through 1d.

- a** If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) by 5 or fewer domestic corporations? ☐ Yes ☒ No
- b** Did the transferor remain in existence after the transfer? ☒ Yes ☐ No
If not, list the controlling shareholder(s) and their identifying number(s).

Controlling shareholder	Identifying number

- c** If the transferor was a member of an affiliated group filing a consolidated return, was it the parent corporation? ☐ Yes ☒ No
If not, list the name and employer identification number (EIN) of the parent corporation.

Name of parent corporation	EIN of parent corporation

- d** Have basis adjustments under section 367(a)(5) been made? ☐ Yes ☒ No

2 If the transferor was a partner in a partnership that was the actual transferor (but is not treated as such under section 367), complete questions 2a through 2d.

- a** List the name and EIN of the transferor's partnership.

Name of partnership	EIN of partnership
PATRIA-BRAZILIAN PRIVATE EQUITY FUND AIV 1, LP	98-1178886

- b** Did the partner pick up its pro rata share of gain on the transfer of partnership assets? ☐ Yes ☒ No
- c** Is the partner disposing of its **entire** interest in the partnership? ☐ Yes ☒ No
- d** Is the partner disposing of an interest in a limited partnership that is regularly traded on an established securities market? ☐ Yes ☒ No

Part II Transferee Foreign Corporation Information (see instructions)

3 Name of transferee (foreign corporation) ATHENA HEALTHCARE HOLDING S.A	4a Identifying number, if any
5 Address (including country) AV. CIDADE JARDIM, 803, 9 ANDAR, SALA P, ITAIM BIBI SAO PAULO, 01453-000 BRAZIL	4b Reference ID number 2017-067-001
6 Country code of country of incorporation or organization BR	
7 Foreign law characterization (see instructions) CORPORATION	
8 Is the transferee foreign corporation a controlled foreign corporation? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

LHA For Paperwork Reduction Act Notice, see separate instructions.

Form **926** (Rev. 12-2017)

Part III Information Regarding Transfer of Property (see instructions)**Section A - Cash, Stock, and Securities**

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Cash			154,045.		
Stock and securities (other than those that qualify as eligible property under Regs. sec. 1.367(a)-2(b)(3))					

9 Was cash the only property transferred? ☒ Yes ☐ No
If "Yes," skip the remainder of Part III and go to Part IV.

10 Did the transferor transfer stock or securities subject to section 367(a) with respect to which a gain recognition agreement was filed? ☐ Yes ☐ No

Section B - Property qualifying for Active Trade or Business exception under Regs. sec. 1.367(a)-2(a)(2)(i) and (ii)

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer*
Tangible property (not listed under another category)					
Working interest in oil and gas property (as described in Regs. sec. 1.367(a)-2(b)(2) and (f))					
Financial asset (as described in Regs. sec. 1.367(a)-2(b)(3))					
Certain tangible property to be leased (see Regs. sec. 1.367(a)-2(e))					
Totals					

* If property listed in this section is subject to depreciation recapture or branch loss recapture, see instructions.

Form 926 (Rev. 12-2017)

Section C - Property not qualifying for Active Trade or Business exception (other than intangible property subject to section 367(d))

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer*
Inventory					
Installment obligations, etc. (as described in Regs. sec. 1.367(a)-2(c)(2))					
Nonfunctional currency, etc. (as described in Regs. sec. 1.367(a)-2(c)(3))					
Certain leased tangible property (as described in Regs. sec. 1.367(a)-2(c)(4))					
Certain property to be retransferred (see Regs. sec. 1.367(a)-2(g))					
Property described in Regs. sec. 1.6038B-1(c)(4)(iv)					
Property described in Regs. sec. 1.6038B-1(c)(4)(vii)					
Totals					

* If property listed in this section is subject to depreciation recapture or branch loss recapture, see instructions.

- 11** Did the transferor transfer assets that qualify for the trade or business exception under section 367(a)(3)? ☐ Yes ☐ No
- 12** Indicate whether the transferor was required to recognize income under final and Temporary Regulations sections 1.367(a)-2 through 1.367(a)-7 for any of the following.
- a** Transfer of property subject to section 367(a)(1) gain recognition ☐ Yes ☐ No
- b** Depreciation recapture ☐ Yes ☐ No
- c** Branch loss recapture ☐ Yes ☐ No
- d** If the answer to 12c is "Yes," enter the amount of foreign branch loss recapture ► \$ _____
- e** Any other income recognition provision contained in the above-referenced regulations ☐ Yes ☐ No
- If the answer to line 12a, 12b, 12c, or 12e is "Yes," see instructions for information that must be included in the *Supplemental Part III Information Required To Be Reported* section below.

Section D - Intangible property under Regs. sec. 1.367(a)-1(d)(5)

Type of property	(a) Date of transfer	(b) Description of property	(c) Useful life	(d) Arm's length price on date of transfer	(e) Cost or other basis	(f) Income inclusion for year of transfer
Property described in sec. 936(h)(3)(B)						
Property subject to sec. 367(d) pursuant to Regs. sec. 1.367(a)-1(b)(5)						
Totals						

- 13 a** Did the transferor transfer property described in section 936(h)(3)(B) (not including section 1221(a)(3) property or a working interest in oil and gas property)? ☐ Yes ☐ No
- b** If the answer to line 13a is "Yes," enter the total amount included in income under section 367(d), if any, for the transfer of all such property on the income tax return for the year of the transfer ► \$ _____
- 14 a** Did the transferor apply section 367(d) to a transfer of any property pursuant to Regulations section 1.367(a)-1(b)(5)? ☐ Yes ☐ No
- b** If the answer to line 14a is "Yes," enter the total amount included in income under section 367(d), if any, for the transfer of all such property on the income tax return for the year of the transfer ► \$ _____
- c** If the answer to line 14a is "No," did the transferor transfer any property for which it could have applied section 367(d) pursuant to Regulations section 1.367(a)-1(b)(5) but did not? ☐ Yes ☐ No
- d** If the answer to line 14c is "Yes," enter the total amount of gain recognized, if any, under section 367(a)(1) on the transfer of all such property on the income tax return for the year of the transfer ► \$ _____
- 15 a** Did the transferor transfer any intangible property that, at the time of the transfer, had a useful life reasonably anticipated to exceed twenty years? ☐ Yes ☐ No
- b** At the time of the transfer, did any of the transferred intangible property have an indefinite useful life? ☐ Yes ☐ No
- c** Did the transferor choose to apply the 20-year inclusion period provided under Regulations section 1.367(d)-1(c)(3)(ii) for any intangible property? ☐ Yes ☐ No
- d** If the answer to line 15c is "Yes," enter the total estimated anticipated income or cost reduction attributable to the intangible property's, or properties', as applicable, use(s) beyond the 20-year period described in Regulations section 1.367(d)-1(c)(3)(ii) ► \$ _____
- 16** Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)? ☐ Yes ☐ No

Supplemental Part III Information Required To Be Reported (see instructions)**Part IV Additional Information Regarding Transfer of Property** (see instructions)

- 17** Enter the transferor's interest in the foreign transferee corporation before and after the transfer.
(a) Before 0.000 % (b) After 0.277 %
- 18** Type of nonrecognition transaction (see instructions) ► IRC SECTION 351
- 19** Indicate whether any transfer reported in Part III is subject to any of the following.
- | | | |
|--|------------------------------|--|
| a Gain recognition under section 904(f)(3) | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| b Gain recognition under section 904(f)(5)(F) | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| c Recapture under section 1503(d) | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| d Exchange gain under section 987 | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
- 20** Did this transfer result from a change in entity classification? ☐ Yes ☒ No
- 21 a** Did a domestic corporation make a distribution of property covered by section 367(e)(2) (see instructions)? ☐ Yes ☒ No
If "Yes," complete lines 21b and 21c.
- b** Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) ► \$ _____
- c** Did the domestic corporation not recognize gain or loss on the distribution of property because the property was used in the conduct of U.S. trade or business under Regulations section 1.367(e)-2(b)(2)? ☐ Yes ☐ No

Return by a U.S. Transferor of Property to a Foreign Corporation

OMB No. 1545-0026

- Go to www.irs.gov/Form926 for instructions and the latest information.
► Attach to your income tax return for the year of the transfer or distribution.

Attachment
Sequence No. **128**

Part I U.S. Transferor Information (see instructions)

Name of transferor THE SOBRATO FAMILY FOUNDATION	Identifying number (see instructions) 77-0348912
--	--

1 If the transferor was a corporation, complete questions 1a through 1d.

- a** If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) by 5 or fewer domestic corporations? ☐ Yes ☒ No
- b** Did the transferor remain in existence after the transfer? ☒ Yes ☐ No
If not, list the controlling shareholder(s) and their identifying number(s).

Controlling shareholder	Identifying number

- c** If the transferor was a member of an affiliated group filing a consolidated return, was it the parent corporation? ☐ Yes ☒ No
If not, list the name and employer identification number (EIN) of the parent corporation.

Name of parent corporation	EIN of parent corporation

- d** Have basis adjustments under section 367(a)(5) been made? ☐ Yes ☒ No

2 If the transferor was a partner in a partnership that was the actual transferor (but is not treated as such under section 367), complete questions 2a through 2d.

- a** List the name and EIN of the transferor's partnership.

Name of partnership	EIN of partnership
PATRIA - BRAZILIAN PRIVATE EQUITY FUND V AIV 2, L.P.	98-1178954

- b** Did the partner pick up its pro rata share of gain on the transfer of partnership assets? ☐ Yes ☒ No
- c** Is the partner disposing of its **entire** interest in the partnership? ☐ Yes ☒ No
- d** Is the partner disposing of an interest in a limited partnership that is regularly traded on an established securities market? ☐ Yes ☒ No

Part II Transferee Foreign Corporation Information (see instructions)

3 Name of transferee (foreign corporation) ATHENA HEALTHCARE HOLDING S.A.	4a Identifying number, if any
5 Address (including country) AV. CIDADE JARDIM, 803, 9 ANDAR, SALA P, ITAIM BIBI SAO PAULO, 01453-000 BRAZIL	4b Reference ID number 2017-067-001
6 Country code of country of incorporation or organization BR	
7 Foreign law characterization (see instructions) CORPORATION	
8 Is the transferee foreign corporation a controlled foreign corporation? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

LHA For Paperwork Reduction Act Notice, see separate instructions.

Form **926** (Rev. 12-2017)

Part III Information Regarding Transfer of Property (see instructions)**Section A - Cash, Stock, and Securities**

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Cash			154,045.		
Stock and securities (other than those that qualify as eligible property under Regs. sec. 1.367(a)-2(b)(3))					

9 Was cash the only property transferred? ☒ **Yes** ☐ **No**
If "Yes," skip the remainder of Part III and go to Part IV.

10 Did the transferor transfer stock or securities subject to section 367(a) with respect to which a gain recognition agreement was filed? ☐ **Yes** ☐ **No**

Section B - Property qualifying for Active Trade or Business exception under Regs. sec. 1.367(a)-2(a)(2)(i) and (ii)

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer*
Tangible property (not listed under another category)					
Working interest in oil and gas property (as described in Regs. sec. 1.367(a)-2(b)(2) and (f))					
Financial asset (as described in Regs. sec. 1.367(a)-2(b)(3))					
Certain tangible property to be leased (see Regs. sec. 1.367(a)-2(e))					
Totals					

* If property listed in this section is subject to depreciation recapture or branch loss recapture, see instructions.

Form **926** (Rev. 12-2017)

Section C - Property not qualifying for Active Trade or Business exception (other than intangible property subject to section 367(d))

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer*
Inventory					
Installment obligations, etc. (as described in Regs. sec. 1.367(a)-2(c)(2))					
Nonfunctional currency, etc. (as described in Regs. sec. 1.367(a)-2(c)(3))					
Certain leased tangible property (as described in Regs. sec. 1.367(a)-2(c)(4))					
Certain property to be retransferred (see Regs. sec. 1.367(a)-2(g))					
Property described in Regs. sec. 1.6038B-1(c)(4)(iv)					
Property described in Regs. sec. 1.6038B-1(c)(4)(vii)					
Totals					

* If property listed in this section is subject to depreciation recapture or branch loss recapture, see instructions.

11 Did the transferor transfer assets that qualify for the trade or business exception under section 367(a)(3)? ☐ Yes ☐ No**12** Indicate whether the transferor was required to recognize income under final and Temporary Regulations sections 1.367(a)-2 through 1.367(a)-7 for any of the following.**a** Transfer of property subject to section 367(a)(1) gain recognition ☐ Yes ☐ No**b** Depreciation recapture ☐ Yes ☐ No**c** Branch loss recapture ☐ Yes ☐ No**d** If the answer to 12c is "Yes," enter the amount of foreign branch loss recapture ► \$**e** Any other income recognition provision contained in the above-referenced regulations ☐ Yes ☐ No

If the answer to line 12a, 12b, 12c, or 12e is "Yes," see instructions for information that must be included in the Supplemental Part III Information Required To Be Reported section below.

Section D - Intangible property under Regs. sec. 1.367(a)-1(d)(5)

Type of property	(a) Date of transfer	(b) Description of property	(c) Useful life	(d) Arm's length price on date of transfer	(e) Cost or other basis	(f) Income inclusion for year of transfer
Property described in sec. 936(h)(3)(B)						
Property subject to sec. 367(d) pursuant to Regs. sec. 1.367(a)-1(b)(5)						
Totals						

- 13 a** Did the transferor transfer property described in section 936(h)(3)(B) (not including section 1221(a)(3) property or a working interest in oil and gas property)? ☐ Yes ☐ No
- b** If the answer to line 13a is "Yes," enter the total amount included in income under section 367(d), if any, for the transfer of all such property on the income tax return for the year of the transfer ► \$ _____
- 14 a** Did the transferor apply section 367(d) to a transfer of any property pursuant to Regulations section 1.367(a)-1(b)(5)? ☐ Yes ☐ No
- b** If the answer to line 14a is "Yes," enter the total amount included in income under section 367(d), if any, for the transfer of all such property on the income tax return for the year of the transfer ► \$ _____
- c** If the answer to line 14a is "No," did the transferor transfer any property for which it could have applied section 367(d) pursuant to Regulations section 1.367(a)-1(b)(5) but did not? ☐ Yes ☐ No
- d** If the answer to line 14c is "Yes," enter the total amount of gain recognized, if any, under section 367(a)(1) on the transfer of all such property on the income tax return for the year of the transfer ► \$ _____
- 15 a** Did the transferor transfer any intangible property that, at the time of the transfer, had a useful life reasonably anticipated to exceed twenty years? ☐ Yes ☐ No
- b** At the time of the transfer, did any of the transferred intangible property have an indefinite useful life? ☐ Yes ☐ No
- c** Did the transferor choose to apply the 20-year inclusion period provided under Regulations section 1.367(d)-1(c)(3)(ii) for any intangible property? ☐ Yes ☐ No
- d** If the answer to line 15c is "Yes," enter the total estimated anticipated income or cost reduction attributable to the intangible property's, or properties', as applicable, use(s) beyond the 20-year period described in Regulations section 1.367(d)-1(c)(3)(ii) ► \$ _____
- 16** Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)? ☐ Yes ☐ No

Supplemental Part III Information Required To Be Reported (see instructions)**Part IV Additional Information Regarding Transfer of Property** (see instructions)

- 17** Enter the transferor's interest in the foreign transferee corporation before and after the transfer.
(a) Before 0.000 % (b) After 0.277 %
- 18** Type of nonrecognition transaction (see instructions) ► IRC SECTION 351
- 19** Indicate whether any transfer reported in Part III is subject to any of the following.
- | | | |
|--|------------------------------|--|
| a Gain recognition under section 904(f)(3) | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| b Gain recognition under section 904(f)(5)(F) | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| c Recapture under section 1503(d) | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| d Exchange gain under section 987 | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
- 20** Did this transfer result from a change in entity classification? ☐ Yes ☒ No
- 21 a** Did a domestic corporation make a distribution of property covered by section 367(e)(2) (see instructions)? ☐ Yes ☒ No
If "Yes," complete lines 21b and 21c.
- b** Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) ► \$ _____
- c** Did the domestic corporation not recognize gain or loss on the distribution of property because the property was used in the conduct of U.S. trade or business under Regulations section 1.367(e)-2(b)(2)? ☐ Yes ☐ No

Return by a U.S. Transferor of Property to a Foreign Corporation

OMB No. 1545-0026

- Go to www.irs.gov/Form926 for instructions and the latest information.
► Attach to your income tax return for the year of the transfer or distribution.

Attachment
Sequence No. **128**

Part I U.S. Transferor Information (see instructions)

Name of transferor THE SOBRATO FAMILY FOUNDATION	Identifying number (see instructions) 77-0348912
--	--

1 If the transferor was a corporation, complete questions 1a through 1d.

- a** If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) by 5 or fewer domestic corporations? ☐ Yes ☒ No
- b** Did the transferor remain in existence after the transfer? ☒ Yes ☐ No
If not, list the controlling shareholder(s) and their identifying number(s).

Controlling shareholder	Identifying number

- c** If the transferor was a member of an affiliated group filing a consolidated return, was it the parent corporation? ☐ Yes ☒ No
If not, list the name and employer identification number (EIN) of the parent corporation.

Name of parent corporation	EIN of parent corporation

- d** Have basis adjustments under section 367(a)(5) been made? ☐ Yes ☒ No

2 If the transferor was a partner in a partnership that was the actual transferor (but is not treated as such under section 367), complete questions 2a through 2d.

- a** List the name and EIN of the transferor's partnership.

Name of partnership	EIN of partnership
LITTLEJOHN FUND V, L.P.	46-4483221

- b** Did the partner pick up its pro rata share of gain on the transfer of partnership assets? ☐ Yes ☒ No
- c** Is the partner disposing of its **entire** interest in the partnership? ☐ Yes ☒ No
- d** Is the partner disposing of an interest in a limited partnership that is regularly traded on an established securities market? ☐ Yes ☒ No

Part II Transferee Foreign Corporation Information (see instructions)

3 Name of transferee (foreign corporation) WELLFLEET CLO IV MOA LLC	4a Identifying number, if any 37-1857719
5 Address (including country) CAYMAN CORPORATE CENTRE, 27 HOSPITAL ROAD GEORGE TOWN, GRAND CAYMAN KY1-9008 CAYMAN ISLANDS	4b Reference ID number
6 Country code of country of incorporation or organization CJ	
7 Foreign law characterization (see instructions) CORPORATION	
8 Is the transferee foreign corporation a controlled foreign corporation? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

LHA For Paperwork Reduction Act Notice, see separate instructions.

Form **926** (Rev. 12-2017)

Part III Information Regarding Transfer of Property (see instructions)**Section A - Cash, Stock, and Securities**

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Cash					
Stock and securities (other than those that qualify as eligible property under Regs. sec. 1.367(a)-2(b)(3))					

9 Was cash the only property transferred? ☐ Yes ☒ No
If "Yes," skip the remainder of Part III and go to Part IV.

10 Did the transferor transfer stock or securities subject to section 367(a) with respect to which a gain recognition agreement was filed? ☐ Yes ☒ No

Section B - Property qualifying for Active Trade or Business exception under Regs. sec. 1.367(a)-2(a)(2)(i) and (ii)

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer*
Tangible property (not listed under another category)					
Working interest in oil and gas property (as described in Regs. sec. 1.367(a)-2(b)(2) and (f))					
Financial asset (as described in Regs. sec. 1.367(a)-2(b)(3))					
Certain tangible property to be leased (see Regs. sec. 1.367(a)-2(e))					
Totals					

* If property listed in this section is subject to depreciation recapture or branch loss recapture, see instructions.

Form **926** (Rev. 12-2017)

Section C - Property not qualifying for Active Trade or Business exception (other than intangible property subject to section 367(d))

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer*
Inventory					
Installment obligations, etc. (as described in Regs. sec. 1.367(a)-2(c)(2))		SUBORD. NOTES	1,052.		1,052.
Nonfunctional currency, etc. (as described in Regs. sec. 1.367(a)-2(c)(3))					
Certain leased tangible property (as described in Regs. sec. 1.367(a)-2(c)(4))					
Certain property to be retransferred (see Regs. sec. 1.367(a)-2(g))					
Property described in Regs. sec. 1.6038B-1(c)(4)(iv)					
Property described in Regs. sec. 1.6038B-1(c)(4)(vii)					
Totals			1,052.		1,052.

* If property listed in this section is subject to depreciation recapture or branch loss recapture, see instructions.

- 11** Did the transferor transfer assets that qualify for the trade or business exception under section 367(a)(3)? ☐ Yes ☒ No
- 12** Indicate whether the transferor was required to recognize income under final and Temporary Regulations sections 1.367(a)-2 through 1.367(a)-7 for any of the following.
- a** Transfer of property subject to section 367(a)(1) gain recognition ☐ Yes ☒ No
- b** Depreciation recapture ☐ Yes ☒ No
- c** Branch loss recapture ☐ Yes ☒ No
- d** If the answer to 12c is "Yes," enter the amount of foreign branch loss recapture ► \$ _____
- e** Any other income recognition provision contained in the above-referenced regulations ☐ Yes ☒ No
- If the answer to line 12a, 12b, 12c, or 12e is "Yes," see instructions for information that must be included in the Supplemental Part III Information Required To Be Reported section below.

Section D - Intangible property under Regs. sec. 1.367(a)-1(d)(5)

Type of property	(a) Date of transfer	(b) Description of property	(c) Useful life	(d) Arm's length price on date of transfer	(e) Cost or other basis	(f) Income inclusion for year of transfer
Property described in sec. 936(h)(3)(B)						
Property subject to sec. 367(d) pursuant to Regs. sec. 1.367(a)-1(b)(5)						
Totals						

- 13 a** Did the transferor transfer property described in section 936(h)(3)(B) (not including section 1221(a)(3) property or a working interest in oil and gas property)? ☐ Yes ☒ No
- b** If the answer to line 13a is "Yes," enter the total amount included in income under section 367(d), if any, for the transfer of all such property on the income tax return for the year of the transfer ► \$ _____
- 14 a** Did the transferor apply section 367(d) to a transfer of any property pursuant to Regulations section 1.367(a)-1(b)(5)? ☐ Yes ☒ No
- b** If the answer to line 14a is "Yes," enter the total amount included in income under section 367(d), if any, for the transfer of all such property on the income tax return for the year of the transfer ► \$ _____
- c** If the answer to line 14a is "No," did the transferor transfer any property for which it could have applied section 367(d) pursuant to Regulations section 1.367(a)-1(b)(5) but did not? ☐ Yes ☒ No
- d** If the answer to line 14c is "Yes," enter the total amount of gain recognized, if any, under section 367(a)(1) on the transfer of all such property on the income tax return for the year of the transfer ► \$ _____
- 15 a** Did the transferor transfer any intangible property that, at the time of the transfer, had a useful life reasonably anticipated to exceed twenty years? ☐ Yes ☒ No
- b** At the time of the transfer, did any of the transferred intangible property have an indefinite useful life? ☐ Yes ☒ No
- c** Did the transferor choose to apply the 20-year inclusion period provided under Regulations section 1.367(d)-1(c)(3)(ii) for any intangible property? ☐ Yes ☒ No
- d** If the answer to line 15c is "Yes," enter the total estimated anticipated income or cost reduction attributable to the intangible property's, or properties', as applicable, use(s) beyond the 20-year period described in Regulations section 1.367(d)-1(c)(3)(ii) ► \$ _____
- 16** Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)? ☐ Yes ☒ No

Supplemental Part III Information Required To Be Reported (see instructions)

Part IV Additional Information Regarding Transfer of Property (see instructions)

- 17** Enter the transferor's interest in the foreign transferee corporation before and after the transfer.
(a) Before 0.000 % (b) After 0.003 %
- 18** Type of nonrecognition transaction (see instructions) ► IRC SECTION 351
- 19** Indicate whether any transfer reported in Part III is subject to any of the following.
- | | | |
|--|------------------------------|--|
| a Gain recognition under section 904(f)(3) | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| b Gain recognition under section 904(f)(5)(F) | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| c Recapture under section 1503(d) | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| d Exchange gain under section 987 | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
- 20** Did this transfer result from a change in entity classification? ☐ Yes ☒ No
- 21 a** Did a domestic corporation make a distribution of property covered by section 367(e)(2) (see instructions)? ☐ Yes ☒ No
If "Yes," complete lines 21b and 21c.
- b** Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) ► \$ _____
- c** Did the domestic corporation not recognize gain or loss on the distribution of property because the property was used in the conduct of U.S. trade or business under Regulations section 1.367(e)-2(b)(2)? ☐ Yes ☐ No

**Application for Automatic Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-1709

► **File a separate application for each return.**► **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

Electronic filing (e-file). You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile, click on Charities & Non-Profits, and click on e-file for Charities and Non-Profits.

Automatic 6-Month Extension of Time. Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

		Enter filer's identifying number
Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. THE SOBRATO FAMILY FOUNDATION	Employer identification number (EIN) or 77-0348912
	Number, street, and room or suite no. If a P.O. box, see instructions. 10600 NORTH DE ANZA BLVD, NO. 200	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. CUPERTINO, CA 95014	

Enter the Return Code for the return that this application is for (file a separate application for each return) **0 7**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

BILL HOFFENBERG

- The books are in the care of ► **10600 N. DE ANZA BLVD. SUITE 200 - CUPERTINO, CA 95014**
Telephone No. ► **(408) 446-0700** Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 6-month extension of time until **NOVEMBER 15, 2018**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ☒ calendar year **2017** or
► ☐ tax year beginning , and ending .

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution: If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

**THE SOBRATO FAMILY FOUNDATION
2016 TAX YEAR**

**STATEMENT A
FEIN: 77-0348912**

**STATEMENT REGARDING STATUS AS A CONDUIT PRIVATE FOUNDATION
INTERNAL REVENUE CODE SECTION 170(b)(1)(F)(ii) ELECTION
INTERNAL REVENUE CODE SECTION 4942(h)(2) ELECTION**

PURSUANT TO IRC §170(b)(1)(F)(ii) AND TREAS. REGS §1.170A-9(h), THE FOUNDATION HEREBY ELECTS TO TREAT THE QUALIFYING DISTRIBUTIONS MADE DURING THE 2 ½ MONTH PERIOD (JANUARY THORUGH MARCH 15, 2017) FOLLOWING THE CLOSE OF THE 2016 TAX YEAR AS BEING MADE DURING THE CURRENT 2016 TAX YEAR. (SEE STATEMENTS B & C).

PURSUANT TO IRC §4942(h)(2) AND TREAS. REGS §53.4942(a)-3(d)(2), THE FOUNDATION ALSO HEREBY ELECTS TO TREAT THE QUALIFYING DISTRIBUTIONS MADE DURING THE 2 ½ MONTH PERIOD (JANUARY THORUGH MARCH 15, 2017) FOLLOWING THE CLOSE OF THE 2016 TAX YEAR, FIRST, AS UNDISTRIBUTABLE INCOME FOR THE CURRENT 2016 TAX YEAR, AND THEN, AS OUT OF CORPUS.

THE AMOUNTS FOR THE ABOVE ELECTIONS ARE AS FOLLOWS:

ELECTION AMOUNT TREATED AS MADE OUT OF CORPUS: \$ 11,336,500
(SEE STATEMENTS B & C)

THE FOUNDATION MEETS THE REQUIREMENTS OF IRC §170(b)(1)(A)(vii), §170(b)(1)(F)(ii), AND 4942(h)(2) IN THAT QUALIFYING DISTRIBUTIONS OUT OF CORPUS WERE MADE WITHIN 2 MONTHS AND 15 DAYS FOLLOWING THE CLOSE OF THE 2016 TAX YEAR IN AN AMOUNT EQUAL TO 100% OF ALL CONTRIBUTIONS RECEIVED DURING THE 2016 TAX YEAR AND IN THAT THERE IS NO REMAINING UNDISTRIBUTABLE INCOME FOR THE 2016 TAX YEAR. THEREFORE, THE FOUNDATION IS A CONDUIT PRIVATE FOUNDATION AND THE FOUNDATION QUALIFIES AS A 50% CHARITY.

DATED: _____

RICK WILLIAMS
CEO
THE SOBRATO FAMILY FOUNDATION

Form **990-T****Exempt Organization Business Income Tax Return**
(and proxy tax under section 6033(e))

OMB No. 1545-0687

2017Department of the Treasury
Internal Revenue Service

For calendar year 2017 or other tax year beginning _____, and ending _____

▶ Go to www.irs.gov/Form990T for instructions and the latest information.

▶ Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3).

Open to Public Inspection for
501(c)(3) Organizations Only

A <input type="checkbox"/> Check box if address changed B Exempt under section <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 408(e) <input type="checkbox"/> 220(e) <input type="checkbox"/> 408A <input type="checkbox"/> 530(a) <input type="checkbox"/> 529(a)	Print or Type	Name of organization (<input type="checkbox"/> Check box if name changed and see instructions.) THE SOBRATO FAMILY FOUNDATION Number, street, and room or suite no. If a P.O. box, see instructions. 10600 NORTH DE ANZA BLVD, NO. 200 City or town, state or province, country, and ZIP or foreign postal code CUPERTINO, CA 95014	D Employer identification number (Employees' trust, see instructions.) 77-0348912 E Unrelated business activity codes (See instructions.) 531390
C Book value of all assets at end of year 288,142,117.		F Group exemption number (See instructions.) ▶ G Check organization type ▶ <input checked="" type="checkbox"/> 501(c) corporation <input type="checkbox"/> 501(c) trust <input type="checkbox"/> 401(a) trust <input type="checkbox"/> Other trust	

H Describe the organization's primary unrelated business activity. ▶ **SEE STATEMENT 29**

I During the tax year, was the corporation a subsidiary in an affiliated group or a parent-subsidiary controlled group? ▶ ☐ Yes ☒ No
If "Yes," enter the name and identifying number of the parent corporation. ▶

J The books are in care of ▶ **BILL HOFFENBERG** Telephone number ▶ **(408) 446-0700**

Part I Unrelated Trade or Business Income		(A) Income	(B) Expenses	(C) Net
1a Gross receipts or sales				
b Less returns and allowances	c Balance ▶	1c		
2 Cost of goods sold (Schedule A, line 7)		2		
3 Gross profit. Subtract line 2 from line 1c		3		
4a Capital gain net income (attach Schedule D)		4a	13,540.	13,540.
b Net gain (loss) (Form 4797, Part II, line 17) (attach Form 4797)		4b	-2,302.	-2,302.
c Capital loss deduction for trusts		4c		
5 Income (loss) from partnerships and S corporations (attach statement)		5	-11,238. STMT 30	-11,238.
6 Rent income (Schedule C)		6		
7 Unrelated debt-financed income (Schedule E)		7		
8 Interest, annuities, royalties, and rents from controlled organizations (Sch. F)...		8		
9 Investment income of a section 501(c)(7), (9), or (17) organization (Schedule G)		9		
10 Exploited exempt activity income (Schedule I)		10		
11 Advertising income (Schedule J)		11		
12 Other income (See instructions; attach schedule)		12		
13 Total. Combine lines 3 through 12		13	0.	

Part II Deductions Not Taken Elsewhere (See instructions for limitations on deductions.) (Except for contributions, deductions must be directly connected with the unrelated business income.)			
14 Compensation of officers, directors, and trustees (Schedule K)		14	
15 Salaries and wages		15	
16 Repairs and maintenance		16	
17 Bad debts		17	
18 Interest (attach schedule)		18	
19 Taxes and licenses		19	
20 Charitable contributions (See instructions for limitation rules)		20	
21 Depreciation (attach Form 4562)	21		
22 Less depreciation claimed on Schedule A and elsewhere on return	22a	22b	
23 Depletion		23	
24 Contributions to deferred compensation plans		24	
25 Employee benefit programs		25	
26 Excess exempt expenses (Schedule I)		26	
27 Excess readership costs (Schedule J)		27	
28 Other deductions (attach schedule)		28	
29 Total deductions. Add lines 14 through 28		29	0.
30 Unrelated business taxable income before net operating loss deduction. Subtract line 29 from line 13		30	0.
31 Net operating loss deduction (limited to the amount on line 30)		31	
32 Unrelated business taxable income before specific deduction. Subtract line 31 from line 30		32	0.
33 Specific deduction (Generally \$1,000, but see line 33 instructions for exceptions)		33	1,000.
34 Unrelated business taxable income. Subtract line 33 from line 32. If line 33 is greater than line 32, enter the smaller of zero or line 32		34	0.

Part III Tax Computation**35 Organizations Taxable as Corporations.** See instructions for tax computation.Controlled group members (sections 1561 and 1563) check here ☐ See instructions and:**a** Enter your share of the \$50,000, \$25,000, and \$9,925,000 taxable income brackets (in that order):

(1) \$ (2) \$ (3) \$

b Enter organization's share of: (1) Additional 5% tax (not more than \$11,750) \$

(2) Additional 3% tax (not more than \$100,000) \$

c Income tax on the amount on line 34 **35c** 0.**36 Trusts Taxable at Trust Rates.** See instructions for tax computation. Income tax on the amount on line 34 from:☐ Tax rate schedule or ☐ Schedule D (Form 1041) **36****37 Proxy tax.** See instructions **37****38 Alternative minimum tax** **38****39 Tax on Non-Compliant Facility Income.** See instructions **39****40 Total.** Add lines 37, 38 and 39 to line 35c or 36, whichever applies **40** 0.**Part IV Tax and Payments****41a** Foreign tax credit (corporations attach Form 1118; trusts attach Form 1116) **41a****b** Other credits (see instructions) **41b****c** General business credit. Attach Form 3800 **41c****d** Credit for prior year minimum tax (attach Form 8801 or 8827) **41d****e** **Total credits.** Add lines 41a through 41d **41e****42** Subtract line 41e from line 40 **42** 0.**43** Other taxes. Check if from: ☐ Form 4255 ☐ Form 8611 ☐ Form 8697 ☐ Form 8866 ☒ Other (attach schedule) **43** 1,077.**44** **Total tax.** Add lines 42 and 43 **SEE STATEMENT 32** **44** 1,077.**45a** Payments: A 2016 overpayment credited to 2017 **45a****b** 2017 estimated tax payments **45b****c** Tax deposited with Form 8868 **45c****d** Foreign organizations: Tax paid or withheld at source (see instructions) **45d****e** Backup withholding (see instructions) **45e****f** Credit for small employer health insurance premiums (Attach Form 8941) **45f****g** Other credits and payments: ☐ Form 2439 **45g**☐ Form 4136 ☒ Other 950. Total **45g** 950.**46** **Total payments.** Add lines 45a through 45g **SEE STATEMENT 31** **46** 950.**47** Estimated tax penalty (see instructions). Check if Form 2220 is attached ☐ **47** 34.**48** **Tax due.** If line 46 is less than the total of lines 44 and 47, enter amount owed **48** 161.**49** **Overpayment.** If line 46 is larger than the total of lines 44 and 47, enter amount overpaid **49****50** Enter the amount of line 49 you want: **Credited to 2018 estimated tax** **Refunded** **50****Part V Statements Regarding Certain Activities and Other Information** (see instructions)**51** At any time during the 2017 calendar year, did the organization have an interest in or a signature or other authority over a financial account (bank, securities, or other) in a foreign country? If YES, the organization may have to file FinCEN Form 114, Report of Foreign Bank and Financial Accounts. If YES, enter the name of the foreign country here **Yes** **No** **X****52** During the tax year, did the organization receive a distribution from, or was it the grantor of, or transferor to, a foreign trust? If YES, see instructions for other forms the organization may have to file. **Yes** **No** **X****53** Enter the amount of tax-exempt interest received or accrued during the tax year **\$****Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer Date CEO Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ **Yes** ☐ **No****Paid Preparer Use Only**Print/Type preparer's name Preparer's signature Date Check ☐ if self-employed PTIN
SCOTT DAILY 11/14/18 P01280203
Firm's name **FRANK, RIMERMAN + CO. LLP** Firm's EIN **94-1341042**
60 SOUTH MARKET STREET, SUITE 500
Firm's address **SAN JOSE, CA 95113** Phone no. **(408) 279-5566**

Form 990-T (2017)

Schedule A - Cost of Goods Sold. Enter method of inventory valuation ► N/A

1 Inventory at beginning of year	1		6 Inventory at end of year	6	
2 Purchases	2		7 Cost of goods sold. Subtract line 6		
3 Cost of labor	3		from line 5. Enter here and in Part I,		
4a Additional section 263A costs			line 2	7	
(attach schedule)	4a				
b Other costs (attach schedule)	4b		8 Do the rules of section 263A (with respect to		Yes
			property produced or acquired for resale) apply to		No
5 Total. Add lines 1 through 4b	5		the organization?		

Schedule C - Rent Income (From Real Property and Personal Property Leased With Real Property)

(see instructions)

1. Description of property

(1)
(2)
(3)
(4)

2. Rent received or accrued

(a) From personal property (if the percentage of rent for personal property is more than 10% but not more than 50%)	(b) From real and personal property (if the percentage of rent for personal property exceeds 50% or if the rent is based on profit or income)	3(a) Deductions directly connected with the income in columns 2(a) and 2(b) (attach schedule)
(1)		
(2)		
(3)		
(4)		
Total 0.	Total 0.	

(c) Total income. Add totals of columns 2(a) and 2(b). Enter here and on page 1, Part I, line 6, column (A) ►**(b) Total deductions.**

Enter here and on page 1, Part I, line 6, column (B) ... ► 0.

Schedule E - Unrelated Debt-Financed Income (see instructions)

1. Description of debt-financed property		2. Gross income from or allocable to debt-financed property	3. Deductions directly connected with or allocable to debt-financed property	
			(a) Straight line depreciation (attach schedule)	(b) Other deductions (attach schedule)
(1)				
(2)				
(3)				
(4)				
4. Amount of average acquisition debt on or allocable to debt-financed property (attach schedule)	5. Average adjusted basis of or allocable to debt-financed property (attach schedule)	6. Column 4 divided by column 5	7. Gross income reportable (column 2 x column 6)	8. Allocable deductions (column 6 x total of columns 3(a) and 3(b))
(1)		%		
(2)		%		
(3)		%		
(4)		%		
Totals			Enter here and on page 1, Part I, line 7, column (A). 0.	Enter here and on page 1, Part I, line 7, column (B). 0.
Total dividends-received deductions included in column 8			0.	0.

Form 990-T (2017)

Schedule F - Interest, Annuities, Royalties, and Rents From Controlled Organizations (see instructions)

1. Name of controlled organization	2. Employer identification number	Exempt Controlled Organizations			
		3. Net unrelated income (loss) (see instructions)	4. Total of specified payments made	5. Part of column 4 that is included in the controlling organization's gross income	6. Deductions directly connected with income in column 5
(1)					
(2)					
(3)					
(4)					

Nonexempt Controlled Organizations

7. Taxable income	8. Net unrelated income (loss) (see instructions)	9. Total of specified payments made	10. Part of column 9 that is included in the controlling organization's gross income	11. Deductions directly connected with income in column 10
(1)				
(2)				
(3)				
(4)				
Totals			Add columns 5 and 10. Enter here and on page 1, Part I, line 8, column (A). 0.	Add columns 6 and 11. Enter here and on page 1, Part I, line 8, column (B). 0.

Schedule G - Investment Income of a Section 501(c)(7), (9), or (17) Organization (see instructions)

1. Description of income	2. Amount of income	3. Deductions directly connected (attach schedule)	4. Set-asides (attach schedule)	5. Total deductions and set-asides (col. 3 plus col. 4)
(1)				
(2)				
(3)				
(4)				
Totals		Enter here and on page 1, Part I, line 9, column (A). 0.		Enter here and on page 1, Part I, line 9, column (B). 0.

Schedule I - Exploited Exempt Activity Income, Other Than Advertising Income (see instructions)

1. Description of exploited activity	2. Gross unrelated business income from trade or business	3. Expenses directly connected with production of unrelated business income	4. Net income (loss) from unrelated trade or business (column 2 minus column 3). If a gain, compute cols. 5 through 7.	5. Gross income from activity that is not unrelated business income	6. Expenses attributable to column 5	7. Excess exempt expenses (column 6 minus column 5, but not more than column 4).
(1)						
(2)						
(3)						
(4)						
Totals		Enter here and on page 1, Part I, line 10, col. (A). 0.	Enter here and on page 1, Part I, line 10, col. (B). 0.			Enter here and on page 1, Part II, line 26. 0.

Schedule J - Advertising Income (see instructions)**Part I Income From Periodicals Reported on a Consolidated Basis**

1. Name of periodical	2. Gross advertising income	3. Direct advertising costs	4. Advertising gain or (loss) (col. 2 minus col. 3). If a gain, compute cols. 5 through 7.	5. Circulation income	6. Readership costs	7. Excess readership costs (column 6 minus column 5, but not more than column 4).
(1)						
(2)						
(3)						
(4)						
Totals (carry to Part II, line (5))		0.	0.			0.

Part II **Income From Periodicals Reported on a Separate Basis** (For each periodical listed in Part II, fill in columns 2 through 7 on a line-by-line basis.)

1. Name of periodical	2. Gross advertising income	3. Direct advertising costs	4. Advertising gain or (loss) (col. 2 minus col. 3). If a gain, compute cols. 5 through 7.	5. Circulation income	6. Readership costs	7. Excess readership costs (column 6 minus column 5, but not more than column 4).
(1)						
(2)						
(3)						
(4)						
Totals from Part I	0.	0.				0.
Totals, Part II (lines 1-5)	Enter here and on page 1, Part I, line 11, col. (A). 0.	Enter here and on page 1, Part I, line 11, col. (B). 0.				Enter here and on page 1, Part II, line 27. 0.

Schedule K - Compensation of Officers, Directors, and Trustees (see instructions)

1. Name	2. Title	3. Percent of time devoted to business	4. Compensation attributable to unrelated business
(1)		%	
(2)		%	
(3)		%	
(4)		%	
Total. Enter here and on page 1, Part II, line 14			0.

Form **990-T** (2017)

2017 Passive Activity Loss Limitations**3801****Attach to Form 540, Long Form 540NR, Form 541, or Form 100S (S Corporations).**

Name(s) as shown on tax return

SSN, ITIN, FEIN, or CA. corporation no.

Part I 2017 Passive Activity LossSee the instructions for Worksheet 1 and Worksheet 3 for federal Form 8582 before completing Part I. Be sure to **use California amounts.****Rental Real Estate Activities with Active Participation**

1a Activities with net income from Worksheet 1, column (a)	1a		00	
1b Activities with net loss from Worksheet 1, column (b)	1b	(13,536)	00	
1c Prior year unallowed losses from Worksheet 1, column (c)	1c	(266,588)	00	
1d Combine line 1a, line 1b, and line 1c.	1d		(280,124)	00

All Other Passive Activities

2a Activities with net income from Worksheet 2, column (a)	2a	87,490	00	
2b Activities with net loss from Worksheet 2, column (b)	2b	(205,195)	00	
2c Prior year unallowed losses from Worksheet 2, column (c)	2c	(314,223)	00	
2d Combine line 2a, line 2b, and line 2c.	2d		(431,928)	00
3 Combine line 1d and line 2d. If the result is net income or zero, see the instructions for line 3. If line 3 and line 1d are losses, go to line 4. Otherwise, enter -0- on line 9 and go to line 10. See instructions	3		(712,052)	00

Part II Special Allowance for Rental Real Estate with Active Participation

Enter all numbers in Part II as positive amounts. See instructions.

4 Enter the smaller of losses from line 1d or line 3.	4		00
5 Enter \$150,000. If married/RDP filing a separate tax return, see instructions.	5		00
6 Enter federal modified adjusted gross income, but not less than zero. See instructions. If line 6 is equal to or more than line 5, skip line 7 and line 8, enter -0- on line 9, and then go to line 10. Otherwise, go to line 7	6		00
7 Subtract line 6 from line 5.	7		00
8 Multiply line 7 by 50% (.50). Do not enter more than \$25,000.	8		00
9 Enter the smaller of line 4 or line 8 <input checked="" type="radio"/>	9		00

Part III Total Losses Allowed

10 Add the income, if any, from line 1a and line 2a and enter the total	10	87,490	00
11 Total losses allowed from all passive activities for 2017. Add line 9 and line 10 See the instructions on Page 2 to find out how to report the losses on your tax return.	11	87,490	00

California Passive Activity Worksheet (See General Instructions for Step 1.)

Use this worksheet to figure California income (loss) from passive activities **before** application of passive activity loss (PAL) rules.

(a) Passive Activity Enter a description of the activity	(b) Federal Schedule Enter the name of the federal form or schedule on which you reported the activity	(c) California Schedule Enter the name of the California form or schedule, if any, used to calculate the California adjustment	(d) Federal Amount Enter your current year federal net income (loss) before application of the PAL rules	(e) California Adjustment Enter any adjustment resulting from differences in federal and California law	(f) California Amount Combine column (d) and column (e)

California Adjustment Worksheets (See General Instructions for Step 4.)

Use these worksheets to figure your California adjustments **after** application of the PAL rules.

(a) Activities Enter a description of the activity. Group activities by the federal schedules on which they were reported	(b) Passive or Nonpassive Enter the character of the activity as passive or nonpassive for California purposes	(c) California Amount Enter the California net income (loss) from the activity after application of the PAL rules	(d) Federal Amount Enter the federal net income (loss) from the activity after application of the PAL rules	(e) California Adjustment Subtract the Total amount of column (d) from the Total amount of column (c) and enter the difference in column (e) below. Individuals should transfer this amount to Schedule CA (540 or 540NR) as follows:
---	--	---	---	---

(a) Schedule C Activities	(b) Passive or Nonpassive	(c) California Amount	(d) Federal Amount	(e) California Adjustment
				If the amount below is positive , transfer the amount to Schedule CA (540 or 540NR), line 12, column C.
				If the amount below is negative , transfer the amount to Schedule CA (540 or 540NR), (as a positive amount) line 12, column B.
Total		1(c)	1(d)*	1(e)

(a) Schedule E Activities	(b) Passive or Nonpassive	(c) California Amount	(d) Federal Amount	(e) California Adjustment
				If the amount below is positive , transfer the amount to Schedule CA (540 or 540NR), line 17, column C.
				If the amount below is negative , transfer the amount to Schedule CA (540 or 540NR), (as a positive amount) line 17, column B.
Total		2(c)	2(d)**	2(e)

(a) Schedule F Activities	(b) Passive or Nonpassive	(c) California Amount	(d) Federal Amount	(e) California Adjustment
				If the amount below is positive , transfer the amount to Schedule CA (540 or 540NR), line 18, column C.
				If the amount below is negative , transfer the amount to Schedule CA (540 or 540NR), (as a positive amount) line 18, column B.
Total		3(c)	3(d)***	3(e)

* This amount should be the same as the amount reported on Schedule CA (540 or 540NR), line 12, column A.

** This amount should be the same as the amount reported on Schedule CA (540 or 540NR), line 17, column A.

*** This amount should be the same as the amount reported on Schedule CA (540 or 540NR), line 18, column A.

Worksheets 1 through 6 are not required to be filed with your California tax return and may be detached before filing form FTB 3801. Keep a copy of the worksheets for your records. Refer to the instructions for federal Form 8582 for specific instructions on how to complete the worksheets.

Worksheet 1 For form FTB 3801, line 1a, line 1b, and line 1c

Name of activity	Current year		Prior year	Overall gain or loss	
	(a) Net income (line 1a)	(b) Net loss (line 1b)	(c) Unallowed loss (line 1c)	(d) Gain	(e) Loss
CSFB Strategic Partners III		13,508			13,508
CSFB SP IV, LP		28			28
Prior Year Carryover			266,588		266,588
Total. Enter on form FTB 3801, line 1a, line 1b, and line 1c. ▶		13,536	266,588		

Worksheet 2 For form FTB 3801, line 2a, line 2b, and line 2c

Name of activity	Current year		Prior year	Overall gain or loss	
	(a) Net income (line 2a)	(b) Net loss (line 2b)	(c) Unallowed loss (line 2c)	(d) Gain	(e) Loss
CSFB Strategic Partners III	8,967			8,967	
Abry Partners V	560			560	
University Technology	32			32	
European Strategic Partners 2008		180			180
Kayne Anderson		1,957			1,957
Total. Enter on form FTB 3801, line 2a, line 2b, and line 2c. ▶	87,490	205,195	314,223		

Worksheet 3 Use this worksheet if an amount is shown on form FTB 3801, line 9.

Name of activity	Form or schedule to be reported on	(a) Loss	(b) Ratio	(c) Special allowance	(d) Subtract column (c) from column (a)
Total ▶			1.00		

Worksheet 4 Allocation of Unallowed Losses

Name of activity	Form or schedule to be reported on	(a) Loss	(b) Ratio	(c) Unallowed loss
Total ▶			1.00	

Worksheet 5 Allowed Losses

Name of activity	Form or schedule to be reported on	(a) Loss	(b) Unallowed loss	(c) Allowed loss
Total ▶				

Worksheets 1 through 6 are not required to be filed with your California tax return and may be detached before filing form FTB 3801. Keep a copy of the worksheets for your records. Refer to the instructions for federal Form 8582 for specific instructions on how to complete the worksheets.

Worksheet 1 For form FTB 3801, line 1a, line 1b, and line 1c

Name of activity	Current year		Prior year	Overall gain or loss	
	(a) Net income (line 1a)	(b) Net loss (line 1b)	(c) Unallowed loss (line 1c)	(d) Gain	(e) Loss
Total. Enter on form FTB 3801, line 1a, line 1b, and line 1c. ▶					

Worksheet 2 For form FTB 3801, line 2a, line 2b, and line 2c

Name of activity	Current year		Prior year	Overall gain or loss	
	(a) Net income (line 2a)	(b) Net loss (line 2b)	(c) Unallowed loss (line 2c)	(d) Gain	(e) Loss
CSFB SP IV, LP		2,255			2,255
Newbury Equity Partners II (Cayman)	3,096			3,096	
DCPF VI Oil & Gas		148,014			148,014
SEI VI TPT AIV, LP		6,649			6,649
Charlesbank Equity Fund VIII		14,506			14,506
Total. Enter on form FTB 3801, line 2a, line 2b, and line 2c. ▶	87,490	205,195	314,223		

Worksheet 3 Use this worksheet if an amount is shown on form FTB 3801, line 9.

Name of activity	Form or schedule to be reported on	(a) Loss	(b) Ratio	(c) Special allowance	(d) Subtract column (c) from column (a)
Total ▶			1.00		

Worksheet 4 Allocation of Unallowed Losses

Name of activity	Form or schedule to be reported on	(a) Loss	(b) Ratio	(c) Unallowed loss
Total ▶			1.00	

Worksheet 5 Allowed Losses

Name of activity	Form or schedule to be reported on	(a) Loss	(b) Unallowed loss	(c) Allowed loss
Total ▶				

Worksheets 1 through 6 are not required to be filed with your California tax return and may be detached before filing form FTB 3801. Keep a copy of the worksheets for your records. Refer to the instructions for federal Form 8582 for specific instructions on how to complete the worksheets.

Worksheet 1 For form FTB 3801, line 1a, line 1b, and line 1c

Name of activity	Current year		Prior year	Overall gain or loss	
	(a) Net income (line 1a)	(b) Net loss (line 1b)	(c) Unallowed loss (line 1c)	(d) Gain	(e) Loss
Total. Enter on form FTB 3801, line 1a, line 1b, and line 1c. ▶					

Worksheet 2 For form FTB 3801, line 2a, line 2b, and line 2c

Name of activity	Current year		Prior year	Overall gain or loss	
	(a) Net income (line 2a)	(b) Net loss (line 2b)	(c) Unallowed loss (line 2c)	(d) Gain	(e) Loss
DelosFCA, LLC		10,177			10,177
CC PF AIV, LP		8,014			8,014
Delos MBHE, LLC	43			43	
RW Industrious AIV, LP		4,707			4,707
Delos MBHE Bellwether, LLC	74,792			74,792	
Total. Enter on form FTB 3801, line 2a, line 2b, and line 2c. ▶	87,490	205,195	314,223		

Worksheet 3 Use this worksheet if an amount is shown on form FTB 3801, line 9.

Name of activity	Form or schedule to be reported on	(a) Loss	(b) Ratio	(c) Special allowance	(d) Subtract column (c) from column (a)
Total ▶			1.00		

Worksheet 4 Allocation of Unallowed Losses

Name of activity	Form or schedule to be reported on	(a) Loss	(b) Ratio	(c) Unallowed loss
Total ▶			1.00	

Worksheet 5 Allowed Losses

Name of activity	Form or schedule to be reported on	(a) Loss	(b) Unallowed loss	(c) Allowed loss
Total ▶				

Worksheets 1 through 6 are not required to be filed with your California tax return and may be detached before filing form FTB 3801. Keep a copy of the worksheets for your records. Refer to the instructions for federal Form 8582 for specific instructions on how to complete the worksheets.

Worksheet 1 For form FTB 3801, line 1a, line 1b, and line 1c

Name of activity	Current year		Prior year	Overall gain or loss	
	(a) Net income (line 1a)	(b) Net loss (line 1b)	(c) Unallowed loss (line 1c)	(d) Gain	(e) Loss
Total. Enter on form FTB 3801, line 1a, line 1b, and line 1c. ▶					

Worksheet 2 For form FTB 3801, line 2a, line 2b, and line 2c

Name of activity	Current year		Prior year	Overall gain or loss	
	(a) Net income (line 2a)	(b) Net loss (line 2b)	(c) Unallowed loss (line 2c)	(d) Gain	(e) Loss
RW Vacasa AIV		2,181			2,181
Delos CR I, LLC		31			31
Delos CR II, LLC		35			35
Delos Frac, LLC		3			3
Delos Patriot, LLC		392			392
Total. Enter on form FTB 3801, line 2a, line 2b, and line 2c. ▶	87,490	205,195	314,223		

Worksheet 3 Use this worksheet if an amount is shown on form FTB 3801, line 9.

Name of activity	Form or schedule to be reported on	(a) Loss	(b) Ratio	(c) Special allowance	(d) Subtract column (c) from column (a)
Total ▶			1.00		

Worksheet 4 Allocation of Unallowed Losses

Name of activity	Form or schedule to be reported on	(a) Loss	(b) Ratio	(c) Unallowed loss
Total ▶			1.00	

Worksheet 5 Allowed Losses

Name of activity	Form or schedule to be reported on	(a) Loss	(b) Unallowed loss	(c) Allowed loss
Total ▶				

Worksheets 1 through 6 are not required to be filed with your California tax return and may be detached before filing form FTB 3801. Keep a copy of the worksheets for your records. Refer to the instructions for federal Form 8582 for specific instructions on how to complete the worksheets.

Worksheet 1 For form FTB 3801, line 1a, line 1b, and line 1c

Name of activity	Current year		Prior year	Overall gain or loss	
	(a) Net income (line 1a)	(b) Net loss (line 1b)	(c) Unallowed loss (line 1c)	(d) Gain	(e) Loss
Total. Enter on form FTB 3801, line 1a, line 1b, and line 1c. ▶					

Worksheet 2 For form FTB 3801, line 2a, line 2b, and line 2c

Name of activity	Current year		Prior year	Overall gain or loss	
	(a) Net income (line 2a)	(b) Net loss (line 2b)	(c) Unallowed loss (line 2c)	(d) Gain	(e) Loss
CCSL AIV, LP		6,094			6,094
Prior Year Carryover			314,223		314,223
Total. Enter on form FTB 3801, line 2a, line 2b, and line 2c. ▶	87,490	205,195	314,223		

Worksheet 3 Use this worksheet if an amount is shown on form FTB 3801, line 9.

Name of activity	Form or schedule to be reported on	(a) Loss	(b) Ratio	(c) Special allowance	(d) Subtract column (c) from column (a)
Total ▶			1.00		

Worksheet 4 Allocation of Unallowed Losses

Name of activity	Form or schedule to be reported on	(a) Loss	(b) Ratio	(c) Unallowed loss
Total ▶			1.00	

Worksheet 5 Allowed Losses

Name of activity	Form or schedule to be reported on	(a) Loss	(b) Unallowed loss	(c) Allowed loss
Total ▶				

Worksheet 6 Activities With Losses Reported on Two or More Different Forms or Schedules

Name of Activity	(a)	(b)	(c) Ratio	(d) Unallowed loss	(e) Allowed loss
Form or schedule to be reported on: _____					
1a Net loss plus prior year unallowed loss from form or schedule. ▶					
b Net income from form or schedule. ▶					
c Subtract line 1b from line 1a. If zero or less, enter -0- ▶					
Form or schedule to be reported on: _____					
1a Net loss plus prior year unallowed loss from form or schedule. ▶					
b Net income from form or schedule. ▶					
c Subtract line 1b from line 1a. If zero or less, enter -0- ▶					
Form or schedule to be reported on: _____					
1a Net loss plus prior year unallowed loss from form or schedule. ▶					
b Net income from form or schedule. ▶					
c Subtract line 1b from line 1a. If zero or less, enter -0- ▶					
Total ▶			1.00		

Worksheets 1 through 6 are **not** required to be filed with your California tax return and may be detached before filing form FTB 3801. Keep a copy of the worksheets for your records.

The Sobrato Family Foundation
12/31/2016
FEIN: 77-0348912
Unrelated Business Income Summary

	CSFB Strategic Partners III	Abry Partners V	University Technology	European Strategic Partners 2008	Keyne Anderson	CS Strategic Partners IV	Newbury Equity Partners II (Cayman)	SEI VI HMX AIV	DCPF VI Oil & Gas	SEI VI TPT AIV, LP	CharlesBank Equity Fund VIII	DelosFCA, LLC	CC PF AIV LP	Delos MBHE, LLC	RW Industrious AIV, LP	Delos MBHE Bellwether, LLC	RW Vacasa AIV	Delos CR I, LLC	Delos CR II, LLC	Delos Frac, LLC	Delos Patriot, LLC	CCSL AIV, LP	Other	Total		Net Inc	Net Loss	
Rental Real Estate Activities																												
Rental Inc	(13,508)					(28)																			(13,536)			
Subtotal	(13,508)	-	-	-	-	(28)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	(13,536)	[A]	-	(13,536)
Prior Year Carryover																									(266,588)			
Total Rental																									(280,124)			
All Other Passive Activities																												
Ord Inc.	5,850	560	32		(183,822)	(2,519)	4,157		264,160	(6,649)	(12,068)	(10,177)	1,959	3,313	(4,219)	76,856	(1,857)	(31)	(35)	(3)	(392)	(6,094)		129,021				
Interest	10			3		375	4				70				37		9							508				
Dividends	3			56		218					698													975				
Royalties						417																		417				
Other Income	3,548				181,865	504	186				(20)			(2,849)										183,234				
Investment Interest Exp				(239)		(103)																		(342)				
59/ e(2)						(632)	(471)		(412,024)															(413,127)				
Other Deductions	(444)					(515)	(780)		(150)		(3,186)		(9,973)	(421)	(525)	(2,064)	(333)							(18,391)				
Subtotal	8,967	560	32	(180)	(1,957)	(2,255)	3,096	-	(148,014)	(6,649)	(14,506)	(10,177)	(8,014)	43	(4,707)	74,792	(2,181)	(31)	(35)	(3)	(392)	(6,094)	-	(117,705)	[A]	87,490	(205,195)	
Prior Year Carryover																									(314,223)			
Total Other Passive																									(431,928)			
Total Rental and Other Passive																									(712,052)			Ties to Form 8582 Line 4
All Capital Gains/Losses - Passive																												
Capital Gain	2,558					10,990	(8)																		13,540			
1231 Gain	(3,010)	(4)				396	(58)		126		(22)	1			(14)	24		239			20				(2,302)			
1256 Gain																									-			
Subtotal	(452)	(4)	-	-	-	11,386	(66)	-	126	-	(22)	1	-	-	(14)	24	-	239	-	-	20	-	-		11,238	[A]		
Prior Year Carryover																									-			
Total Capital Gain/Loss																									11,238			Ties to Sch D
Charitable Contribution																												
Contributions	(9)					(21)					(96)			(5)		(173)					(2)				(306)	[A]		
Prior Year Carryover	(37)				(48)	(69)	(80)	(11)																(13) *	(236)			
Contributions Carryover	(46)	-	-	-	(48)	(90)	(80)	(11)	-	-	(96)	-	-	(5)	-	(173)	-	-	-	-	(2)	-		(13)	(542)			
Total Current Year UBTI																									(120,309)			Sum of [A]
Prior Year Rental Real Estate Carryover																									(266,588)			
Prior Year Other Passive Activities Carryover																									(314,223)			
Prior Year Charitable Contribution Carryover																									(236)			
Passive Loss Suspended Carryover to 2017																									(701,356)			Suspended PAL

The Sobrato Family Foundation
12/31/2016
FEIN: 77-0348912
Unrelated Business Income Summary

	CSFB Strategic Partners III	Abry Partners V	University Technology	European Strategic Partners 2008	Keyne Anderson	CS Strategic Partners IV	Newbury Equity Partners II (Cayman)	SEI VI HMX AIV	DCPF VI Oil & Gas	SEI VI TPT AIV, LP	CharlesBank Equity Fund VIII	DelosFCA, LLC	CC PF AIV LP	Delos MBHE, LLC	RW Industrious AIV, LP	Delos MBHE Bellwether, LLC	RW Vacasa AIV	Delos CR I, LLC	Delos CR II, LLC	Delos Frac, LLC	Delos Patriot, LLC	CCSL AIV, LP	Other	Total		Net Inc	Net Loss	
Rental Real Estate Activities																												
Rental Inc	(13,508)					(28)																			(13,536)			
Subtotal	(13,508)	-	-	-	-	(28)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	(13,536)	[A]	-	(13,536)
Prior Year Carryover																									(266,588)			
Total Rental																									(280,124)			
All Other Passive Activities																												
Ord Inc.	5,850	560	32		(183,822)	(2,519)	4,157		264,160	(6,649)	(12,068)	(10,177)	1,959	3,313	(4,219)	76,856	(1,857)	(31)	(35)	(3)	(392)	(6,094)		129,021				
Interest	10			3		375	4				70				37		9							508				
Dividends	3			56		218					698													975				
Royalties						417																		417				
Other Income	3,548				181,865	504	186				(20)			(2,849)										183,234				
Investment Interest Exp				(239)		(103)																		(342)				
59/ e(2)						(632)	(471)		(412,024)															(413,127)				
Other Deductions	(444)					(515)	(780)		(150)		(3,186)		(9,973)	(421)	(525)	(2,064)	(333)							(18,391)				
Subtotal	8,967	560	32	(180)	(1,957)	(2,255)	3,096	-	(148,014)	(6,649)	(14,506)	(10,177)	(8,014)	43	(4,707)	74,792	(2,181)	(31)	(35)	(3)	(392)	(6,094)	-	(117,705)	[A]	87,490	(205,195)	
Prior Year Carryover																								(314,223)				
Total Other Passive																								(431,928)				
Total Rental and Other Passive																								(712,052)			Ties to Form 8582 Line 4	
All Capital Gains/Losses - Passive																												
Capital Gain	2,558					10,990	(8)																	13,540				
1231 Gain	(3,010)	(4)				396	(58)		126		(22)	1			(14)	24		239			20			(2,302)				
1256 Gain																								-				
Subtotal	(452)	(4)	-	-	-	11,386	(66)	-	126	-	(22)	1	-	-	(14)	24	-	239	-	-	20	-	-	11,238	[A]			
Prior Year Carryover																												
Total Capital Gain/Loss																								11,238			Ties to Sch D	
Charitable Contribution																												
Contributions	(9)					(21)					(96)			(5)		(173)					(2)			(306)	[A]			
Prior Year Carryover	(37)				(48)	(69)	(80)	(11)															(13)	*	(236)			
Contributions Carryover	(46)	-	-	-	(48)	(90)	(80)	(11)	-	-	(96)	-	-	(5)	-	(173)	-	-	-	-	(2)	-	(13)	(542)				
Total Current Year UBTI																								(120,309)			Sum of [A]	
Prior Year Rental Real Estate Carryover																								(266,588)				
Prior Year Other Passive Activities Carryover																								(314,223)				
Prior Year Charitable Contribution Carryover																								(236)				
Passive Loss Suspended Carryover to 2017																								(701,356)			Suspended PAL	

FORM 990-T	DESCRIPTION OF ORGANIZATION'S PRIMARY UNRELATED BUSINESS ACTIVITY	STATEMENT 29
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THE FOUNDATION IS INVESTED IN PASS-THROUGH INVESTMENTS THAT GENERATE TRADE OR BUSINESS INCOME AND LOSSES. ORDINARY AND RENTAL INCOME/LOSSES FROM THESE SOURCES ARE REPORTED AS UNRELATED BUSINESS INCOME.

TO FORM 990-T, PAGE 1

FORM 990-T	INCOME (LOSS) FROM PARTNERSHIPS AND S CORPORATIONS	STATEMENT 30
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DESCRIPTION	AMOUNT
ORDINARY INCOME FROM PASSTHROUGH ENTITIES	129,021.
INTEREST INCOME FROM PASSTHROUGH ENTITIES	508.
DIVIDEND INCOME FROM PASSTHROUGH ENTITIES	975.
ROYALTIES FROM PASSTHROUGH ENTITIES	417.
OTHER INCOME FROM PASSTHROUGH ENTITIES	183,234.
OTHER DEDUCTIONS FROM PASSTHROUGH ENTITIES	-432,157.
PRIOR YEAR PASSIVE ACTIVITY LOSS CARRYOVER	-580,811.
SUSPENDED PASSIVE ACTIVITY LOSS	701,112.
RENTAL INCOME FROM PASSTHROUGH ENTITIES	-13,537.
TOTAL TO FORM 990-T, PAGE 1, LINE 5	-11,238.

FORM 990-T	OTHER CREDITS AND PAYMENTS	STATEMENT 31
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DESCRIPTION	AMOUNT
SECTION 965 TAX PAID AT EXTENSION	950.
TOTAL INCLUDED ON FORM 990-T, PAGE 2, PART IV, LINE 45G	950.

FORM 990-T	OTHER TAXES	STATEMENT 32
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DESCRIPTION	AMOUNT
SECTION 965 TAX	1,077.
TOTAL INCLUDED ON FORM 990-T, PAGE 2, PART IV, LINE 43	1,077.

SCHEDULE D

(Form 1120)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1120, 1120-C, 1120-F, 1120-FSC, 1120-H, 1120-IC-DISC, 1120-L, 1120-ND, 1120-PC, 1120-POL, 1120-REIT, 1120-RIC, 1120-SF, or certain Forms 990-T.
▶ Go to www.irs.gov/Form1120 for instructions and the latest information.

OMB No. 1545-0123

2017

Name

THE SOBRATO FAMILY FOUNDATION

Employer identification number

77-0348912

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with Box A checked				
2 Totals for all transactions reported on Form(s) 8949 with Box B checked				
3 Totals for all transactions reported on Form(s) 8949 with Box C checked				
4 Short-term capital gain from installment sales from Form 6252, line 26 or 37				4
5 Short-term capital gain or (loss) from like-kind exchanges from Form 8824				5
6 Unused capital loss carryover (attach computation)				6 ()
7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column h				7

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with Box D checked				
9 Totals for all transactions reported on Form(s) 8949 with Box E checked	13,540.			13,540.
10 Totals for all transactions reported on Form(s) 8949 with Box F checked				
11 Enter gain from Form 4797, line 7 or 9				11
12 Long-term capital gain from installment sales from Form 6252, line 26 or 37				12
13 Long-term capital gain or (loss) from like-kind exchanges from Form 8824				13
14 Capital gain distributions				14
15 Net long-term capital gain or (loss). Combine lines 8a through 14 in column h				15 13,540.

Part III Summary of Parts I and II

16 Enter excess of net short-term capital gain (line 7) over net long-term capital loss (line 15)	16	
17 Net capital gain. Enter excess of net long-term capital gain (line 15) over net short-term capital loss (line 7)	17	13,540.
18 Add lines 16 and 17. Enter here and on Form 1120, page 1, line 8, or the proper line on other returns. If the corporation has qualified timber gain, also complete Part IV	18	13,540.

Note: If losses exceed gains, see **Capital losses** in the instructions.

JWA

For Paperwork Reduction Act Notice, see the Instructions for Form 1120.

Schedule D (Form 1120) 2017

Part IV Alternative Tax for Corporations with Qualified Timber Gain. Complete Part IV only if the corporation has

qualified timber gain under section 1201(b). Skip this part if you are filing Form 1120-RIC. See instructions.

19 Enter qualified timber gain (as defined in section 1201(b)(2))	19		
20 Enter taxable income from Form 1120, page 1, line 30, or the applicable line of your tax return	20		
21 Enter the smallest of: (a) the amount on line 19; (b) the amount on line 20; or (c) the amount on Part III, line 17	21		
22 Multiply line 21 by 23.8% (0.238)	22		
23 Subtract line 17 from line 20. If zero or less, enter -0-	23		
24 Enter the tax on line 23, figured using the Tax Rate Schedule (or applicable tax rate) appropriate for the return with which Schedule D (Form 1120) is being filed	24		
25 Add lines 21 and 23	25		
26 Subtract line 25 from line 20. If zero or less, enter -0-	26		
27 Multiply line 26 by 35% (0.35)	27		
28 Add lines 22, 24, and 27	28		
29 Enter the tax on line 20, figured using the Tax Rate Schedule (or applicable tax rate) appropriate for the return with which Schedule D (Form 1120) is being filed	29		
30 Enter the smaller of line 28 or line 29. Also enter this amount on Form 1120, Schedule J, line 2, or the applicable line of your tax return	30		

Schedule D (Form 1120) 2017

77-0348912

Underpayment of Estimated Tax by Corporations

▶ Attach to the corporation's tax return.

FORM 990-T

2017

▶ Go to www.irs.gov/Form2220 for instructions and the latest information.

Name THE SOBRATO FAMILY FOUNDATION	Employer identification number 77-0348912
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Note: Generally, the corporation isn't required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38 on the estimated tax penalty line of the corporation's income tax return, but **do not** attach Form 2220.

Part I Required Annual Payment

1 Total tax (see instructions)	1	1,077.
2a Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 1	2a	
2b Look-back interest included on line 1 under section 460(b)(2) for completed long-term contracts or section 167(g) for depreciation under the income forecast method	2b	
2c Credit for federal tax paid on fuels (see instructions)	2c	
d Total. Add lines 2a through 2c	2d	
3 Subtract line 2d from line 1. If the result is less than \$500, do not complete or file this form. The corporation doesn't owe the penalty	3	1,077.
4 Enter the tax shown on the corporation's 2016 income tax return. See instructions. Caution: If the tax is zero or the tax year was for less than 12 months, skip this line and enter the amount from line 3 on line 5	4	
5 Required annual payment. Enter the smaller of line 3 or line 4. If the corporation is required to skip line 4, enter the amount from line 3	5	1,077.

Part II Reasons for Filing - Check the boxes below that apply. If any boxes are checked, the corporation **must** file Form 2220 even if it doesn't owe a penalty. See instructions.

- 6 ☐ The corporation is using the adjusted seasonal installment method.
- 7 ☐ The corporation is using the annualized income installment method.
- 8 ☐ The corporation is a "large corporation" figuring its first required installment based on the prior year's tax.

Part III Figuring the Underpayment

	(a)	(b)	(c)	(d)	
9 Installment due dates. Enter in columns (a) through (d) the 15th day of the 4th (Form 990-PF filers: Use 5th month), 6th, 9th, and 12th months of the corporation's tax year	9	05/15/17	06/15/17	09/15/17	12/15/17
10 Required installments. If the box on line 6 and/or line 7 above is checked, enter the amounts from Sch A, line 38. If the box on line 8 (but not 6 or 7) is checked, see instructions for the amounts to enter. If none of these boxes are checked, enter 25% (0.25) of line 5 above in each column	10	269.	270.	269.	269.
11 Estimated tax paid or credited for each period. For column (a) only, enter the amount from line 11 on line 15. See instructions	11				
Complete lines 12 through 18 of one column before going to the next column.					
12 Enter amount, if any, from line 18 of the preceding column	12				
13 Add lines 11 and 12	13				
14 Add amounts on lines 16 and 17 of the preceding column	14		269.	539.	808.
15 Subtract line 14 from line 13. If zero or less, enter -0-	15	0.	0.	0.	0.
16 If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter -0-	16		269.	539.	
17 Underpayment. If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18	17	269.	270.	269.	269.
18 Overpayment. If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next column	18				

Go to Part IV on page 2 to figure the penalty. Do not go to Part IV if there are no entries on line 17 - no penalty is owed.

LHA For Paperwork Reduction Act Notice, see separate instructions.

Form 2220 (2017)

Part IV Figuring the Penalty

	(a)	(b)	(c)	(d)
19 Enter the date of payment or the 15th day of the 4th month after the close of the tax year, whichever is earlier. (C Corporations with tax years ending June 30 and S corporations: Use 3rd month instead of 4th month. Form 990-PF and Form 990-T filers: Use 5th month instead of 4th month.) See instructions	19			
20 Number of days from due date of installment on line 9 to the date shown on line 19	20			
21 Number of days on line 20 after 4/15/2017 and before 7/1/2017	21			
22 Underpayment on line 17 x $\frac{\text{Number of days on line 21} \times 4\% (0.04)}{365}$...	22 \$	\$	\$	\$
23 Number of days on line 20 after 06/30/2017 and before 10/1/2017 ...	23			
24 Underpayment on line 17 x $\frac{\text{Number of days on line 23} \times 4\% (0.04)}{365}$...	24 \$	\$	\$	\$
25 Number of days on line 20 after 9/30/2017 and before 1/1/2018	25			
26 Underpayment on line 17 x $\frac{\text{Number of days on line 25} \times 4\% (0.04)}{365}$...	26 \$	\$	\$	\$
27 Number of days on line 20 after 12/31/2017 and before 4/1/2018 ...	27	SEE ATTACHED WORKSHEET		
28 Underpayment on line 17 x $\frac{\text{Number of days on line 27} \times 4\% (0.04)}{365}$...	28 \$	\$	\$	\$
29 Number of days on line 20 after 3/31/2018 and before 7/1/2018	29			
30 Underpayment on line 17 x $\frac{\text{Number of days on line 29} \times \%}{365}$	30 \$	\$	\$	\$
31 Number of days on line 20 after 6/30/2018 and before 10/1/2018 ...	31			
32 Underpayment on line 17 x $\frac{\text{Number of days on line 31} \times \%}{365}$	32 \$	\$	\$	\$
33 Number of days on line 20 after 9/30/2018 and before 1/1/2019	33			
34 Underpayment on line 17 x $\frac{\text{Number of days on line 33} \times \%}{365}$	34 \$	\$	\$	\$
35 Number of days on line 20 after 12/31/2018 and before 3/16/2019 ...	35			
36 Underpayment on line 17 x $\frac{\text{Number of days on line 35} \times \%}{365}$	36 \$	\$	\$	\$
37 Add lines 22, 24, 26, 28, 30, 32, 34, and 36	37 \$	\$	\$	\$
38 Penalty. Add columns (a) through (d) of line 37. Enter the total here and on Form 1120, line 33; or the comparable line for other income tax returns	38			34 .

* Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at www.irs.gov. You can also call 1-800-829-4933 to get interest rate information.

FORM 990-T
UNDERPAYMENT OF ESTIMATED TAX WORKSHEET

Name(s) THE SOBRATO FAMILY FOUNDATION					Identifying Number 77-0348912
(A) *Date	(B) Amount	(C) Adjusted Balance Due	(D) Number Days Balance Due	(E) Daily Penalty Rate	(F) Penalty
		-0-			
05/15/17	269.	269.	31	.000109589	1.
06/15/17	270.	539.	92	.000109589	5.
09/15/17	269.	808.	91	.000109589	8.
12/15/17	269.	1,077.	106	.000109589	13.
03/31/18	0.	1,077.	45	.000136986	7.
Penalty Due (Sum of Column F).					34.

* Date of estimated tax payment, withholding credit date or installment due date.

Passive Activity Loss Limitations

▶ See separate instructions.

▶ Attach to Form 1040 or Form 1041.

▶ Go to www.irs.gov/Form8582 for instructions and the latest information.

Name(s) shown on return

Identifying number

Part I 2017 Passive Activity Loss**Caution:** Complete Worksheets 1, 2, and 3 before completing Part I.**Rental Real Estate Activities With Active Participation** (For the definition of active participation, see **Special Allowance for Rental Real Estate Activities** in the instructions.)**1a** Activities with net income (enter the amount from Worksheet 1, column (a))**1a****b** Activities with net loss (enter the amount from Worksheet 1, column (b))**1b** (13,536)**c** Prior years' unallowed losses (enter the amount from Worksheet 1, column (c))**1c** (266,588)**d** Combine lines 1a, 1b, and 1c**1d**

(280,124)

Commercial Revitalization Deductions From Rental Real Estate Activities**2a** Commercial revitalization deductions from Worksheet 2, column (a)**2a** ()**b** Prior year unallowed commercial revitalization deductions from Worksheet 2, column (b)**2b** ()**c** Add lines 2a and 2b**2c** ()**All Other Passive Activities****3a** Activities with net income (enter the amount from Worksheet 3, column (a))**3a** 87,490**b** Activities with net loss (enter the amount from Worksheet 3, column (b))**3b** (205,195)**c** Prior years' unallowed losses (enter the amount from Worksheet 3, column (c))**3c** (314,223)**d** Combine lines 3a, 3b, and 3c**3d**

(431,928)

4 Combine lines 1d, 2c, and 3d. If this line is zero or more, stop here and include this form with your return; all losses are allowed, including any prior year unallowed losses entered on line 1c, 2b, or 3c. Report the losses on the forms and schedules normally used**4**

(712,052)

If line 4 is a loss and:

- Line 1d is a loss, go to Part II.
- Line 2c is a loss (and line 1d is zero or more), skip Part II and go to Part III.
- Line 3d is a loss (and lines 1d and 2c are zero or more), skip Parts II and III and go to line 15.

Caution: If your filing status is married filing separately and you lived with your spouse at any time during the year, **do not** complete Part II or Part III. Instead, go to line 15.**Part II Special Allowance for Rental Real Estate Activities With Active Participation****Note:** Enter all numbers in Part II as positive amounts. See instructions for an example.**5** Enter the **smaller** of the loss on line 1d or the loss on line 4**5****6** Enter \$150,000. If married filing separately, see instructions**6****7** Enter modified adjusted gross income, but not less than zero (see instructions)**7****Note:** If line 7 is greater than or equal to line 6, skip lines 8 and 9, enter -0- on line 10. Otherwise, go to line 8.**8** Subtract line 7 from line 6**8****9** Multiply line 8 by 50% (0.50). **Do not** enter more than \$25,000. If married filing separately, see instructions**9****10** Enter the **smaller** of line 5 or line 9**10**

If line 2c is a loss, go to Part III. Otherwise, go to line 15.

Part III Special Allowance for Commercial Revitalization Deductions From Rental Real Estate Activities**Note:** Enter all numbers in Part III as positive amounts. See the example for Part II in the instructions.**11** Enter \$25,000 reduced by the amount, if any, on line 10. If married filing separately, see instructions**11****12** Enter the loss from line 4**12****13** Reduce line 12 by the amount on line 10**13****14** Enter the **smallest** of line 2c (treated as a positive amount), line 11, or line 13**14****Part IV Total Losses Allowed****15** Add the income, if any, on lines 1a and 3a and enter the total**15**

87,490

16 **Total losses allowed from all passive activities for 2017.** Add lines 10, 14, and 15. See instructions to find out how to report the losses on your tax return**16**

87,490

Caution: The worksheets must be filed with your tax return. Keep a copy for your records.

Worksheet 1—For Form 8582, Lines 1a, 1b, and 1c (See instructions.)

Name of activity	Current year		Prior years	Overall gain or loss	
	(a) Net income (line 1a)	(b) Net loss (line 1b)	(c) Unallowed loss (line 1c)	(d) Gain	(e) Loss
CSFB Strategic Partners III		13,508			13,508
CSFB SP IV, LP		28			28
Prior Year Carryover			266,588		266,588
Total. Enter on Form 8582, lines 1a, 1b, and 1c ▶		13,536	266,588		

Worksheet 2—For Form 8582, Lines 2a and 2b (See instructions.)

Name of activity	(a) Current year deductions (line 2a)	(b) Prior year unallowed deductions (line 2b)	(c) Overall loss
Total. Enter on Form 8582, lines 2a and 2b ▶			

Worksheet 3—For Form 8582, Lines 3a, 3b, and 3c (See instructions.)

Name of activity	Current year		Prior years	Overall gain or loss	
	(a) Net income (line 3a)	(b) Net loss (line 3b)	(c) Unallowed loss (line 3c)	(d) Gain	(e) Loss
CSFB Strategic Partners III	8,967			8,967	
Abry Partners V	560			560	
University Technology	32			32	
European Strategic Partners 2008		180			180
Kayne Anderson		1,957			1,957
Total. Enter on Form 8582, lines 3a, 3b, and 3c ▶	87,490	205,195	314,223		

Worksheet 4—Use this worksheet if an amount is shown on Form 8582, line 10 or 14 (See instructions.)

Name of activity	Form or schedule and line number to be reported on (see instructions)	(a) Loss	(b) Ratio	(c) Special allowance	(d) Subtract column (c) from column (a)
Total ▶			1.00		

Worksheet 5—Allocation of Unallowed Losses (See instructions.)

Name of activity	Form or schedule and line number to be reported on (see instructions)	(a) Loss	(b) Ratio	(c) Unallowed loss
Total ▶			1.00	

Caution: The worksheets must be filed with your tax return. Keep a copy for your records.

Worksheet 1—For Form 8582, Lines 1a, 1b, and 1c (See instructions.)

Name of activity	Current year		Prior years	Overall gain or loss	
	(a) Net income (line 1a)	(b) Net loss (line 1b)	(c) Unallowed loss (line 1c)	(d) Gain	(e) Loss
Total. Enter on Form 8582, lines 1a, 1b, and 1c ▶					

Worksheet 2—For Form 8582, Lines 2a and 2b (See instructions.)

Name of activity	(a) Current year deductions (line 2a)	(b) Prior year unallowed deductions (line 2b)	(c) Overall loss
Total. Enter on Form 8582, lines 2a and 2b ▶			

Worksheet 3—For Form 8582, Lines 3a, 3b, and 3c (See instructions.)

Name of activity	Current year		Prior years	Overall gain or loss	
	(a) Net income (line 3a)	(b) Net loss (line 3b)	(c) Unallowed loss (line 3c)	(d) Gain	(e) Loss
CSFB SP IV, LP		2,255			2,255
Newbury Equity Partners II (Cayman)	3,096			3,096	
DCPF VI Oil & Gas		148,014			148,014
SEI VI TPT AIV, LP		6,649			6,649
Charlesbank Equity Fund VIII		14,506			14,506
Total. Enter on Form 8582, lines 3a, 3b, and 3c ▶	87,490	205,195	314,223		

Worksheet 4—Use this worksheet if an amount is shown on Form 8582, line 10 or 14 (See instructions.)

Name of activity	Form or schedule and line number to be reported on (see instructions)	(a) Loss	(b) Ratio	(c) Special allowance	(d) Subtract column (c) from column (a)
Total ▶			1.00		

Worksheet 5—Allocation of Unallowed Losses (See instructions.)

Name of activity	Form or schedule and line number to be reported on (see instructions)	(a) Loss	(b) Ratio	(c) Unallowed loss
Total ▶			1.00	

Caution: The worksheets must be filed with your tax return. Keep a copy for your records.

Worksheet 1—For Form 8582, Lines 1a, 1b, and 1c (See instructions.)

Name of activity	Current year		Prior years	Overall gain or loss	
	(a) Net income (line 1a)	(b) Net loss (line 1b)	(c) Unallowed loss (line 1c)	(d) Gain	(e) Loss
Total. Enter on Form 8582, lines 1a, 1b, and 1c ▶					

Worksheet 2—For Form 8582, Lines 2a and 2b (See instructions.)

Name of activity	(a) Current year deductions (line 2a)	(b) Prior year unallowed deductions (line 2b)	(c) Overall loss
Total. Enter on Form 8582, lines 2a and 2b ▶			

Worksheet 3—For Form 8582, Lines 3a, 3b, and 3c (See instructions.)

Name of activity	Current year		Prior years	Overall gain or loss	
	(a) Net income (line 3a)	(b) Net loss (line 3b)	(c) Unallowed loss (line 3c)	(d) Gain	(e) Loss
DelosFCA, LLC		10,177			10,177
CC PF AIV, LP		8,014			8,014
Delos MBHE, LLC	43			43	
RW Industrious AIV, LP		4,707			4,707
Delos MBHE Bellwether, LLC	74,792			74,792	
Total. Enter on Form 8582, lines 3a, 3b, and 3c ▶	87,490	205,195	314,223		

Worksheet 4—Use this worksheet if an amount is shown on Form 8582, line 10 or 14 (See instructions.)

Name of activity	Form or schedule and line number to be reported on (see instructions)	(a) Loss	(b) Ratio	(c) Special allowance	(d) Subtract column (c) from column (a)
Total ▶			1.00		

Worksheet 5—Allocation of Unallowed Losses (See instructions.)

Name of activity	Form or schedule and line number to be reported on (see instructions)	(a) Loss	(b) Ratio	(c) Unallowed loss
Total ▶			1.00	

Caution: The worksheets must be filed with your tax return. Keep a copy for your records.

Worksheet 1—For Form 8582, Lines 1a, 1b, and 1c (See instructions.)

Name of activity	Current year		Prior years	Overall gain or loss	
	(a) Net income (line 1a)	(b) Net loss (line 1b)	(c) Unallowed loss (line 1c)	(d) Gain	(e) Loss
Total. Enter on Form 8582, lines 1a, 1b, and 1c ▶					

Worksheet 2—For Form 8582, Lines 2a and 2b (See instructions.)

Name of activity	(a) Current year deductions (line 2a)	(b) Prior year unallowed deductions (line 2b)	(c) Overall loss
Total. Enter on Form 8582, lines 2a and 2b ▶			

Worksheet 3—For Form 8582, Lines 3a, 3b, and 3c (See instructions.)

Name of activity	Current year		Prior years	Overall gain or loss	
	(a) Net income (line 3a)	(b) Net loss (line 3b)	(c) Unallowed loss (line 3c)	(d) Gain	(e) Loss
RW Vacasa AIV		2,181			2,181
Delos CR I, LLC		31			31
Delos CR II, LLC		35			35
Delos Frac, LLC		3			3
Delos Patriot, LLC		392			392
Total. Enter on Form 8582, lines 3a, 3b, and 3c ▶	87,490	205,195	314,223		

Worksheet 4—Use this worksheet if an amount is shown on Form 8582, line 10 or 14 (See instructions.)

Name of activity	Form or schedule and line number to be reported on (see instructions)	(a) Loss	(b) Ratio	(c) Special allowance	(d) Subtract column (c) from column (a)
Total ▶			1.00		

Worksheet 5—Allocation of Unallowed Losses (See instructions.)

Name of activity	Form or schedule and line number to be reported on (see instructions)	(a) Loss	(b) Ratio	(c) Unallowed loss
Total ▶			1.00	

Caution: The worksheets must be filed with your tax return. Keep a copy for your records.

Worksheet 1—For Form 8582, Lines 1a, 1b, and 1c (See instructions.)

Name of activity	Current year		Prior years	Overall gain or loss	
	(a) Net income (line 1a)	(b) Net loss (line 1b)	(c) Unallowed loss (line 1c)	(d) Gain	(e) Loss
Total. Enter on Form 8582, lines 1a, 1b, and 1c ▶					

Worksheet 2—For Form 8582, Lines 2a and 2b (See instructions.)

Name of activity	(a) Current year deductions (line 2a)	(b) Prior year unallowed deductions (line 2b)	(c) Overall loss
Total. Enter on Form 8582, lines 2a and 2b ▶			

Worksheet 3—For Form 8582, Lines 3a, 3b, and 3c (See instructions.)

Name of activity	Current year		Prior years	Overall gain or loss	
	(a) Net income (line 3a)	(b) Net loss (line 3b)	(c) Unallowed loss (line 3c)	(d) Gain	(e) Loss
CCSL AIV , LP		6,094			6,094
Prior Year Carryover			314,223		314,223
Total. Enter on Form 8582, lines 3a, 3b, and 3c ▶	87,490	205,195	314,223		

Worksheet 4—Use this worksheet if an amount is shown on Form 8582, line 10 or 14 (See instructions.)

Name of activity	Form or schedule and line number to be reported on (see instructions)	(a) Loss	(b) Ratio	(c) Special allowance	(d) Subtract column (c) from column (a)
Total ▶			1.00		

Worksheet 5—Allocation of Unallowed Losses (See instructions.)

Name of activity	Form or schedule and line number to be reported on (see instructions)	(a) Loss	(b) Ratio	(c) Unallowed loss
Total ▶			1.00	

Worksheet 6—Allowed Losses (See instructions.)

Name of activity	Form or schedule and line number to be reported on (see instructions)	(a) Loss	(b) Unallowed loss	(c) Allowed loss
Total ▶				

Worksheet 7—Activities With Losses Reported on Two or More Forms or Schedules (See instructions.)

Name of activity:	(a)	(b)	(c) Ratio	(d) Unallowed loss	(e) Allowed loss
Form or schedule and line number to be reported on (see instructions):					
1a Net loss plus prior year unallowed loss from form or schedule . ▶					
b Net income from form or schedule ▶					
c Subtract line 1b from line 1a. If zero or less, enter -0- ▶					
Form or schedule and line number to be reported on (see instructions):					
1a Net loss plus prior year unallowed loss from form or schedule . ▶					
b Net income from form or schedule ▶					
c Subtract line 1b from line 1a. If zero or less, enter -0- ▶					
Form or schedule and line number to be reported on (see instructions):					
1a Net loss plus prior year unallowed loss from form or schedule . ▶					
b Net income from form or schedule ▶					
c Subtract line 1b from line 1a. If zero or less, enter -0- ▶					
Total ▶			1.00		

Depreciation and Amortization
(Including Information on Listed Property) 990-PF

OMB No. 1545-0172

2017
Attachment
Sequence No. **179**

▶ Attach to your tax return.

▶ Go to www.irs.gov/Form4562 for instructions and the latest information.

THE SOBRATO FAMILY FOUNDATION

FORM 990-PF PAGE 1

77-0348912

Part I Election To Expense Certain Property Under Section 179 Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	510,000.
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation	3	2,030,000.
4	Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year. Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions	5	
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property. Enter the amount from line 29	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction. Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2016 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5	11	
12	Section 179 expense deduction. Add lines 9 and 10, but don't enter more than line 11	12	
13	Carryover of disallowed deduction to 2018. Add lines 9 and 10, less line 12	13	

Note: Don't use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Don't include listed property.)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year	14	268,094.
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	443,400.

Part III MACRS Depreciation (Don't include listed property.) (See instructions.)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2017	17	117,455.
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here		

Section B - Assets Placed in Service During 2017 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property		35,418.	15 YRS.	MQ	150DB	2,213.
f 20-year property						
g 25-year property			25 yrs.		S/L	
h Residential rental property	/		27.5 yrs.	MM	S/L	
	/		27.5 yrs.	MM	S/L	
i Nonresidential real property	/		39 yrs.	MM	S/L	
	/			MM	S/L	

Section C - Assets Placed in Service During 2017 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs.		S/L	
c 40-year	/		40 yrs.	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property. Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations - see instr.	22	831,162.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Part V Listed Property (Include automobiles, certain other vehicles, certain aircraft, certain computers, and property used for entertainment, recreation, or amusement.)**Note:** For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete **only** 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.**Section A - Depreciation and Other Information** (Caution: See the instructions for limits for passenger automobiles.)**24a** Do you have evidence to support the business/investment use claimed? ☐ **Yes** ☐ **No** **24b** If "Yes," is the evidence written? ☐ **Yes** ☐ **No**

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use							25	
26 Property used more than 50% in a qualified business use:								
	:	:	%					
	:	:	%					
	:	:	%					
27 Property used 50% or less in a qualified business use:								
	:	:	%			S/L -		
	:	:	%			S/L -		
	:	:	%			S/L -		
28 Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1							28	
29 Add amounts in column (i), line 26. Enter here and on line 7, page 1							29	

Section B - Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person. If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles.

	(a) Vehicle	(b) Vehicle	(c) Vehicle	(d) Vehicle	(e) Vehicle	(f) Vehicle
30 Total business/investment miles driven during the year (don't include commuting miles)						
31 Total commuting miles driven during the year ...						
32 Total other personal (noncommuting) miles driven						
33 Total miles driven during the year. Add lines 30 through 32						
34 Was the vehicle available for personal use during off-duty hours?	Yes	No	Yes	No	Yes	No
35 Was the vehicle used primarily by a more than 5% owner or related person?						
36 Is another vehicle available for personal use?						

Section C - Questions for Employers Who Provide Vehicles for Use by Their EmployeesAnswer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **aren't** more than 5% owners or related persons.

	Yes	No
37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use?		

Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," don't complete Section B for the covered vehicles.**Part VI Amortization**

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2017 tax year:					
	:	:			
	:	:			
43 Amortization of costs that began before your 2017 tax year					43
44 Total. Add amounts in column (f). See the instructions for where to report					44

Sales of Business Property
(Also Involuntary Conversions and Recapture Amounts
Under Sections 179 and 280F(b)(2))
▶ Attach to your tax return.

▶ Go to www.irs.gov/Form4797 for instructions and the latest information.

THE SOBRATO FAMILY FOUNDATION

Identifying number
77-0348912

1 Enter the gross proceeds from sales or exchanges reported to you for 2017 on Form(s) 1099-B or 1099-S (or substitute statement) that you are including on line 2, 10, or 20

1

Part I Sales or Exchanges of Property Used in a Trade or Business and Involuntary Conversions From Other Than Casualty or Theft—Most Property Held More Than 1 Year (see instructions)

2	(a) Description of property	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Gross sales price	(e) Depreciation allowed or allowable since acquisition	(f) Cost or other basis, plus improvements and expense of sale	(g) Gain or (loss) Subtract (f) from the sum of (d) and (e)
	1231 GAINS FROM PASSTHROUGH ENTITIES (PASSIVE)	VARIOUS	VARIOUS	0.		2,302.	-2,302.

3	Gain, if any, from Form 4684, line 39	3
4	Section 1231 gain from installment sales from Form 6252, line 26 or 37	4
5	Section 1231 gain or (loss) from like-kind exchanges from Form 8824	5
6	Gain, if any, from line 32, from other than casualty or theft	6
7	Combine lines 2 through 6. Enter the gain or (loss) here and on the appropriate line as follows: Partnerships (except electing large partnerships) and S corporations. Report the gain or (loss) following the instructions for Form 1065, Schedule K, line 10, or Form 1120S, Schedule K, line 9. Skip lines 8, 9, 11, and 12 below. Individuals, partners, S corporation shareholders, and all others. If line 7 is zero or a loss, enter the amount from line 7 on line 11 below and skip lines 8 and 9. If line 7 is a gain and you didn't have any prior year section 1231 losses, or they were recaptured in an earlier year, enter the gain from line 7 as a long-term capital gain on the Schedule D filed with your return and skip lines 8, 9, 11, and 12 below.	7 -2,302.
8	Nonrecaptured net section 1231 losses from prior years. See instructions	8
9	Subtract line 8 from line 7. If zero or less, enter -0-. If line 9 is zero, enter the gain from line 7 on line 12 below. If line 9 is more than zero, enter the amount from line 8 on line 12 below and enter the gain from line 9 as a long-term capital gain on the Schedule D filed with your return. See instructions	9

Part II Ordinary Gains and Losses (see instructions)

10 Ordinary gains and losses not included on lines 11 through 16 (include property held 1 year or less):

11	Loss, if any, from line 7	11 (2,302.
12	Gain, if any, from line 7 or amount from line 8, if applicable	12
13	Gain, if any, from line 31	13
14	Net gain or (loss) from Form 4684, lines 31 and 38a	14
15	Ordinary gain from installment sales from Form 6252, line 25 or 36	15
16	Ordinary gain or (loss) from like-kind exchanges from Form 8824	16
17	Combine lines 10 through 16	17 -2,302.
18	For all except individual returns, enter the amount from line 17 on the appropriate line of your return and skip lines a and b below. For individual returns, complete lines a and b below: a If the loss on line 11 includes a loss from Form 4684, line 35, column (b)(ii), enter that part of the loss here. Enter the part of the loss from income-producing property on Schedule A (Form 1040), line 28, and the part of the loss from property used as an employee on Schedule A (Form 1040), line 23. Identify as from "Form 4797, line 18a." See instructions b Redetermine the gain or (loss) on line 17 excluding the loss, if any, on line 18a. Enter here and on Form 1040, line 14	18a 18b

LHA For Paperwork Reduction Act Notice, see separate instructions.

Form **4797** (2017)

Part III Gain From Disposition of Property Under Sections 1245, 1250, 1252, 1254, and 1255 (see instructions)

19 (a) Description of section 1245, 1250, 1252, 1254, or 1255 property:		(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)
A			
B			
C			
D			
These columns relate to the properties on lines 19A through 19D.		Property A	Property B
		Property C	Property D
20 Gross sales price (Note: See line 1 before completing.)	20		
21 Cost or other basis plus expense of sale	21		
22 Depreciation (or depletion) allowed or allowable	22		
23 Adjusted basis. Subtract line 22 from line 21	23		
24 Total gain. Subtract line 23 from line 20	24		
25 If section 1245 property:			
a Depreciation allowed or allowable from line 22	25a		
b Enter the smaller of line 24 or 25a	25b		
26 If section 1250 property: If straight line depreciation was used, enter -0- on line 26g, except for a corporation subject to section 291.			
a Additional depreciation after 1975. See instructions	26a		
b Applicable percentage multiplied by the smaller of line 24 or line 26a. See instructions	26b		
c Subtract line 26a from line 24. If residential rental property or line 24 isn't more than line 26a, skip lines 26d and 26e	26c		
d Additional depreciation after 1969 and before 1976	26d		
e Enter the smaller of line 26c or 26d	26e		
f Section 291 amount (corporations only)	26f		
g Add lines 26b, 26e, and 26f	26g		
27 If section 1252 property: Skip this section if you didn't dispose of farmland or if this form is being completed for a partnership (other than an electing large partnership).			
a Soil, water, and land clearing expenses	27a		
b Line 27a multiplied by applicable percentage	27b		
c Enter the smaller of line 24 or 27b	27c		
28 If section 1254 property:			
a Intangible drilling and development costs, expenditures for development of mines and other natural deposits, mining exploration costs, and depletion. See instructions	28a		
b Enter the smaller of line 24 or 28a	28b		
29 If section 1255 property:			
a Applicable percentage of payments excluded from income under section 126. See instructions	29a		
b Enter the smaller of line 24 or 29a. See instructions	29b		

Summary of Part III Gains. Complete property columns A through D through line 29b before going to line 30.

30 Total gains for all properties. Add property columns A through D, line 24	30	
31 Add property columns A through D, lines 25b, 26g, 27c, 28b, and 29b. Enter here and on line 13	31	
32 Subtract line 31 from line 30. Enter the portion from casualty or theft on Form 4684, line 33. Enter the portion from other than casualty or theft on Form 4797, line 6	32	

Part IV Recapture Amounts Under Sections 179 and 280F(b)(2) When Business Use Drops to 50% or Less (see instructions)

	(a) Section 179	(b) Section 280F(b)(2)
33 Section 179 expense deduction or depreciation allowable in prior years	33	
34 Recomputed depreciation. See instructions	34	
35 Recapture amount. Subtract line 34 from line 33. See the instructions for where to report	35	

Form **5471**(Rev. December 2015)
Department of the Treasury
Internal Revenue Service**Information Return of U.S. Persons With
Respect To Certain Foreign Corporations**▶ For more information about Form 5471, see www.irs.gov/form5471Information furnished for the foreign corporation's annual accounting period (tax year required by
section 898) (see instructions) beginning _____, _____, and ending _____, _____

OMB No. 1545-0704

Attachment
Sequence No. **121**

Name of person filing this return

THE SOBRATO FAMILY FOUNDATION

Number, street, and room or suite no. (or P.O. box number if mail is not delivered to street address)

10600 NORTH DE ANZA BLVD, NO. 200

City or town, state, and ZIP code

CUPERTINO, CA 95014Filer's tax year beginning **JAN 1**, **2017**, and ending **DEC 31**, **2017****D** Check if any excepted specified foreign financial assets are reported on this form (see instructions) ☐**E** Person(s) on whose behalf this information return is filed:

(1) Name	(2) Address	(3) Identifying number	(4) Check applicable box(es)		
			Shareholder	Officer	Director

Important: Fill in all applicable lines and schedules. All information **must** be in English. All amounts **must** be stated in U.S. dollars unless otherwise indicated.

1a Name and address of foreign corporation TSO CAPITAL FUND I C/O MAPLES CORPORATE SERVICES LIMITED PO BOX309 UGLAND HOUSE, GRAND CAYMAN KY1-1104 CAYMAN ISLANDS				b(1) Employer identification number, if any 981221480	
				b(2) Reference ID number (see instructions)	
				c Country under whose laws incorporated	
d Date of incorporation 11/18/14	e Principal place of business GRAND CAYMAN CAYMAN ISLANDS	f Principal business activity code number 523900	g Principal business activity INVESTMENTS	h Functional currency UNITED STATES, DOLLAR	

2 Provide the following information for the foreign corporation's accounting period stated above.

a Name, address, and identifying number of branch office or agent (if any) in the United States		b If a U.S. income tax return was filed, enter:	
		(i) Taxable income or (loss)	(ii) U.S. income tax paid (after all credits)
c Name and address of foreign corporation's statutory or resident agent in country of incorporation MAPLES CORPORATE SERVICES LIMITED PO BOX 309, UGLAND HOUSE GRAND CAYMAN KY1-1104 CAYMAN ISLANDS		d Name and address (including corporate department, if applicable) of person (or persons) with custody of the books and records of the foreign corporation, and the location of such books and records, if different MAPLES CORPORATE SERVICES LIMITED PO BOX 309, UGLAND HOUSE GRAND CAYMAN KY1-1104 CAYMAN ISLANDS	

Schedule A Stock of the Foreign Corporation

(a) Description of each class of stock	(b) Number of shares issued and outstanding	
	(i) Beginning of annual accounting period	(ii) End of annual accounting period
COMMON	1	1

LHA For Paperwork Reduction Act Notice, see instructions.

Form **5471** (Rev. 12-2015)

Schedule B	U.S. Shareholders of Foreign Corporation
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[illegible]

Schedule C	Income Statement
-------------------	-------------------------

Important: Report all information in functional currency in accordance with U.S. GAAP. Also, report each amount in U.S. dollars translated from functional currency (using GAAP translation rules). However, if the functional currency is the U.S. dollar, complete only the U.S. Dollars column. See instructions for special rules for DASTM corporations.

		Functional Currency	U.S. Dollars
Income	1a Gross receipts or sales	1a	
	b Returns and allowances	1b	
	c Subtract line 1b from line 1a	1c	
	2 Cost of goods sold	2	
	3 Gross profit (subtract line 2 from line 1c)	3	
	4 Dividends	4	
	5 Interest	5	
	6a Gross rents	6a	
	b Gross royalties and license fees	6b	
	7 Net gain or (loss) on sale of capital assets	7	
8 Other income (attach statement)	8		
9 Total income (add lines 3 through 8)	9		
Deductions	10 Compensation not deducted elsewhere	10	
	11a Rents	11a	
	b Royalties and license fees	11b	
	12 Interest	12	
	13 Depreciation not deducted elsewhere	13	
	14 Depletion	14	
	15 Taxes (exclude provision for income, war profits, and excess profits taxes)	15	
	16 Other deductions (attach statement - exclude provision for income, war profits, and excess profits taxes)	16	
17 Total deductions (add lines 10 through 16)	17		
Net Income	18 Net income or (loss) before extraordinary items, prior period adjustments, and the provision for income, war profits, and excess profits taxes (subtract line 17 from line 9)		
	19 Extraordinary items and prior period adjustments	18	
	20 Provision for income, war profits, and excess profits taxes	19	
	21 Current year net income or (loss) per books (combine lines 18 through 20)	20	
		21	

Schedule E Income, War Profits, and Excess Profits Taxes Paid or Accrued

	(a) Name of country or U.S. possession	Amount of tax		
		(b) In foreign currency	(c) Conversion rate	(d) In U.S. dollars
1	U.S.			
2				
3				
4				
5				
6				
7				
8	Total			

Schedule F Balance Sheet

Important: Report all amounts in U.S. dollars prepared and translated in accordance with U.S. GAAP. See instructions for an exception for DASTM corporations.

Assets		(a) Beginning of annual accounting period	(b) End of annual accounting period
1	Cash	1	0.
2a	Trade notes and accounts receivable	2a	
b	Less allowance for bad debts	2b	() ()
3	Inventories	3	
4	Other current assets (attach statement)	4	
5	Loans to shareholders and other related persons	5	
6	Investment in subsidiaries (attach statement)	6	
7	Other investments (attach statement)	7	
8a	Buildings and other depreciable assets	8a	
b	Less accumulated depreciation	8b	() ()
9a	Depletable assets	9a	
b	Less accumulated depletion	9b	() ()
10	Land (net of any amortization)	10	
11	Intangible assets:		
a	Goodwill	11a	
b	Organization costs	11b	
c	Patents, trademarks, and other intangible assets	11c	
d	Less accumulated amortization for lines 11a, b, and c	11d	() ()
12	Other assets (attach statement)	12	
13	Total assets	13	
Liabilities and Shareholders' Equity			
14	Accounts payable	14	
15	Other current liabilities (attach statement)	15	
16	Loans from shareholders and other related persons	16	
17	Other liabilities (attach statement)	17	
18	Capital stock:		
a	Preferred stock	18a	
b	Common stock	18b	0.
19	Paid-in or capital surplus (attach reconciliation)	19	
20	Retained earnings	20	
21	Less cost of treasury stock	21	() ()
22	Total liabilities and shareholders' equity	22	

Form **5471** (Rev. 12-2015)

Schedule G Other Information

	Yes	No
1 During the tax year, did the foreign corporation own at least a 10% interest, directly or indirectly, in any foreign partnership?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
If "Yes," see the instructions for required statement.		
2 During the tax year, did the foreign corporation own an interest in any trust?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3 During the tax year, did the foreign corporation own any foreign entities that were disregarded as entities separate from their owners under Regulations sections 301.7701-2 and 301.7701-3?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
If "Yes," you are generally required to attach Form 8858 for each entity (see instructions).		
4 During the tax year, was the foreign corporation a participant in any cost sharing arrangement?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
5 During the course of the tax year, did the foreign corporation become a participant in any cost sharing arrangement?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
6 During the tax year, did the foreign corporation participate in any reportable transaction as defined in Regulations section 1.6011-4?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
If "Yes," attach Form(s) 8886 if required by Regulations section 1.6011-4(c)(3)(i)(G).		
7 During the tax year, did the foreign corporation pay or accrue any foreign tax that was disqualified for credit under section 901(m)?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
8 During the tax year, did the foreign corporation pay or accrue foreign taxes to which section 909 applies, or treat foreign taxes that were previously suspended under section 909 as no longer suspended?	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Schedule H Current Earnings and Profits**Important:** Enter the amounts on lines 1 through 5c in **functional** currency.

1 Current year net income or (loss) per foreign books of account	1	
2 Net adjustments made to line 1 to determine current earnings and profits according to U.S. financial and tax accounting standards (see instructions):		
	Net Additions	Net Subtractions
a Capital gains or losses		
b Depreciation and amortization		
c Depletion		
d Investment or incentive allowance		
e Charges to statutory reserves		
f Inventory adjustments		
g Taxes		
h Other (attach statement)		
3 Total net additions		
4 Total net subtractions		
5a Current earnings and profits (line 1 plus line 3 minus line 4)	5a	
b DASTM gain or (loss) for foreign corporations that use DASTM	5b	
c Combine lines 5a and 5b	5c	
d Current earnings and profits in U.S. dollars (line 5c translated at the appropriate exchange rate as defined in section 989(b) and the related regulations)	5d	
Enter exchange rate used for line 5d ▶		

Schedule I Summary of Shareholder's Income From Foreign Corporation

If item E on page 1 is completed, a separate Schedule I must be filed for each Category 4 or 5 filer for whom reporting is furnished on this Form 5471. This schedule I is being completed for:

Name of U.S. shareholder ▶	Identifying number ▶
1 Subpart F income (line 38b, Worksheet A in the instructions)	1
2 Earnings invested in U.S. property (line 17, Worksheet B in the instructions)	2
3 Previously excluded subpart F income withdrawn from qualified investments (line 6b, Worksheet C in the instructions)	3
4 Previously excluded export trade income withdrawn from investment in export trade assets (line 7b, Worksheet D in the instructions)	4
5 Factoring income	5
6 Total of lines 1 through 5. Enter here and on your income tax return	6
7 Dividends received (translated at spot rate on payment date under section 989(b)(1))	7
8 Exchange gain or (loss) on a distribution of previously taxed income	8

• Was any income of the foreign corporation blocked?	Yes	No
• Did any such income become unblocked during the tax year (see section 964(b))?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	<input type="checkbox"/>	<input checked="" type="checkbox"/>

If the answer to either question is "Yes," attach an explanation.

**SCHEDULE J
(Form 5471)**(Rev. December 2012)
Department of the Treasury
Internal Revenue Service**Accumulated Earnings and Profits (E&P)
of Controlled Foreign Corporation**► Information about Schedule J (Form 5471) and its instructions is at www.irs.gov/form5471.

► Attach to Form 5471.

OMB No. 1545-0704

Name of person filing Form 5471

Identifying number

THE SOBRATO FAMILY FOUNDATION**77-0348912**

Name of foreign corporation

EIN (if any)

Reference ID number

TSO CAPITAL FUND I**981221480**

Important: Enter amounts in functional currency.	(a) Post-1986 Undistributed Earnings (post-86 section 959(c)(3) balance)	(b) Pre-1987 E&P Not Previously Taxed (pre-87 section 959(c)(3) balance)	(c) Previously Taxed E&P (sections 959(c)(1) and (2) balances)			(d) Total Section 964(a) E&P (combine columns (a), (b), and (c))
			<i>(i)</i> Earnings Invested in U.S. Property	<i>(ii)</i> Earnings Invested in Excess Passive Assets	<i>(iii)</i> Subpart F Income	
1 Balance at beginning of year						
2a Current year E&P						
b Current year deficit in E&P						
3 Total current and accumulated E&P not previously taxed (line 1 plus line 2a or line 1 minus line 2b)						
4 Amounts included under section 951(a) or reclassified under section 959(c) in current year						
5a Actual distributions or reclassifications of previously taxed E&P						
b Actual distributions of nonpreviously taxed E&P						
6a Balance of previously taxed E&P at end of year (line 1 plus line 4, minus line 5a)						
b Balance of E&P not previously taxed at end of year (line 3 minus line 4, minus line 5b)						
7 Balance at end of year. (Enter amount from line 6a or line 6b, whichever is applicable.)						

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 5471.

Schedule J (Form 5471) (Rev. 12-2012)

**SCHEDULE M
(Form 5471)**

(Rev. December 2012)

Department of the Treasury
Internal Revenue Service**Transactions Between Controlled Foreign Corporation
and Shareholders or Other Related Persons**► Information about Schedule M (Form 5471) and its instructions is at www.irs.gov/form5471.

► Attach to Form 5471.

OMB No. 1545-0704

Name of person filing Form 5471

Identifying number

THE SOBRATO FAMILY FOUNDATION**77-0348912**

Name of foreign corporation

EIN (if any)

Reference ID number

TSO CAPITAL FUND I**981221480**

Important: Complete a **separate** Schedule M for each controlled foreign corporation. Enter the totals for each type of transaction that occurred during the annual accounting period between the foreign corporation and the persons listed in columns (b) through (f). All amounts must be stated in U.S. dollars translated from functional currency at the average exchange rate for the foreign corporation's tax year. See instructions.

Enter the relevant functional currency and the exchange rate used throughout this schedule ► **UNITED STATES, DOLLAR**

(a) Transactions of foreign corporation	(b) U.S. person filing this return	(c) Any domestic corporation or partnership controlled by U.S. person filing this return	(d) Any other foreign corporation or partnership controlled by U.S. person filing this return	(e) 10% or more U.S. shareholder of controlled foreign corporation (other than the U.S. person filing this return)	(f) 10% or more U.S. shareholder of any corporation controlling the foreign corporation
1 Sales of stock in trade (inventory) ...					
2 Sales of tangible property other than stock in trade					
3 Sales of property rights (patents, trademarks, etc.)					
4 Platform contribution transaction payments received					
5 Cost sharing transaction payments received					
6 Compensation received for technical, managerial, engineering, construction, or like services					
7 Commissions received					
8 Rents, royalties, and license fees received					
9 Dividends received (exclude deemed distributions under subpart F and distributions of previously taxed income)					
10 Interest received					
11 Premiums received for insurance or reinsurance					
12 Add lines 1 through 11					
13 Purchases of stock in trade (inventory)					
14 Purchases of tangible property other than stock in trade					
15 Purchases of property rights (patents, trademarks, etc.)					
16 Platform contribution transaction payments paid					
17 Cost sharing transaction payments paid					
18 Compensation paid for technical, managerial, engineering, construction, or like services					
19 Commissions paid					
20 Rents, royalties, and license fees paid					
21 Dividends paid					
22 Interest paid					
23 Premiums paid for insurance or reinsurance					
24 Add lines 13 through 23					
25 Amounts borrowed (enter the maximum loan balance during the year) - see instr.					
26 Amounts loaned (enter the maximum loan balance during the year) - see instr.					

**SCHEDULE O
(Form 5471)**

(Rev. December 2012)

Department of the Treasury
Internal Revenue Service**Organization or Reorganization of Foreign
Corporation, and Acquisitions and
Dispositions of its Stock**Information about Schedule O (Form 5471) and its instructions is at www.irs.gov/form5471

▶ Attach to Form 5471.

OMB No. 1545-0704

Name of person filing Form 5471

THE SOBRATO FAMILY FOUNDATION

Identifying number

77-0348912

Name of foreign corporation

EIN (if any)

Reference ID number

TSO CAPITAL FUND I

981221480

Important: Complete a *separate* Schedule O for each foreign corporation for which information must be reported.**Part I To Be Completed by U.S. Officers and Directors**

(a) Name of shareholder for whom acquisition information is reported	(b) Address of shareholder	(c) Identifying number of shareholder	(d) Date of original 10% acquisition	(e) Date of additional 10% acquisition

Part II To Be Completed by U.S. Shareholders**Note:** If this return is required because one or more shareholders became U.S. persons, attach a list showing the names of such persons and the date each became a U.S. person.**Section A - General Shareholder Information**

(a) Name, address, and identifying number of shareholder(s) filing this schedule	(b) For shareholder's latest U.S. income tax return filed, indicate:			(c) Date (if any) shareholder last filed information return under section 6046 for the foreign corporation
	(1) Type of return (enter form number)	(2) Date return filed	(3) Internal Revenue Service Center where filed	
STMT 33 SOBRATO FAMILY FOUNDATION 10600 N. DE ANZA BLV CUPERTINO 77-0348912	990-PF	11/15/18	OGDEN, UT	

Section B - U.S. Persons Who Are Officers or Directors of the Foreign Corporation

(a) Name of U.S. officer or director	(b) Address	(c) Social security number	(d) Check appropriate box(es)	
			Officer	Director
MATT SONSINI	10600 N DE ANZA BLVD #200 CUPERTINO CA 95014		X	
JOHN A. SOBRATO	10600 N DE ANZA BLVD #200 CUPERTINO CA 95014		X	
JOHN M. SOBRATO	10600 N DE ANZA BLVD #200 CUPERTINO CA 95014		X	

Section C - Acquisition of Stock

(a) Name of shareholder(s) filing this schedule	(b) Class of stock acquired	(c) Date of acquisition	(d) Method of acquisition	(e) Number of shares acquired		
				(1) Directly	(2) Indirectly	(3) Constructively

(f) Amount paid or value given	(g) Name and address of person from whom shares were acquired

Section D - Disposition of Stock

(a) Name of shareholder disposing of stock	(b) Class of stock	(c) Date of disposition	(d) Method of disposition	(e) Number of shares disposed of		
				(1) Directly	(2) Indirectly	(3) Constructively

(f) Amount received	(g) Name and address of person to whom disposition of stock was made

Section E - Organization or Reorganization of Foreign Corporation

(a) Name and address of transferor	(b) Identifying number (if any)	(c) Date of transfer

(d) Assets transferred to foreign corporation			(e) Description of assets transferred by, or notes or securities issued by, foreign corporation
(1) Description of assets	(2) Fair market value	(3) Adjusted basis (if transferor was U.S. person)	

Section F - Additional Information

(a) If the foreign corporation or a predecessor U.S. corporation filed (or joined with a consolidated group in filing) a U.S. income tax return for any of the last 3 years, attach a statement indicating the year for which a return was filed (and, if applicable, the name of the corporation filing the consolidated return), the taxable income or loss, and the U.S. income tax paid (after all credits).

(b) List the date of any reorganization of the foreign corporation that occurred during the last 4 years while any U.S. person held 10% or more in value or vote (directly or indirectly) of the corporation's stock ►

(c) If the foreign corporation is a member of a group constituting a chain of ownership, attach a chart, for each unit of which a shareholder owns 10% or more in value or voting power of the outstanding stock. The chart must indicate the corporation's position in the chain of ownership and the percentages of stock ownership (see instructions for an example).

SCHEDULE O	GENERAL SHAREHOLDER INFORMATION	STATEMENT 33
------------	---------------------------------	--------------

(A) NAME, ADDRESS, AND IDENTIFYING NUMBER OF SHAREHOLDER(S) FILING THIS SCHEDULE	(B) FOR SHAREHOLDER'S LATEST U.S. INCOME TAX RETURN FILED INDICATE:			(C) DATE SHAREHOLD- ER LAST FILED IN- FORMATION RTN UNDER SEC. 6046
	(1) TYPE OF RETURN (ENTER FORM NUMBER)	(2) DATE RETURN FILED	(3) INTERNAL REVENUE SERVICE CENTER WHERE FILED	
SOBRATO FAMILY FOUNDATION 10600 N. DE ANZA BLV CUPERTINO 77-0348912	990-PF	11/15/18	OGDEN, UT	

Taxpayer Name: THE SOBRATO FAMILY FOUNDATIONSSN/FEIN: 77-0348912

<u>Item</u>	<u>Amount</u>
Total amount required to be included in income by reason of section 965(a).	Line 1 \$ <u>25,465.</u>
Aggregate foreign cash position, if applicable.	Line 2 \$ _____
Total deduction under section 965(c).	Line 3 \$ <u>17,284.</u>
Total deemed paid foreign taxes associated with the total amount required to be included in income by reason of section 965(a).	Line 4a \$ _____
Total deemed paid foreign taxes disallowed pursuant to IRC 965(g)(1).	Line 4b \$ _____
Total net tax liability under section 965 (as determined under section 965(h)(6), without regard to whether such paragraph is applicable), if applicable, which will be assessed.	Line 5 \$ <u>1,077.</u>
Amount of the net tax liability under section 965 to be paid in installments under section 965(h), if applicable.	Line 6 \$ _____
Amount of the net tax liability under section 965, the payment of which has been deferred, under section 965(i), if applicable.	Line 7 \$ _____

Listing of applicable elections under section 965 or the election provided for in Notice 2018-13 that the taxpayer has made, if applicable.

<u>Provision Under Which Election is Made</u>	<u>Title</u>	<u>Attached (Y or N)</u>
Section 965(h)(1)	Election to Pay Net Tax Liability Under Section 965 in Installments under Section 965(h)(1).	N
Section 965(i)(1)	S Corporation Shareholder Election to Defer payment of Net Tax Liability Under Section 965 Under Section 965(i)(1)	N
Section 965(m)(1)(B)	Statement for Real Estate Investment Trusts Electing Deferred Inclusions Under Section 951(a)(1) By Reason of Section 965 Under Section 965(m)(1)(B)	N
Section 965(n)	Election Not to Apply Net Operating Loss Deduction under section 965(n)	N
Notice 2018-13, Section 3.02	Election Under Section 3.02 of Notice 2018-13 to Use Alternative Method to Compute Post-1986 Earnings and Profits	N

Under penalties of perjury, I declare that I have examined this statement, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of Taxpayer and/or Officer

2017

California Exempt Organization Annual Information Return

199

Calendar Year 2017 or fiscal year beginning (mm/dd/yyyy)

, and ending (mm/dd/yyyy)

Corporation/Organization name

California corporation number

THE SOBRATO FAMILY FOUNDATION

1850889

Additional information. See instructions.

FEIN

77-0348912

Street address (suite or room)

10600 NORTH DE ANZA BLVD, NO. 200

PMB no.

City

CUPERTINO

State

CA

ZIP code

95014

Foreign country name

Foreign province/state/county

Foreign postal code

A First Return ☐ Yes ☒ No

B Amended Return ☐ Yes ☒ No

C IRC Section 4947(a)(1) trust ☐ Yes ☒ No

D Final Information Return?

• ☐ Dissolved ☐ Surrendered (Withdrawn) ☐ Merged/Reorganized

Enter date: (mm/dd/yyyy) •

E Check accounting method: (1) ☐ Cash (2) ☒ Accrual (3) ☐ Other

F Federal return filed? (1) • ☒ 990T (2) • ☒ 990PF (3) • ☐ Sch H (990) (4) ☐ Other 990 series

G Is this a group filing? See instructions ☐ Yes ☒ No

H Is this organization in a group exemption ☐ Yes ☒ No
If "Yes," what is the parent's name?

I Did the organization have any changes to its guidelines not reported to the FTB? See instructions ☐ Yes ☒ No

J If exempt under R&TC Section 23701d, has the organization engaged in political activities? See instructions. ☐ Yes ☒ No

K Is the organization exempt under R&TC Section 23701g? ☐ Yes ☒ No
If "Yes," enter the gross receipts from nonmember sources \$

L If organization is exempt under R&TC Section 23701d and meets the filing fee exception, check box. No filing fee is required. ☐

M Is the organization a Limited Liability Company? ☐ Yes ☒ No

N Did the organization file Form 100 or Form 109 to report taxable income? ☒ Yes ☐ No

O Is the organization under audit by the IRS or has the IRS audited in a prior year? ☐ Yes ☒ No

P Is federal Form 1023/1024 pending? ☐ Yes ☒ No
Date filed with IRS

Part I Complete Part I unless not required to file this form. See General Information B and C.

Receipts and Revenues	1	Gross sales or receipts from other sources. From Side 2, Part II, line 8	115,734,597.00
	2	Gross dues and assessments from members and affiliates	00
	3	Gross contributions, gifts, grants, and similar amounts received STMT 1	212,844.00
	4	Total gross receipts for filing requirement test. Add line 1 through line 3. This line must be completed. If the result is less than \$50,000, see General Information B	115,947,441.00
	5	Cost of goods sold	00
	6	Cost or other basis, and sales expenses of assets sold	71,519,989.00
	7	Total costs. Add line 5 and line 6	71,519,989.00
	8	Total gross income. Subtract line 7 from line 4	44,427,452.00
Expenses	9	Total expenses and disbursements. From Side 2, Part II, line 18	43,491,962.00
	10	Excess of receipts over expenses and disbursements. Subtract line 9 from line 8	935,490.00
Filing Fee	11	Total payments	00
	12	Use tax. See General Information K	00
	13	Payments balance. If line 11 is more than line 12, subtract line 12 from line 11	00
	14	Use tax balance. If line 12 is more than line 11, subtract line 11 from line 12	00
	15	Filing fee \$10 or \$25. See General Information F	10.00
	16	Penalties and Interest. See General Information J	00
	17	Balance due. Add line 12, line 15, and line 16. Then subtract line 11 from the result	10.00
Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	Signature of officer	Title CEO	Date
Paid Preparer's Use Only	Preparer's signature	Date 11/14/18	Check if self-employed <input type="checkbox"/>
	Firm's name (or yours, if self-employed) and address	Telephone	
	FRANK, RIMERMAN + CO. LLP 60 SOUTH MARKET STREET, SUITE 500 SAN JOSE, CA 95113	PTIN P01280203	
		FEIN 94-1341042 Telephone (408) 279-5566	
May the FTB discuss this return with the preparer shown above? See instructions <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			

Part II Organizations with gross receipts of more than \$50,000 and private foundations regardless of amount of gross receipts - complete Part II or furnish substitute information.

728951 12-06-17

Receipts from Other Sources	1	Gross sales or receipts from all business activities. See instructions	•	1	00
	2	Interest	•	2	954,985. 00
	3	Dividends	•	3	3,239,647. 00
	4	Gross rents	•	4	40,069. 00
	5	Gross royalties	•	5	00
	6	Gross amount received from sale of assets (See Instructions)	•	6	106,114,443. 00
	7	Other income	•	7	5,385,453. 00
	8	Total gross sales or receipts from other sources. Add line 1 through line 7. Enter here and on Side 1, Part I, line 1	•	8	115,734,597. 00
	9	Contributions, gifts, grants, and similar amounts paid	•	9	29,153,336. 00
	10	Disbursements to or for members	•	10	00
	11	Compensation of officers, directors, and trustees	•	11	422,457. 00
	12	Other salaries and wages	•	12	395,876. 00
	13	Interest	•	13	2,183. 00
	14	Taxes	•	14	1,099,163. 00
	15	Rents	•	15	100,320. 00
	16	Depreciation and depletion (See instructions)	•	16	562,115. 00
	17	Other Expenses and Disbursements	•	17	11,756,512. 00
	18	Total expenses and disbursements. Add line 9 through line 17. Enter here and on Side 1, Part I, line 9	•	18	43,491,962. 00

Schedule L Balance Sheet

Beginning of taxable year

End of taxable year

Assets	(a)	(b)	(c)	(d)
1 Cash		5,024,850.		• 6,450,477.
2 Net accounts receivable				•
3 Net notes receivable STMT 8		2,976,590.		• 217,384.
4 Inventories				•
5 Federal and state government obligations				•
6 Investments in other bonds				•
7 Investments in stock STMT 9		131,932,649.		• 185,330,873.
8 Mortgage loans				•
9 Other investments STMT 10		67,518,105.		• 74,876,016.
10 a Depreciable assets	18,039,538.		18,343,052.	
b Less accumulated depreciation	(2,241,637.)	15,797,901.	(3,015,484.)	15,327,568.
11 Land		5,554,700.		• 5,554,701.
12 Other assets STMT 11		60,553,999.		• 385,099.
13 Total assets		289,358,794.		288,142,118.
Liabilities and net worth				
14 Accounts payable		106,042.		• 532,793.
15 Contributions, gifts, or grants payable		10,898,374.		• 9,193,850.
16 Bonds and notes payable				•
17 Mortgages payable				•
18 Other liabilities STMT 12		6,032,607.		6,637,477.
19 Capital stock or principal fund				•
20 Paid-in or capital surplus. Attach reconciliation				•
21 Retained earnings or income fund		272,321,771.		• 271,777,997.
22 Total liabilities and net worth		289,358,794.		288,142,117.

Schedule M-1 Reconciliation of income per books with income per return

Do not complete this schedule if the amount on Schedule L, line 13, column (d), is less than \$50,000.

1 Net income per books	• 666,443.	7 Income recorded on books this year not included in this return	•
2 Federal income tax	•	8 Deductions in this return not charged against book income this year	•
3 Excess of capital losses over capital gains	•	9 Total. Add line 7 and line 8	
4 Income not recorded on books this year	•	10 Net income per return. Subtract line 9 from line 6	935,490.
5 Expenses recorded on books this year not deducted in this return STMT 13	• 269,047.		
6 Total. Add line 1 through line 5	935,490.		

CA 199	CASH CONTRIBUTIONS INCLUDED ON PART I, LINE 3	STATEMENT	1
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CONTRIBUTOR'S NAME	CONTRIBUTOR'S ADDRESS	DATE OF GIFT	AMOUNT
SOBRATO CHARITABLE LEAD TRUST III	10600 N. DE ANZA BLVD. SUITE 200 CUPERTINO, CA 95014	05/08/17	86,199.
SOBRATO CHARITABLE LEAD TRUST IV	10600 N. DE ANZA BLVD. SUITE 200 CUPERTINO, CA 95014	05/08/17	126,645.
TOTAL INCLUDED ON LINE 3			212,844.

FOOTNOTES

STATEMENT 2

SOBRATO FAMILY FOUNDATION
STATEMENT REGARDING PART VII-B
QUESTION 1(A)(2) AND (3)

THE SOBRATO FAMILY FOUNDATION IS PROVIDED WITH
PROFESSIONAL SERVICES WITHOUT CHARGE BY DISQUALIFIED
PERSONS.

SECTIONS 4941(D)(2)(B) AND (C) SPECIFICALLY EXCLUDE THESE
TRANSACTIONS FROM SELF-DEALING TAX.

FOR QUESTION 11 ON PART VII-A, PLEASE SEE FORM 5471
FOR FURTHER INFORMATION REGARDING THE CONTROLLED ENTITY.

PAGE 1, PART I, LINE 24 - THESE FIGURES INCLUDE
CHARITABLE PROGRAMS (A-\$11,040,000, D-\$5,881,003)
CONDUCTED DIRECTLY BY THE FOUNDATION - SEE PAGE 7,
PART IX-A FOR DETAILS.

CA 199	GROSS AMOUNT FROM SALE OF INVESTMENT PROPERTY			STATEMENT	3
DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED		
SANKATY OFFSHORE			PURCHASED		
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE	
	0.	0.	0.	45,302.	
DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED		
SEI VI HMX AIV, LP			PURCHASED		
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE	
	1,528.	0.	0.	0.	
DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED		
NORTH HAVEN EXPANSION (CO-INVESTMENT)			PURCHASED		
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE	
	0.	0.	0.	217,002.	
DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED		
NORTH HAVEN EXPANSION			PURCHASED		
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE	
	0.	0.	0.	216,997.	
DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED		
RIVERWOOD			PURCHASED		
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE	
	0.	0.	0.	129,577.	

<u>DESCRIPTION</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>METHOD ACQUIRED</u>	
CSFB III (STRATEGIC PARTNERS)			PURCHASED	
	<u>COST OR OTHER BASIS</u>	<u>DEPREC.</u>	<u>EXPENSE OF SALE</u>	<u>GROSS SALES PRICE</u>
	697.	0.	0.	0.

<u>DESCRIPTION</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>METHOD ACQUIRED</u>	
CSFB III (STRATEGIC PARTNERS)			PURCHASED	
	<u>COST OR OTHER BASIS</u>	<u>DEPREC.</u>	<u>EXPENSE OF SALE</u>	<u>GROSS SALES PRICE</u>
	0.	0.	0.	39,104.

<u>DESCRIPTION</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>METHOD ACQUIRED</u>	
ABRY			PURCHASED	
	<u>COST OR OTHER BASIS</u>	<u>DEPREC.</u>	<u>EXPENSE OF SALE</u>	<u>GROSS SALES PRICE</u>
	0.	0.	0.	11,234.

<u>DESCRIPTION</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>METHOD ACQUIRED</u>	
UNIVERSITY TECH			PURCHASED	
	<u>COST OR OTHER BASIS</u>	<u>DEPREC.</u>	<u>EXPENSE OF SALE</u>	<u>GROSS SALES PRICE</u>
	0.	0.	0.	104.

<u>DESCRIPTION</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>METHOD ACQUIRED</u>	
UNIVERSITY TECH			PURCHASED	
	<u>COST OR OTHER BASIS</u>	<u>DEPREC.</u>	<u>EXPENSE OF SALE</u>	<u>GROSS SALES PRICE</u>
	1,095.	0.	0.	0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
EUROPEAN STRATEGIC PARTNERS 2008 'B'			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	7,086.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
EUROPEAN STRATEGIC PARTNERS 2008 'B'			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	212,240.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
SPECTRUM EQUITY INVESTORS VI			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	1,569,103.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
CSFB SP IV, LP			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
80.	0.	0.	0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
CSFB SP IV, LP			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	148,492.

<u>DESCRIPTION</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>METHOD ACQUIRED</u>	
KAYNE ANDERSON MEZZANINE PARTNERS			PURCHASED	
	<u>COST OR OTHER BASIS</u>	<u>DEPREC.</u>	<u>EXPENSE OF SALE</u>	<u>GROSS SALES PRICE</u>
	0.	0.	0.	334,451.

<u>DESCRIPTION</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>METHOD ACQUIRED</u>	
NEWBURY			PURCHASED	
	<u>COST OR OTHER BASIS</u>	<u>DEPREC.</u>	<u>EXPENSE OF SALE</u>	<u>GROSS SALES PRICE</u>
	0.	0.	0.	17.

<u>DESCRIPTION</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>METHOD ACQUIRED</u>	
NEWBURY			PURCHASED	
	<u>COST OR OTHER BASIS</u>	<u>DEPREC.</u>	<u>EXPENSE OF SALE</u>	<u>GROSS SALES PRICE</u>
	8.	0.	0.	169,578.

<u>DESCRIPTION</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>METHOD ACQUIRED</u>	
OAKTREE POWER OPPORTUNITIES FUND III (OFFSHORE)			PURCHASED	
	<u>COST OR OTHER BASIS</u>	<u>DEPREC.</u>	<u>EXPENSE OF SALE</u>	<u>GROSS SALES PRICE</u>
	1,532.	0.	0.	0.

<u>DESCRIPTION</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>METHOD ACQUIRED</u>	
AEA INVESTORS FUND V			PURCHASED	
	<u>COST OR OTHER BASIS</u>	<u>DEPREC.</u>	<u>EXPENSE OF SALE</u>	<u>GROSS SALES PRICE</u>
	0.	0.	0.	527,775.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
AVENUE EUROPE SPECIAL SITUATIONS FUND II			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	1,088.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
AVENUE EUROPE SPECIAL SITUATIONS FUND II			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	8,159.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
AXIOM ASIA PRIVATE CAPITAL FUND III			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	546.	0.	0.	0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
AXIOM ASIA PRIVATE CAPITAL FUND III			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	34,259.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
CSFB V (OFFSHORE)			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	115,645.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
SILVER LAKE PARTNERS IV			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	25.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
FTV IV			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	1,591.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
FTV IV			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	45,523.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
FORMATION8			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	9,423.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
FORMATION8			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	9,876.

<u>DESCRIPTION</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>METHOD ACQUIRED</u>	
DCPF VI OIL & GAS			PURCHASED	
	<u>COST OR OTHER BASIS</u>	<u>DEPREC.</u>	<u>EXPENSE OF SALE</u>	<u>GROSS SALES PRICE</u>
	0.	0.	0.	68,336.

<u>DESCRIPTION</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>METHOD ACQUIRED</u>	
DCPF VI OIL & GAS			PURCHASED	
	<u>COST OR OTHER BASIS</u>	<u>DEPREC.</u>	<u>EXPENSE OF SALE</u>	<u>GROSS SALES PRICE</u>
	0.	0.	0.	307,313.

<u>DESCRIPTION</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>METHOD ACQUIRED</u>	
RIVERWOOD CAPITAL PARTNERS II, LP			PURCHASED	
	<u>COST OR OTHER BASIS</u>	<u>DEPREC.</u>	<u>EXPENSE OF SALE</u>	<u>GROSS SALES PRICE</u>
	0.	0.	0.	1,456.

<u>DESCRIPTION</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>METHOD ACQUIRED</u>	
RIVERWOOD CAPITAL PARTNERS II, LP			PURCHASED	
	<u>COST OR OTHER BASIS</u>	<u>DEPREC.</u>	<u>EXPENSE OF SALE</u>	<u>GROSS SALES PRICE</u>
	693.	0.	0.	0.

<u>DESCRIPTION</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>METHOD ACQUIRED</u>	
PARALLAX			PURCHASED	
	<u>COST OR OTHER BASIS</u>	<u>DEPREC.</u>	<u>EXPENSE OF SALE</u>	<u>GROSS SALES PRICE</u>
	0.	0.	0.	241,702.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
LITTLEJOHN FUND V, LP			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	1,098.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
LITTLEJOHN FUND V, LP			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	7,254.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
CHARLESBANK EQUITY FUND VIII LP			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	29.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
CHARLESBANK EQUITY FUND VIII LP			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
30,600.	0.	0.	0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
WEBSTER CAPITAL III, LP			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	83,083.

<u>DESCRIPTION</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>METHOD ACQUIRED</u>	
BOYU CAPITAL FUND II, LP			PURCHASED	
	<u>COST OR OTHER BASIS</u>	<u>DEPREC.</u>	<u>EXPENSE OF SALE</u>	<u>GROSS SALES PRICE</u>
	0.	0.	0.	33,097.

<u>DESCRIPTION</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>METHOD ACQUIRED</u>	
TRIDENT VI PARALLEL FUND			PURCHASED	
	<u>COST OR OTHER BASIS</u>	<u>DEPREC.</u>	<u>EXPENSE OF SALE</u>	<u>GROSS SALES PRICE</u>
	0.	0.	0.	563.

<u>DESCRIPTION</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>METHOD ACQUIRED</u>	
TRIDENT VI PARALLEL FUND			PURCHASED	
	<u>COST OR OTHER BASIS</u>	<u>DEPREC.</u>	<u>EXPENSE OF SALE</u>	<u>GROSS SALES PRICE</u>
	0.	0.	0.	27,059.

<u>DESCRIPTION</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>METHOD ACQUIRED</u>	
ATLAS CAPITAL RESOURCES II LP			PURCHASED	
	<u>COST OR OTHER BASIS</u>	<u>DEPREC.</u>	<u>EXPENSE OF SALE</u>	<u>GROSS SALES PRICE</u>
	0.	0.	0.	214.

<u>DESCRIPTION</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>METHOD ACQUIRED</u>	
DELOS INVESTMENT FUND, LP			PURCHASED	
	<u>COST OR OTHER BASIS</u>	<u>DEPREC.</u>	<u>EXPENSE OF SALE</u>	<u>GROSS SALES PRICE</u>
	0.	0.	0.	4,398.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
CCP III, LP			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
396.	0.	0.	0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
CCP III DEBT ACQUISITION LP			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
1.	0.	0.	0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
CCP III (CAYMAN) LP			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	214.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
CCP III (PEI) LP			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	257.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
CCP III (PEI) LP			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	9,513.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
MISSION BAY CAPITAL II, LP			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	53,154.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
REACH, LP			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	11,728.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
REACH, LP			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	474.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
DELOS MBHE BELLWETHER, LLC			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	181.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
SFF INVESTMENT OVERHEAD - UNREALIZED GAIN/LOSS			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	192,597.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
NT FUND II			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	20,021.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
NT FUND II			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	58,618.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
NT S&P 500			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	74,685.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
NT S&P 500			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	7,888,135.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
EAFE (INCLUDES S&P 500)			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	727,289.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
EAFE (INCLUDES S&P 500)			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
21,518,640.	0.	0.	26,500,018.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
VC			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	5,682.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
NT S&P 400			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	100,963.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
NT S&P 400			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	2,477,247.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
NT RUSSELL 2000			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	255,292.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
NT RUSSELL 2000			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	2,017,947.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
NT MSCI EM			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	98,052.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
NT MSCI EM			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	8,527,680.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
DOMESTIC ESG			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	16,641.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
DOMESTIC ESG			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	1,873.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
EMERGING ESG			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	34,429.	0.	0.	0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
DEVELOPED INT'L			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	3.	0.	0.	0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
SFF INVESTMENT OVERHEAD - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	373.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	1,981,328.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
SALE OF BUILDING HELD FOR INVESTMENT - NEWARK			DONATED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	46,831,474.	0.	0.	43,402,929.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
PAUL ROYALTY - UNREALIZED GAIN/LOSS			PURCHASED
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE
	GROSS SALES PRICE		
	0.	0.	0.
			310.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
SUMMERWOOD - UNREALIZED GAIN/LOSS			PURCHASED
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE
	GROSS SALES PRICE		
	47,159.	0.	0.
			0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
SANKATY OFFSHORE - UNREALIZED GAIN/LOSS			PURCHASED
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE
	GROSS SALES PRICE		
	0.	0.	0.
			132,656.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
SEI VI HMX AIV, LP - UNREALIZED GAIN/LOSS			PURCHASED
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE
	GROSS SALES PRICE		
	0.	0.	0.
			715,370.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
NORTH HAVEN EXPANSION (CO-INVESTMENT) - UNREALIZED GAIN/LOSS			PURCHASED
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE
	GROSS SALES PRICE		
	151,607.	0.	0.
			0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
NORTH HAVEN EXPANSION - UNREALIZED GAIN/LOSS			PURCHASED
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE
	183,902.	0.	0.
			GROSS SALES PRICE
			0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
DATA CENTER HOLDINGS AIV - UNREALIZED GAIN/LOSS			PURCHASED
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE
	0.	0.	0.
			GROSS SALES PRICE
			707.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
RIVERWOOD - UNREALIZED GAIN/LOSS			PURCHASED
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE
	107,694.	0.	0.
			GROSS SALES PRICE
			0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
CSFB III (STRATEGIC PARTNERS) - UNREALIZED GAIN/LOSS			PURCHASED
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE
	0.	0.	0.
			GROSS SALES PRICE
			12,327.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
ABRY - UNREALIZED GAIN/LOSS			PURCHASED
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE
	11,362.	0.	0.
			GROSS SALES PRICE
			0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
UNIVERSITY TECH - UNREALIZED GAIN/LOSS			PURCHASED
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE
	8,386.	0.	0.
			GROSS SALES PRICE
			0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
EUROPEAN STRATEGIC PARTNERS 2008 'B' - UNREALIZED GAIN/LOSS			PURCHASED
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE
	0.	0.	0.
			GROSS SALES PRICE
			26,797.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
SPECTRUM EQUITY INVESTORS VI - UNREALIZED GAIN/LOSS			PURCHASED
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE
	1,537,368.	0.	0.
			GROSS SALES PRICE
			0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
CSFB SP IV, LP - UNREALIZED GAIN/LOSS			PURCHASED
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE
	68,150.	0.	0.
			GROSS SALES PRICE
			0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
KAYNE ANDERSON MEZZANINE PARTNERS - UNREALIZED GAIN/LOSS			PURCHASED
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE
	22,325.	0.	0.
			GROSS SALES PRICE
			0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
NEWBURY - UNREALIZED GAIN/LOSS			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	29,370.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
SEI VI CHOW AIV - UNREALIZED GAIN/LOSS			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	364.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
ELLIOTT INTERNATIONAL (OFFSHORE) - UNREALIZED GAIN/LOSS			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	791,773.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
OAKTREE POWER OPPORTUNITIES FUND III (OFFSHORE) - UNREALIZED GAIN/LOSS			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	245,809.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
AEA INVESTORS FUND V - UNREALIZED GAIN/LOSS			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	990,558.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
AVENUE EUROPE SPECIAL SITUATIONS FUND II - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	128,285.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
LAZARD, LTD CLARENDON HOUSE - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	15.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
AXIOM ASIA PRIVATE CAPITAL FUND III - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	585,387.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
RIVERWOOD TUNNEL - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	94.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
CSFB V (OFFSHORE) - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	23,872.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
SILVER LAKE PARTNERS IV - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	275,246.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
FTV IV - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	330,509.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
FORMATION8 - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	821,261.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
DCPF VI OIL & GAS - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	572,431.	0.	0.	0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
SEI VI TPT AIV, LP - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	345,419.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
RIVERWOOD CAPITAL PARTNERS II, LP - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	89,914.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
SILVER LAKE PARTNERS IV CAYMAN - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	240.	0.	0.	0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
SLP IV FEEDER I, LP - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	831.	0.	0.	0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
TRIDENT VI PARALLEL AIV I - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	2,534.	0.	0.	0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
RCP II BRAZIL HOLDINGS AIV - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	130.	0.	0.	0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
PARALLAX - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	228,195.	0.	0.	0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
LITTLEJOHN FUND V, LP - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	140,189.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
CHARLESBANK EQUITY FUND VIII LP - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	138,395.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
WEBSTER CAPITAL III, LP - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	16,395.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
BOYU CAPITAL FUND II, LP - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	385,598.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
TRIDENT VI PARALLEL FUND - UNREALIZED GAIN/LOSS			PURCHASED
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE
	48,022.	0.	0.
			GROSS SALES PRICE
			0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
ATLAS CAPITAL RESOURCES II LP - UNREALIZED GAIN/LOSS			PURCHASED
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE
	0.	0.	0.
			GROSS SALES PRICE
			67,822.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
ATLAS CAPITAL RESOURCES II (A-1 CAYMAN), LP - UNREALIZED GAIN/LOSS			PURCHASED
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE
	0.	0.	0.
			GROSS SALES PRICE
			28.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
ATLAS CAPITAL RESOURCES II (A-2 CAYMAN), LP - UNREALIZED GAIN/LOSS			PURCHASED
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE
	0.	0.	0.
			GROSS SALES PRICE
			26.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
DELOS INVESTMENT FUND, LP - UNREALIZED GAIN/LOSS			PURCHASED
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE
	0.	0.	0.
			GROSS SALES PRICE
			122,870.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
DELOS FCA, LLC - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	14,801.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
CRESSEY & CRESSEY COMPANY FUND V, LP - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	144,265.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
CCP III, LP - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	69,433.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
CCP III DEPT ACQUISITION LP - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	9.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
CCP III (CAYMAN) LP - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	1,628.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
CCP III AIV II HOLDINGS LP - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	4,143.	0.	0.	0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
CCP III AIV I LP - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	587.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
CCP III (PEI) LP - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	7,536.	0.	0.	0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
PATRIA BRAZILIAN PRIVATE EQUITY FUND V LP - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	29,736.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
PATRIA-BRAZILIAN PRIVATE EQUITY FUND V AIV 1 LP - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	102,259.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
PATRIA-BRAZILIAN PRIVATE EQUITY FUND V AIV 2 LP - UNREALIZED GAIN/LOSS			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	102,259.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
ATLAS CAPITAL RESOURCES II (A3) LP - UNREALIZED GAIN/LOSS			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	38,107.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
MISSION BAY CAPITAL II, LP - UNREALIZED GAIN/LOSS			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	31,836.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
REACH LP - UNREALIZED GAIN/LOSS			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	64,161.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
SLP CASTLE FEEDER - UNREALIZED GAIN/LOSS			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
3,248.	0.	0.	0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
CC PF AIV LP - UNREALIZED GAIN/LOSS			PURCHASED
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE
	GROSS SALES PRICE		
	0.	0.	0.
			8,215.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
SLP IV BASQUIAT FEEDER I LP - UNREALIZED GAIN/LOSS			PURCHASED
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE
	GROSS SALES PRICE		
	3,326.	0.	0.
			0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
SLP IV RV FOI FEEDER I LP - UNREALIZED GAIN/LOSS			PURCHASED
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE
	GROSS SALES PRICE		
	525.	0.	0.
			0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
ATLAS CAPITAL RESOURCES II (A5 - CAYMAN) LP - UNREALIZED GAIN/LOSS			PURCHASED
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE
	GROSS SALES PRICE		
	1,314.	0.	0.
			0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
ATLAS CAPITAL RESOURCES II (A5) LP - UNREALIZED GAIN/LOSS			PURCHASED
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE
	GROSS SALES PRICE		
	0.	0.	0.
			22,389.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
RW INDUSTRIOUS AIV - UNREALIZED GAIN/LOSS			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	4,747.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
DELOS FOS, LP - UNREALIZED GAIN/LOSS			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	1,442.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
DELOS MBHE, LLC - UNREALIZED GAIN/LOSS			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
642.	0.	0.	0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
DELOS MBHE BELLWETHER, LLC - UNREALIZED GAIN/LOSS			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
76,534.	0.	0.	0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
CCP III AIV III, LP - UNREALIZED GAIN/LOSS			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	20.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
RW VACASA AIV, LP - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	2,178.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
SLP IV WEST FEEDER I, LLC - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	1,278.	0.	0.	0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
DELOS CR I, LLC - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	202.	0.	0.	0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
DELOS CR II, LLC - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	333.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED	
DELOS FRAC, LLC - UNREALIZED GAIN/LOSS			PURCHASED	
	COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
	0.	0.	0.	5.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
DELOS PATRIOT, LLC - UNREALIZED GAIN/LOSS			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	412.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
ATLAS CAPITAL RESOURCES II (A6 - CAYMAN) - UNREALIZED GAIN/LOSS			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
9,183.	0.	0.	0.

DESCRIPTION	DATE ACQUIRED	DATE SOLD	METHOD ACQUIRED
CCSL AIV, LP - UNREALIZED GAIN/LOSS			PURCHASED
COST OR OTHER BASIS	DEPREC.	EXPENSE OF SALE	GROSS SALES PRICE
0.	0.	0.	6,111.
TOTAL ON FORM 199, PG 2, LINE 6	71,519,989.	0.	0.
			106,114,443.

CA 199	OTHER INCOME	STATEMENT	4
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DESCRIPTION	AMOUNT
CAPITAL GAINS DIVIDENDS	10,414.
PAUL ROYALTY	-2,286.
SUMMERWOOD	-30.
SEI VI HMX AIV, LP	-249.
NORTH HAVEN EXPANSION (CO-INVESTMENT)	-22,268.
NORTH HAVEN EXPANSION	-37,379.
DATA CENTER HOLDINGS AIV	-707.
RIVERWOOD	-27,874.
CSFB III (STRATEGIC PARTNERS)	4,197.
ABRY	556.
UNIVERSITY TECH	-2,030.
EUROPEAN STRATEGIC PARTNERS 2008 'B'	-46,711.

SPECTRUM EQUITY INVESTORS VI	-35,361.
CSFB SP IV, LP	-18,165.
KAYNE ANDERSON MEZZANINE PARTNERS	-14,752.
NEWBURY	-35,669.
SEI VI CHOW AIV	-374.
OAKTREE POWER OPPORTUNITIES FUND III (OFFSHORE)	5,957.
AEA INVESTORS FUND V	-3,220.
AVENUE EUROPE SPECIAL SITUATIONS FUND II	-93,671.
LAZARD, LTD CLARENDON HOUSE	-6,443.
AXIOM ASIA PRIVATE CAPITAL FUND III	-57,912.
RIVERWOOD TUNNEL (RW TUNNEL)	-94.
CSFB V (OFFSHORE)	8,244.
SILVER LAKE PARTNERS IV	-18,991.
FTV IV	-36,867.
FORMATION8	-19,578.
DCPF VI OIL & GAS	160,836.
SEI VI TPT AIV, LP	-6,649.
RIVERWOOD CAPITAL PARTNERS II, LP	-20,635.
SILVER LAKE PARTNERS IV CAYMAN LP	-110.
SLP IV FEEDER I LP	-14.
TRIDENT VI PARALLEL AIV I LP	-3,071.
RCP II BRAZIL HOLDINGS AIV LP	-191.
PARALLAX	-27,311.
LITTLEJOHN FUND V, LP	-10,872.
CHARLESBANK EQUITY FUND VIII LP	-19,856.
WEBSTER CAPITAL III, LP	-10,285.
BOYU CAPITAL FUND II, LP	-21,113.
TRIDENT VI PARALLEL FUND	-26,691.
ATLAS CAPITAL RESOURCES II LP	-26,444.
ATLAS CAPITAL RESOURCES II (A-1 CAYMAN) LP	-28.
ATLAS CAPITAL RESOURCES II (A-2 CAYMAN) LP	-26.
DELOS INVESTMENT FUND, LP	-6,708.
DELOSFCA, LLC	-14,801.
CRESSEY & COMPANY FUND V LP	-23,723.
CCP III, LP	-13,461.
CCP III DEBT ACQUISITION LP	-9.
CCP III (CAYMAN) LP	-1,842.
CCP III AIV II HOLDINGS LP	-18.
CCP III AIV I LP	-587.
CCP III (PEI) LP	-9,830.
PATRIA-BRAZILIAN PRIVATE EQUITY FUND V AIV 1, LP	-102,421.
PATRIA-BRAZILIAN PRIVATE EQUITY FUND V AIV 2, LP	-102,421.
ATLAS CAPITAL RESOURCES II (A3) LP	-47,067.
MISSION BAY CAPITAL II, LP	-21,386.
REACH, LP	-79,820.
SLP CASTLE FEEDER	-7.
CC PF AIV LP	-8,245.
SLP IV BASQUIAT FEEDER I LP	-20.
SLP IV RV FOI FEEDER I, LP	-7.
ATLAS CAPITAL RESOURCES II (A5 -CAYMAN) LP	-43.
ATLAS CAPITAL RESOURCES II (A5) LP	-22,391.
RW INDUSTRIOUS AIV LP	-4,784.
DELOS FOS, LP	-2,397.
DELOS MBHE, LLC	-4,996.

DELOS MBHE BELLWETHER, LLC	69,432.
CCP III AIV III, LP	-20.
RW VACASA AIV, LP	-2,190.
DELOS CR I, LLC	202.
DELOS CR II, LC	-341.
DELOS FRAC, LLC	-5.
DELOS PATRIOT, LLC	-412.
ATLAS CAPITAL RESOURCES II (A6-CAYMAN)	-834.
CCSL AIV, LP	-6,111.
NT S&P 500	806.
EAFE (INCLUDES S&P 500)	0.
TRANSITION	3,598.
NT S&P 400	8,532.
NT RUSSELL 2000	41,853.
DOMESTIC ESG	51,324.
EMERGING ESG	7,745.
DEVELOPED INT'L	76,112.
EUROPEAN STRATEGIC PARTNERS PFIC INCOME	0.
AVENUE EUROPE SPECIAL SITUATIONS FUND II PFIC INCOME	0.
AXIOM ASIA PRIVATE CAPITAL FUND III PFIC INCOME	0.
SLP IV CAYMAN FEEDERS PFIC INCOME	0.
TRIDENT VI PARALLEL FUND LP PFIC INCOME	0.
BOYU CAPITAL FUND II, LP PFIC INCOME	0.
DEPOSIT FORFEIT INCOME	250,000.
SECTION 965(A) INCOME NOT REPORTED ON BOOKS	-25,465.
REDWOOD SHORES - OFFICE & MEETING SPACE FOR NONPROFITS	4,041,634.
SOBRATO EARLY ACADEMIC LANGUAGE MODEL	1,800,300.
TOTAL TO FORM 199, PART II, LINE 7	5,385,453.

CA 199	CASH CONTRIBUTIONS, GIFTS, GRANTS AND SIMILAR AMOUNTS PAID	STATEMENT	5
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ACTIVITY CLASSIFICATION: TAX EXEMPT PURPOSES STATED ON 990PF

<u>DONEES NAME AND ADDRESS</u>	<u>RELATIONSHIP</u>	<u>AMOUNT</u>
SEE ATTACHED LIST 10600 NORTH DE ANZA BLVD NO. 200, CUPERTINO, CA 95014	NONE	29,153,336.

ORGANIZATIONAL STATUS: IRC CODE SECTION 501(C)(3) ORG

TOTAL FOR THIS ACTIVITY	29,153,336.
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TOTAL INCLUDED ON FORM 199, PART II, LINE 9	<u>29,153,336.</u>
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CA 199	COMPENSATION OF OFFICERS, DIRECTORS AND TRUSTEES	STATEMENT	6
NAME AND ADDRESS	TITLE AND AVERAGE HRS WORKED/WK	COMPENSATION	
JOHN A. SOBRATO 10600 N. DE ANZA BLVD, #200 CUPERTINO, CA 95014	BOARD CHAIRMAN 1.00	0.	
LISA SONSINI 10600 N. DE ANZA BLVD, #200 CUPERTINO, CA 95014	BOARD PRESIDENT 5.00	0.	
JOHN M. SOBRATO 10600 N. DE ANZA BLVD, #200 CUPERTINO, CA 95014	CFO 1.00	0.	
MATT SONSINI 10600 N. DE ANZA BLVD, #200 CUPERTINO, CA 95014	SECRETARY 1.00	0.	
SHERI SOBRATO 10600 N. DE ANZA BLVD, #200 CUPERTINO, CA 95014	DIRECTOR 1.00	0.	
SUE SOBRATO 10600 N. DE ANZA BLVD, #200 CUPERTINO, CA 95014	DIRECTOR 1.00	0.	
RICK WILLIAMS 10600 N. DE ANZA BLVD, #200 CUPERTINO, CA 95014	CEO 50.00	422,457.	
GREG AVIS 200 MIDDLEFIELD ROAD, SUITE 200 MENLO PARK, CA 94025	DIRECTOR 1.00	0.	
BJ CASSIN FOUR MAIN ST. SUITE 250 LOS ALTOS, CA 94022	DIRECTOR 1.00	0.	
CAROL LARSON 343 SECOND ST. LOS ALTOS, CA 94022	DIRECTOR 1.00	0.	
BRYAN POLSTER 1801 PAGE MILL ROAD PALO ALTO, CA 94304	DIRECTOR 1.00	0.	

JEFF SOBRATO
10600 N. DE ANZA BLVD, #200
CUPERTINO, CA 95014

DIRECTOR
1.00

0.

JOHN MATTHEW
879 N. 5TH STREET
SAN JOSE, CA 95112

DIRECTOR
1.00

0.

TOTAL TO FORM 199, PART II, LINE 11

422,457.

CA 199

OTHER EXPENSES

STATEMENT 7

DESCRIPTION

AMOUNT

PENSION PLANS, EMPLOYEE BENEFITS	101,695.
LEGAL FEES	21,527.
ACCOUNTING FEES	39,600.
OTHER PROFESSIONAL FEES	526,617.
TRAVEL, CONFERENCES, AND MEETINGS	129,234.
DONATED SERVICES - EXPENSE	283,323.
REPAIRS AND MAINTENANCE	71.
BANK CHARGES/LATE FEES	324.
BUSINESS TAX/LICENSE/PERMITS	20.
DUES/SUBS/PUBS	121,359.
COMPUTER - R&M/SUPPORT/PARTS	985.
OFFICE SUPPLIES	7,597.
PHONE/FAX/MODEM	12,251.
PHOTO WORK	844.
POSTAGE	37.
SOFTWARE LICENSES	6,286.
MANAGEMENT FEES	188,637.
ADVERTISING AND MARKETING	119,057.
PAYROLL PROCESSING FEE	1,540.
MISCELLANEOUS EXPENSE	284,654.
INSURANCE	5,536.
TELECOMUNICATIONS	1,802.
EQUIPMENT AND FURNITURE EXPENSE	10,256.
DIRECT CHARITABLE EXPENSES	9,876,988.
CSFB STRATEGIC PARTNERS III K-1 RENTAL LOSS	16,122.
CS STRATEGIC PARTNERS IV K-1 RENTAL LOSS	149.
NEWBURY EQUITY PARTNERS II (CAYMAN) K-1	1.

TOTAL TO FORM 199, PART II, LINE 17

11,756,512.

CA 199	NET NOTES RECEIVABLE	STATEMENT	8
DESCRIPTION	BEG. OF YEAR	END OF YEAR	
OTHER NOTES AND LOANS RECEIVABLE	2,976,590.	217,384.	
TOTAL TO FORM 199, SCHEDULE L, LINE 3	2,976,590.	217,384.	

CA 199	INVESTMENTS IN STOCK	STATEMENT	9
DESCRIPTION	BEG. OF YEAR	END OF YEAR	
DEVELOPED INT'L ESG	0.	54,441,506.	
DOMESTIC ESG	0.	84,257,909.	
EMERGING MARKETS ESG	0.	37,839,801.	
NORTHERN TRUST EAFE	39,362,788.	0.	
NORTHERN TRUST INVESTMENT FUND II	7,216,406.	8,791,657.	
NORTHERN TRUST MSCI EM	26,997,472.	0.	
NORTHERN TRUST RUSSELL 2000	12,275,145.	0.	
NORTHERN TRUST S&P 400	15,902,224.	0.	
NORTHERN TRUST S&P 500	30,178,614.	0.	
TOTAL TO FORM 199, SCHEDULE L, LINE 7	131,932,649.	185,330,873.	

CA 199	OTHER INVESTMENTS	STATEMENT	10
DESCRIPTION	BEG. OF YEAR	END OF YEAR	
ABRY PARTNERS V, L.P.	86,798.	56,544.	
AEA INVESTORS FUND V	3,198,474.	4,055,075.	
ATLAS CAPITAL RESOURCES II (A1-CAYMAN) LP	208,292.	208,273.	
ATLAS CAPITAL RESOURCES II (A2-CAYMAN) LP	164,399.	164,382.	
ATLAS CAPITAL RESOURCES II (A3) LP	143,874.	143,908.	
ATLAS CAPITAL RESOURCES II (A4-F2) LP	131,035.	130,710.	
ATLAS CAPITAL RESOURCES II (A5) LP	66,001.	168,082.	
ATLAS CAPITAL RESOURCES II (A5-CAYMAN) LP	119,194.	117,653.	
ATLAS CAPITAL RESOURCES II LP	-47,700.	-28,305.	
ATLAS CAPITAL RESOURCES II (A1-CAYMAN) LP	0.	350,760.	
AVENUE EUROPE SPECIAL SITUATIONS II	1,223,580.	727,999.	
AXIOM ASIA III	2,405,756.	2,968,210.	
BOYU CAPITAL FUND II, LP	857,300.	1,385,754.	
CC PF AIV, LP	141,482.	160,643.	
CCP III AIV I, LP	55,947.	62,164.	
CCP III AIV II HOLDINGS LP	35,222.	34,575.	
CCP III DEBT ACQUISITION LP	3.	0.	
CENTERBRIDGE CAPITAL PARTNERS III (CAYMAN) LP	19,185.	44,317.	

CENTERBRIDGE CAPITAL PARTNERS III (PEI) LP	78,233.	88,843.
CENTERBRIDGE CAPITAL PARTNERS III, LP	116,498.	290,538.
CHARLESBANK EQUITY FUND VIII LP	565,908.	873,144.
CRESSEY & CRESSEY CO FUND V LP	202,856.	439,451.
CCSL AIV, LP	0.	159,949.
CS STRATEGIC PARTNERS IV	767,405.	603,189.
CSFB STRAT PARTNERS V	1,220,384.	944,489.
CSFB STRATEGIC PARTNERS III RE, LP	609,657.	375,839.
DATA CENTER	144,363.	200,691.
DCPF OIL & GAS	2,485,076.	1,812,069.
DELOS FOS, LP	80,204.	166,386.
DELOS INVESTMENT FUND LP	173,952.	252,091.
DELOS MBHE BELLWETHER, LP	147,506.	223,361.
DELOS MBHE, LP	197,417.	224,879.
DELOSFCA, LLC	160,167.	169,117.
DELOS CR I, LLC	0.	108.
DELOS CR II, LLC	0.	10,164.
DELOS FRAC, LLC	0.	19.
DELOS PATRIOT, LLC	0.	12,059.
ELLIOTT INTERNATIONAL LIMITED	9,444,090.	10,235,864.
EUROPEAN STRATEGIC PARTNERS 2008 'B'	2,367,498.	2,209,213.
FORMATION8	2,339,027.	3,123,285.
FTV IV	2,320,981.	2,730,966.
INVESTMENT OVERHEAD	0.	217,379.
KAYNE ANDERSON MEZZANINE PARTNERS	911,765.	478,834.
LITTLEJOHN FUND V, LP	369,225.	856,859.
MISSION BAY CAPITAL II, LP	238,019.	331,106.
NEWBURY EQUITY PARTNERS II, LP	1,332,707.	1,222,709.
NORTH HAVEN EXPANSION CAPITAL CO-INVESTMENT	2,203,596.	2,239,834.
NORTH HAVEN EXPANSION CAPITAL OFFSHORE FEEDER	2,071,178.	2,108,404.
OAKTREE POWER OPPORTUNITIES FUND III	1,495,479.	1,576,235.
OTHER PROGRAM RELATED INVESTMENTS	14,641,214.	19,845,228.
PARALLAX CAPITAL FUND, LP	630,286.	513,775.
PATRIA-BRAZILIAN PRIVATE EQUITY FUND V AIV 1, LP	20,107.	952,699.
PATRIA-BRAZILIAN PRIVATE EQUITY FUND V AIV 2, LP	20,107.	952,699.
PATRIA-BRAZILIAN PRIVATE EQUITY FUND V, LP	20,104.	-1,714,861.
PAUL CAPITAL ROYALTY ACQUISITION FUND, LP	11,748.	10,178.
PRESENT VALUE ADJUSTMENT (PRI)	-56,942.	-1,841,421.
RCP II BRAZIL HOLDINGS AIV LP	31,308.	31,438.
REACH CAPITAL, LP	1,397,306.	1,714,469.
RIVERWOOD CAPITAL PARTNERS	1,274,876.	816,456.
RIVERWOOD CAPITAL PARTNERS II LP	272,265.	632,540.
RIVERWOOD TUNNEL	52,275.	52,181.
RW INDUSTRIOUS AIV LP	0.	30,273.
RW VACASA AIV, LP	0.	59,952.
SANKATY	1,299,735.	947,695.
SEI VI CHOW AIV	6,653.	0.
SEI VI HMX AIV	4,568.	2,791.
SEI VI TPT AIV, LP	307,649.	301,000.
SILVER LAKE PARTNERS IV	553,228.	1,197,074.
SILVER LAKE PARTNERS IV CAYMAN LP	68,412.	107,824.
SLP IV CAYMAN ARGO FEEDER & SLP IV CAYMAN TECH		
DEBT FEEDER	363,369.	0.
SLP IV RV FEEDER I, LP	37,796.	38,373.

SLP IV CASTLE FEEDER I, LP	0.	63,715.
SLP IV BASQUIAT FEEDER, LP	0.	59,765.
SLP IV RV FOI FEEDER I, LP	0.	38,676.
SLP IV WEST FEEDER I, LP	0.	144,295.
SPECTRUM EQUITY INVESTORS VI	3,554,822.	1,973,187.
STONEPOINT	1,244,894.	1,940,008.
UNIVERSITY TECHNOLOGY VENTURES, LP	295,014.	250,243.
WEBSTER CAPITAL III	615,278.	827,946.
TOTAL TO FORM 199, SCHEDULE L, LINE 9	67,518,105.	74,876,016.

CA 199	OTHER ASSETS	STATEMENT 11
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DESCRIPTION	BEG. OF YEAR	END OF YEAR
PLEDGES RECEIVABLE	329,394.	0.
PREPAID EXPENSES AND DEFERRED CHARGES	76,707.	385,099.
PROPERTY HELD FOR SALE	60,147,898.	0.
TOTAL TO FORM 199, SCHEDULE L, LINE 12	60,553,999.	385,099.

CA 199	OTHER LIABILITIES	STATEMENT 12
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DESCRIPTION	BEG. OF YEAR	END OF YEAR
FLEXIBLE SPENDING ACCOUNT	4,858.	7,172.
ACCRUED VACATION	196,113.	343,690.
SALES/EXCISE TAX	144,000.	144,000.
SECURITY DEPOSIT	112,951.	110,704.
PREPAID RENT	32,124.	36,892.
FUTURE IN-KIND RENT OBLIGATIONS	4,253,485.	5,124,419.
DEFERRED REVENUE	1,010,400.	870,600.
INTERCO REIMBURSEABLE SALARIES	259,383.	0.
401K	19,293.	0.
TOTAL TO FORM 199, SCHEDULE L, LINE 18	6,032,607.	6,637,477.

CA 199	EXPENSES RECORDED ON BOOKS THIS YEAR NOT DEDUCTED IN THIS RETURN	STATEMENT 13
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DESCRIPTION	AMOUNT
OTHER BOOK/TAX DIFFERENCE ADJUSTMENT	0.
DEPRECIATION	269,047.
TOTAL TO FORM 199, SCHEDULE M-1, LINE 5	269,047.

CA 199	FUND BALANCES	STATEMENT 14
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DESCRIPTION	BEG. OF YEAR	END OF YEAR
UNRESTRICTED ASSETS	271,992,377.	271,777,997.
TEMPORARILY RESTRICTED ASSETS	329,394.	0.
TOTAL TO FORM 199, SCHEDULE L, LINE 21	272,321,771.	271,777,997.

2017

Corporation Depreciation
and Amortization

3885

Attach to Form 100 or Form 100W.

FORM 199

FEIN 77-0348912

Corporation name

California corporation number

THE SOBRATO FAMILY FOUNDATION

1850889

Part I Election To Expense Certain Property Under IRC Section 179

1 Maximum deduction under IRC Section 179 for California	1	\$25,000
2 Total cost of IRC Section 179 property placed in service	2	
3 Threshold cost of IRC Section 179 property before reduction in limitation	3	\$200,000
4 Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-	4	
5 Dollar limitation for taxable year. Subtract line 4 from line 1. If zero or less, enter -0-	5	
(a) Description of property	(b) Cost (business use only)	(c) Elected cost
6		
7 Listed property (elected IRC Section 179 cost)	7	
8 Total elected cost of IRC Section 179 property. Add amounts in column (c), line 6 and line 7	8	
9 Tentative deduction. Enter the smaller of line 5 or line 8	9	
10 Carryover of disallowed deduction from prior taxable years	10	
11 Business income limitation. Enter the smaller of business income (not less than zero) or line 5	11	
12 IRC Section 179 expense deduction. Add line 9 and line 10, but do not enter more than line 11	12	
13 Carryover of disallowed deduction to 2018. Add line 9 and line 10, less line 12	13	

Part II Depreciation and Election of Additional First Year Depreciation Deduction Under R&TC Section 24356

(a) Description property	(b) Date acquired (mm/dd/yyyy)	(c) Cost or other basis	(d) Depreciation allowed or allowable in earlier years	(e) Depreciation Method	(f) Life or rate	(g) Depreciation for this year	(h) Additional first year depreciation
14							
SEE STATEMENT	15	24,248,229.	2,004,210.				
15 Add the amounts in column (g) and column (h). The total of column (h) may not exceed \$2,000. See instructions for line 14, column (h)	15					562,115.	

Part III Summary

16 Total: If the corporation is electing: IRC Section 179 expense, add the amount on line 12 and line 15, column (g); or Additional first year depreciation under R&TC Section 24356, add the amounts on line 15, columns (g) and (h), or Depreciation (if no election is made), enter the amount from line 15, column (g)	16	562,115.
17 Total depreciation claimed for federal purposes from federal Form 4562, line 22	17	831,162.
18 Depreciation adjustment. If line 17 is greater than line 16, enter the difference here and on Form 100 or Form 100W, Side 1, line 6. If line 17 is less than line 16, enter the difference here and on Form 100 or Form 100W, Side 2, line 12. (If California depreciation amounts are used to determine net income before state adjustments on Form 100 or Form 100W, no adjustment is necessary.)	18	-269,047.

Part IV Amortization

(a) Description of property	(b) Date acquired (mm/dd/yyyy)	(c) Cost or other basis	(d) Amortization allowed or allowable in earlier years	(e) R&TC section (see instructions)	(f) Period or percentage	(g) Amortization for this year
19 1 ORGANIZATIONAL FEES	01/01/94	665.	665.	248	60M	0.
5 COMPUTER SOFTWARE	08/15/01	14,522.	14,522.	198	36M	0.
TOTALS		15,187.	15,187.			
20 Total. Add the amounts in column (g)	20					
21 Total amortization claimed for federal purposes from federal Form 4562, line 44	21					
22 Amortization adjustment. If line 21 is greater than line 20, enter the difference here and on Form 100 or Form 100W, Side 1, line 6. If line 21 is less than line 20, enter the difference here and on Form 100 or Form 100W, Side 2, line 12	22					

CA 3885		DEPRECIATION				STATEMENT 15	
ASSET NO./ DESCRIPTION	DATE IN SERVICE	COST OR BASIS	PRIOR DEPR	METHOD	LIFE	DEPRE- CIATION	BONUS
45 CONFERENCE TABLE	07/01/09	5,211.	4,989.	200DB	7.00	0.	
47 COMPUTER - RP #W80081597XJ	04/15/10	1,779.	1,623.	200DB	5.00	0.	
48 COMPUTER - MF #W80122B77XJ	04/15/10	1,779.	1,623.	200DB	5.00	0.	
49 COMPUTER - MC #C02GQ1WJDV7P	12/01/11	2,699.	2,699.	200DB	5.00	0.	
50 COMPUTER - MARA LOW #C02H702GDV7P	03/02/12	2,402.	2,352.	200DB	5.00	3.	
51 IMAC 21.5" D25HM0A7DHJT - LS	07/01/12	3,727.	3,727.	200DB	5.00	0.	
52 MACBOOK AIR FOR LSS	07/01/12	2,029.	1,971.	200DB	5.00	12.	
53 MBP 15.4 C02HX2Y1F1G4-R R. VIAJAR	07/01/12	2,725.	2,647.	200DB	5.00	16.	
87 IMAC D25K604JDNMM LS	07/01/13	1,632.	1,491.	200DB	5.00	56.	
99 IMAC C02KT056F1G3 - VR	07/01/13	2,279.	2,082.	200DB	5.00	79.	
100 IMAC C02KT057F1G3 - SD	07/01/13	2,279.	2,082.	200DB	5.00	79.	
101 IMAC C02KT05CF1G3 RICK WILLIAMS	07/01/13	2,279.	2,082.	200DB	5.00	79.	
102 MBP 15.4 C02L62LAF1G3 KENJI TREANOR	07/01/13	2,279.	2,082.	200DB	5.00	79.	
103 MBP 15.4 C02LJ0RLF1G3 MARA LOW	07/01/13	2,632.	2,405.	200DB	5.00	91.	
104 MBP 15.5 C02LJ0RK1G3 TAMARA RADCLIFFE	07/01/13	2,632.	2,405.	200DB	5.00	91.	
105 BIKE RACKS	07/01/13	2,234.	2,041.	200DB	5.00	77.	
106 BIKE RACKS	07/01/13	1,146.	1,047.	200DB	5.00	40.	
107 2 CHAIN LINK GATES	07/01/13	3,130.	2,859.	200DB	5.00	108.	
108 TWIN DOLPHIN CAFE - 39 YR PROPERTY	07/01/13	25,726.	2,310.	SL	39.00	660.	
109 TWIN DOLPHIN CAFE - 5 YR PROPERTY	07/01/13	165,112.	150,846.	200DB	5.00	5,706.	
110 BUILDING PURCHASE	01/08/13	6,332,359.	649,472.	SL	39.00	162,368.	
111 BUILDING PURCHASE	01/08/13	10,331,743.	1,059,664.	SL	39.00	264,916.	
112 TWIN DOLPHIN LAND	01/08/13	5,554,701.		L		0.	

118	COMPUTER - MBP 15.4 C02MK25YFD58	PERRY				
	07/01/14 2,127.	757.	200DB	5.00	548.	
119	COMPUTER - MBP 15.4 C02MQ0C7FD58	SREEHARSHA				
	07/01/14 2,127.	757.	200DB	5.00	548.	
120	COMPUTER - MBP 15.4 C02MV1X8FD58	D LOPEZ				
	07/01/14 2,127.	757.	200DB	5.00	548.	
121	COMPUTER - MBP 15.4 C02N6MPWG3QC					
	07/01/14 2,323.	827.	200DB	5.00	598.	
122	COMPUTER - MBP 15.4 C02N6MQDG3QC					
	07/01/14 2,323.	827.	200DB	5.00	598.	
123	COMPUTER - MBP 15.4 C02N6MQVG3QC					
	07/01/14 2,323.	827.	200DB	5.00	598.	
124	COMPUTER - MBP 15.4 C02N769SG3QC					
	07/01/14 2,323.	827.	200DB	5.00	598.	
132	LANIER MPC5503 COPIER					
	07/01/14 10,657.	7,587.	200DB	5.00	1,228.	
133	FURNITURE-AUDIO					
	07/01/14 994.	559.	200DB	7.00	124.	
134	FURNITURE-AUDIO					
	07/01/14 1,621.	912.	200DB	7.00	203.	
135	ROOF REPLACEMENT					
	10/16/14 195,469.	11,068.	SL	39.00	5,012.	
136	MBP 15.4 SN#C02PL5FDG8WN					
	06/16/15 2,093.	1,089.	200DB	5.00	402.	
137	MBP 15.4 SN#C02PL8XPG8WN					
	06/16/15 2,093.	1,089.	200DB	5.00	402.	
138	MBP 15.4 SN#C02PL9FPG8WN					
	06/16/15 2,093.	1,089.	200DB	5.00	402.	
139	MBP 15.4 SN#C02PLAYEG8WN					
	06/16/15 2,093.	1,089.	200DB	5.00	402.	
140	MBP 15.4 SN#C02PLDNAG8WN					
	06/16/15 2,093.	1,089.	200DB	5.00	402.	
141	MBP 15.4 SN#C02PLDRCG8WN					
	06/16/15 2,093.	1,089.	200DB	5.00	402.	
142	MBP 15.4 SN#C02PLDU9G8WN					
	06/16/15 2,093.	1,089.	200DB	5.00	402.	
143	MBP 15.4 SN#C02PLJ3NG8WN					
	06/16/15 2,093.	1,089.	200DB	5.00	402.	
144	MBP 15.4 SN#C02PLJ59G8WN					
	06/16/15 2,093.	1,089.	200DB	5.00	402.	
145	MBP 15.4 SN#C02PLJBVG8WN					
	06/16/15 2,093.	1,089.	200DB	5.00	402.	
146	MBP 15.4 SN#C02PLJBVG8WN					
	06/16/15 2,093.	1,089.	200DB	5.00	402.	
147	MBP 15.4 SN#C02PLJBVG8WN					
	06/16/15 2,093.	1,089.	200DB	5.00	402.	
148	MBP 15.4 SN#C02PLJBVG8WN					
	06/16/15 2,093.	1,089.	200DB	5.00	402.	
149	MBP 15.4 SN#C02Q923TG8WL					
	08/31/15 2,054.	986.	200DB	5.00	427.	
150	GARBAGE CAN INSTALLS					
	05/01/15 2,590.	1,451.	200DB	5.00	456.	
151	GARBAGE CAN INSTALLS					
	05/01/15 4,226.	2,367.	200DB	5.00	744.	

152	HVAC REPLACEMENT	02/01/15	221,310.	10,877.	SL	39.00	5,675.
153	HVAC REPLACEMENT	12/04/15	186,000.	5,166.	SL	39.00	4,769.
154	PERMIAN BUILDERS INC	10/27/15	190,708.			.000	0.
155	PERMIAN BUILDERS INC	11/30/15	26,395.			.000	0.
156	ARC TEC INC	06/10/15	1,640.			.000	0.
157	ARC TEC INC	07/08/15	920.			.000	0.
158	ARC TEC INC	07/08/15	193.			.000	0.
159	ARC TEC INC	08/12/15	4,350.			.000	0.
160	AMERICAN REPROGRAPHICS COMPANY LLC	08/10/15	45.			.000	0.
161	ARC TEC INC	09/09/15	270.			.000	0.
162	ARC TEC INC	10/14/15	3,180.			.000	0.
163	MAGNUM DRYWALL INC	11/30/15	4,647.			.000	0.
164	NEW PROJECTOR SYSTEM	01/01/16	2,684.	1,074.	200DB	5.00	644.
165	NEW PROJECTOR SYSTEM	01/01/16	1,645.	658.	200DB	5.00	395.
166	TELEPHONE SYSTEM INSTALL	09/30/16	8,483.	848.	200DB	5.00	3,054.
167	TELEPHONE SYSTEM INSTALL	09/30/16	5,199.	520.	200DB	5.00	1,872.
168	HVAC REPLACEMENT	11/01/16	69,791.	4,653.	200DB	5.00	26,055.
169	HVAC REPLACEMENT	11/01/16	113,869.	7,591.	200DB	5.00	42,511.
170	INTERIOR RENOVATIONS - 350TI 2016	03/07/16	23,380.	1,948.	150DB	15.00	2,143.
171	INTERIOR RENOVATIONS - 350TI	12/31/15	217,103.	21,710.	150DB	15.00	19,539.
182	CAPITALIZED COSTS - PROPERTY HELD FOR SALE	12/31/16	147,898.			.000	0.
193	HVAC REPLACEMENT	12/31/17	140,835.		200DB	5.00	0.
194	REPLACE HEATER	12/31/17	35,000.		200DB	5.00	0.
195	VALVE	12/31/17	56,840.		200DB	5.00	0.
196	NEW SIDEWALK REPLACEMENT	06/28/17	43,919.		150DB	15.00	2,196.
197	NEW SIDEWALK REPLACEMENT	06/28/17	26,918.		150DB	15.00	1,346.
TOTAL TO FORM 3885			24,248,229.	2,004,210.			562,115.



STATE OF CALIFORNIA
Franchise Tax Board

Business Entity e-file Waiver Request - Confirmation

Thank you for your submission.

Your waiver has been granted for the return and tax period indicated below. If we have questions regarding the information you submitted on this form, we will contact you.

Note: The granting of this waiver, including the reason provided, does not exempt you from the requirement in future years.

Print or save a copy of this confirmation page for your records. This page expires in 20 minutes.

Entity Information

Entity Information

Entity Type	Exempt Organization
Form Type	199
Entity Name	Sobrato Family Foundation
California Entity ID Number	1850889
FEIN	770348912
Account Period Beginning	01/01/2017
Account Period Ending	12/31/2017

Waiver Information

Software/Product Used	ProSystem fx Tax
Reason for Waiver	Technology Constraints
Explanation	The taxpayer has a net investment loss reported on the Federal Form 990-PF pg. 12 line 7 that prevents the ProSystem fx Tax software from qualifying Federal e-file. Due to software limitations, California Form 199 cannot be e-filed without qualifying the Federal form.

Contact Information

Contact 1

Role	Paid Preparer
Name	Scott Daily
EFIN	770813
Telephone Number	408.279.5566
E-mail Address	sdaily@frankrimerman.com

Contact 2

Role	Paid Preparer
Name	Yojing Chi
EFIN	770813
Telephone Number	408.279.5566
E-mail Address	ychi@frankrimerman.com

Person who Submitted Form

Name	Scott Daily
Telephone Number	408.279.5566
E-mail Address	sdaily@frankrimerman.com

If you have questions regarding this waiver, contact us at e-file@ftb.ca.gov.

Done **Start a new request**

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2017

California Exempt Organization Business Income Tax Return

Calendar Year 2017 or fiscal year beginning (mm/dd/yyyy), and ending (mm/dd/yyyy)

Corporation/Organization name
THE SOBRATO FAMILY FOUNDATION

California corporation number
1850889

Additional information. See instructions.

FEIN
77-0348912

Street address (suite/room no.)
10600 NORTH DE ANZA BLVD, NO. 200

PMB no.

City (If the corporation has a foreign address, see instructions.)
CUPERTINO

State
CA

ZIP code
95014

Foreign country name

Foreign province/state/county

Foreign postal code

- A** First Return Filed? ☐ Yes ☒ No
- B** Is this an education IRA within the meaning of R&TC Section 23712? ☐ Yes ☒ No
- C** Is the organization under audit by the IRS or has the IRS audited in a prior year? ☐ Yes ☒ No
- D** Final Return?
☐ Dissolved ☐ Surrendered (Withdrawn) ☐ Merged/Reorganized
 Enter date (mm/dd/yyyy)
- E** Amended Return ☐ Yes ☒ No
- F** Accounting Method Used: (1) ☐ Cash (2) ☒ Accrual (3) ☐ Other
- G** Nature of trade or business **SEE STATEMENT 16**
- H** Is the organization a non-exempt charitable trust as described in IRC Section 4947(a)(1)? ☐ Yes ☒ No
- I** Is this organization claiming any former; Enterprise Zone (EZ), Los Angeles Revitalization Zone (LARZ), Local Agency Military Base Recovery Area (LAMBRA), Targeted Tax Area (TTA), or Manufacturing Enhancement Area (MEA) tax benefits? ☐ Yes ☒ No
- J** Is this organization a qualified pension, profit-sharing, or stock bonus plan as described in IRC Section 401(a)? ☐ Yes ☒ No
- K** Unrelated Business Activity (UBA) Code **531390**
- L** Is this a Hospital? ☐ Yes ☒ No
If "Yes," attach federal Schedule H (Form 990)

Taxable Corporation	1	Unrelated business taxable income from Side 2, Part II, line 30	•	1	00
	2	Mult. In 1 by the avg. apport. pctg _____ % from the Sch. R, Apport. Formula Wksht, Part A, In 2 or Part B, In 5. See instr.	•	2	00
	3	Enter the lesser amt from In 1 or In 2. If the unrelated bus. activity is wholly in CA and Sch. R was not compltd, enter the amt from In 1	•	3	0 . 00
Taxable Trust	4	Unrelated business taxable income from Side 2, Part II, line 30	•	4	00
Tax Computation	5	Unrelated business taxable income from line 3 or line 4	•	5	00
	6	EZ, LARZ, LAMBRA, or TTA NOL carryover deduction	•	6	00
	7	Net Operating Loss deduction. See General Information N	•	7	00
	8	Add line 6 and line 7	•	8	00
	9	Net unrelated business taxable income. Subtract line 8 from line 5	•	9	00
	10	Tax 8.84 % x line 9. See General Information J	•	10	00
	11	Tax credits from Schedule B. See instructions	•	11	00
Total Tax	12	Balance. Subtract line 11 from line 10. If line 11 is greater than line 10, enter -0-	•	12	00
	13	Alternative minimum tax. See General Information O	•	13	00
	14	Total tax. Add line 12 and line 13	•	14	0 . 00
Payments	15	Overpayment from a prior year allowed as a credit	•	15	7,271 . 00
	16	2017 estimated tax payments. See instructions	•	16	00
	17	Withholding (Form 592-B and/or 593.) See instructions	•	17	40 . 00
	18	Amount paid with extension (form FTB 3539)	•	18	00
	19	Total payments and credits. Add line 15 through line 18	•	19	7,311 . 00
Use Tax/ Tax Due/ Overpayment	20	Use tax. See instructions	•	20	00
	21	Payments balance. If line 19 is more than line 20, subtract line 20 from line 19	•	21	7,311 . 00
	22	Use tax balance. If line 20 is more than line 19, subtract line 19 from line 20	•	22	00
	23	Tax due. Subtract line 21 from line 14. Pay entire amount with return. See instructions	•	23	00
	24	Overpayment. Subtract line 14 from line 21. See instructions	•	24	7,311 . 00
	25	Enter amount of line 24 to be applied to 2018 estimated tax	•	25	7,311 . 00

TAXABLE YEAR

2017

Resident and Nonresident Withholding Tax Statement

CALIFORNIA FORM

592-B

☐ Amended

Part I Withholding Agent Information

Name of withholding agent (from Form 592 or 592-F) STRATEGIC PARTNERS FUND III RE, L.P.			SSN or ITIN	
Address (apt./ste., room, PO box, or PMB no.) 345 PARK AVENUE, 32ND FLOOR			<input checked="" type="checkbox"/> FEIN	<input type="checkbox"/> CA Corp no. <input type="checkbox"/> CA SOS file no.
City (If you have a foreign address, see instructions.) NEW YORK			2 0 - 1 9 8 0 9 1 0	Daytime telephone number
State	ZIP code			
N Y	1 0 1 5 4			

Part II Payee Information

Name of payee SOBRATO FAMILY FOUNDATION			SSN or ITIN	
Address (apt./ste., room, PO box, or PMB no.) 10600 N. DE ANZA BLVD., SUITE 200			<input checked="" type="checkbox"/> FEIN	<input type="checkbox"/> CA Corp no. <input type="checkbox"/> CA SOS file no.
City (If you have a foreign address, see instructions.) CUPERTINO			7 7 - 0 3 4 8 9 1 2	
State	ZIP code			
C A	9 5 0 1 4			

Part III Type of Income Subject to Withholding. Check the applicable box(es)

<input type="checkbox"/> A Payments to Independent Contractors	<input type="checkbox"/> E Estate Distributions	<input type="checkbox"/> H Allocations to Foreign (non-U.S.) Nonresident Partners/Members
<input type="checkbox"/> B Trust Distributions	<input type="checkbox"/> F Elective Withholding	<input type="checkbox"/> I Other
<input type="checkbox"/> C Rents or Royalties	<input type="checkbox"/> G Elective Withholding/Indian Tribe	
<input checked="" type="checkbox"/> D Distributions to Domestic (U.S.) Nonresident Partners/Members/Beneficiaries/S Corporation Shareholders		

Part IV Tax Withheld

1 Total income subject to withholding.	1	13,248	00
2 Total California tax withheld (excluding backup withholding).	2	3	00
3 Total Backup Withholding.	3		

2017 Instructions for Form 592-B

Resident and Nonresident Withholding Tax Statement

References in these instructions are to the Internal Revenue Code (IRC) as of January 1, 2015, and to the California Revenue and Taxation Code (R&TC).

What's New

Due Date Change – Beginning on or after January 1, 2016, the due date to provide Form 592-B to each foreign (non-U.S.) partner or member has changed from the 15th day of the 4th month following the close of the partnership's or LLC's taxable year to the 15th day of the 3rd month following the close of the partnership's or LLC's taxable year. See General Information D, When to Complete, for more information.

General Information

Backup Withholding – With certain limited exceptions, payers that are required to withhold and remit backup withholding to the Internal Revenue Service (IRS) are also required to withhold and remit to the Franchise Tax Board (FTB) on income sourced to California. The California backup withholding rate is 7% of the payment. For California purposes, dividends,

interests, and any financial institutions release of loan funds made in the normal course of business are exempt from backup withholding. For additional information on California backup withholding, go to ftb.ca.gov and search for **backup withholding**.

If a payee has backup withholding, the payee must contact the FTB to provide a valid Taxpayer Identification Number (TIN) before filing a tax return. The following are acceptable TINs: social security number (SSN); individual taxpayer identification number (ITIN); federal employer identification number (FEIN); California corporation number (CA Corp no.); or California Secretary of State (CA SOS) file number. Failure to provide a valid TIN will result in the denial of the backup withholding credit.

Registered Domestic Partners (RDPs) – For purposes of California income tax, references to a spouse, husband, or wife also refer to a California RDP, unless otherwise specified. When we use the initials RDP they

refer to both a California registered domestic "partner" and a California registered domestic "partnership," as applicable. For more information on RDPs, get FTB Pub. 737, Tax Information for Registered Domestic Partners.

Penalty Increase – Beginning on or after January 1, 2016, the penalties related to failure to file information returns increased. See General Information F, Interest and Penalties, or get FTB 1150, Withhold at Source Penalty Information, for more information.

A Purpose

Use Form 592-B, Resident and Nonresident Withholding Tax Statement, to report to the payee the amount of payment or distribution subject to withholding and tax as reported on Form 592, Resident and Nonresident Withholding Statement, or Form 592-F, Foreign Partner or Member Annual Return. Complete a separate Form 592-B for each payee.

TAXABLE YEAR

2017

Resident and Nonresident Withholding Tax Statement

CALIFORNIA FORM

592-B

☐ Amended

Part I Withholding Agent Information

Name of withholding agent (from Form 592 or 592-F) STRATEGIC PARTNERS FUND IV, L.P.			SSN or ITIN		
Address (apt./ste., room, PO box, or PMB no.) 345 PARK AVENUE, 32ND FLOOR			<input checked="" type="checkbox"/> FEIN	<input type="checkbox"/> CA Corp no.	<input type="checkbox"/> CA SOS file no.
City (If you have a foreign address, see instructions.) NEW YORK			2 6	- 1 8 6 8 5 6 8	
State N Y	ZIP code 1 0 1 5 4	Daytime telephone number () - -			

Part II Payee Information

Name of payee SOBRATO FAMILY FOUNDATION			SSN or ITIN		
Address (apt./ste., room, PO box, or PMB no.) 10600 N. DE ANZA BLVD., SUITE 200			<input checked="" type="checkbox"/> FEIN	<input type="checkbox"/> CA Corp no.	<input type="checkbox"/> CA SOS file no.
City (If you have a foreign address, see instructions.) CUPERTINO			7 7	- 0 3 4 8 9 1 2	
State C A	ZIP code 9 5 0 1 4				

Part III Type of Income Subject to Withholding. Check the applicable box(es)

<input type="checkbox"/> A Payments to Independent Contractors	<input type="checkbox"/> E Estate Distributions	<input type="checkbox"/> H Allocations to Foreign (non-U.S.) Nonresident Partners/Members
<input type="checkbox"/> B Trust Distributions	<input type="checkbox"/> F Elective Withholding	<input type="checkbox"/> I Other
<input type="checkbox"/> C Rents or Royalties	<input type="checkbox"/> G Elective Withholding/Indian Tribe	
<input checked="" type="checkbox"/> D Distributions to Domestic (U.S.) Nonresident Partners/Members/Beneficiaries/S Corporation Shareholders		

Part IV Tax Withheld

1 Total income subject to withholding	1	
2 Total California tax withheld (excluding backup withholding)	2	2 00
3 Total Backup Withholding	3	

2017 Instructions for Form 592-B

Resident and Nonresident Withholding Tax Statement

References in these instructions are to the Internal Revenue Code (IRC) as of January 1, 2015, and to the California Revenue and Taxation Code (R&TC).

What's New

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General Information

Backup Withholding – With certain limited exceptions, payers that are required to withhold and remit backup withholding to the Internal Revenue Service (IRS) are also required to withhold and remit to the Franchise Tax Board (FTB) on income sourced to California. The California backup withholding rate is 7% of the payment. For California purposes, dividends,

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If a payee has backup withholding, the payee must contact the FTB to provide a valid Taxpayer Identification Number (TIN) before filing a tax return. The following are acceptable TINs: social security number (SSN); individual taxpayer identification number (ITIN); federal employer identification number (FEIN); California corporation number (CA Corp no.); or California Secretary of State (CA SOS) file number. Failure to provide a valid TIN will result in the denial of the backup withholding credit.

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refer to both a California registered domestic "partner" and a California registered domestic "partnership," as applicable. For more information on RDPs, get FTB Pub. 737, Tax Information for Registered Domestic Partners.

Penalty Increase – Beginning on or after January 1, 2016, the penalties related to failure to file information returns increased. See General Information F, Interest and Penalties, or get FTB 1150, Withhold at Source Penalty Information, for more information.

A Purpose

Use Form 592-B, Resident and Nonresident Withholding Tax Statement, to report to the payee the amount of payment or distribution subject to withholding and tax as reported on Form 592, Resident and Nonresident Withholding Statement, or Form 592-F, Foreign Partner or Member Annual Return. Complete a separate Form 592-B for each payee.

Resident and Nonresident Withholding Tax Statement

2017

592-B

☐ Amended**Part I Withholding Agent Information**

Name of withholding agent (from Form 592 or 592-F)		SSN or ITIN	
A T L A S C A P I T A L R E S O U R C E S I I (A 5) L P (
Address (apt./ste., room, PO box, or PMB no.)		<input checked="" type="checkbox"/> FEIN <input type="checkbox"/> CA Corp no. <input type="checkbox"/> CA SOS file no.	
1 0 0 N O R T H F I E L D S T R E E T		8 1 0 7 2 6 3 6 8	
City (If you have a foreign address, see instructions.)	State	ZIP code	Daytime telephone number
G R E E N W I C H	C T	0 6 8 3 0	() -

Part II Payee Information

Name of payee		SSN or ITIN	
S O B R A T O F A M I L Y F O U N D A T I O N			
Address (apt./ste., room, PO box, or PMB no.)		<input checked="" type="checkbox"/> FEIN <input type="checkbox"/> CA Corp no. <input type="checkbox"/> CA SOS file no.	
1 0 6 0 0 N . D E A N Z A B O U L E V A R D S U I T E		7 7 0 3 4 8 9 1 2	
City (If you have a foreign address, see instructions.)	State	ZIP code	
C U P E R T I N O	C A	9 5 0 1 4	

Part III Type of Income Subject to Withholding. Check the applicable box(es)

A <input type="checkbox"/> Payments to Independent Contractors	E <input type="checkbox"/> Estate Distributions	H <input type="checkbox"/> Allocations to Foreign (non-U.S.) Nonresident Partners/Members
B <input type="checkbox"/> Trust Distributions	F <input type="checkbox"/> Elective Withholding	I <input type="checkbox"/> Other _____
C <input type="checkbox"/> Rents or Royalties	G <input type="checkbox"/> Elective Withholding/Indian Tribe	
D <input checked="" type="checkbox"/> Distributions to Domestic (U.S.) Nonresident Partners/Members/ Beneficiaries/S Corporation Shareholders		

Part IV Tax Withheld

1 Total income subject to withholding.....	1	494	00
2 Total California tax withheld (excluding backup withholding).....	2	35	00
3 Total Backup Withholding.....	3		

Refund or Amount Due	26	Refund. If line 25 is less than line 24, then subtract line 25 from line 24	•	26	00
	a Fill in the account information to have the refund directly deposited. Routing number				• 26a
	b Type: Checking • <input type="checkbox"/> Savings • <input type="checkbox"/> c Account Number				• 26c
	27	Penalties and interest. See General Information M	•	27	00
	28	• <input type="checkbox"/> Check if estimate penalty computed using Exception B or C and attach form FTB 5806.			
	29	Total amount due. Add line 22, line 23, line 25, and line 27, then subtract line 24	•	29	00

Unrelated Business Taxable Income**Part I Unrelated Trade or Business Income**

1	a Gross receipts or gross sales	b Less returns and allowances	c Balance	•	1c	00	
2	Cost of goods sold and/or operations (Schedule A, line 7)					• 2	00
3	Gross profit. Subtract line 2 from line 1c					• 3	00
4	a Capital gain net income. See Specific Line Instructions - Trusts attach Schedule D (541)					• 4a	13,540.00
	b Net gain (loss) from Part II, Schedule D-1					• 4b	-2,302.00
	c Capital loss deduction for trusts					• 4c	00
5	Income (or loss) from partnerships, limited liability companies, or S corporations. See specific line instructions. Attach Schedule K-1 (565, 568, or 100S) or similar schedule					• 5	-11,238.00
	SEE STATEMENT 17						
6	Rental income (Schedule C)					• 6	00
7	Unrelated debt-financed income (Schedule D)					• 7	00
8	Investment income of an R&TC Section 23701g, 23701i, or 23701n organization (Schedule E)					• 8	00
9	Interest, Annuities, Royalties and Rents from controlled organizations (Schedule F)					• 9	00
10	Exploited exempt activity income (Schedule G)					• 10	00
11	Advertising income (Schedule H, Part III, Column A)					• 11	00
12	Other income. Attach schedule					• 12	00
13	Total unrelated trade or business income. Add line 3 through line 12					• 13	00

Part II Deductions Not Taken Elsewhere (Except for contributions, deductions must be directly connected with the unrelated business income.)

14	Compensation of officers, directors, and trustees from Schedule I	•	14	00			
15	Salaries and wages	•	15	00			
16	Repairs	•	16	00			
17	Bad debts	•	17	00			
18	Interest	•	18	00			
19	Taxes	•	19	00			
20	Contributions	•	20	00			
21	a Depreciation (Corporations and Associations - Schedule J) (Trusts - form FTB 3885F)	•	21a	00			
	b Less: depreciation claimed on Schedule A	•	21b	00			
22	Depletion	•	22	00			
23	a Contributions to deferred compensation plans	•	23a	00			
	b Employee benefit programs	•	23b	00			
24	Other deductions	•	24	00			
25	Total deductions. Add line 14 through line 24					• 25	00
26	Unrelated business taxable income before allowable excess advertising costs. Subtract line 25 from line 13					• 26	00
27	Excess advertising costs (Schedule H, Part III, Column B)					• 27	00
28	Unrelated business taxable income before specific deduction. Subtract line 27 from line 26					• 28	00
29	Specific deduction					• 29	1,000.00
30	Unrelated business taxable income. Subtract line 29 from line 28. If line 28 is a loss, enter line 28					• 30	00

Sign Here	To learn about your privacy rights, how we may use your information, and the consequences for not providing the requested information, go to ftb.ca.gov/forms and search for 1131. To request this notice by mail, call 800.852.5711.			
	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.			
	Signature of officer	Title CEO	Date	• Telephone 408-446-0700
Paid Preparer's Use Only	Preparer's signature	Date 11/14/18	Check if self-employed	• PTIN P01280203
	Firm's name (or yours, if self-employed)			• FEIN 94-1341042
	and address FRANK, RIMERMAN + CO. LLP 60 SOUTH MARKET STREET, SUITE 500 SAN JOSE, CA 95113			• Telephone (408) 279-5566
	May the FTB discuss this return with the preparer shown above? See instructions			• <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

Schedule A Cost of Goods Sold and/or Operations.

Method of inventory valuation (specify)

N/A

1	Inventory at beginning of year	1	00
2	Purchases	2	00
3	Cost of labor	3	00
4 a	Additional IRC Section 263A costs. Attach schedule	4a	00
b	Other costs. Attach schedule	4b	00
5	Total. Add line 1 through line 4b	5	00
6	Inventory at end of year	6	00
7	Cost of goods sold and/or operations. Subtract line 6 from line 5. Enter here and on Side 2, Part I, line 2	7	00

Do the rules of IRC Section 263A (with respect to property produced or acquired for resale) apply to this organization? ☐ Yes ☒ No

Schedule B Tax Credits.

1	Enter credit name	code	1	00
2	Enter credit name	code	2	00
3	Enter credit name	code	3	00
4	Total. Add line 1 through line 3. If claiming more than 3 credits, enter the total of all claimed credits on line 4. Enter here and on Side 1, line 11	4	00	

Schedule K Add-On Taxes or Recapture of Tax.

1	Interest computation under the look-back method for completed long-term contracts. Attach form FTB 3834	1	00
2	Interest on tax attributable to installment: a Sales of certain timeshares or residential lots	2a	00
	b Method for non-dealer installment obligations	2b	00
3	IRC Section 197(f)(9)(B)(ii) election to recognize gain on the disposition of intangibles	3	00
4	Credit recapture. Credit name	4	00
5	Total. Combine the amounts on line 1 through line 4	5	00

Schedule R Apportionment Formula Worksheet. Use only for unrelated trade or business amounts.**Part A. Standard Method - Single-Sales Factor Formula.** Complete this part only if the corporation uses the single-sales factor formula.

	(a) Total within and outside California	(b) Total within California	(c) Percent within California [(b) ÷ (a)] x 100
1 Total Sales	•	•	
2 Apportionment percentage. Divide total sales column (b) by total sales column (a) and multiply the result by 100. Enter the result here and on Form 109, Side 1, line 2.			•

Part B. Three Factor Formula. Complete this part only if the corporation uses the three-factor formula.

	(a) Total within and outside California	(b) Total within California	(c) Percent within California [(b) ÷ (a)] x 100
1 Property factor:	•	•	•
2 Payroll factor: Wages and other compensation of employees	•	•	•
3 Sales factor: Gross sales and/or receipts less returns and allowances	•	•	•
4 Total percentage: Add the percentages in column (c)			
5 Average apportionment percentage: Divide the factor on line 4 by 3 and enter the result here and on Form 109, Side 1, line 2. See instructions for exceptions			

Schedule C Rental Income from Real Property and Personal Property Leased with Real Property

For rental income from debt-financed property, use Schedule D, R&TC Section 23701g, Section 23701i, and Section 23701n organizations. See instructions for exceptions.

1 Description of property	2 Rent received or accrued	3 Percentage of rent attributable to personal property
		%
		%
		%
4 Complete if any item in column 3 is more than 50%, or for any item if the rent is determined on the basis of profit or income	5 Complete if any item in column 3 is more than 10%, but not more than 50%	
(a) Deductions directly connected	(b) Income includible, column 2 less column 4(a)	(a) Gross income reportable, column 2 x column 3
		(b) Deductions directly connected with personal property
		(c) Net income includible, column 5(a) less column 5(b)

Add columns 4(b) and column 5(c). Enter here and on Side 2, Part I, line 6

Schedule D Unrelated Debt-Financed Income

1 Description of debt-financed property			2 Gross income from or allocable to debt-financed property	3 Deductions directly connected with or allocable to debt-financed property	
				(a) Straight-line depreciation	(b) Other deductions
4 Amount of average acquisition indebtedness on or allocable to debt-financed property	5 Average adjusted basis of or allocable to debt-financed property	6 Debt basis percentage, column 4 ÷ column 5	7 Gross income reportable, column 2 x column 6	8 Allocable deductions, total of columns 3(a) and 3(b) x column 6	9 Net income (or loss) includible, column 7 less column 8
		%			
		%			
		%			
Total. Enter here and on Side 2, Part I, line 7					

Schedule E Investment Income of an R&TC Section 23701g, Section 23701i, or Section 23701n Organization

1 Description	2 Amount	3 Deductions directly connected	4 Net investment income, column 2 less column 3	5 Set-asides	6 Balance of investment income, column 4 less column 5
Total. Enter here and on Side 2, Part I, line 8					
Enter gross income from members (dues, fees, charges, or similar amounts)					

Schedule F Interest, Annuities, Royalties and Rents from Controlled Organizations

			Exempt Controlled Organizations		
1 Name of controlled organizations	2 Employer Identification Number	3 Net unrelated income (loss)	4 Total of specified payments made	5 Part of column (4) that is included in the controlling organization's gross income	6 Deductions directly connected with income in column (5)
1					
2					
3					
Nonexempt Controlled Organizations					
7 Taxable Income	8 Net unrelated income (loss)	9 Total of specified payments made	10 Part of column (9) that is included in the controlling organization's gross income	11 Deductions directly connected with income in column (10)	
1					
2					
3					
4 Add columns 5 and 10					
5 Add columns 6 and 11					
6 Subtract line 5 from line 4. Enter here and on Side 2, Part 1, line 9					

Schedule G Exploited Exempt Activity Income, other than Advertising Income

1 Description of exploited activity (attach schedule if more than one unrelated activity is exploiting the same exempt activity)	2 Gross unrelated business income from trade or business	3 Expenses directly connected with production of unrelated business income	4 Net income from unrelated trade or business, column 2 less column 3	5 Gross income from activity that is not unrelated business income	6 Expenses attributable to column 5	7 Excess exempt expense, column 6 less column 5 but not more than column 4	8 Net income includible, column 4 less column 7 but not less than zero
Total. Enter here and on Side 2, Part I, line 10							

Schedule H Advertising Income and Excess Advertising Costs

Part I Income from Periodicals Reported on a Consolidated Basis

1 Name of periodical	2 Gross advertising income	3 Direct advertising costs	4 Advertising income or excess advertising costs. If column 2 is greater than column 3, complete columns 5, 6, and 7. If column 3 is greater than column 2, enter the excess in Part III, column B(b). Do not complete columns 5, 6, and 7.	5 Circulation income	6 Readership costs	7 If column 5 is greater than column 6, enter the income shown in column 4, in Part III, column A(b). If column 6 is greater than column 5, subtract the sum of column 6 and column 3 from the sum of column 5 and column 2. Enter amount in Part III, column A(b). If the amount is less than zero, enter -0-.
Totals						

Part II Income from Periodicals Reported on a Separate Basis

Part III	Column A - Net Advertising Income
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100	

(a) Enter "consolidated periodical" and/or names of non-consolidated periodicals	(b) Enter total amount from Part I, column 4 or 7, and amount listed in Part II, column 4 or 7	(a) Enter "consolidated periodical" and/or names of non-consolidated periodicals	(b) Enter total amount from Part I, column 4, and amounts listed in Part II, column 4
Enter total here and on Side 2, Part I, line 11		Enter total here and on Side 2, Part II, line 27	

Part III	Column B - Excess Advertising Costs
-----------------	--

(a) Enter "consolidated periodical" and/or names of non-consolidated periodicals	(b) Enter total amount from Part I, column 4, and amounts listed in Part II, column 4
Enter total here and on Side 2, Part II, line 27	

Schedule I	Compensation of Officers, Directors, and Trustees
-------------------	--

1 Name of Officer	2 SSN or ITIN	3 Title	4 Percent of time devoted to business	5 Compensation attributable to unrelated business	6 Expense account allowances
			%		
			%		
			%		
			%		
			%		
Total. Enter here and on Side 2, Part II, line 14					

Schedule J Depreciation (Corporations and Associations only. Trusts use form FTB 3885F.)

1 Group and guideline class or description of property	2 Date acquired (mm/dd/yyyy)	3 Cost or other basis	4 Depreciation allowed or allowable in prior years	5 Method of computing depreciation	6 Life or rate	7 Depreciation for this year
1 Total additional first-year depreciation (do not include in items below)						
2 Other depreciation:						
Buildings						
Furniture and fixtures						
Transportation equipment						
Machinery and other equipment						
Other (specify)						
.....						
3 Other depreciation						
4 Total						
5 Amount of depreciation claimed elsewhere on return						
6 Balance. Subtract line 5 from line 4. Enter here and on Side 2, Part II, line 21a						

CA 109	NATURE OF TRADE OR BUSINESS	STATEMENT 16
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THE FOUNDATION IS INVESTED IN PASS-THROUGH INVESTMENTS THAT GENERATE TRADE OR BUSINESS INCOME AND LOSSES. ORDINARY AND RENTAL INCOME/LOSSES FROM THESE SOURCES ARE REPORTED AS UNRELATED BUSINESS INCOME.

TO FORM 109, PAGE 1

CA 109	INCOME OR (LOSS) FROM PARTNERSHIPS, LIMITED LIABILITY COMPANIES OR S CORPORATIONS	STATEMENT 17
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DESCRIPTION	AMOUNT
ORDINARY INCOME FROM PASSTHROUGH ENTITIES	129,021.
INTEREST INCOME FROM PASSTHROUGH ENTITIES	508.
DIVIDEND INCOME FROM PASSTHROUGH ENTITIES	975.
ROYALTIES FROM PASSTHROUGH ENTITIES	417.
OTHER INCOME FROM PASSTHROUGH ENTITIES	183,234.
OTHER DEDUCTIONS FROM PASSTHROUGH ENTITIES	-432,157.
PRIOR YEAR PASSIVE ACTIVITY LOSS CARRYOVER	-580,811.
SUSPENDED PASSIVE ACTIVITY LOSS	701,112.
RENTAL INCOME FROM PASSTHROUGH ENTITIES	-13,537.
TOTAL TO FORM 109, PAGE 2, LINE 5	-11,238.

TAXABLE YEAR

Sales of Business Property

(Also Involuntary Conversions and Recapture Amounts Under IRC Sections 179 and 280F(b)(2))

CALIFORNIA SCHEDULE

2017**D-1****Complete and attach this schedule to your tax return only if your California gains or losses are different from your federal gains or losses.**

Name(s) as shown on tax return

SSN, ITIN, CA SOS file no., California Corp. no., or FEIN

1850889

77-0348912

THE SOBRATO FAMILY FOUNDATION**Part I Sales or Exchanges of Property Used in a Trade or Business and Involuntary Conversions From Other Than Casualty and Theft - Property Held More Than 1 Year**

Use federal Form 4684, Casualties and Thefts, to report involuntary conversions from casualty and theft.

1 Enter the gross proceeds from sales or exchanges reported to you for 2017 on federal Form 1099-S, Proceeds From Real Estate Transactions (or a substitute statement), that you will be including on line 2 or line 10, (column (d)), or on line 23 1

2	(a) Description of property	(b) Date acquired (mm/dd/yyyy)	(c) Date sold (mm/dd/yyyy)	(d) Gross sales price	(e) Depreciation allowed or allowable since acquisition	(f) Cost or other basis, plus improvements and expense of sale	(g) Gain or (Loss) Subtract (f) from the sum of (d) and (e)
<input type="radio"/>		<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="radio"/>		<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input checked="" type="radio"/>	STATEMENT 18	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	2,302.	-2,302.

- 3 Gain, if any, from federal Form 4684, line 39 3 00
- 4 IRC Section 1231 gain from installment sales from form FTB 3805E, line 26 or line 37 4 00
- 5 IRC Section 1231 gain or (loss) from like-kind exchanges from federal Form 8824 (completed using California amounts) 5 00
- 6 Gain, if any, from line 35, from other than casualty and theft 6 00
- 7 Combine line 2 through line 6. Enter gain or (loss) here and on the appropriate line as follows: 7 -2,302. 00

IRC Section 179 Assets: For reporting the sale or disposition of assets for which an IRC Section 179 expense deduction was claimed in a prior year, see instructions. **Partnerships or Limited Liability Companies (classified as partnerships):** Enter the gain or (loss) on Schedule K (565 or 568), line 10. Skip lines 8, 9, 11, and 12 below. **S corporations:** If line 7 is zero or a loss, enter the amount on line 11 below and skip line 8 and line 9. If line 7 is a gain, continue to line 8. **All others:** If line 7 is zero or a loss, enter the amount on line 11 below and skip line 8 and line 9. If line 7 is a gain and you did not have any prior year IRC Section 1231 losses, or they were recaptured in an earlier year, enter the gain as follows: **Form 540 and Long Form 540NR filers,** enter the gain on Schedule D (540 or 540NR), line 1, and skip lines 8, 9, and 12 below; **Form 100 and Form 100W filers,** enter the gain on Schedule D, Side 6, Part II, line 6, and skip lines 8, 9, and 12 below.

- 8 Nonrecaptured net IRC Section 1231 losses from prior years. Enter as a positive number. See instructions 8 00
- 9 Subtract line 8 from line 7. If zero or less, enter -0- 9 0. 00

S corporations: If line 9 is more than zero, enter this amount on Schedule D (100S), Section B, Part II, line 5 and enter the amount, if any, from line 8 on line 12 below. If line 9 is zero, enter the amount from line 7 on line 12 below. **All others:** If line 9 is more than zero, enter the amount from line 8 on line 12 below, and enter the amount from line 9 as follows: **Form 540 and Long Form 540NR filers,** enter as a capital gain on Schedule D (540 or 540NR), line 1; **Form 100 and Form 100W filers,** enter the gain on Schedule D, Side 6, Part II, line 6. If line 9 is zero, enter the amount from line 7 on line 12 below. See instructions.

Part II Section A - Ordinary Gains and Losses

10 Ordinary gains and losses not included on line 11 through line 16 (include property held 1 year or less):

<input type="radio"/>		<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="radio"/>		<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<input type="radio"/>		<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

- 11 Loss, if any, from line 7 11 (2,302.)
- 12 Gain, if any, from line 7, or amount from line 8, if applicable. See instructions 12 00
- 13 Gain, if any, from line 34 13 00
- 14 Net gain or (loss) from federal Form 4684, line 31 and line 38a (completed using California amounts) 14 00
- 15 Ordinary gain from installment sales from form FTB 3805E, line 25 or line 36. See instructions 15 00
- 16 Ordinary gain or (loss) from like-kind exchanges from federal Form 8824 (completed using California amounts) 16 00
- 17 Combine line 10 through line 16 17 -2,302. 00

18 For all except individual tax returns, enter the amount from line 17 on the appropriate line of your tax return and skip line a and line b below. For individual tax returns, complete line a and line b below: see instructions.

- a If the loss on line 11 includes a loss from federal Form 4684, Section B, Part II, column (b)(ii) of line 30 or line 35, enter that part of the loss here. See instructions 18a 00
- b Redetermine the gain or (loss) on line 17, excluding the loss, if any, on line 18a. Enter here and on line 20 18b 00

Part II Section B - Adjusting California Ordinary Gain or Loss For individual tax returns (Form 540 and Long Form 540NR) only.

19	Enter ordinary federal gain or (loss) from federal Form 1040, line 14	<input type="radio"/> 19	00
20	Enter ordinary California gain or (loss) from line 18b	<input type="radio"/> 20	00
21	Ordinary gain or loss adjustment: Compare line 19 and line 20. See instructions.		
a	If line 19 is more than line 20, enter the difference here and on Schedule CA (540 or 540NR), line 14, column B	<input type="radio"/> 21a	00
b	If line 20 is more than line 19, enter the difference here and on Schedule CA (540 or 540NR), line 14, column C	<input type="radio"/> 21b	00

Part III Gain from Disposition of Property Under IRC Sections 1245, 1250, 1252, 1254, and 1255

Description of IRC Sections 1245, 1250, 1252, 1254, and 1255 property.		Date acquired (mm/dd/yyyy)	Date sold (mm/dd/yyyy)
22	A <input type="radio"/>	<input type="radio"/>	<input type="radio"/>
	B <input type="radio"/>	<input type="radio"/>	<input type="radio"/>
	C <input type="radio"/>	<input type="radio"/>	<input type="radio"/>
	D <input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Relate the properties on lines 22A through 22D to these columns		Property A	Property B
23	Gross sales price	<input type="radio"/> 23	<input type="radio"/>
24	Cost or other basis plus expense of sale	<input type="radio"/> 24	<input type="radio"/>
25	Depreciation (or depletion) allowed or allowable	<input type="radio"/> 25	<input type="radio"/>
26	Adjusted basis. Subtract line 25 from line 24	<input type="radio"/> 26	<input type="radio"/>
27	Total gain. Subtract line 26 from line 23	<input type="radio"/> 27	<input type="radio"/>
28	If IRC Section 1245 property:		
a	Depreciation allowed or allowable from line 25	<input type="radio"/> 28a	<input type="radio"/>
b	Enter the smaller of line 27 or line 28a	<input type="radio"/> 28b	<input type="radio"/>
29	If IRC Section 1250 property: If straight-line depreciation was used, enter -0- on line 29g, except for a corporation subject to IRC Sec. 291:		
a	Additional depreciation after 12/31/76	<input type="radio"/> 29a	<input type="radio"/>
b	Applicable percentage multiplied by the smaller of line 27 or line 29a	<input type="radio"/> 29b	<input type="radio"/>
c	Subtract line 29a from line 27. If line 27 is not more than line 29a, skip line 29d and line 29e	<input type="radio"/> 29c	<input type="radio"/>
d	Additional depreciation after 12/31/70 and before 1/1/77	<input type="radio"/> 29d	<input type="radio"/>
e	Enter the smaller of line 29c or line 29d	<input type="radio"/> 29e	<input type="radio"/>
f	IRC Section 291 amount (for corporations only)	<input type="radio"/> 29f	<input type="radio"/>
g	Add line 29b, line 29e, and line 29f	<input type="radio"/> 29g	<input type="radio"/>
30	If IRC Section 1252 property: Skip section if you did not dispose of farm land or if form is being completed for a partnership.		
a	Soil, water, and land clearing expenses	<input type="radio"/> 30a	<input type="radio"/>
b	Applicable percentage multiplied by line 30a	<input type="radio"/> 30b	<input type="radio"/>
c	Enter the smaller of line 27 or line 30b	<input type="radio"/> 30c	<input type="radio"/>
31	If IRC Section 1254 property:		
a	Intangible drilling and development costs deducted after 12/31/76	<input type="radio"/> 31a	<input type="radio"/>
b	Enter the smaller of line 27 or line 31a	<input type="radio"/> 31b	<input type="radio"/>
32	If IRC Section 1255 property:		
a	Applicable percentage of payments excluded from income under IRC Section 126	<input type="radio"/> 32a	<input type="radio"/>
b	Enter the smaller of line 27 or line 32a	<input type="radio"/> 32b	<input type="radio"/>

Summary of Part III Gains. Complete property column A through column D for line 23 through line 32b before going to line 33.

33	Total gains for all properties. Add column A through column D of line 27	<input type="radio"/> 33	00
34	Add column A through column D of lines 28b, 29g, 30c, 31b, and 32b. Enter here and on line 13	<input type="radio"/> 34	00
35	Subtract line 34 from line 33. Enter the portion from other than casualty and theft here and on line 6.		
	Enter the portion from casualty and theft on federal Form 4684, line 33	<input type="radio"/> 35	00

Part IV Recapture Amounts Under IRC Sections 179 and 280F(b)(2) When Business Use Drops to 50% or Less

	(a) Expense deductions	(b) Recovery deductions
36	Expense deductions or recovery deductions. See instructions	<input type="radio"/> 36 00
37	Depreciation or recovery deductions. See instructions	<input type="radio"/> 37 00
38	Recapture amount. Subtract line 37 from line 36. See instructions	<input type="radio"/> 38 00

SCHEDULE D-1		PROPERTY HELD MORE THAN ONE YEAR			STATEMENT	18
PROPERTY DESCRIPTION	DATE ACQUIRED	DATE SOLD	SALES PRICE	DEPR. ALLOWED	COST OR BASIS	GAIN OR (LOSS)
1231 GAINS FROM PASSTHROUGH ENTITIES (PASSIVE)						
	VARIOUS	VARIOUS			2,302.	-2,302.
TOTAL TO SCH D-1, PART I, LINE 2					2,302.	-2,302.

MAIL TO:
Registry of Charitable Trusts
P.O. Box 903447
Sacramento, CA 94203-4470
(916) 210-6400

WEB SITE ADDRESS:
www.ag.ca.gov/charities/

**ANNUAL
REGISTRATION RENEWAL FEE REPORT
TO ATTORNEY GENERAL OF CALIFORNIA**

Section 12586 and 12587, California Government Code
11 Cal. Code Regs. section 301-307, 311 and 312

Failure to submit this report annually no later than the 15th day of the 5th month after the end of the organization's accounting period may result in the loss of tax exemption and the assessment of a minimum tax of \$800, plus interest, and/or fines or filing penalties as defined in Government Code section 12586.1. IRS extensions will be honored.

State Charity Registration Number: CT 88831

THE SOBRATO FAMILY FOUNDATION

Name of Organization

10600 NORTH DE ANZA BLVD, NO. 200

Address (Number and Street)

CUPERTINO, CA 95014

City or Town, State and ZIP Code

Check if:

☐ Change of address

☐ Amended report

Corporate or Organization No. 1850889

Federal Employer I.D. No. 77-0348912

ANNUAL REGISTRATION RENEWAL FEE SCHEDULE (11 Cal. Code Regs. sections 301-307, 311, and 312)

Make Check Payable to Attorney General's Registry of Charitable Trusts

Gross Receipts	Fee	Gross Annual Revenue	Fee	Gross Annual Revenue	Fee
Less than \$25,000	0	Between \$100,001 and \$250,000	\$50	Between \$1,000,001 and \$10 million	\$150
Between \$25,000 and \$100,000	\$25	Between \$250,001 and \$1 million	\$75	Between \$10,000,001 and \$50 million	\$225
				Greater than \$50 million	\$300

PART A - ACTIVITIES

For your most recent full accounting period (beginning 01/01/2017 ending 12/31/2017) list:
Gross annual revenue \$ 44,452,917. Total assets \$ 270,662,644.

PART B - STATEMENTS REGARDING ORGANIZATION DURING THE PERIOD OF THIS REPORT

Note: If you answer "yes" to any of the questions below, you must attach a separate page providing an explanation and details for each "yes" response. Please review RRF-1 instructions for information required.

	Yes	No
1. During this reporting period, were there any contracts, loans, leases or other financial transactions between the organization and any officer, director or trustee thereof either directly or with an entity in which any such officer, director or trustee had any financial interest?	X	
2. During this reporting period, were there any theft, embezzlement, diversion or misuse of the organization's charitable property or funds?		X
3. During this reporting period, did non-program expenditures exceed 50% of gross revenue?		X
4. During this reporting period, were any organization funds used to pay any penalty, fine or judgment? If you filed a Form 4720 with the Internal Revenue Service, attach a copy.		X
5. During this reporting period, were the services of a commercial fundraiser or fundraising counsel for charitable purposes used? If "yes," provide an attachment listing the name, address, and telephone number of the service provider.		X
6. During this reporting period, did the organization receive any governmental funding? If so, provide an attachment listing the name of the agency, mailing address, contact person, and telephone number.		X
7. During this reporting period, did the organization hold a raffle for charitable purposes? If "yes," provide an attachment indicating the number of raffles and the date(s) they occurred.		X
8. Does the organization conduct a vehicle donation program? If "yes," provide an attachment indicating whether the program is operated by the charity or whether the organization contracts with a commercial fundraiser for charitable purposes.		X
9. Did your organization have prepared an audited financial statement in accordance with generally accepted accounting principles for this reporting period?	X	

Organization's area code and telephone number 408 446-0700

Organization's e-mail address GRANTS@SOBRATO.ORG

I declare under penalty of perjury that I have examined this report, including accompanying documents, and to the best of my knowledge and belief, the content is true, correct and complete.

RICK WILLIAMS

CEO

Signature of authorized officer

Printed Name

Title

Date

CA RRF-1	EXPLANATION OF FINANCIAL TRANSACTIONS PART B, LINE 1	STATEMENT 19
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THE SOBRATO FAMILY FOUNDATION IS PROVIDED WITH PROFESSIONAL SERVICES
WITHOUT CHARGE BY DISQUALIFED PERSONS.